### Oxidative Asymmetric Formal Aza-Diels–Alder Reactions of Tetrahydro-β-carboline with Enones in the Synthesis of Indoloquinolizidine-2-ones

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#### 1. General Data

NMR spectra were recorded on Aglient-600 MHz or Brucker-400 MHz spectrometer using CDCl<sub>3</sub> as solvent and TMS as internal standard unless otherwise stated. Mass spectra were recorded on a Thermo LTQ Orbitrap XL (ESI+). HPLC analysis was performed on Agilent 1200 (UV detection monitored at 210 nm). Chiralpak OD-H, AD-H, IC-H columns were purchased from Daicel Chemical Industries, LTD. Specific optical rotations ( $[\alpha]$ ) were measured using a Perkin-Elmer 341 polarimeter at 25 °C with a sodium lamp (D line, 589 nm).Column chromatography was performed on silica gel (200-300 mesh) eluting with ethyl acetate and petroleum ether. TLC was performed on glass-backed silica plates. Ketone substrate were prepared following the literature report<sup>[1]</sup>. literature Т following report<sup>[2]</sup>. Thiourea prepared the was 9-Tosyl-2,3,4,9-tetrahydro-1H-pyrido[3,4-b]indole was prepared following the literature report<sup>[3-4]</sup>

### 2. Typical Procedure for the Ruthenium-Catalyzed Enantioselective Oxidative Formal Aza-Diels-Alder Reactions



Ruthenium-Catalyzed Enantioselective Typical Procedure for the Oxidative Formal Aza-Diels-Alder Reactions: Thiourea Т 0.03 (14.0)mg, mmol. 0.15 equiv), tris(triphenylphosphine)ruthenium (II) dichloride (3.8 mg, 0.004 mmol, 0.06 equiv.), 9-tosyl-2,3,4,9-tetrahydro-1*H*-pyrido[3,4-*b*]indole (4a) (65.2 mg, 0.2 mmol, 1.0 equiv.), (E)-4-(4-methoxyphenyl)but-3-en-2-one (5a) (52.8 mg, 0.3 mmol, 1.5 equiv) were loaded into a tube equipped with a stir bar. A stock solution of glacial acetic acid in anhydrous toluene (0.5 M) was added in one portion at room temperature via syringe (60 µL, 0.03 mmol AcOH, 0.15 equiv.). Anhydrous toluene (1 mL) was then added. The reaction mixture was stirred at  $0^{\circ}$  for 10 minutes, then the solution of tert-butyl hydroperoxide in decane (5.5 M) was added dropwise at 0  $^{\circ}$ C via syringe (32 µL, 0.2 mmol TBHP, 1 equiv.) over 45 minutes. The reaction was stirred at 0  $^{\circ}$ C for 72 hours. The crude mixture was concentrated and was purified through column chromatography on silica gel (petroleum ether/EtOAc = 30/1 to 5/1) to afford title compounds **6aa** and **7aa**.



#### **3.** Optimization of the reaction conditions and Scope of $\alpha$ , $\beta$ -unsaturated ketones

entry	5	catalyst (mol %)	acid (mol %)	yield (%)	dr(6aa:7aa)	ee (%) (6aa/7aa)
1	5a	cat. <b>T</b> (15)	CH <sub>3</sub> COOH (5)	22%	>10:1	81
2	5a	cat. <b>T</b> (15)	CH <sub>3</sub> COOH (0)	24%	2.2:1	51/51
3	5a	cat. <b>T</b> (5)	CH₃COOH (5)	NP	-	-
4	5a	cat. <b>T'</b> (15)	CH3COOH (15)	NP	-	-
5	5p	cat. <b>T</b> (15)	CH3COOH (15)	NP	-	-
6	5q	cat. <b>T</b> (15)	CH <sub>3</sub> COOH (15)	NP	-	-

#### 4. Characterization Data for the Products

(4R,12bS)-4-(4-methoxyphenyl)-12-tosyl-1,3,4,6,7,12b-hexahydroindolo[2,3-a]quinolizin-2(1 2H)-one (6aa: major diastereomer) and (4S,12bS)-4-(4-methoxyphenyl)-12-tosyl-1,3,4,6,7,1 2b-hexahydroindolo[2,3-a]quinolizin-2(12H)-one (7aa: minor diastereomer): 6aa and 7aa were obtained as a white solid in 73% yield after flash chromatography.



**Major diastereomer (6aa):** the enantiomeric excess was determined to be 94% by HPLC analysis on Chiralpak AD-H column (30% 2-propanol/n-hexane, 1 mL/min), UV 254 nm, t (major) = 13.58 min, t (minor) = 28.03 min;  $[\alpha]_D^{25} = +3.1$  (c 0. 194, CHCl<sub>3</sub>); <sup>1</sup>H NMR (600 MHz, CDCl<sub>3</sub>)  $\delta$  8.06 (d, *J* = 8.1 Hz, 1H), 7.50 (d, *J* = 7.3 Hz, 2H), 7.32 (d, *J* = 7.3 Hz, 2H), 7.26 (d, *J* = 6.3 Hz, 2H), 7.19 (t, J = 7.1 Hz, 1H), 7.09 (d, J = 7.6 Hz, 2H), 6.92 (d, J = 7.9 Hz, 2H), 4.62 (d, J = 10.0 Hz, 1H), 4.17 (s, 1H), 3.81 (s, 3H), 3.48 (d, J = 14.7 Hz, 1H), 2.94 (s, 1H), 2.73 (d, J = 6.2 Hz, 2H), 2.66 -2.59 (m, 2H), 2.45 (s, 2H), 2.26 (s, 3H). <sup>13</sup>C NMR (101 MHz, CDCl<sub>3</sub>) δ 207.15, 159.27, 144.75, 137.84, 136.21, 133.97, 130.50, 129.51, 129.25, 128.75, 126.63, 124.94, 124.24, 121.58, 118.62, 116.04, 114.18, 65.00, 58.72, 55.35, 46.21, 45.46, 41.37, 22.35, 21.57. HRMS (ESI) m/z (M+H)<sup>+</sup> calculated for C<sub>29</sub>H<sub>29</sub>N<sub>2</sub>O<sub>4</sub>S: 501.1843, observed: 501.1845.

column

(30%)

Minor diastereomer (7aa): the enantiomeric excess was determined to be 90% by HPLC analysis on Chiralpak AD-H 2-propanol/n-hexane, 1 mL/min), UV 254 nm, t (major) = 12.08 min, t (minor) = 10.65 min;  $[\alpha]_D^{25}$  = +24.55 (c 0. 128, CHCl<sub>3</sub>); <sup>1</sup>H NMR (600 MHz, CDCl<sub>3</sub>)

 $\delta$  8.15 (d, J = 8.0 Hz, 1H), 7.39 – 7.20 (m, 5H), 7.09 (d, J = 7.6 Hz, 2H), 6.91 (d, J = 7.8 Hz, 4H), 4.60 - 4.48 (m, 2H), 3.82 (s, 3H), 3.42 (s, 1H), 3.17 - 3.06 (m, 2H), 2.91 (dt, J = 15.5, 10.8 Hz, 3H), 2.77 (d, J = 15.2 Hz, 1H), 2.65 – 2.57 (m, 1H), 2.24 (s, 3H). <sup>13</sup>C NMR (101 MHz, CDCl<sub>3</sub>)  $\delta$  208.00, 158.86, 137.02, 136.03, 134.50, 133.08, 130.31, 129.58, 129.21, 126.25, 124.76, 124.03, 118.52, 115.56, 113.74, 63.91, 55.36, 50.80, 44.73, 43.89, 39.89, 22.41, 21.57. HRMS (ESI) m/z (M+H)<sup>+</sup> calculated for C<sub>29</sub>H<sub>29</sub>N<sub>2</sub>O<sub>4</sub>S: 501.1843, observed: 501.1846.

(4R,12bS)-4-phenyl-12-tosyl-1,3,4,6,7,12b-hexahydroindolo[2,3-a]quinolizin-2(12H)-one (6a b: major diastereomer) and (4S,12bS)-4-phenyl-12-tosyl-1,3,4,6,7,12b-hexahydroindolo[2,3a]quinolizin-2(12H)-one (7ab: minor diastereomer): 6ab and 7ab were obtained as a whit e solid in 31% yield after flash chromatography.



Major diastereomer (6ab): the enantiomeric excess was determined to be 96% by HPLC analysis on Chiralpak AD-H column (30% 2-propanol/n-hexane, 1 mL/min), UV 254 nm, t (major) = 12.15 min, t (minor) = 22.72 min;  $[\alpha]_D^{25}$  = +43.0 (c 0.068, CHCl<sub>3</sub>); <sup>1</sup>H NMR (600 MHz, CDCl<sub>3</sub>)  $\delta$  8.07 (d, J = 8.0 Hz, 1H),

7.50 (d, J = 7.7 Hz, 2H), 7.45 – 7.35 (m, 4H), 7.33 (d, J = 6.2 Hz, 1H), 7.30 – 7.24 (m, 2H), 7.20 (t, *J* = 7.3 Hz, 1H), 7.09 (d, *J* = 7.8 Hz, 2H), 4.63 (d, *J* = 10.7 Hz, 1H), 4.20 (d, *J* = 6.0 Hz, 1H), 3.52 (d, J = 14.8 Hz, 1H), 3.01 - 2.92 (m, 1H), 2.76 (dt, J = 25.4, 12.9 Hz, 2H), 2.69 - 2.59 (m, 2H),2.47 (d, J = 16.3 Hz, 2H), 2.27 (s, 3H). <sup>13</sup>C NMR (101 MHz, CDCl<sub>3</sub>)  $\delta$  207.22, 144.77, 141.53, 137.95, 136.38, 133.88, 130.61, 129.49, 128.91, 127.92, 127.52, 126.63, 124.96, 124.31, 121.98, 118.64, 116.14, 65.70, 58.85, 46.56, 45.83, 42.14, 22.53, 21.58. HRMS (ESI) m/z  $(M+H)^+$  calculated for C<sub>28</sub>H<sub>27</sub>N<sub>2</sub>O<sub>3</sub>S: 471.1737, observed: 471.1740.



Minor diastereomer (7ab): the enantiomeric excess was determined to be 91% by HPLC analysis on Chiralpak AD-H column (30% 2-propanol/n-hexane, 1 mL/min), UV 254 nm, t (major) = 10.20 min, t (minor) = 8.71 min;  $[\alpha]_D^{25}$  = +337.0 (c 0.068, CHCl<sub>3</sub>); <sup>1</sup>H NMR (600 MHz, CDCl<sub>3</sub>)  $\delta$  8.16 (d, *J* = 8.2 Hz,

1H), 7.46 (d, J = 7.3 Hz, 2H), 7.41 (t, J = 7.3 Hz, 2H), 7.34 (m, 2H), 7.29 (t, J = 7.6 Hz, 1H), 7.23 (t, J = 7.4 Hz, 1H), 7.06 (d, J = 7.9 Hz, 2H), 6.91 (d, J = 7.9 Hz, 2H), 4.62 (d, J = 5.2 Hz, 1H), 4.54 (d, J = 10.5 Hz, 1H), 3.45 (dd, J = 9.7, 6.7 Hz, 1H), 3.13 (dd, J = 34.4, 12.3 Hz, 2H), 3.02 – 2.86 (m, 3H), 2.78 (d, J = 15.0 Hz, 1H), 2.67 – 2.60 (m, 1H), 2.24 (s, 3H). <sup>13</sup>C NMR (101 MHz, CDCl<sub>3</sub>)  $\delta$  207.82, 144.57, 140.98, 137.04, 135.95, 134.43, 129.60, 128.52, 128.06, 127.46, 126.23, 124.78, 124.05, 119.12, 118.53, 118.42, 115.61, 64.42, 50.93, 44.90, 43.94, 39.83, 22.41, 21.57. HRMS (ESI) m/z (M+H)<sup>+</sup> calculated for C<sub>28</sub>H<sub>27</sub>N<sub>2</sub>O<sub>3</sub>S: 471.1737, observed: 471.1738.

(4R,12bS)-4-o-tolyl-12-tosyl-1,3,4,6,7,12b-hexahydroindolo[2,3-a]quinolizin-2(12H)-one (6a c: major diastereomer) and (4S,12bS)-4-o-tolyl-12-tosyl-1,3,4,6,7,12b-hexahydroindolo[2,3-a] quinolizin-2(12H)-one (7ac: minor diastereomer): 6ac and 7ac were obtained as a whit e solid in 36% yield after flash chromatography.



**Major diastereomer (6ac):** the enantiomeric excess was determined to be 82% by HPLC analysis on Chiralpak AD-H column (30% 2-propanol/n-hexane, 1 mL/min), UV 254 nm, t (major) = 18.42 min, t (minor) = 11.36 min;  $[\alpha]_D^{25}$  = +42.0 (c 1.26, CHCl<sub>3</sub>); <sup>1</sup>H NMR (600 MHz, CDCl<sub>3</sub>)  $\delta$ 

8.10 (d, J = 7.7 Hz, 1H), 7.50 (d, J = 7.4 Hz, 2H), 7.37 – 7.18 (m, 7H), 7.09 (d, J = 7.6 Hz, 2H), 4.73 (s, 1H), 4.43 (d, J = 7.4 Hz, 1H), 3.43 (d, J = 14.3 Hz, 1H), 2.91 (dd, J = 33.4, 20.6 Hz, 2H), 2.70 – 2.57 (m, 3H), 2.52 – 2.37 (m, 5H), 2.28 (s, 3H). <sup>13</sup>C NMR (101 MHz, CDCl<sub>3</sub>)  $\delta$  207.58, 144.79, 138.55, 137.79, 137.29, 136.35, 134.06, 131.07, 130.62, 129.51, 127.69, 126.63, 126.34 (d, J = 25.1 Hz), 124.95, 124.31, 121.24, 118.63, 116.11, 62.06, 58.44, 45.54, 43.68, 39.93, 22.33, 21.57, 19.18. HRMS (ESI) m/z (M+H)<sup>+</sup> calculated for C<sub>29</sub>H<sub>29</sub>N<sub>2</sub>O<sub>3</sub>S: 485.1894, observed: 485.1894.



**Minor diastereomer (7ac):** the enantiomeric excess was determined to be 95% by HPLC analysis on Chiralpak AD-H column (30% 2-propanol/n-hexane, 1 mL/min), UV 254 nm, t (major) = 8.11 min, t (minor) = 5.51 min;  $[\alpha]_{D}^{25}$  = +208.0 (c 0. 19, CHCl<sub>3</sub>); <sup>1</sup>H NMR (600 MHz, CDCl<sub>3</sub>)  $\delta$ 

8.15 (d, J = 8.2 Hz, 1H), 7.33 – 7.26 (m, 5H), 7.23 (m, J = 15.9, 8.3 Hz, 2H), 6.88 (d, J = 6.7 Hz, 2H), 6.82 (d, J = 7.9 Hz, 2H), 4.66 (s, 1H), 4.53 (d, J = 9.6 Hz, 1H), 3.47 (td, J = 11.0, 3.9 Hz, 1H), 3.20 – 3.10 (m, 2H), 2.94 – 2.82 (m, 3H), 2.74 (dd, J = 15.6, 3.4 Hz, 1H), 2.69 – 2.63 (m, 1H), 2.37 (s, 3H), 2.23 (s, 3H). <sup>13</sup>C NMR (101 MHz, CDCl<sub>3</sub>)  $\delta$  208.62, 144.38, 137.97, 136.92, 134.47, 130.87, 130.24, 129.94, 129.52, 127.66, 127.14, 126.03, 124.76, 123.97, 118.39, 117.85, 115.62, 62.12, 59.55, 43.89, 42.87, 38.17, 22.14, 21.53, 19.51. HRMS (ESI) m/z (M+H)<sup>+</sup> calculated for C<sub>29</sub>H<sub>29</sub>N<sub>2</sub>O<sub>3</sub>S: 485.1894, observed: 485.1896.

(4R,12bS)-4-m-tolyl-12-tosyl-1,3,4,6,7,12b-hexahydroindolo[2,3-a]quinolizin-2(12H)-one (6ad: major diastereomer) and (4S,12bS)-4-m-tolyl-12-tosyl-1,3,4,6,7,12b-hexahydroindolo[2,3-a] quinolizin-2(12H)-one (7ad: minor diastereomer): 6ad and 7ad were obtained as a white solid in 41% yield after flash chromatography.

**Major diastereomer (6ad):** the enantiomeric excess was determined to be 88% by HPLC analysis on Chiralpak AD-H column (30% 2-propanol/n-hexane, 1 mL/min), UV 254 nm, t (major) = 9.60 min, t (minor) = 18.13 min;  $[\alpha]_D^{25} = +9.4$  (c 0.254, CHCl<sub>3</sub>); <sup>1</sup>H NMR (600 MHz, CDCl<sub>3</sub>)  $\delta$  8.07 (d, J = 8.1 Hz, 1H), 7.50 (d, J = 7.7 Hz, 2H), 7.26 (d, J = 8.6 Hz, 3H), 7.23 – 7.17 (m, 3H), 7.13 (d, J = 6.9 Hz, 1H), 7.09 (d, J = 7.7 Hz, 2H), 4.59 (d, J = 10.8 Hz, 1H), 4.17 – 4.10 (m, 1H), 3.51 (d, J = 14.8 Hz, 1H), 2.97 (d, J = 5.4 Hz, 1H), 2.75 (d, J = 6.3 Hz, 2H), 2.68 – 2.60 (m, 2H), 2.47 (d, J = 17.7 Hz, 2H), 2.38 (s, 3H), 2.27 (s, 3H). <sup>13</sup>C NMR (101 MHz, CDCl<sub>3</sub>)  $\delta$  207.29, 144.73, 141.50, 138.57, 137.99, 136.42, 133.90, 130.64, 129.46, 128.70 (d, J = 10.9 Hz), 128.22, 126.65, 124.93, 124.58, 124.29, 122.06, 118.60, 116.17, 65.82, 58.94, 46.63, 46.03, 42.31, 22.56, 21.56. HRMS (ESI) m/z (M+H)<sup>+</sup> calculated for C<sub>29</sub>H<sub>29</sub>N<sub>2</sub>O<sub>3</sub>S: 485.1894, observed: 485.1893.



Minor diastereomer (7ad): the enantiomeric excess was determined to be 92% by HPLC analysis on Chiralpak AD-H column (30% 2-propanol/n-hexane, 1 mL/min), UV 254 nm, t (major) = 7.66 min, t (minor) = 8.95 min;  $[\alpha]_D^{25}$  = +201 (c 0.158, CHCl<sub>3</sub>); <sup>1</sup>H NMR (600 MHz, CDCl<sub>3</sub>)  $\delta$  8.16 (d, *J* = 8.0 Hz, 1H), 7.39 – 7.21 (m, 6H), 7.16 (d, *J* = 6.4 Hz, 1H), 7.09 (d, *J* = 7.4 Hz, 2H), 6.91 (d, *J* = 7.4 Hz, 2H), 4.57 (d, *J* = 6.4 Hz, 2H), 3.43 (s, 1H), 3.18 (d, *J* = 15.0 Hz, 1H), 3.10 (s, 1H), 3.02 – 2.86 (m, 3H), 2.78 (d, *J* = 15.2 Hz, 1H), 2.64 (dd, *J* = 25.0, 12.7 Hz, 1H), 2.39 (s, 3H), 2.24 (s, 3H). <sup>13</sup>C NMR (101 MHz, CDCl<sub>3</sub>)  $\delta$  206.82, 143.43, 139.88, 137.02, 135.95, 134.97, 133.44, 129.24, 128.49, 127.76, 127.28, 127.10, 125.15, 123.92, 123.67, 122.94, 117.44 (d, *J* = 5.4 Hz), 114.49, 63.28, 49.76, 43.86, 42.85, 38.84, 21.32, 20.61, 20.47. HRMS (ESI) m/z (M+H)<sup>+</sup> calculated for C<sub>29</sub>H<sub>28</sub>N<sub>2</sub>O<sub>3</sub>S: 485.1894, observed: 485.1896.

(4R,12bS)-4-p-tolyl-12-tosyl-1,3,4,6,7,12b-hexahydroindolo[2,3-a]quinolizin-2(12H)-one (6ae: major diastereomer) and (4S,12bS)-4-p-tolyl-12-tosyl-1,3,4,6,7,12b-hexahydroindolo[2,3-a] quinolizin-2(12H)-one (7ae: minor diastereomer): 6aeand 7ae were obtained as a white so lid in 61% yield after flash chromatography.



**Major diastereomer (6ae):** the enantiomeric excess was determined to be 94% by HPLC analysis on Chiralpak AD-H column (30% 2-propanol/n-hexane, 1 mL/min), UV 254 nm, t (major) = 10.46 min, t (minor) = 19.57 min;  $[\alpha]_D^{25}$  = +79.0 (c 0.124, CHCl<sub>3</sub>); <sup>1</sup>H NMR (600 MHz, CDCl<sub>3</sub>)  $\delta$  8.07 (d, *J* = 8.1 Hz,

1H), 7.50 (d, J = 8.0 Hz, 2H), 7.28 (m, J = 21.3, 7.7 Hz, 4H), 7.20 (d, J = 7.1 Hz, 3H), 7.09 (d, J = 7.9 Hz, 2H), 4.61 (d, J = 10.9 Hz, 1H), 4.20 – 4.14 (m, 1H), 3.50 (d, J = 14.8 Hz, 1H), 2.98 – 2.91 (m, 1H), 2.75 (d, J = 5.5 Hz, 2H), 2.68 – 2.59 (m, 2H), 2.46 (d, J = 15.8 Hz, 2H), 2.37 (s, 3H), 2.27 (s, 3H). <sup>13</sup>C NMR (101 MHz, CDCl<sub>3</sub>)  $\delta$  207.36, 144.75, 138.50, 137.95, 137.61, 136.46, 133.92, 130.64, 129.52 (d, J = 7.6 Hz), 127.43, 126.62, 124.92, 124.30, 121.98, 118.63, 116.14, 65.48, 58.90, 46.54, 45.91, 41.98, 22.55, 21.57, 21.18. HRMS (ESI) m/z (M+H)<sup>+</sup> calculated for C<sub>29</sub>H<sub>29</sub>N<sub>2</sub>O<sub>3</sub>S: 485.1894, observed: 485.1897.



**Minor diastereomer (7ae):** the enantiomeric excess was determined to be 96% by HPLC analysis on Chiralpak OD-H column (15% 2-propanol/n-hexane, 1 mL/min), UV 254 nm, t (major) = 28.19 min, t (minor) = 24.56 min;  $[\alpha]_{D}^{25}$  = +68.3 (c 0.46, CHCl<sub>3</sub>); <sup>1</sup>H NMR (600 MHz, CDCl<sub>3</sub>)  $\delta$ 

8.13 (d, J = 8.2 Hz, 1H), 7.33 – 7.27 (m, 3H), 7.26 – 7.14 (m, 4H), 7.08 (d, J = 7.5 Hz, 2H), 6.90 (d, J = 7.8 Hz, 2H), 4.56 (d, J = 17.9 Hz, 2H), 3.43 (s, 1H), 3.19 – 3.04 (m, 2H), 2.92 (dt, J = 22.8, 11.0 Hz, 3H), 2.76 (d, J = 15.2 Hz, 1H), 2.61 (s, 1H), 2.38 (s, 3H), 2.25 (s, 3H). <sup>13</sup>C NMR (101 MHz,

CDCl<sub>3</sub>) § 207.88, 144.48, 137.86, 137.06, 135.98, 134.51, 130.28, 129.50, 129.17, 127.97, 126.31, 124.76, 123.99, 118.52, 118.43, 115.64, 64.17, 50.83, 43.91, 39.98, 22.37, 21.59, 21.17. HRMS (ESI) m/z (M+H)<sup>+</sup> calculated for C<sub>29</sub>H<sub>29</sub>N<sub>2</sub>O<sub>3</sub>S: 485.1894, observed: 485.1898.

(4R,12bS)-4-(4-chlorophenyl)-12-tosyl-1,3,4,6,7,12b-hexahydroindolo[2,3-a]quinolizin-2(12H)one (6af: major diastereomer) and (4S,12bS)-4-(4-chlorophenyl)-12-tosyl-1,3,4,6,7,12b-hexa hydroindolo[2,3-a]quinolizin-2(12H)-one (7af: minor diastereomer): 6af and 7af were obta ined as a white solid in 34% yield after flash chromatography.



Major diastereomer (6af): the enantiomeric excess was determined to be 93% by HPLC analysis on Chiralpak AD-H column (30% 2-propanol/n-hexane, 1 mL/min), UV 254 nm, t (major) = 14.60 min, t (minor) = 19.85 min;  $[\alpha]_D^{25}$  = +68.0 (c 0. 114, CHCl<sub>3</sub>); <sup>1</sup>H NMR (600 MHz, CDCl<sub>3</sub>)  $\delta$  8.06 (d, J = 8.1 Hz, 1H), 7.49 (d, J = 7.4 Hz, 2H), 7.35 (s, 4H), 7.26 (d, J = 6.0 Hz, 2H), 7.19 (t, J = 7.3 Hz, 1H), 7.09 (d, J = 7.4 Hz, 2H), 7.19 (t, J = 7.3 Hz, 1H), 7.09 (d, J = 7.4 Hz, 2H), 7.19 (t, J = 7.4 Hz, 2H), *J* = 7.7 Hz, 2H), 4.64 (d, *J* = 9.9 Hz, 1H), 4.19 (d, *J* = 6.6 Hz, 1H), 3.50 (d, *J* = 14.8 Hz, 1H), 2.92 (s,

1H), 2.77 (d, J = 14.3 Hz, 1H), 2.70 – 2.58 (m, 3H), 2.53 – 2.40 (m, 2H), 2.27 (s, 3H). <sup>13</sup>C NMR (101 MHz, CDCl<sub>3</sub>) & 206.75, 144.82, 140.16, 137.90, 136.20, 133.88, 133.59, 130.51, 129.52, 129.09, 128.84, 126.61, 125.02, 124.34, 121.79, 118.66, 116.10, 64.89, 58.60, 46.49, 45.50, 42.09, 22.49, 21.58. HRMS (ESI) m/z (M+H)<sup>+</sup> calculated for C<sub>28</sub>H<sub>26</sub>ClN<sub>2</sub>O<sub>3</sub>S: 505.1347, observed: 505.1348.



Minor diastereomer (7af): the enantiomeric excess was determined to be 91% by HPLC analysis on Chiralpak AD-H column (30% 2-propanol/n-hexane, 1 mL/min), UV 254 nm, t (major) = 9.75 min, t (minor) = 8.93 min;  $[\alpha]_D^{25}$  = +41.0 (c 0.196, CHCl<sub>3</sub>); <sup>1</sup>H NMR (600 MHz, CDCl<sub>3</sub>)  $\delta$  8.17 (d, J = 8.0 Hz, 1H),

7.31 (m, J = 34.2, 24.9, 7.0 Hz, 7H), 7.07 (d, J = 7.5 Hz, 2H), 6.95 (d, J = 7.5 Hz, 2H), 4.57 (s, 1H), 4.39 (d, J = 10.7 Hz, 1H), 3.45 (d, J = 8.5 Hz, 1H), 3.14 (d, J = 16.3 Hz, 2H), 2.99 – 2.86 (m, 3H), 2.78 (d, J = 14.9 Hz, 1H), 2.68 – 2.59 (m, 1H), 2.28 (s, 3H). <sup>13</sup>C NMR (101 MHz, CDCl<sub>3</sub>)  $\delta$  206.33, 143.68, 138.57, 135.99, 134.49, 133.64, 132.17, 129.00, 128.57, 128.40, 127.51, 125.03, 123.82, 122.95, 117.45, 117.18, 114.44, 62.85, 49.94, 43.70, 42.67, 38.48, 21.30, 20.52. HRMS (ESI) m/z  $(M+H)^+$  calculated for C<sub>28</sub>H<sub>26</sub>ClN<sub>2</sub>O<sub>3</sub>S: 505.1347, observed: 505.1349.

(4R,12bS)-4-(4-bromophenyl)-12-tosyl-1,3,4,6,7,12b-hexahydroindolo[2,3-a]quinolizin-2(12H)one(6ag: major diastereomer) and (4S,12bS)-4-(4-bromophenyl)-12-tosyl-1,3,4,6,7,12b-hexa hydroindolo[2,3-a]quinolizin-2(12H)-one (7ag: minor diastereomer): 6ag and 7ag were ob tained as a white solid in 65% yield after flash chromatography.



**Major diastereomer (6ag):** the enantiomeric excess was determined to be 85% by HPLC analysis on Chiralpak AD-H column (30 % 2-propanol/n-hexane, 1 mL/min), UV 254 nm, t (major) = 15.14 min, t (minor) = 22.48 min;  $[\alpha]_D^{25}$  = +169.0 (c 0.206, CHCl<sub>3</sub>); <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$ 8.00 (d, *J* = 8.1 Hz, 1H), 7.44 (t, *J* = 8.5 Hz, 4H), 7.28 – 7.18 (m, 5H), 7.17 –

7.11 (m, 1H), 7.03 (d, J = 7.9 Hz, 2H), 4.57 (d, J = 10.3 Hz, 1H), 4.12 (d, J = 7.6 Hz, 1H), 3.44 (d, J = 15.0 Hz, 1H), 2.87 (d, J = 5.3 Hz, 1H), 2.72 (dd, J = 14.5, 3.3 Hz, 1H), 2.58 (dd, J = 26.1, 14.1 Hz, 3H), 2.46 – 2.34 (m, 2H), 2.21 (s, 3H). <sup>13</sup>C NMR (101 MHz, CDCl<sub>3</sub>)  $\delta$  205.66, 143.74, 139.61, 136.85, 132.83, 131.00, 129.43, 128.89, 128.45, 128.12, 125.55, 123.96, 123.26, 120.69, 117.58, 115.06, 63.90, 57.54, 45.43, 44.40, 41.08, 21.44, 20.53. HRMS (ESI) m/z (M+H)<sup>+</sup> calculated for C<sub>28</sub>H<sub>26</sub>BrN<sub>2</sub>O<sub>3</sub>S: 549.0842, observed: 549.0844.

Minor diastereomer (7ag): the enantiomeric excess was determined to be 87% by HPLC analysis Chiralpak on AD-H column (15%)2-propanol/n-hexane, 1 mL/min), UV 254 nm, t (major) = 20.64 min, t (minor) = 16.86 min;  $[\alpha]_D^{25}$  = +39.8 (c 0.5, CHCl<sub>3</sub>); <sup>1</sup>H NMR (600 MHz, CDCl<sub>3</sub>)  $\delta$ 8.17 (d, J = 8.3 Hz, 1H), 7.49 (d, J = 8.4 Hz, 2H), 7.34 (d, J = 7.6 Hz, 1H), 7.30 (t, J = 8.9 Hz, 3H), 7.24 (dd, J = 9.1, 5.7 Hz, 1H), 7.07 (d, J = 8.1 Hz, 2H), 6.97 (d, J = 8.1 Hz, 2H), 4.54 (s, 1H), 4.38 (d, J = 10.7 Hz, 1H), 3.45 (td, J = 10.8, 4.2 Hz, 1H), 3.13 (dd, J = 24.8, 13.1 Hz, 2H), 2.97 - 2.87(m, 3H), 2.78 (d, J = 14.0 Hz, 1H), 2.63 (dd, J = 14.3, 12.1 Hz, 1H), 2.29 (s, 3H). <sup>13</sup>C NMR (101 MHz, CDCl<sub>3</sub>) δ 207.40, 144.78, 140.17, 137.04, 135.51, 134.71, 131.56, 130.06, 129.85, 129.69, 126.09, 124.90, 124.03, 121.42, 118.54, 118.24, 115.50, 63.97, 51.02, 44.76, 43.73, 39.48, 22.37, 21.60. HRMS (ESI) m/z (M+H)<sup>+</sup> calculated for C<sub>28</sub>H<sub>26</sub>BrN<sub>2</sub>O<sub>3</sub>S: 549.0842, observed: 549.0846.

(4R,12bS)-12-tosyl-4-(4-(trifluoromethyl)phenyl)-1,3,4,6,7,12b-hexahydroindolo[2,3-a]quinoliz in-2(12H)-one (6ah: major diastereomer) and (4S,12bS)-12-tosyl-4-(4-(trifluoromethyl)phe nyl)-1,3,4,6,7,12b-hexahydroindolo[2,3-a]quinolizin-2(12H)-one (7ah: minor diastereomer):6 ah and 7ah were obtained as a white solid in 35% yield after flash chromatography.



**Major diastereomer (6ah):** the enantiomeric excess was determined to be 86% by HPLC analysis on Chiralpak AD-H column (30% 2-propanol/n-hexane, 1 mL/min), UV 254 nm, t (major) = 10.71 min, t (minor) =18.96 min;  $[\alpha]p^{25} = -317$  (c 0. 24, CHCl<sub>3</sub>); <sup>1</sup>H NMR (600 MHz, CDCl<sub>3</sub>)  $\delta$ 

8.07 (d, J = 8.2 Hz, 1H), 7.65 (d, J = 7.7 Hz, 2H), 7.55 (d, J = 7.3 Hz, 2H), 7.50 (d, J = 7.7 Hz, 2H), 7.29 (d, J = 7.4 Hz, 1H), 7.25 (s, 1H), 7.21 (t, J = 7.3 Hz, 1H), 7.10 (d, J = 7.8 Hz, 2H), 4.67 (d, J = 10.5 Hz, 1H), 4.28 (d, J = 6.5 Hz, 1H), 3.54 (d, J = 15.0 Hz, 1H), 2.95 – 2.90 (m, 1H), 2.84 (dd, J = 14.6, 3.1 Hz, 1H), 2.66 (dd, J = 28.0, 15.4 Hz, 3H), 2.53 – 2.42 (m, 2H), 2.28 (s, 3H). <sup>13</sup>C NMR (101 MHz, CDCl<sub>3</sub>)  $\delta$  206.40, 145.83, 144.81, 137.95, 136.08, 133.90, 130.18 (q, J = 32.3 Hz), 129.50, 127.77, 126.62, 125.92 (q, J = 3.5 Hz), 125.07, 124.35, 124.04 (q, J = 272.7 Hz), 121.8, 118.63, 116.15, 65.13, 58.54, 46.63, 45.47, 42.55, 22.51, 21.57. HRMS (ESI) m/z (M+H)<sup>+</sup> calculated for C<sub>29</sub>H<sub>25</sub>F<sub>3</sub>N<sub>2</sub>O<sub>3</sub>S: 539.1611, observed: 539.1612.

Minor diastereomer (7ah): the enantiomeric excess was determined to be 93% by HPLC analysis on Chiralpak AD-H column (20%)2-propanol/n-hexane, 1 mL/min), UV 254 nm, t (major) =10.45min, t (minor) =8.73 min;  $[\alpha]_D^{25} = +69.0$  (c 0. 064, CHCl<sub>3</sub>); <sup>1</sup>H NMR (600 MHz, CDCl<sub>3</sub>)  $\delta$ 8.16 (d, J = 8.2 Hz, 1H), 7.64 (d, J = 7.9 Hz, 2H), 7.58 (d, J = 7.7 Hz, 2H), 7.35 (d, J = 7.4 Hz, 1H), 7.30 (t, J = 7.5 Hz, 1H), 7.24 (t, J = 7.2 Hz, 1H), 7.05 (d, J = 7.7 Hz, 2H), 6.91 (d, J = 7.8 Hz, 2H), 4.64 (s, 1H), 4.40 (d, J = 10.4 Hz, 1H), 3.48 (d, J = 9.8 Hz, 1H), 3.16 (d, J = 14.5 Hz, 2H), 3.03 – 2.90 (m, 3H), 2.80 (d, J = 15.3 Hz, 1H), 2.71 – 2.62 (m, 1H), 2.25 (s, 3H). <sup>13</sup>C NMR (101 MHz, CDCl<sub>3</sub>) § 207.12, 145.19, 144.84, 137.05, 135.35, 134.66, 130.06, 129.63 (q, *J* = 32.3 Hz), 129.59, 128.44, 126.02, 125.38 (q, J = 3.6 Hz), 124.96, 124.19 (q, J = 272.1 Hz), 124.09, 118.57, 118.36, 115.51, 64.21, 51.31, 44.88, 43.79, 39.52, 22.35, 21.49. HRMS (ESI) m/z (M+H)<sup>+</sup> calculated for C<sub>29</sub>H<sub>26</sub>F<sub>3</sub>N<sub>2</sub>O<sub>3</sub>S: 539.1611, observed: 539.1615.

(4R,12bS)-4-(3-nitrophenyl)-12-tosyl-1,3,4,6,7,12b-hexahydroindolo[2,3-a]quinolizin-2(12H)-o ne (6ai: major diastereomer) and (4S,12bS)-4-(3-nitrophenyl)-12-tosyl-1,3,4,6,7,12b-hexahy droindolo[2,3-a]quinolizin-2(12H)-one (7ai: minor diastereomer): 6ai and 7ai were obtaine d as a white solid in 37% yield after flash chromatography.



**Major diastereomer (6ai):** the enantiomeric excess was determined to be 94% by HPLC analysis on Chiralpak AD-H column (30% 2-propanol/n-hexane, 1 mL/min), UV 254 nm, t (major) =21.61 min, t (minor) =29.93 min;  $[\alpha]_D^{25}$  = +28.0 (c 0.178, CHCl<sub>3</sub>); <sup>1</sup>H NMR (600 MHz,

CDCl<sub>3</sub>)  $\delta$  8.30 (s, 1H), 8.18 (d, *J* = 7.8 Hz, 1H), 8.06 (d, *J* = 8.2 Hz, 1H), 7.77 (d, *J* = 7.2 Hz, 1H), 7.58 (t, *J* = 7.8 Hz, 1H), 7.51 (d, *J* = 7.7 Hz, 2H), 7.31 – 7.24 (m, 2H), 7.20 (t, *J* = 7.2 Hz, 1H), 7.11 (d, *J* = 7.7 Hz, 2H), 4.74 (d, *J* = 10.7 Hz, 1H), 4.41 – 4.34 (m, 1H), 3.53 (d, *J* = 15.2 Hz, 1H), 2.90 (t, *J* = 12.0 Hz, 2H), 2.68 (ddd, *J* = 14.2, 12.7, 7.7 Hz, 3H), 2.55 – 2.45 (m, 2H), 2.28 (s, 3H). <sup>13</sup>C NMR (101 MHz, CDCl<sub>3</sub>)  $\delta$  206.02, 148.73, 144.90, 143.91, 137.81, 135.90, 133.93, 133.47, 130.37, 130.04, 129.58, 126.57, 125.10, 124.35, 123.03, 122.48, 121.41, 118.67, 116.04, 64.56, 58.24, 46.33, 44.78, 42.06, 22.45, 21.58. HRMS (ESI) m/z (M+H)<sup>+</sup> calculated for C<sub>28</sub>H<sub>26</sub>N<sub>3</sub>O<sub>5</sub>S: 516.1588, observed: 516.1589.



**Minor diastereomer (7ai):** the enantiomeric excess was determined to be 85% by HPLC analysis on Chiralpak AD-H column (30% 2-propanol/n-hexane, 1 mL/min), UV 254 nm, t (major) =14.74 min, t (minor) = 10.74 min;  $[\alpha]_{D}^{25}$  = +317.0 (c 0.1, CHCl<sub>3</sub>); <sup>1</sup>H NMR (600

MHz, CDCl<sub>3</sub>)  $\delta$  8.44 (s, 1H), 8.17 (d, *J* = 8.0 Hz, 1H), 8.11 (d, *J* = 8.2 Hz, 1H), 7.76 (d, *J* = 7.7 Hz, 1H), 7.57 (t, *J* = 8.0 Hz, 1H), 7.36 (d, *J* = 7.6 Hz, 1H), 7.29 (t, *J* = 7.6 Hz, 1H), 7.24 (m, *J* = 9.7, 4.7 Hz, 1H), 7.08 (d, *J* = 8.2 Hz, 2H), 6.92 (d, *J* = 8.1 Hz, 2H), 4.67 (s, 1H), 4.47 (d, *J* = 10.2 Hz, 1H), 3.51 (td, *J* = 11.0, 4.0 Hz, 1H), 3.20 (dd, *J* = 11.1, 6.4 Hz, 1H), 3.13 – 3.09 (m, 1H), 3.06 – 2.97 (m, 3H), 2.82 (dd, *J* = 15.7, 3.2 Hz, 1H), 2.67 (dd, *J* = 14.8, 11.6 Hz, 1H), 2.24 (s, 3H). <sup>13</sup>C NMR (101 MHz, CDCl<sub>3</sub>)  $\delta$  206.43, 148.82, 145.05, 143.35, 137.09, 135.24, 134.44, 133.20, 130.03, 129.71, 129.60 125.93, 125.02, 124.12, 123.33, 122.41, 118.60, 118.43, 115.54, 64.10, 51.68, 44.86, 43.71, 39.42, 22.31, 21.47. HRMS (ESI) m/z (M+H)<sup>+</sup> calculated for C<sub>28</sub>H<sub>26</sub>N<sub>3</sub>O<sub>5</sub>S: 516.1588, observed: 516.1588.

# (4R,12bS)-4-(perfluorophenyl)-12-tosyl-1,3,4,6,7,12b-hexahydroindolo[2,3-a]quinolizin-2(12H )-one (6aj)



**6aj** was obtained as a white solid in 57% yield after flash chromatography and the enantiomeric excess was determined to be 86% by HPLC analysis on

Chiralpak AD-H column (30% 2-propanol/n-hexane, 1 mL/min), UV 254 nm, t (major) = 9.16 min, t (minor) = 5.98 min;  $[\alpha]_D^{25}$  = +61.0 (c 0.114, CHCl<sub>3</sub>); <sup>1</sup>H NMR (600 MHz, CDCl<sub>3</sub>)  $\delta$  8.10 (d, *J* = 8.2 Hz, 1H), 7.36 (d, *J* = 7.8 Hz, 2H), 7.30 (m, *J* = 14.6, 7.6 Hz, 2H), 7.23 (m, *J* = 13.7, 6.3 Hz, 1H), 7.03 (d, *J* = 7.7 Hz, 2H), 4.82 (d, *J* = 5.8 Hz, 2H), 3.44 (d, *J* = 17.3 Hz, 1H), 3.23 (d, *J* = 6.3 Hz, 1H), 3.01 (dd, *J* = 16.4, 5.6 Hz, 1H), 2.82 (dd, *J* = 16.4, 7.0 Hz, 1H), 2.75 (d, *J* = 12.3 Hz, 2H), 2.68 (t, *J* = 11.4 Hz, 1H), 2.59 (dd, *J* = 17.3, 11.5 Hz, 1H), 2.26 (s, 3H). <sup>13</sup>C NMR (101 MHz, CDCl<sub>3</sub>)  $\delta$  205.56, 145.25 (m), 144.96, 140.66 (m), 137.66 (m), 137.64, 135.16, 134.55, 130.02, 129.58, 126.15, 125.08, 124.24, 119.60, 118.63, 115.77, 114.34 (t, *J* = 16.8 Hz), 55.21, 51.22, 46.06, 44.79, 41.32, 22.20, 21.48. HRMS (ESI) m/z (M+H)<sup>+</sup> calculated for C<sub>28</sub>H<sub>22</sub>F<sub>5</sub>N<sub>2</sub>O<sub>3</sub>S: 561.1266, observed: 561.1268.

# (4R,12bS)-4-(2,3-dichlorophenyl)-12-tosyl-1,3,4,6,7,12b-hexahydroindolo[2,3-a]quinolizin-2(12 H)-one (6ak)

**6ak** was obtained as a white solid in 45% yield after flash chromatography and the enantiomeric excess was determined to be 92% by HPLC analysis on Chiralpak AD-H column (30% 2-propanol/n-hexane, 1 mL/min), UV 254 nm, t (major) = 8.50 min, t (minor) = 6.36 min;  $[\alpha]_D^{25} = +56.2$  (c 0.156, CHCl<sub>3</sub>); <sup>1</sup>H NMR (600 MHz, CDCl<sub>3</sub>)  $\delta$  8.13 (d, *J* = 7.0 Hz, 1H), 7.48 (d, *J* = 6.2 Hz, 1H), 7.27 (m, *J* = 42.5, 36.8 Hz, 5H), 6.92 (s, 2H), 6.82 (d, *J* = 5.6 Hz, 2H), 4.84 (s, 1H), 4.55 (d, *J* = 9.9 Hz, 1H), 3.40 (s, 1H), 3.22 – 3.08 (m, 2H), 2.93 (d, *J* = 8.6 Hz, 1H), 2.82 (d, *J* = 15.0 Hz, 2H), 2.69 (d, *J* = 12.0 Hz, 2H), 2.18 (s, 3H). <sup>13</sup>C NMR (101 MHz, CDCl<sub>3</sub>)  $\delta$  208.18, 144.81, 142.00, 137.03, 135.38, 134.34, 134.01, 133.42, 130.32, 129.60, 127.08, 126.70, 125.75, 124.91, 124.18, 118.69 (d, *J* = 7.4 Hz), 115.59, 62.57, 50.56, 44.22, 43.18, 40.07, 22.07, 21.51. HRMS (ESI) m/z (M+H)<sup>+</sup> calculated for C<sub>28</sub>H<sub>25</sub>Cl<sub>2</sub>N<sub>2</sub>O<sub>3</sub>S: 539.0958, observed: 539.0959.

### (4R,12bS)-4-(2,4-dinitrophenyl)-12-tosyl-1,3,4,6,7,12b-hexahydroindolo[2,3-a]quinolizin-2(12 H)-one (6al)



**6al** was obtained as a white solid in 45% yield after flash chromatography and the enantiomeric excess was determined to be 85% by HPLC analysis on Chiralpak AD-H column (30% 2-propanol/n-hexane, 1 mL/min), UV 254 nm, t (major) = 18.33 min, t (minor) = 31.48 min;  $[\alpha]_D^{25} = +40.7$  (c 0.218, CHCl<sub>3</sub>); <sup>1</sup>H NMR (600 MHz, cdcl<sub>3</sub>)  $\delta$  8.45 (d, J = 2.0 Hz, 1H), 8.42 (m, J = 8.5, 2.0 Hz, 1H), 8.02 (d, J = 8.2 Hz, 1H), 7.72 (d, J = 8.5 Hz, 1H), 7.32 (d, J = 7.4 Hz, 1H), 7.25 (d, J = 5.3 Hz, 1H), 7.22 (m, J = 7.4 Hz, 1H), 7.00 (m, J = 20.3, 8.3 Hz, 4H), 5.29 (d, J = 5.5 Hz, 1H), 4.24 (d, J = 11.0 Hz, 1H), 3.38 (td, J = 11.3, 4.0 Hz, 1H), 3.14 – 3.02 (m, 4H), 2.90 – 2.83 (m, 1H), 2.71 (dd, J = 15.7, 3.6 Hz, 1H), 2.64 (dd, J = 14.7, 11.8 Hz, 1H), 2.30 (s, 3H). <sup>13</sup>C NMR (101 MHz, CDCl<sub>3</sub>)  $\delta$  206.00, 144.94, 142.14, 134.15, 130.27, 129.94, 129.67, 126.37, 125.69, 125.16, 124.21, 119.77, 118.75, 118.69, 115.58, 61.02, 52.26, 44.41, 43.56, 38.58, 21.56, 21.21. HRMS (ESI) m/z (M+H)<sup>+</sup> calculated for C<sub>28</sub>H<sub>25</sub>N4O<sub>7</sub>S: 561.1439, observed: 561.1437.

(4R,12bS)-4-(thiophen-2-yl)-12-tosyl-1,3,4,6,7,12b-hexahydroindolo[2,3-a]quinolizin-2(12H)-o ne (6am: major diastereomer) and (4S,12bS)-4-(thiophen-2-yl)-12-tosyl-1,3,4,6,7,12b-hexah ydroindolo[2,3-a]quinolizin-2(12H)-one (7am: minor diastereomer): 6am and 7am were o btained as a white solid in 48% yield after flash chromatography.



**Major diastereomer (6am):** the enantiomeric excess was determined to be 89% by HPLC analysis on Chiralpak AD-H column (30% 2-propanol/n-hexane, 1 mL/min), UV 254 nm, t (major) = 16.27 min, t (minor) = 40.16 min;  $[\alpha]_D^{25} = +83$  (c 0.1, CHCl<sub>3</sub>);<sup>1</sup>H NMR (600 MHz, CDCl<sub>3</sub>)  $\delta$  8.05 (d, J = 8.2 Hz, 1H), 7.54 (d, J =

7.0 Hz, 2H), 7.34 (d, J = 4.4 Hz, 1H), 7.31 – 7.24 (m, 2H), 7.21 (t, J = 7.4 Hz, 1H), 7.13 (d, J = 8.1 Hz, 2H), 7.00 (d, J = 4.1 Hz, 2H), 4.81 (d, J = 9.5 Hz, 1H), 4.60 (d, J = 8.8 Hz, 1H), 3.39 (d, J = 14.9 Hz, 1H), 2.95 (s, 1H), 2.90 – 2.73 (m, 3H), 2.66 (d, J = 14.4 Hz, 3H), 2.29 (s, 3H).<sup>13</sup>C NMR (101 MHz, CDCl<sub>3</sub>)  $\delta$  206.31, 145.40, 144.82, 137.33, 136.10, 134.49, 130.25, 129.68, 126.57, 125.92, 124.91, 124.81, 124.09, 120.22, 118.67, 115.64, 60.85, 57.79, 44.78, 43.99, 39.29, 22.24, 21.58. HRMS (ESI) m/z (M+H)<sup>+</sup> calculated for C<sub>26</sub>H<sub>25</sub>N<sub>2</sub>O<sub>3</sub>S<sub>2</sub>: 477.1301, observed: 477.1304.

Minor diastereomer (7am): the enantiomeric excess was determined to be 93% by HPLC analysis on Chiralpak AD-H column (30% 2-propanol/n-hexane, 1 mL/min), UV 254 nm, t (major) = 10.89 min, t (minor) = 13.39 min;  $[\alpha]_D^{25}$  = +14.9 (c 0.146, CHCl<sub>3</sub>);<sup>1</sup>H NMR (600 MHz, CDCl<sub>3</sub>)  $\delta$  8.15 (d, *J* = 8.3 Hz, 1H), 7.33 (d, *J* = 6.8 Hz, 2H), 7.30 – 7.21 (m, 4H), 7.00 (d, *J* = 8.1 Hz, 4H), 4.80 (d, *J* = 5.0 Hz, 1H), 4.73 (d, *J* = 10.6 Hz, 1H), 3.42 (m, *J* = 10.7, 4.1 Hz, 1H), 3.15 (t, *J* = 10.7 Hz, 2H), 2.99 (m, *J* = 17.2, 8.1 Hz, 2H), 2.95 – 2.88 (m, 1H), 2.78 (d, *J* = 14.7 Hz, 1H), 2.60 (dd, *J* = 14.4, 11.8 Hz, 1H), 2.26 (s, 3H).<sup>13</sup>C NMR (101 MHz, CDCl<sub>3</sub>)  $\delta$  206.49, 145.78, 144.64, 137.04, 135.77, 134.42, 130.23, 129.59, 127.13, 126.48, 126.26, 125.73, 124.82, 124.04, 118.63, 118.54, 115.59, 61.68, 51.82, 44.55, 44.00, 40.55, 22.36, 21.57. HRMS (ESI) m/z (M+H)<sup>+</sup> calculated for C<sub>26</sub>H<sub>25</sub>N<sub>2</sub>O<sub>3</sub>S<sub>2</sub>: 477.1301, observed: 477.1302.

(4R,12bS)-4-(furan-2-yl)-12-tosyl-1,3,4,6,7,12b-hexahydroindolo[2,3-a]quinolizin-2(12H)-one (6an: major diastereomer) and (4S,12bS)-4-(furan-2-yl)-12-tosyl-1,3,4,6,7,12b-hexahydroin dolo[2,3-a]quinolizin-2(12H)-one (7an: minor diastereomer): 6an and 7an were obtained a s a white solid in 42% yield after flash chromatography



**Major diastereomer (6an):** the enantiomeric excess was determined to be 96% by HPLC analysis on Chiralpak AD-H column (30% 2-propanol/n-hexane, 1 mL/min), UV 254 nm, t (major) = 13.86 min, t (minor) = 35.90 min;  $[\alpha]_D^{25}$  = CHCl<sub>3</sub>).

+10.3 (c 0.112, CHCl<sub>3</sub>).

**Minor diastereomer (7an):** the enantiomeric excess was determined to be 95% by HPLC analysis on Chiralpak IC-H column (30% 2-propanol/n-hexane, 1 mL/min), UV 254 nm, t (major) = 21.55 min, t (minor) = 16.81 min;  $[\alpha]_D^{25}$  = +11.8 (c 0.126, CHCl<sub>3</sub>);<sup>1</sup>H NMR (600 MHz, CDCl<sub>3</sub>)  $\delta$  8.15 (d, *J* = 8.2 Hz, 1H), 7.48 (s, 1H), 7.29 (m, *J* = 14.9, 7.5 Hz, 2H), 7.25 – 7.17 (m, 3H), 6.97 (d, *J* = 7.6 Hz, 2H), 6.43 (s, 1H), 6.32 (s, 1H), 4.64 (d, *J* = 6.4 Hz, 1H), 4.47 (d, *J* = 10.9 Hz, 1H), 3.37 (s, 1H), 3.25 (d, *J* = 15.0 Hz, 1H), 3.06 – 3.00 (m, 1H), 2.94 (dd, *J* = 14.7, 6.3 Hz, 1H), 2.80 (dt, *J* = 27.0, 11.8 Hz, 3H), 2.57 – 2.50 (m, 1H), 2.24 (s, 3H).<sup>13</sup>C NMR (101 MHz, CDCl<sub>3</sub>)  $\delta$  206.57, 153.42, 144.74, 142.37, 137.43, 135.82, 134.29, 130.43, 129.55, 126.18, 124.88, 124.19, 119.43, 118.53, 115.88, 110.52, 109.65, 59.31, 51.88, 45.14, 44.94, 40.21, 22.30, 21.54. HRMS (ESI) m/z (M+H)<sup>+</sup> calculated for C<sub>26</sub>H<sub>25</sub>N<sub>2</sub>O<sub>4</sub>S: 461.1530, observed: 461.1533.

## (4R,12bS)-4-(2-methylprop-1-enyl)-12-tosyl-1,3,4,6,7,12b-hexahydroindolo[2,3-a]quinolizin-2(12H)-one (6ao)



**6ao** was obtained as a white solid in 24% yield after flash chromatography and the enantiomeric excess was determined to be 85% by HPLC analysis on Chiralpak AD-H column (10% 2-propanol/n-hexane, 1 mL/min), UV 254 nm, t (major) =

23.89 min, t (minor) = 21.46 min;  $[\alpha]_D^{25}$  = +29.0 (c 0.272, CHCl<sub>3</sub>); <sup>1</sup>H NMR (600 MHz, CDCl<sub>3</sub>)  $\delta$ 

8.02 (d, J = 7.8 Hz, 1H), 7.44 (d, J = 7.1 Hz, 2H), 7.24 (m, J = 19.1, 7.5 Hz, 2H), 7.18 (d, J = 6.9 Hz, 1H), 7.03 (d, J = 7.2 Hz, 2H), 5.19 (d, J = 6.4 Hz, 1H), 4.38 (d, J = 8.9 Hz, 1H), 3.79 (s, 1H), 3.40 (d, J = 14.4 Hz, 1H), 3.28 (s, 1H), 2.71 (s, 1H), 2.67 – 2.54 (m, 2H), 2.52 – 2.45 (m, 1H), 2.40 (s, 2H), 2.22 (s, 3H), 1.78 (s, 3H), 1.72 (s, 3H). <sup>13</sup>C NMR (101 MHz, CDCl<sub>3</sub>)  $\delta$  207.49, 144.70, 138.02, 133.74, 130.70, 129.41, 126.60, 125.01, 124.90, 124.33, 122.22, 118.64, 116.18, 59.82, 59.09, 46.52, 45.91, 42.18, 26.01, 22.66, 21.54, 18.61. HRMS (ESI) m/z (M+H)<sup>+</sup> calculated for C<sub>28</sub>H<sub>25</sub>N<sub>4</sub>O<sub>7</sub>S: 449.1894, observed: 449.1896.

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### 6. <sup>1</sup>H NMR and <sup>13</sup>C NMR Spectra for the Products

(4R,12bS)-4-(4-methoxyphenyl)-12-tosyl-1,3,4,6,7,12b-hexahydroindolo[2,3-a]quinolizin-2(12 H)-one (6aa)



(4S,12bS)-4-(4-methoxyphenyl)-12-tosyl-1,3,4,6,7,12b-hexahydroindolo[2,3-a]quinolizin-2(12H )-one (7aa)





(4R, 12bS) - 4 - phenyl - 12 - tosyl - 1, 3, 4, 6, 7, 12b - hexahydroindolo[2, 3-a] quinolizin - 2(12) - 2(1

(4S,12bS)-4-phenyl-12-tosyl-1,3,4,6,7,12b-hexahydroindolo[2,3-a]quinolizin-2(12 H)-one (7ab)



4R,12bS)-4-o-tolyl-12-tosyl-1,3,4,6,7,12b-hexahydroindolo[2,3-a]quinolizin-2(12 H)-one (6ac)



(4S,12bS)-4-o-tolyl-12-tosyl-1,3,4,6,7,12b-hexahydroindolo[2,3-a]quinolizin-2(12H)-one~(7ac)





# (4R,12bS)-4-m-tolyl-12-tosyl-1,3,4,6,7,12b-hexahydroindolo[2,3-a]quinolizin-2(12 H)-one (6ad)



(4S,12bS)-4-m-tolyl-12-tosyl-1,3,4,6,7,12b-hexahydroindolo[2,3-a]quinolizin-2(12~H)-one~(7ad)



(4R,12bS)-4-p-tolyl-12-tosyl-1,3,4,6,7,12b-hexahydroindolo[2,3-a]quinolizin-2(12 H)-one (6ae)



(4S,12bS)-4-p-tolyl-12-tosyl-1,3,4,6,7,12b-hexahydroindolo[2,3-a]quinolizin-2(12 H)-one (7ae)



(4R,12bS)-4-(4-chlorophenyl)-12-tosyl-1,3,4,6,7,12b-hexahydroindolo[2,3-a]quino lizin-2(12H)-one (6af)



(4S,12bS)-4-(4-chlorophenyl)-12-tosyl-1,3,4,6,7,12b-hexahydroindolo[2,3-a]quino lizin-2(12H)-one (7af)



(4R,12bS)-4-(4-bromophenyl)-12-tosyl-1,3,4,6,7,12b-hexahydroindolo[2,3-a]quin olizin-2(12H)-one (6ag)



(4S,12bS)-4-(4-bromophenyl)-12-tosyl-1,3,4,6,7,12b-hexahydroindolo[2,3-a]quino lizin-2(12H)-one (7ag)



(4R,12bS)-12-tosyl-4-(4-(trifluoromethyl)phenyl)-1,3,4,6,7,12b-hexahydroindolo[ 2,3-a]quinolizin-2(12H)-one (6ah)



(4S,12bS)-12-tosyl-4-(4-(trifluoromethyl)phenyl)-1,3,4,6,7,12b-hexahydroindolo[2,3-a]quinolizin-2(12H)-one (7ah)



(4R,12bS)-4-(3-nitrophenyl)-12-tosyl-1,3,4,6,7,12b-hexahydroindolo[2,3-a]quinoli zin-2(12H)-one (6ai)





(4S,12bS)-4-(3-nitrophenyl)-12-tosyl-1,3,4,6,7,12b-hexahydroindolo[2,3-a]quinoli zin-2(12H)-one (7ai)





(4R,12bS)-4-(perfluorophenyl)-12-tosyl-1,3,4,6,7,12b-hexahydroindolo[2,3-a]quin olizin-2(12H)-one (6aj)





(4R,12bS)-4-(2,4-dinitrophenyl)-12-tosyl-1,3,4,6,7,12b-hexahydroindolo[2,3-a]qui nolizin-2(12H)-one (6al)



(4R,12bS)-4-(thiophen-2-yl)-12-tosyl-1,3,4,6,7,12b-hexahydroindolo[2,3-a]quinoli zin-2(12H)-one (6am)







(4R,12bS)-4-(2-methylprop-1-enyl)-12-tosyl-1,3,4,6,7,12b-hexahydroindolo[2,3-a] quinolizin-2(12H)-one~(6ao)



#### 7. HPLC Analysis for Products

(4R,12bS)-4-(4-methoxy-2-methylphenyl)-12-tosyl-1,3,4,6,7,12b-hexahydroindolo [2,3-a]quinolizin-2(12H)-one (6aa)





(4S,12bS)-4-(4-methoxyphenyl)-12-tosyl-1,3,4,6,7,12b-hexahydroindolo[2,3-a]qui nolizin-2(12H)-one (7aa)



(4R,12bS)-4-phenyl-12-tosyl-1,3,4,6,7,12b-hexahydroindolo[2,3-a]quinolizin-2(12 H)-one (6ab)



(4S,12bS)-4-phenyl-12-tosyl-1,3,4,6,7,12b-hexahydroindolo[2,3-a]quinolizin-2(12 H)-one (7ab)



(4R,12bS)-4-o-tolyl-12-tosyl-1,3,4,6,7,12b-hexahydroindolo[2,3-a]quinolizin-2(12 H)-one (6ac)



(4S,12bS)-4-o-tolyl-12-tosyl-1,3,4,6,7,12b-hexahydroindolo[2,3-a]quinolizin-2(12 H)-one (7ac)



(4R,12bS)-4-m-tolyl-12-tosyl-1,3,4,6,7,12b-hexahydroindolo[2,3-a]quinolizin-2(12 H)-one (6ad)



(4S,12bS)-4-m-tolyl-12-tosyl-1,3,4,6,7,12b-hexahydroindolo[2,3-a]quinolizin-2(12 H)-one (7ad)



(4R,12bS)-4-p-tolyl-12-tosyl-1,3,4,6,7,12b-hexahydroindolo[2,3-a]quinolizin-2(12H)-one~(6ae)



(4S,12bS)-4-p-tolyl-12-tosyl-1,3,4,6,7,12b-hexahydroindolo[2,3-a]quinolizin-2(12 H)-one (7ae)



(4R,12bS)-4-(4-chlorophenyl)-12-tosyl-1,3,4,6,7,12b-hexahydroindolo[2,3-a]quino lizin-2(12H)-one (6af)



(4S,12bS)-4-(4-chlorophenyl)-12-tosyl-1,3,4,6,7,12b-hexahydroindolo[2,3-a]quino lizin-2(12H)-one (7af)



(4R,12bS)-4-(4-bromophenyl)-12-tosyl-1,3,4,6,7,12b-hexahydroindolo[2,3-a]quin olizin-2(12H)-one (6ag)



(4S,12bS)-4-(4-bromophenyl)-12-tosyl-1,3,4,6,7,12b-hexahydroindolo[2,3-a]quino lizin-2(12H)-one (7ag)



(4R,12bS)-12-tosyl-4-(4-(trifluoromethyl)phenyl)-1,3,4,6,7,12b-hexahydroindolo[2,3-a]quinolizin-2(12H)-one (6ah)



(4S,12bS)-12-tosyl-4-(4-(trifluoromethyl)phenyl)-1,3,4,6,7,12b-hexahydroindolo[2,3-a]quinolizin-2(12H)-one (7ah)



(4R,12bS)-4-(3-nitrophenyl)-12-tosyl-1,3,4,6,7,12b-hexahydroindolo[2,3-a]quinoli zin-2(12H)-one (6ai)



(4S,12bS)-4-(3-nitrophenyl)-12-tosyl-1,3,4,6,7,12b-hexahydroindolo[2,3-a]quinoli zin-2(12H)-one (7ai)



(4R,12bS)-4-(perfluorophenyl)-12-tosyl-1,3,4,6,7,12b-hexahydroindolo[2,3-a]quin olizin-2(12H)-one (6aj)



(4R,12bS)-4-(2,3-dichlorophenyl)-12-tosyl-1,3,4,6,7,12b-hexahydroindolo[2,3-a]quinolizin-2(12H)-one~(6ak)

(4R,12bS)-4-(2,4-dinitrophenyl)-12-tosyl-1,3,4,6,7,12b-hexahydroindolo[2,3-a]quinolizin-2(12H)-one~(6al)





(4R,12bS)-4-(thiophen-2-yl)-12-tosyl-1,3,4,6,7,12b-hexahydroindolo[2,3-a]quinolizin-2(12H)-one~(6am)



(4S,12bS)-4-(thiophen-2-yl)-12-tosyl-1,3,4,6,7,12b-hexahydroindolo[2,3-a]quinoli zin-2(12H)-one (7am)



(4R,12bS)-4-(furan-2-yl)-12-tosyl-1,3,4,6,7,12b-hexahydroindolo[2,3-a]quinolizin -2(12H)-one (6an)



(4S,12bS)-4-(furan-2-yl)-12-tosyl-1,3,4,6,7,12b-hexahydroindolo[2,3-a]quinolizin-2(12H)-one (7an)



(4R,12bS)-4-(2-methylprop-1-enyl)-12-tosyl-1,3,4,6,7,12b-hexahydroindolo[2,3-a] quinolizin-2(12H)-one (6ao)