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Article

# **Chitosan Improves Anti-Biofilm Efficacy of Gentamicin through Facilitating Antibiotic Penetration**

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**Abstract:** Antibiotic overuse is one of the major drivers in the generation of antibiotic resistant "super bugs" that can potentially cause serious effects on health. In this study, we reported that the polycationic polysaccharide, chitosan could improve the efficacy of a given antibiotic (gentamicin) to combat bacterial biofilms, the universal lifestyle of microbes in the world. Short- or long-term treatment with the mixture of chitosan and gentamicin resulted in the dispersal of *Listeria monocytogenes* (*L. monocytogenes*) biofilms. In this combination, chitosan with a moderate molecular mass (~13 kDa) and high *N*-deacetylation degree (~88% DD) elicited an optimal anti-biofilm and bactericidal activity. Mechanistic insights indicated that chitosan facilitated the entry of gentamicin into the architecture of *L. monocytogenes* biofilms. Finally, we showed that this combination was also effective in the eradication of biofilms built by two other *Listeria* species, *Listeria welshimeri* and *Listeria innocua*. Thus, our findings pointed out that chitosan supplementation might overcome the resistance of *Listeria* biofilms

to gentamicin, which might be helpful in prevention of gentamicin overuse in case of combating *Listeria* biofilms when this specific antibiotic was recommended.

Keywords: chitosan; antibiotic; biofilms; penetration

#### 1. Introduction

Microbial biofilms are communities of sessile microorganisms embedded in a hydrated matrix of extracellular polymeric substances [1]. Microbial biofilms have been implicated in >80% of human infections such as periodontitis, urethritis, endocarditis, and device-associated infections [2]. Because of their resistance to a wide range of antibiotics, biofilm bacteria are a major concern for clinicians in the treatment of infections [3]. The emergence of resistant bacteria to conventional antimicrobials clearly shows that new biofilm control strategies are required [4].

Chitosan is the *N*-deacetylated derivative of chitin, a naturally abundant mucopolysaccharide consisting of 2-acetamido-2-deoxy-*co*-D-glucose. Chitosan has been shown to be useful in many different areas as an antimicrobial compound, as a potential elicitor of plant defense responses, as a flocculating agent in wastewater treatment, as an additive in the food industry, as a hydrating agent in cosmetics, and more recently as a pharmaceutical agent in biomedicine [5]. In this context, the antimicrobial activity of chitosan and its derivatives against different groups of microorganisms has received considerable attention in recent years [6]. Chitosan has several advantages over other types of disinfectants because it possesses a higher antibacterial activity, a broader spectrum of activity, a higher killing rate, and a lower toxicity toward mammalian cells. Also, chitosan exhibits anti-biofilm activities and the ability of chitosan to damage biofilms formed by microbes has been documented [7].

Previously, we have reported a synergistic effect between streptomycin and chitosan on the disruption of *Listeria monocytogenes* biofilms [8]. In the current study, we surveyed the synergism between chitosan and four different classes of antibiotics on the removal of *Listeria* biofilms. We found that chitosan could improve anti-biofilm efficacy of gentamicin belonging to the aminoglycoside family against *Listeria* biofilms.

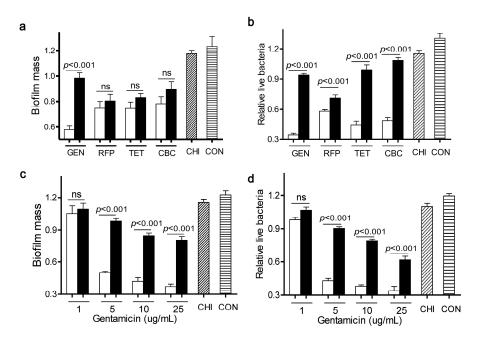
#### 2. Results and Discussion

# 2.1. Chitosan Promoted Gentamicin-Induced Dispersal of Listeria Monocytogenes Biofilms

Listeria monocytogenes is one of the most important causative agents of the serious foodborne disease, listeriosis in a wide range of mammalians [9–11]. L. monocytogenes may produce multicellular biofilms most frequently in food-related environments where bacterial cells have been shown to be much more resistant to stress and to sanitizing agents than planktonic cells [12]. To see whether chitosan and antibiotics had a synergistic effect on dispersal of L. monocytogenes biofilms, four classes of antibiotics that were widely used and represented different antibiotic families were initially chosen. They included gentamicin (belonging to the aminoglycoside family), rifampicin (belonging to the naphthalenic ansamycin family), tetracycline (belonging to the tetracycline family) and carbenicillin (belonging to the penicillin family).

As shown in Figure 1a,b, four antibiotics at 5 μg/mL elicited a very mild capacity in dispersal of *L. monocytogenes* biofilms and killing of live bacteria, compared to the control. Meanwhile, chitosan alone at 200 μg/mL had little effect on both biofilm mass and viable cells. In contrast, when *L. monocytogenes* biofilms were exposed to a mixture of chitosan and individual antibiotic, there were much fewer viable cells than with the antibiotic alone. This observation was consistent with the concept that the chitosan combination could enhance the antimicrobial activity of antibiotics [13,14]. Interestingly, only a combination of gentamicin and chitosan significantly dispersed *L. monocytogenes* biofilms, when compared to the individual antibiotic alone. These data indicated that chitosan selectively increased the anti-biofilm efficacy of particular antibiotics such as gentamicin.

**Figure 1.** Different effects of antibiotics in combination with chitosan against *L. monocytogenes* biofilms. (**a,b**) Biofilms were exposed to chitosan (CHI, 200 μg/mL), different antibiotics (gentamicin (GEN), rifampicin (RFP), tetracycline (TET) and carbenicillin (CBC), 5 μg/mL) or the respective mixtures for 24 h; (**c,d**) Biofilms were exposed to chitosan (200 μg/mL), different concentrations of gentamicin (1, 5, 10, 25 μg/mL) or the respective mixtures for 24 h. Biofilms incubated with tryptic soy broth (TSB) were used as control. Biofilm mass and viable cells were quantified. White column: chitosan-antibiotic combination; Black column: antibiotic alone; Column with italic lines: Chitosan alone; Column with horizontal lines: control. Error bars represent SD. ns: no significant difference.



To further explore the synergism between chitosan and gentamicin in biofilm dispersal, we treated L. monocytogenes biofilms for 24 h with chitosan (200  $\mu$ g/mL) in the presence of various concentrations of gentamicin (1, 5, 10, 25  $\mu$ g/mL). Results showed that a sufficient amount of gentamicin was required for this combination to remain the anti-biofilm and bactericidal activity towards L. monocytogenes biofilms (Figure 1c,d). Then, we determined the synergistic interactions between gentamicin and chitosan using Check board titration [15]. As shown in Table 1, gentamicin showed a clear synergistic action with chitosan against L. monocytogenes biofilms (Fractional inhibitory concentration index (FIC<sub>index</sub>) <0.15).

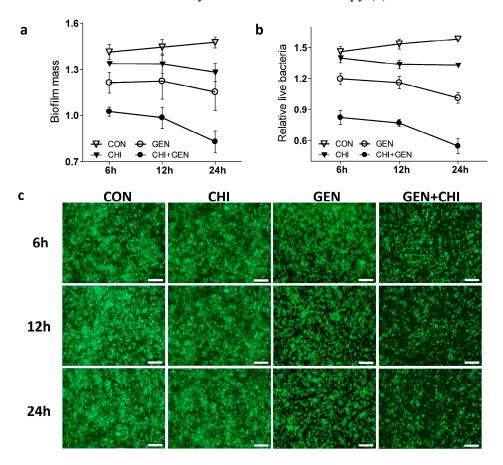
**Table 1.** Fractional inhibitory concentration index (FIC $_{index}$ ) of combination of gentamicin with chitosan against *L. monocytogenes* biofilms.

| EC <sub>50</sub> (μg/mL) |       |             |     |          | _       |
|--------------------------|-------|-------------|-----|----------|---------|
| Alone                    |       | Combination |     | FICindex | Remark  |
| GEN                      | CHI   | GEN         | CHI |          |         |
| 50                       | >4000 | 5           | 200 | < 0.15   | Synergy |

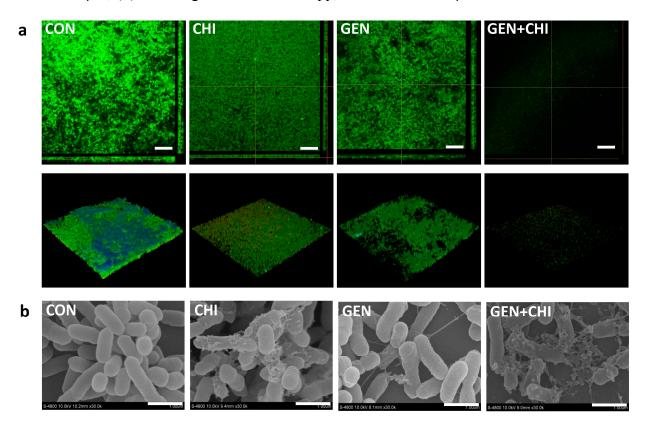
 $EC_{50}$  is defined as the concentration of compounds required to disperse 50% *L. monocytogenes* biofilm; Synergism by the checkerboard method was defined as a  $FIC_{index} \le 0.5$ .

Next we tested whether the gentamicin/chitosan combination dispersed *L. monocytogenes* biofilms in a time-dependent fashion. As shown, this combination demonstrated a more pronounced effect in the decrease of both biofilm mass (Figure 2a) and viable cells (Figure 2b) than gentamicin or chitosan alone after short- or long-term treatment. These observations were also evidenced by visualization of biofilms by fluorescence microscopy (Figure 2c), confocal microscopy (Figure 3a) and scanning electron microscopy (Figure 3b), in which there were fewer scattered cell aggregates in *L. monocytogenes* biofilms after exposure to the gentamicin/chitosan combination than that of individual reagents.

**Figure 2.** The gentamicin/chitosan combination disrupted the *L. monocytogenes* biofilms in a time-dependent manner. Biofilms were exposed to chitosan (200  $\mu$ g/mL), gentamicin (5  $\mu$ g/mL) or the mixture for 6, 12 and 24 h. Biofilms incubated with TSB were used as control (CON). Biofilm mass (a) and viable cells (b) were quantified, and biofilm architectures were further examined by fluorescence microscopy (c). Scale bars were 10  $\mu$ m.



**Figure 3.** Disruption of biofilm architectures by the gentamicin/chitosan combination. *L. monocytogenes* biofilms were exposed to chitosan (200 μg/mL), gentamicin (5 μg/mL) or the mixture for 24 h. Biofilms incubated with TSB were used as control. (a) Confocal microscopy, three-dimensional reconstructions were shown in the bottom views, scale bars were 50 μm; (b) Scanning electron microscopy, scale bars were 1 μm.



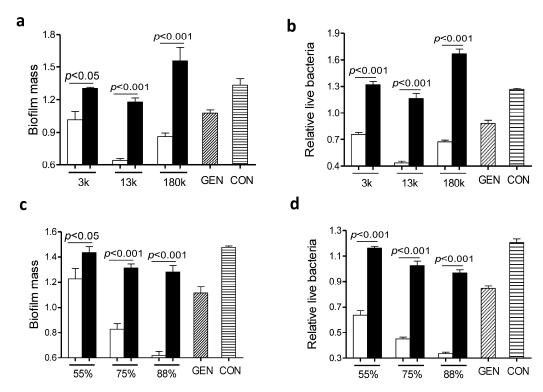
#### 2.2. Factors Involved in Anti-Biofilm Capacity of the Gentamicin/Chitosan Combination

It is generally accepted that the anti-biofilm effect of chitosan is largely dependent on N-deacetylation degrees [6] and molecular weights [16]. To examine whether the molecular mass of chitosan played a role in the anti-biofilm capacity of the gentamicin/chitosan combination, L. monocytogenes biofilms were exposed to gentamicin in the presence of chitosan with different molecular mass of  $\sim 3000$ ,  $\sim 13,000$  or 180,000 Da. All three chitosan-gentamicin mixtures tested reduced more biofilm mass than the respective chitosan alone did (Figure 4a,b). In addition, a combination of gentamicin and chitosan with a molecular mass of  $\sim 13,000$  Da elicited the highest anti-biofilm activity of all experimental groups.

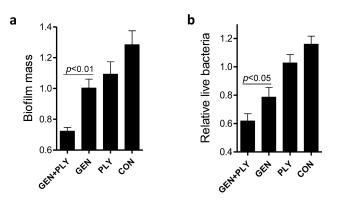
Next we evaluated the impact of positive charges of chitosan on the anti-biofilm capacity of the gentamicin/chitosan combinations. Chitosan with different *N*-deacetylation degrees (DD: 50%, 75%, 88%) alone was very limited in disruption of biofilms (Figure 4c,d). Although all three chitosan-gentamicin mixtures tested reduced more biofilm mass than the respective chitosan alone did, it was obvious that gentamicin combined with chitosan possessing the highest *N*-deacetylation degree displayed a stronger anti-biofilm activity. This raised the question whether other antibacterial polycationic biopolymers such as poly-L-lysine [17], instead of chitosan, also worked in a similar fashion. Results showed that the combination of poly-L-lysine and gentamicin indeed had stronger anti-biofilm and bactericidal activities than the individual agent alone did (Figure 5). This finding

suggests that polycationic properties enabled higher anti-biofilm and bactericidal capacities for biopolymer-antibiotic combinations.

**Figure 4.** Chitosan with specific molecular mass and *N*-deacetylation degree was essential in retaining the high anti-biofilm capacity of the gentamicin/chitosan combination. *L. monocytogenes* biofilms were exposed to chitosan (200 μg/mL), gentamicin (5 μg/mL) or the mixture for 24 h. (**a,b**) Chitosan with different molecular mass (3000, 13,000 and 180,000 Da); (**c,d**) Chitosan with different *N*-deacetylation degrees (DD: 50%, 75% and 88%). White column: chitosan-antibiotic combination; Black column: chitosan alone; Column with italic lines: antibiotic alone; Column with horizontal lines: control. Error bars represent SD.



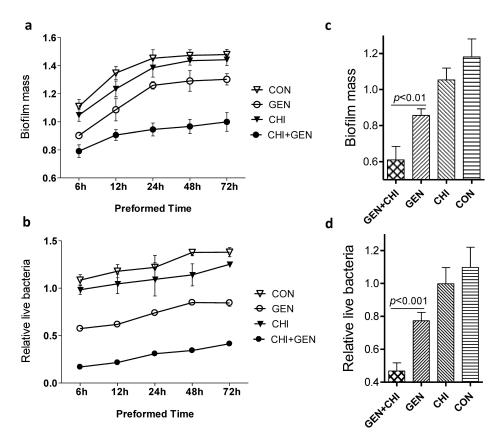
**Figure 5.** Effects of the poly-L-lysine/gentamicin combination on disruption of *L. monocytogenes* biofilms. *L. monocytogenes* biofilms were exposed to poly-L-lysine (PLY, 200  $\mu$ g/mL), gentamicin (5  $\mu$ g/mL) or the respective mixture for 24 h. Biofilm mass (a) and viable cells (b) were quantified. Error bars represent SD.



# 2.3. Effects of the Gentamicin/Chitosan Combination on Different Stages of L. monocytogenes Biofilms

To ask whether the anti-biofilm of the gentamicin/chitosan combination depended on different stages of biofilm formation, planktonic *L. monocytogenes* were seeded in 96-well plates for 6, 12, 24, 48 or 72 h and then treated for 24 h with individual reagents or their combination. At all time-points tested, the gentamicin/chitosan combination displayed a stronger anti-biofilm and bactericidal activity than individual reagent alone did (Figure 6a,b). A similar finding was also observed in the case of planktonic *L. monocytogenes* exposed to reagents at the beginning (Figure 6c,d). These data clearly indicate that the gentamicin-chitosan combination was effective in the inhibition of biofilm formation and disruption of immature or mature biofilms at different stages.

**Figure 6.** Effects of the gentamicin/chitosan combination on different stages of *L. monocytogenes* biofilms. (**a,b**) Biofilms were preformed for 6, 12, 24, 48 and 72 h, and then exposed to chitosan (200  $\mu$ g/mL), gentamicin (5  $\mu$ g/mL) or the mixture for 24 h; (**c,d**) *L. monocytogenes* were seeded in 96-well plates in the presence of chitosan (200  $\mu$ g/mL), gentamicin (5  $\mu$ g/mL) or the respective mixture for 24 h. Biofilm mass and viable cells were quantified. Error bars represent SD.

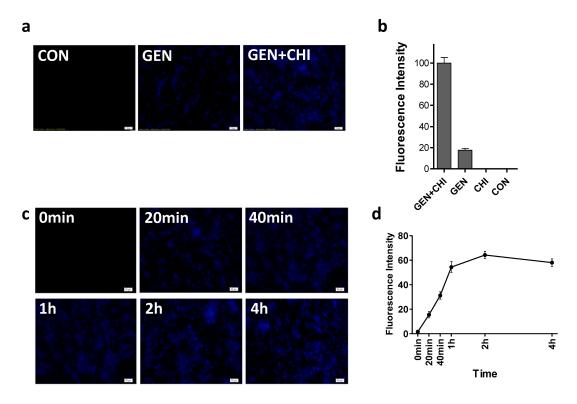


2.4. Mechanistic Insights into the Anti-Biofilm Capability of the Gentamicin/Chitosan Combination

It has been suggested that positive charges make aminoglycoside antibiotics bind to negatively charged polymers in the biofilm matrix, which might be responsible for the slow penetration of antibiotics [18]. Since chitosan has been shown to penetrate biofilms due to the ability of cationic chitosan to disrupt negatively charged cell membranes as microbes settle on the surface [6,19],

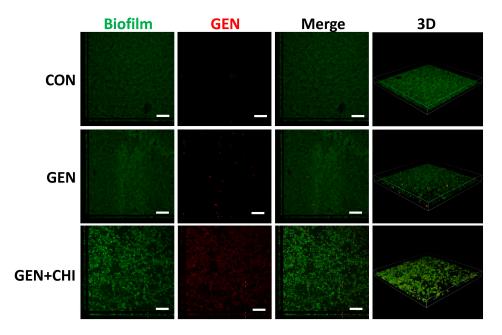
we attempted to see whether chitosan could make more gentamicin accessible into biofilms. Using a primary polyclonal antibody to gentamicin produced in rabbit and a second Dylight 405-conjugated goat anti-rabbit IgG, gentamicin residing in *L. monocytogenes* biofilms was visualized. Biofilms exposed to gentamicin alone exhibited a weak blue fluorescence (Figure 7a,b). In contrast, the intense blue fluorescence was observed in *L. monocytogenes* biofilms treated with the gentamicin/chitosan combination. The time-course of immunofluorescence indicated that gentamicin residing in *L. monocytogenes* biofilms increased very quickly in 1 h and then remained almost constant (Figure 7c,d).

**Figure 7.** Chitosan-facilitated gentamicin accessibility into *L. monocytogenes* biofilms. *L. monocytogenes* biofilms were exposed to chitosan (200  $\mu$ g/mL), gentamicin (5  $\mu$ g/mL) and the mixture for 1 h (**a**,**b**) or different periods (**c**,**d**). Biofilms incubated with TSB were used as control. Gentamicin residing in biofilms was examined by Immunofluorescence. Immunoreactivity was quantified by using Image Pro Plus. Scale bars = 10  $\mu$ m.



To evaluate whether chitosan made gentamicin penetration into or attachment to *L. monocytogenes* biofilms, 5-(4,6-dichlorotriazinyl) aminofluorescein (5-DTAF, green fluorescence) was used to label bacteria and gentamicin was visualized with a primary polyclonal antibody followed by a second Dylight 594-conjugated goat anti-rabbit IgG (red fluorescence). 3D reconstruction from confocal microscopy indicated that chitosan enabled gentamicin penetration into *L. monocytogenes* biofilms, instead of simple attachment to the surface (Figure 8).

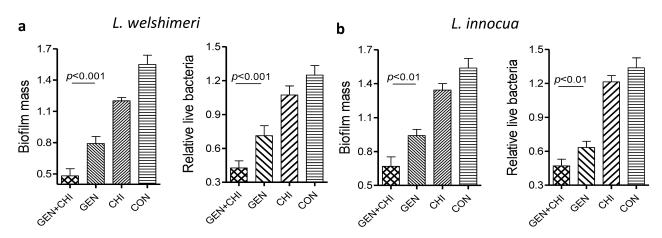
**Figure 8.** CLSM analysis of gentamicin penetration into biofilms. *L. monocytogenes* biofilms were exposed to chitosan (200  $\mu$ g/mL), gentamicin (5  $\mu$ g/mL) or the mixture for 1 h. The biofilm is depicted in green, while gentamicin is depicted in red. Scale bars indicates 50  $\mu$ m.



# 2.5. The Gentamicin/Chitosan Combination Was Able to Disperse Biofilms Built by Other Listeria Species

To see whether this combination was also effective in dispersal of biofilms built by other *Listeria* organisms, two *Listeria* species including *Listeria welshimeri* and *Listeria innocua* were tested. Quantification of biofilm biomass and cell viability demonstrated that the gentamicin/chitosan combination elicited a more pronounced effect than individual reagents did (Figure 9).

**Figure 9.** The chitosan-gentamicin combination was able to disperse biofilms built by other *Listeria* species. Biofilms built by *L. welshimeri* (a) or *L. innocua* (b) were performed for 24 h, and then exposed to chitosan (200  $\mu$ g/mL), gentamicin (5  $\mu$ g/mL) or the mixture for 24 h. Biofilm mass and viable cells were quantified. Error bars represent SD.



#### 3. Experimental Section

#### 3.1. Bacterial Strains

*L. monocytogenes* ATCC 15313 was a generous gift from Professor Xiaodong Xia, *L. welshimeri* (GIM1.232) and *L. innocua* (GIM1.365) were purchased from Guangdong Culture Collection Centre of Microbiology. The strains were cultivated in tryptic soy broth (TSB, Oxoid, Basingstoke, Hampshire, UK) at 37 °C for 12 h. Following incubation, dilution of the overnight culture with TSB matched to the 0.4 McFarland turbidity standard (approximately 10<sup>8</sup> cfu/mL) was used for experimental procedures.

#### 3.2. Chitosans & Antibiotics

Chitosans (CHI) with different molecular mass of 3000, 13,000, or 180,000 were purchased from Qingdao Yunzhou Bioengineering Co. Ltd. (Qingdao, China). The molecular weight of chitosan was determined on high performance gel permeation chromatography (HPGPC) as described [20]. All three chitosan had a similar *N*-deacetylation degree (88%  $\pm$  0.67% DD). Chitosan with lower *N*-deacetylation degree was generated by reaction of the original chitosan with acetic anhydride [21]. The DDA (degree of *N*-deacetylation) was measured by previous protocol [22]. All chitosan solutions were prepared by adding to TSB at a concentration of 1 mg·mL<sup>-1</sup> (0.1%, w/v) [23].

Gentamicin (GEN), rifampicin (RFP), tetracycline (TET) and carbenicillin (CBC) were of USP (The United States Pharmacopeia) grade and purchased from Solarbio (Beijing, China). Stock solutions (10 mg·mL<sup>-1</sup>) were prepared in sterile water (gentamicin and carbenicillin) or dimethylsulfoxide (rifampicin and tetracycline) stored at 4 °C until use.

#### 3.3. Biofilm Dispersal Experiments

100  $\mu$ L bacterial TSB solutions (~10<sup>8</sup> cfu) were seeded into 96-well polystyrene microtitre plates (Corning, New York, NY, USA) at 37 °C for 24 h to allow biofilm formation. The non-adhered cells were removed with pipette and the plate was washed three times using 100  $\mu$ L 0.9% (w/v) NaCl. Then existing biofilms were incubated at 37 °C in 90  $\mu$ L TSB supplemented with 10  $\mu$ L antibiotic, chitosan or their mixture for different periods as indicated. Each treatment included 6 parallel wells. Biofilms incubated with TSB only were used as control. Biofilm mass (Crystal violet staining assay) [24] and viable cells (MTT (3-(4,5-dimethyl-thiazol-2-yl)-2,5-diphenyltetrazolium bromide) assay) [25] were evaluated. All experiments were performed 3–5 times. Error bars represent SD.

### 3.4. Fluorescence Microscopy

L. monocytogenes (~10<sup>8</sup> cfu) was grown on glass coverslips at 37 °C for 24 h in 24-well plates supplemented with 1 mL of TSB to allow biofilm formation. The coverslips were washed to remove unattached cells and were treated with gentamicin, chitosan or their mixture for 6, 12 and 24 h at 37 °C. Existing biofilms were fixed using a 4% paraformaldehyde solution for 30 min at room temperature. After wash with 2 mL PBS, 5-(4,6-dichlorotriazinyl) aminofluorescein (5-DTAF) was added for 2 h at room temperature. The coverslips were washed 3 times with PBS. Images were acquired using

the Olympus BX53 fluorescence microscope with a 100× (1.30) plan oil objective and processed by cellSens Entry software (Olympus, Tokyo, Japan).

#### 3.5. Immunofluorescence

As above, biofilms on glass coverslips were fixed in 4% paraformaldehyde. After treatment with 0.25% Triton X-100 and blocking with 1% BSA in PBS, coverslips were incubated with a polyclonal antibody for gentamicin (rabbit anti-gentamicin ployclone, Abcam, Cambridge, MA, USA) at 4 °C overnight, and then incubated with a second Dylight 405-goat anti-rabbit IgG for fluorescence microscopy or Dylight 594-goat anti-rabbit IgG (Jackson Immuno Research Inc., West Grove, PA, USA) for confocal laser scanning microscope. Immunoreactivity was quantified by using Image Pro Plus (version 6.0, Media Cybernetics, Silver Spring, MD, USA). For confocal laser scanning microscopy (CLSM), imaging was performed with a Nikon A1R-A1 station (Nikon, Tokyo, Japan). Both image acquisition and subsequent manipulation were performed using Nikon NIS-Element software (Nikon, Tokyo, Japan).

#### 3.6. Scanning Electron Microscopy (SEM)

Test samples were fixed in 2.5% glutaraldehyde at 4 °C for 24 h. Cells were rinsed with PBS three times for 10 min at each interval. The cultures were then dehydrated for 10 min in a gradient alcohol solution (50%, 70%, 80%, 90% and 100%). The specimen was left in 100% alcohol to prevent it from drying and mounted onto an aluminum stub with carbon tape, sputter-coated with gold (thickness of 10 nm) before examination. The scanning electron microscope (SEM) images were obtained by field emission scanning electron microscope (FESEM, S-4800, Hitachi, Tokyo, Japan).

#### 3.7. Statistical Analysis

All graphs were made by GraphPad Prism 5.0. One way analysis of variance (ANOVA) was used to evaluate significant differences between different treatments and Newman–Keuls test was used for *post-hoc* test. p < 0.05 was considered significant differences.

#### 4. Conclusions

In summary, our findings demonstrated that the combination of chitosan and gentamicin could effectively disrupt established *Listeria* biofilms at different stages. It seemed that chitosan with a moderate molecular mass (~13,000 Da) and high *N*-deacetylation degree (~88% DD) elicited an optimal anti-biofilm and bactericidal activity in this combination. Mechanistic insights indicated that the polycationic properties of chitosan enabled greater penetration of gentamicin into *Listeria* biofilms. This combinational strategy might be useful to combat *Listeria* biofilms when this specific antibiotic is recommended.

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#### **Author Contributions**

Haibo Mu and Jinyou Duan designed research and contributed analytic tools; Haibo Mu and Fan Guo performed research; Hong Niu and Qianjin Liu performed statistical analysis; Haibo Mu, Shunchun Wang and Jinyou Duan wrote and reviewed the manuscript.

#### **Conflicts of Interest**

The authors declare no conflict of interest.

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