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# GSK-3 Inhibitor Elraglusib Enhances Tumor-Infiltrating Immune Cell Activation in Tumor Biopsies and Synergizes with Anti-PD-L1 in a Murine Model of Colorectal Cancer

Kelsey E. Huntington <sup>1,2,3,4,5</sup> , Anna D. Louie <sup>1,2,3,4,6,7</sup>, Praveen R. Srinivasan <sup>1,2,3,4,6</sup> , Christoph Schorl <sup>6,8,9</sup>, Shaolei Lu <sup>1,3,4,6</sup>, David Silverberg <sup>10</sup> , Daniel Newhouse <sup>11</sup>, Zhijin Wu <sup>12</sup>, Lanlan Zhou <sup>1,2,3,4,6</sup>, Brittany A. Borden <sup>6</sup>, Francis J. Giles <sup>13</sup> , Mark Dooner <sup>14</sup>, Benedito A. Carneiro <sup>3,4,6,14</sup> and Wafik S. El-Deiry <sup>1,2,3,4,5,6,14,\*</sup>

- <sup>1</sup> Department of Pathology and Laboratory Medicine, Brown University, Providence, RI 02903, USA
- <sup>2</sup> Laboratory of Translational Oncology and Experimental Cancer Therapeutics, Brown University, Providence, RI 02903, USA
- <sup>3</sup> The Joint Program in Cancer Biology, Lifespan Health System, Brown University, Providence, RI 02903, USA
- <sup>4</sup> Legorreta Cancer Center, Brown University, Providence, RI 02903, USA
- <sup>5</sup> Pathobiology Graduate Program, Brown University, Providence, RI 02903, USA
- <sup>6</sup> Warren Alpert Medical School, Brown University, Providence, RI 02903, USA
- <sup>7</sup> Department of Surgery, Lifespan Health System, Providence, RI 02903, USA
- <sup>8</sup> Genomics Core Facility, Brown University, Providence, RI 02903, USA
- <sup>9</sup> Department of Molecular Biology, Cell Biology and Biochemistry, Brown University, Providence, RI 02903, USA
- <sup>10</sup> Molecular Pathology Core Facility, Brown University, Providence, RI 02903, USA
- <sup>11</sup> NanoString Technologies, Seattle, WA 98109, USA
- <sup>12</sup> Department of Biostatistics, Brown University, Providence, RI 02903, USA
- <sup>13</sup> Developmental Therapeutics LLC, Chicago, IL 60611, USA
- <sup>14</sup> Division of Hematology/Oncology, Department of Medicine, Lifespan Health System, Providence, RI 02903, USA
- \* Correspondence: wafik@brown.edu



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**Abstract:** Glycogen synthase kinase-3 (GSK-3) is a serine/threonine kinase that has been implicated in numerous oncogenic processes. GSK-3 inhibitor elraglusib (9-ING-41) has shown promising preclinical and clinical antitumor activity across multiple tumor types. Despite promising early-phase clinical trial results, there have been limited efforts to characterize the potential immunomodulatory properties of elraglusib. We report that elraglusib promotes immune cell-mediated tumor cell killing of microsatellite stable colorectal cancer (CRC) cells. Mechanistically, elraglusib sensitized CRC cells to immune-mediated cytotoxicity and enhanced immune cell effector function. Using western blots, we found that elraglusib decreased CRC cell expression of NF- $\kappa$ B p65 and several survival proteins. Using microarrays, we discovered that elraglusib upregulated the expression of proapoptotic and antiproliferative genes and downregulated the expression of cell proliferation, cell cycle progression, metastasis, TGF $\beta$  signaling, and anti-apoptotic genes in CRC cells. Elraglusib reduced CRC cell production of immunosuppressive molecules such as VEGF, GDF-15, and sPD-L1. Elraglusib increased immune cell IFN- $\gamma$  secretion, which upregulated CRC cell gasdermin B expression to potentially enhance pyroptosis. Elraglusib enhanced immune effector function resulting in augmented granzyme B, IFN- $\gamma$ , TNF- $\alpha$ , and TRAIL production. Using a syngeneic, immunocompetent murine model of microsatellite stable CRC, we evaluated elraglusib as a single agent or combined with immune checkpoint blockade (anti-PD-1/L1) and observed improved survival in the elraglusib and anti-PD-L1 group. Murine responders had increased tumor-infiltrating T cells, augmented granzyme B expression, and fewer regulatory T cells. Murine responders had reduced immunosuppressive (VEGF, VEGFR2) and elevated immunostimulatory (GM-CSF, IL-12p70) cytokine plasma concentrations. To determine the clinical significance, we then utilized elraglusib-treated patient plasma samples and found that reduced VEGF and BAFF and elevated IL-1 beta, CCL22, and CCL4 concentrations correlated with improved survival. Using paired tumor biopsies, we found that tumor-infiltrating immune cells had a reduced expression of inhibitory immune

checkpoints (VISTA, PD-1, PD-L2) and an elevated expression of T-cell activation markers (CTLA-4, OX40L) after elraglusib treatment. These results address a significant gap in knowledge concerning the immunomodulatory mechanisms of GSK-3 inhibitor elraglusib, provide a rationale for the clinical evaluation of elraglusib in combination with immune checkpoint blockade, and are expected to have an impact on additional tumor types, besides CRC.

**Keywords:** GSK-3; 9-ING-41; elraglusib; immunotherapy; immune checkpoint blockade

## 1. Introduction

Glycogen synthase kinase 3 (GSK-3) is a serine/threonine kinase with key roles in myriad biological processes, such as tumor progression, and inhibition of GSK-3 using novel small-molecule elraglusib has shown promising preclinical antitumor activity in multiple tumor types [1]. GSK-3 has two isoforms encoded in distinct genes in vertebrates, alpha ( $\alpha$ ) and beta ( $\beta$ ), which share a 97% amino acid sequence identity within their catalytic domains. While the GSK-3 beta isoform has historically received more attention, several redundant activities exist between the two, including regulating Wnt/ $\beta$ -catenin signaling [2]. Although differential activity exists between the two isoforms, in regulating NF- $\kappa$ B activity for example, many GSK-3 inhibitors are non-specific and inhibit both isoforms [3]. A growing body of literature characterizes the immunomodulatory roles of GSK-3 in the context of anti-tumor immunity [4]. There are several known immunostimulatory functions of GSK-3 inhibition. In T cells, GSK-3, active in resting cells and inactivated upon T cell activation, controls T cell motility and T cell interactions with other cell types such as antigen-presenting cells (APCs) [5]. GSK-3 is also known to inhibit immune cell cytokine production [6,7].

Moreover, GSK-3 is a central regulator of immune checkpoint protein expression in both tumor and immune cells. In the immune cell, inhibition of GSK-3 has been shown to downregulate programmed cell death protein 1 (PD-1) expression in CD8<sup>+</sup> T cells to enhance CD8<sup>+</sup> cytotoxic function in a murine model, resulting in reduced tumor growth [7]. Mechanistically, GSK-3 inactivation resulted in Tbx21 transcription, upregulating T-bet expression and the subsequent suppression of transcription of Pdc1, which encodes PD-1. Additionally, several groups have shown that the inhibition of GSK-3 increases tumor cell PD-L1 expression [8]. Mechanistically, GSK-3 beta interacts with PD-L1 to induce phosphorylation-dependent proteasomal degradation of PD-L1 by  $\beta$ -transducin repeat-containing protein ( $\beta$ -TrCP), which serves as a substrate recognition site for E3 ubiquitin ligases [8,9]. Finally, aberrant overexpression of GSK-3 has been shown to promote tumor growth and epithelial-to-mesenchymal transition (EMT) through various mechanisms including via modulation of pro-survival NF- $\kappa$ B signaling pathways [10]. Thus, GSK-3 is a promising target in the treatment of human malignancies, and GSK-3 inhibitors have immunomodulatory potential.

Globally, colorectal cancer (CRC) ranks third in terms of incidence and second in terms of mortality. Treatment options include surgery, chemotherapy, radiation therapy, targeted therapy, and immunotherapy. Immune checkpoint blockade (ICB) has now entered into clinical care for CRC with the recent U.S. Food and Drug Administration approvals of checkpoint inhibitors nivolumab and pembrolizumab for microsatellite instability-high (MSI-H) CRC cases after chemotherapy [11]. Thus far, ICB clinical trials have demonstrated efficacy in MSI-H CRC, however, the impressive durability of tumor regression stands in stark contrast with the lack of response observed in microsatellite stable (MSS) CRC [11]. Thus, there remains a substantial unmet need in the ~85% of patients with MSS CRC in whom ICB is less effective [12]. Moreover, the percentage of patients with MSS CRC dramatically increases to ~96% in Stage IV disease [12].

We sought to evaluate elraglusib (9-ING-41), a small molecule that targets GSK-3, which has the potential to increase the efficacy of ICB. We chose to evaluate elraglusib,

which inhibits both alpha and beta isoforms, because it is a clinically-relevant small molecule with superior pharmacokinetic properties and is significantly more potent than other GSK-3 inhibitors [13,14]. Although there are ongoing efforts to characterize the immunomodulatory impact of GSK-3 inhibitors, few utilize small-molecule elraglusib [15–17]. Here, we characterize the effects of elraglusib in vitro on tumor and immune cells, in vivo in combination with ICB in a syngeneic murine colon carcinoma BALB/c model using MSS cell line CT-26, and in human tumor biopsies and plasma samples from patients with refractory solid tumors of multiple tissue origins enrolled in a Phase 1 clinical trial investigating elraglusib (NCT03678883).

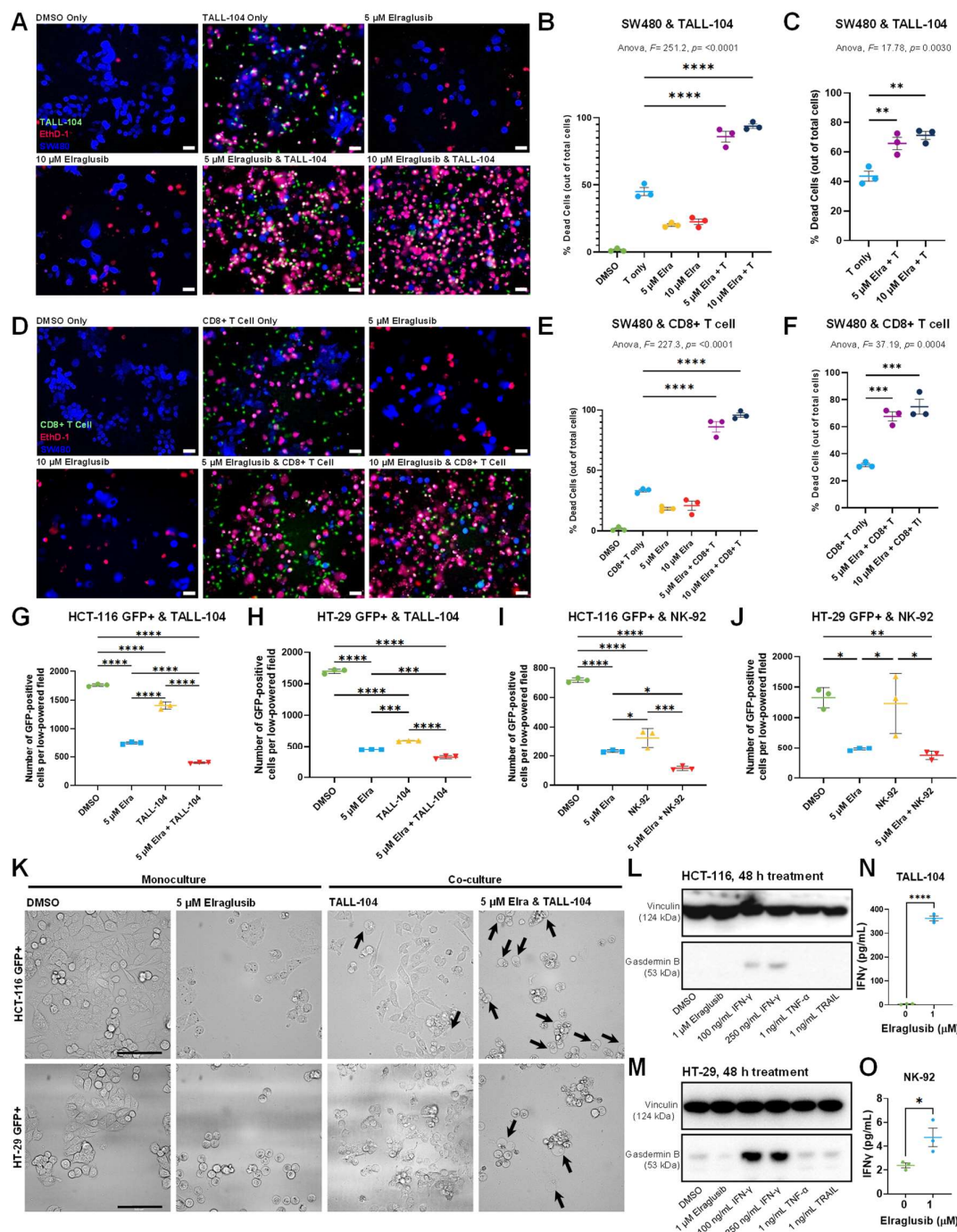
## 2. Results

### 2.1. Elraglusib Sensitizes Tumor Cells to Immune-Mediated Cytotoxicity

A co-culture of fluorescently labeled SW480 MSS CRC cells and TALL-104 CD8+ T cells treated with elraglusib led to an increase in tumor cell death after 24 h (Supplementary Figure S1A). Treatment doses were significantly less than the 24- and 72-h IC<sub>50</sub>s calculated for all cell lines evaluated in the co-culture to ensure the majority of tumor cell death was immune-cell-mediated (Supplementary Figure S2A,B). We observed limited tumor cell death in SW480 monocultures treated with drug only (Supplementary Figure S1B). In the co-culture with tumor and immune cells only, in the absence of the drug, we noted that the baseline percentage of dead cells out of total cells was approximately 45%, after normalization. Co-cultures of tumor cells and TALL-104 T cells treated with 5  $\mu$ M elraglusib had an average of 60% dead cells, while co-cultures treated with 10  $\mu$ M of elraglusib had an average of 65% dead cells (Supplementary Figure S1C).

Because TALL-104 cells are a human leukemic T cell line, we next wanted to determine the relevancy of these results using normal T cells. Donor-derived CD8+ T cells were isolated from a donor blood sample in accordance with an IRB-approved protocol. A co-culture of fluorescently labeled SW480 tumor cells and CD8+ donor-derived CD8+ T cells was then treated with elraglusib and the percentage of dead cells out of total cells was quantified after 24 h (Supplementary Figure S1D). We again observed limited tumor cell death in SW480 monocultures treated with drug only (Supplementary Figure S1E). The data was then normalized, as previously described, and we noted even more robust immune cell-mediated tumor cell death in the co-cultures treated with elraglusib (Supplementary Figure S1F). Co-cultures of tumor cells and donor-derived CD8+ T cells treated with 5  $\mu$ M elraglusib had an average of 65% dead cells, while co-cultures treated with 10  $\mu$ M of elraglusib had an average of 75% dead cells.

To determine if the increased amount of immune cell-mediated tumor cell killing was due to the drug's impact on the tumor cells or the immune cells, we next pre-treated tumor cells with elraglusib for 24 h before the co-culture with immune cells began. We observed that pre-treatment with elraglusib sensitized SW480 tumor cells to TALL-104 cell-mediated tumor cell killing (Figure 1A). We again used the raw percentages of cell death to normalize the data and observed minimal amounts of drug cytotoxicity at the concentration and duration of treatment used (Figure 1B). Tumor cells pre-treated with 5  $\mu$ M elraglusib for 24 h and then co-cultured with TALL-104 T cells had an average of 65% dead cells (Figure 1C). Once again, we sought to confirm these co-culture results using donor-derived CD8+ T cells instead of TALL-104 cells (Figure 1D). We observed similar results with the CD8+ T cells where elraglusib pre-treatment of tumor cells led to a statistically significant increase in tumor cell death after 24 h of co-culture (Figure 1E). Tumor cells pre-treated with 5  $\mu$ M elraglusib for 24 h and then co-cultured with donor-derived CD8+ T cells had an average of 65% dead cells, while co-cultures treated with 10  $\mu$ M of elraglusib for 24 h and then co-cultured with donor-derived CD8+ T cells had an average of 70% dead cells (Figure 1F).



**Figure 1.** Elraglusib enhances pyroptosis and sensitizes tumor cells to increase immune-mediated cytotoxicity in a co-culture model. Co-cultures were treated with drug concentrations as indicated. A 1:1 effector to target (E:T) ratio was used with a 24-h co-culture duration. EthD-1 was used to visualize dead cells; 10 $\times$  magnification; scale bar indicates 100  $\mu$ m. (A) Representative SW480 and TALL-104 T cell co-culture assay images at the 24-h timepoint; 24 tumor cell pre-treatment with 5  $\mu$ M elraglusib, followed by 24-h co-culture. (B) Quantification of the co-culture experiment using the percentage of dead cells out of total cells ( $N = 3$ ). (C) Quantification normalized by cell death observed with drug treatment alone ( $N = 3$ ). (D) Representative SW480 and donor-derived CD8+ T cell co-culture assay images at the 24-h timepoint; 24-h tumor cell pre-treatment with 5  $\mu$ M elraglusib, followed by a 24-h co-culture. (E) Quantification of the co-culture experiment using the percentage of dead cells out of total cells ( $N = 3$ ).



(F) Quantification normalized by cell death observed with drug treatment alone ( $N = 3$ ). (G) The number of HCT 116 GFP+ cells were quantified after 48 h of culture with DMSO, 5  $\mu$ M elraglusib, and/or 5000 TALL-104 cells ( $N = 3$ ). (H) The number of HT-29 GFP+ cells was quantified after 48 h of culture with DMSO, 5  $\mu$ M elraglusib, and/or 5000 TALL-104 cells ( $N = 3$ ). (I) The number of HCT 116 GFP+ cells was quantified after 48 h of culture with DMSO, 5  $\mu$ M elraglusib, and/or 5000 NK-92 cells ( $N = 3$ ). (J) The number of HT-29 GFP+ cells was quantified after 48 h of culture with DMSO, 5  $\mu$ M elraglusib, and/or 5000 NK-92 cells ( $N = 3$ ). (K) Representative 40  $\times$  images of HCT-116 GFP+ or HT-29 GFP+ CRC and TALL-104 T cell co-cultures. Black arrows indicate pyroptotic events. Representative images of western blot analysis of (L) HCT-116 and (M) HT-29 CRC cells for expression of indicated proteins after treatment with indicated cytokines or drugs. Quantification of IFN- $\gamma$  secretion (pg/mL) post-DMSO or elraglusib treatment for 24 h in (N) TALL-104 cells and (O) NK-92 cells ( $N = 3$ ). Error bars represent the mean  $\pm$  standard deviation. Statistical test: one-way ANOVA with Tukey's test for multiple comparisons.  $p$ -value legend: \*  $p < 0.05$ , \*\*  $p < 0.01$ , \*\*\*  $p < 0.001$ , \*\*\*\*  $p < 0.0001$ .

To confirm these results, we repeated these experiments using a high-throughput GFP+ co-culture system with additional CRC cell lines HCT-116 and HT-29 (Supplementary Figure S1G). We chose to evaluate both HCT-116 and HT-29 CRC cells in this co-culture model to determine if the elraglusib-mediated increase in immune cell-mediated SW480 cell killing could be reproduced in additional CRC cell lines. These cell lines were selected based on their varied mutational profiles, with both MSI-H and MSS statuses reflected (Supplementary Figure S2C). When HCT-116 GFP+ cells were co-cultured with TALL-104 cells in the presence or absence of 5  $\mu$ M elraglusib we noted a significant decrease in GFP+ cells per low-powered field in the 5  $\mu$ M elraglusib only, TALL-104 only, and combination therapy groups, as compared to the DMSO only control group (Figure 1G). We noted a significant decrease in the number of GFP+ cells per field in the combination therapy group of TALL-104 and 5  $\mu$ M elraglusib co-culture condition as compared to TALL-104, which recapitulated the results observed in the first co-culture system. We observed a similar trend in the HT-29 cell line, where the combination therapy group showed increased tumor cell death as compared to the drug-only or T cell-only groups (Figure 1H). To determine if these results applied to other cytotoxic immune cell lines, we repeated the co-culture experiments with a natural killer cell line, NK-92. We observed similar trends in the co-culture of NK-92 cells with HCT-116 cells, where the combination of 5  $\mu$ M elraglusib and NK-92 cells showed increased tumor cell death as compared to the drug-only treatment or immune-cell-only treatment (Figure 1I). In the HT-29 cells, we noted increased tumor cell death in the combination therapy group as compared to immune cells only and as compared to DMSO only; however, the combination therapy group did not show statistical significance when compared with elraglusib only (Figure 1J).

## 2.2. Elraglusib Enhances Tumor Cell Pyroptosis in a Co-Culture of Colorectal Cancer Cells and Immune Cells

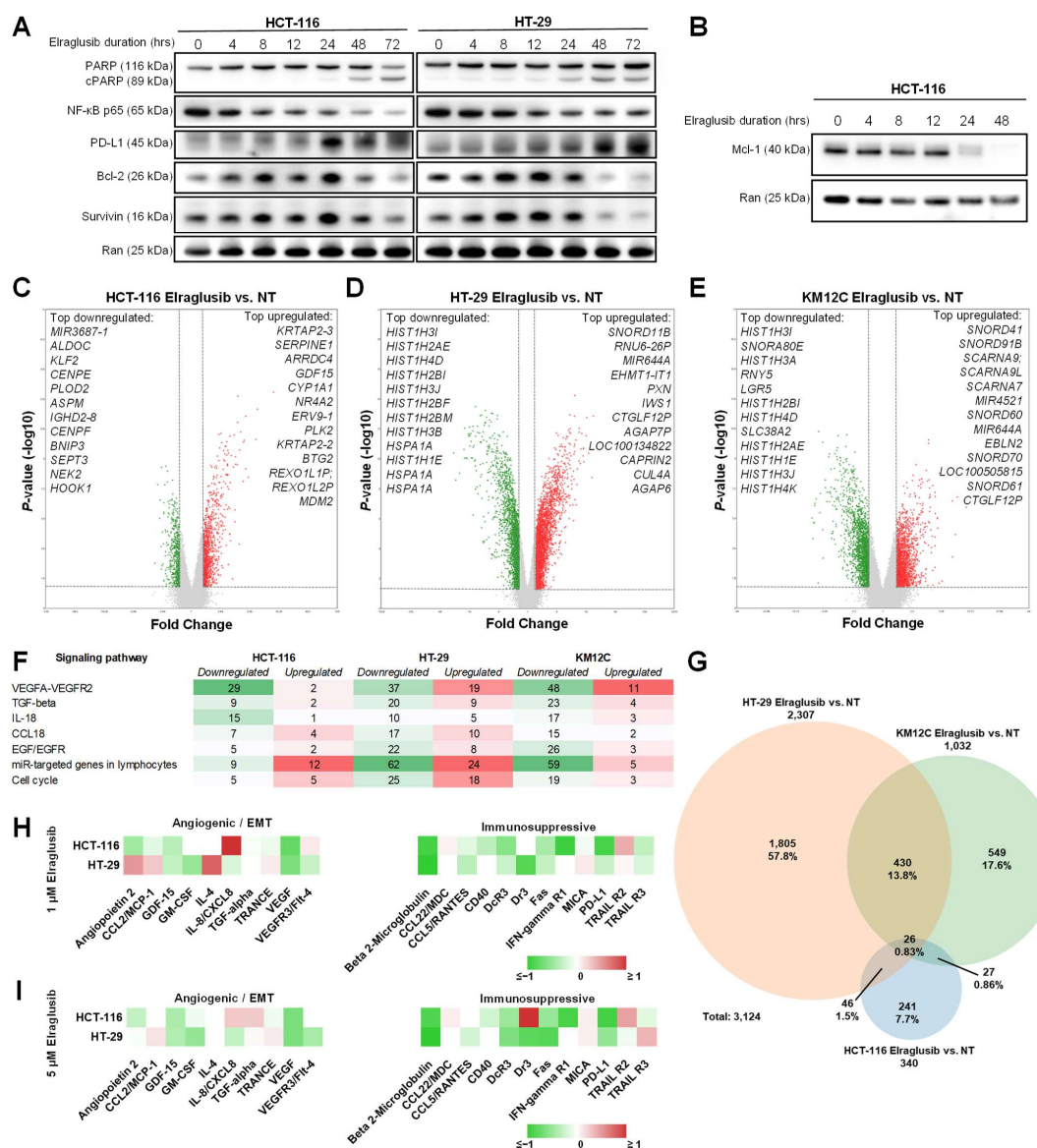
To determine if pyroptosis-mediated immune cell activity played a role in the co-culture results, we examined higher-power co-culture images for evidence of pyroptosis. Indeed, we observed some pyroptotic events in the co-cultures involving tumor cells and TALL-104 cells only (Figure 1K). We did not observe any pyroptotic events in the DMSO or drug-only conditions, suggesting that tumor cell pyroptosis was mediated by an immune cell-secreted molecule as it was only observed in the co-culture wells with immune cells (Figure 1K and Figure S1H). Interestingly, in the co-culture of CRC (HCT-116, HT-29) and TALL-104 cells in the presence of 5  $\mu$ M elraglusib treatment, we noted a significant increase in pyroptotic events (Figure 1K and Figure S1I,J). To determine what immune-cell-secreted molecules were most likely contributing to tumor cell pyroptosis, we probed for a downstream mediator of pyroptotic death gasdermin B expression in tumor cells treated with a vehicle-only control (DMSO), 1  $\mu$ M elraglusib, 100 ng/mL IFN- $\gamma$ , 250 ng/mL IFN- $\gamma$ , 1 ng/mL TNF- $\alpha$ , and 1 ng/mL TRAIL (Figure 1L). We observed an increase in gasdermin B expression with both concentrations of IFN- $\gamma$ , used in both the HCT-116 cells and the

HT-29 cells, suggesting that IFN- $\gamma$  secreted by immune cells was a major contributor to the observed pyroptotic events (Figure 1M). To test whether immune cells secrete more IFN- $\gamma$  post-treatment with elraglusib, we treated immune cell lines (TALL-104, NK-92) with elraglusib for 24 h and indeed noted a significant increase in IFN- $\gamma$  post-treatment in cell culture supernatants, although this effect was significantly greater in the TALL-104 cell line as compared to the NK-92 cell line (Figure 1N–O).

### 2.3. Elraglusib Upregulates Tumor Cell PD-L1 and Proapoptotic Pathway Expression as Well as Downregulates Immunosuppressive/Angiogenic Protein Expression and Pro-Survival Pathways

To help elucidate the mechanism behind the CRC cell sensitization to immune cell killing that we observed in the co-culture assays, we performed western blot analyses on CRC cells (HCT-116, HT-29) treated with elraglusib over a 72-h timecourse. Using the same low dose of elraglusib utilized in the co-culture assays, we observed little to no cleaved PARP (cPARP) in both cell lines analyzed until the 48-h timepoint, confirming that the tumor cell death observed in the co-culture assays was not a product of drug cytotoxicity (Figure 2A). Because GSK-3 is a known regulator of NF- $\kappa$ B signaling pathways, we also probed for NF- $\kappa$ B p65 and noted a decreased expression as the timecourse progressed. However, we observed increases in PD-L1 expression as the treatment duration increased. To further elucidate the elraglusib-mediated effects on tumor cell survival we probed for survival factors Bcl-2 and Survivin and noted decreases in protein expression in both cell lines, especially at the later timepoints (48, 72 h). In HCT-116 cells, we also probed for survival factor Mcl-1 and again noted marked decreases in protein expression by the 24-h timepoint (Figure 2B). Although GSK-3 plays a role in the regulation of  $\beta$ -catenin, we did not focus on elraglusib-mediated effects on  $\beta$ -catenin because colon cancers often harbor mutations in  $\beta$ -catenin or adenomatous polyposis coli (APC), thus nullifying any impact GSK-3 inhibition would have on  $\beta$ -catenin expression. HCT116 cells are heterozygous for  $\beta$ -catenin, harboring one wild-type allele and one mutant allele with inactivation of one of the residues phosphorylated by GSK3 $\beta$  that is frequently mutated in tumors [18]. Moreover, HT-29, KM12C, and SW480 cells harbor APC mutations [19] (Supplementary Figure S2C).

We then utilized microarray analysis to gain insights into gene expression changes in CRC cell lines post-GSK-3 inhibition with elraglusib. Several CRC cell lines (HCT-116, HT-29, KM12C) were treated with elraglusib at IC-50 concentrations or DMSO as vehicle control for 24 h, and treated versus untreated samples were compared in triplicate using microarray analysis (Supplementary Figure S3A–F). Results were calculated using a fold change (FC) cutoff of  $>1.5$ ,  $<-1.5$ , and a minimum  $p$ -value of  $<0.05$ . HCT-116 cells had 340 differentially expressed genes post-treatment (Figure 2C). The top differentially expressed genes of interest that were upregulated in HCT-116 cells included many anti-proliferative (*BTG2*, *TP53INP1*, *LYZ*, *GADD45A*, *CDKN1A*, *ATF3*, *SESN1*, *SUSD6*) and proapoptotic (*DRAM1*, *FAS*, *BLOC1S2*, *TNFRSF10B*, *KLLN*, *PLK3*, *MXD1*, *GADD45B*, *TRIM31*, *TP53I3*, *TNFRSF10A*, *BAK1*) genes (Supplementary Table S1). Of note, several of the upregulated genes are known p53 targets (*BTG2*, *MDM2*, *TP53INP1*, *DRAM1*, *GADD45A*, *CDKN1A*, *PMAIP1*, *ATF3*, *FAS*, *SESN1*, *TNFRSF10D*, *TNFRSF10B*, *AEN*, *PLK3*, *TP53I3*, *SUSD6*, *GDF15*) [20]. Meanwhile, many of the downregulated genes included those that promote cell cycle progression (*CDC25C*, *PRC1*, *ANLN*, *BARD1*, *PDK1*, *DHX32*, *CCNF*, *PRR11*, *TTK*, *FANCD2*, *AURKB*, *UHRF1*), EMT (*ENO2*, *MST1R*) or cellular proliferation (*FASN*, *ARHGEF39*, *FOXC1*, *CDCA3*, *MKI67*). Another upregulated (1.78-FC) gene of interest was *PPP1R1C*, and increased expression may increase tumor cell susceptibility to TNF-induced apoptosis [21]. Interestingly, *CMTM4* expression was downregulated ( $-1.84$ -FC) post-treatment and is known to protect PD-L1 from being polyubiquitinated and targeted for degradation [22]. Furthermore, *NEK2* was downregulated ( $-2.21$ -FC) post-treatment and *NEK2* protein inhibition is known to sensitize PD-L1 blockade [23].



**Figure 2.** Elraglusib treatment induces PD-L1 expression and suppresses survival pathways in tumor cells. Representative images of western blot analysis of (A) HCT-116 and HT-29 CRC cells for expression of indicated proteins after increasing durations of elraglusib treatment (0–72 h). (B) Representative images of western blot analysis of Mcl-1 expression in HCT-116 CRC cells after increasing durations of elraglusib treatment. CRC cells were treated with 1 μM elraglusib for 24 h and treated versus untreated samples were compared in triplicate. Microarray analysis results were visualized using volcano plots for (C) HCT-116, (D) HT-29, and (E) KM12C CRC cell lines ( $N = 3$ ). The top down- and up-regulated genes post-elraglusib treatment as compared to controls are shown. Results were calculated using a fold-change cutoff of  $>1.5$ ,  $<-1.5$ , and a  $p$ -value of  $<0.05$ . (F) The number of genes up- or down-regulated in each of the three cell lines within several signaling pathways of interest ( $N = 3$ ). Green indicates downregulation and red indicates upregulation of gene expression. (G) A Venn Diagram was used to compare the 3124 genes that were differentially expressed post-treatment with elraglusib in the three colon cancer (HCT-116, HT-29, KM12C) cell lines ( $N = 3$ ). (H) Tumor cells (HCT-116, HT-29) were treated with 1 μM elraglusib for 48 h and cell culture supernatant was analyzed with the Luminex 200. Fold-change is shown where red indicates a positive fold-change and green indicates a negative fold-change ( $N = 3$ ). (I) Tumor cells (HCT-116, HT-29) were treated with 5 μM elraglusib for 48 h and cell culture supernatant was analyzed with the Luminex 200. Fold-change is shown where red indicates a positive fold-change and green indicates a negative fold-change ( $N = 3$ ).

In HT-29 cells, we observed 2307 differentially expressed genes post-treatment (Figure 2D). We also observed that many of the upregulated genes post-treatment were proapoptotic (*AEN*, *TNFRSF12A*, *CCAR1*, *SFN*) or anti-proliferative (*SOCS7*, *CDKN1A*, *SMAD3*, *BCCIP*, *CRLF3*) and many of the downregulated genes were involved with the promotion of cellular proliferation (*TNIK*, *BRAF*, *EAPP*, *JAK1*, *PDS5B*, *CDCA3*), cell cycle progression (*MCIDAS*, *DYNC1H1*, *CDC45*, *UHRF1*, *CDK2*, *CDC25C*, *CCNE1*, *CDK1*, *BARD1*, *CCNE2*), EMT (*MTA3*, *AGGF1*, *E2F8*, *E2F7*), or have antiapoptotic functions (*PIM1*, *SGK1*, *BCL6*, *E2F7*, *TRIB1*) (Supplementary Table S2). Interestingly, *NCR3LG1* (B7-H6) was upregulated (1.78-FC) post-treatment and is known to trigger NCR3-dependent NK cell activation and cytotoxicity [24].

Finally, KM12C cells had 1032 differentially expressed genes post-treatment (Figure 2E). We observed an upregulation of proapoptotic genes (*TNFRSF12A*, *BIK*) while we observed a downregulation of genes involved in the promotion of EMT (*CXCL1*, *AGGF1*, *IRF2BP2*, *MET*, *NRP1*, *GDF15*, *E2F8*), the promotion of cell cycle progression (*CDCA2*, *IGFBP2*, *CDC25C*, *CCNE1*, *CCND2*, *CDK1*, *CCNE2*, *BARD1*), cellular proliferation (*MKI67*, *BRAF*), and the regulation of TGF $\beta$  signaling (*TGFBR2*, *LTBP1*, *TGFBR3*, *CD109*) in KM12C cells post-treatment as compared to control (Supplementary Table S3). Of note, we noticed an upregulation (1.53-FC) of *GZMA* (granzyme A) expression post-treatment, which is known to cleave gasdermin B to induce pyroptosis [25].

Several relevant signaling pathways had differentially expressed genes post-elraglusib in all three cell lines including the VEGFA-VEGFR2, TGF $\beta$ , IL-18, CCL18, EGF/EGFR, miR-targeted genes in lymphocytes, apoptosis, and cell cycle signaling pathways (Figure 2F). The most significant commonly downregulated signaling pathway was VEGFA-VEGFR2, which had 29 downregulated genes in HCT-116 cells, 37 downregulated genes in HT-29 cells, and 48 downregulated genes in KM12C cells. A Venn diagram was used to compare the 3124 genes that were differentially expressed post-treatment with elraglusib as compared to control in the three colon cancer cell lines (HCT-116, HT-29, KM12C) (Figure 2G). HCT-116 cells had 241 (7.7%), HT-29 cells had 1805 (57.8%) and KM12C cells had 549 (17.6%) differentially expressed genes post-treatment as compared to control. HCT-116 and HT-29 cells shared 46 differentially expressed genes (1.5%), HCT-116 and KM12C shared 27 (0.86%), KM12C and HT-29 shared 430 (13.8%), and all three cell lines shared 26 (0.83%). All three cell lines showed a post-treatment differential expression of NF- $\kappa$ B regulators with increased expression of many negative regulators of NF- $\kappa$ B (*NFKBIA*, *TNFAIP3*, *TRAF6*, *IL32*) and decreased expression of several positive regulators of NF- $\kappa$ B (*IRAK1BP1*, *FADD*, *IL17RA*, *MYD88*, *ERBB2IP*, *IL17RB*, *TNFSF15*, *NFKBIZ*, *NFKBIA*, *MAP3K1*, *TRAF5*, *TRAF6*, *TAB3*, *TNFRSF11A*, *MTDH*, *TLR3*) (Supplementary Tables S1–S3).

We previously found that elraglusib treatment of human CRC cell lines (HCT-116, HT-29, KM12C) with varied mutational profiles modified cytokine, chemokine, and growth factor secretion into cell culture media [26]. Here, we treated tumor cells (HCT-116, HT-29) with 1  $\mu$ M or 5  $\mu$ M elraglusib for 48 h and subsequently analyzed the cell culture supernatant using Luminex 200 technology (Figure 2H,I). Several cytokines, chemokines, and growth factors associated with angiogenesis and/or EMT were downregulated in both cell lines (HCT-116, HT-29) at both concentrations of elraglusib. Notably, GDF-15, GM-CSF, and VEGF all had decreased secretion post-treatment in both cell lines and at both concentrations of elraglusib. Likewise, several cytokines, chemokines, and growth factors associated with immunosuppression were also downregulated post-treatment, including CCL5/RANTES, Dcr3, Fas, and soluble PD-L1 (sPD-L1).

#### 2.4. Elraglusib Enhances Immune Cell Effector Function

We next analyzed immune cell lines (TALL-104, NK-92) using western blot analysis. Interestingly, when we probed for the same proteins in the cytotoxic immune cell lysates, we observed many opposing trends to those observed in the tumor cells. In TALL-104 cells, we did not notice significant changes in NF- $\kappa$ B or survival protein Bcl-2 as treatment duration increased (Figure 3A). Because of the differential impact of elraglusib on tumor and immune cells that we observed via western blot, we next sought to compare the levels of another

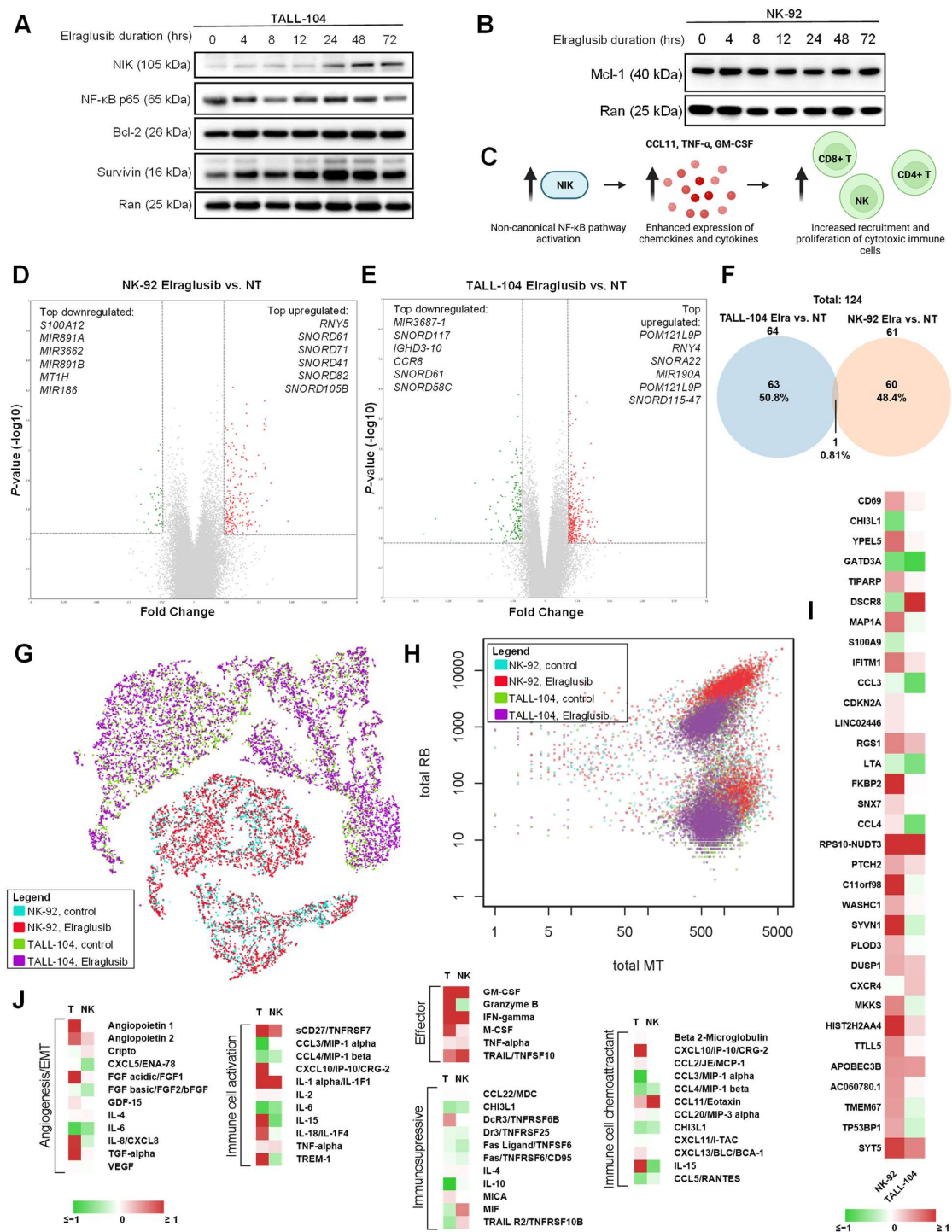


survival protein Mcl-1 in NK-92 natural killer cells and we did not observe a significant decrease in Mcl-1 protein expression through the 72-h endpoint (Figure 3B). Surprisingly, we noted increases in survival protein Survivin and NF- $\kappa$ B-inducing kinase (NIK), a protein commonly associated with activation of the non-canonical NF- $\kappa$ B signaling pathway, which led us to create a working model of NIK-mediated immune cell recruitment (Figure 3C).

Next, microarray analysis was used to obtain insights into gene expression changes in immune cell lines post-GSK-3 inhibition with elraglusib. Immune cell lines (TALL-104, NK-92) were treated with elraglusib at IC-50 concentrations or DMSO as vehicle control for 24 h, and treated versus untreated samples were compared in triplicate using microarray analysis (Supplementary Figure S4A–F). Results were calculated using a fold-change (FC) cutoff of  $>1.5$ ,  $<-1.5$ , and a minimum  $p$ -value of  $<0.05$ . NK-92 cells had 61 differentially expressed genes post-treatment (Figure 3D). We observed an increase in genes that promote immune cell proliferation (*TNFSF14*, *RAB38*) and control immune cell adhesion and migration (*WNK1*) post-elraglusib treatment (Supplementary Table S4). We also noted decreases in proapoptotic genes (*MIR186*, *S100A12*) and genes involved in the activation of latent TGF $\beta$  to suppress immune cell function (*ITGB8*). TALL-104 cells had 64 differentially expressed genes post-treatment (Figure 3E). We observed an increased expression of genes involved in the modulation of NF- $\kappa$ B activity (*RNY4*, *RNY5*), cytotoxic granule exocytosis (*STX19*, *VAMP8*), and anti-apoptotic gene BCL2-related protein A1 (*BCL2A1*) (Supplementary Table S5). We also saw an upregulation (1.56-FC) of kinesin family member 7 (*KIF7*), which is required for T cell development and MHC expression, as well as an increased expression (1.52-FC) of chemokine C-C motif ligand 3 (*CCL3*) which is known to recruit and enhance the proliferation of CD8 $^{+}$  T cells [27]. In contrast, we observed a decreased expression of genes involved in TGF $\beta$  signaling pathways (*ACVR1B*, *PTPN14*) and proapoptotic genes (*HSPA1A*, *UBE3A*). We also saw a decreased expression (-1.56-FC) of inhibitory immune checkpoint protein tyrosine phosphatase, non-receptor type 3 (*PTPN3*). In total, there were 124 differentially expressed genes post-treatment and only 1, an unnamed gene (probe set ID TC22000564.hg.1, coding), was shared between both cell lines (Figure 3F).

To determine if there was any heterogeneity in response to drug treatment, we employed a  $10 \times$  single-cell sequencing analysis on both immune cell lines (TALL-104, NK-92) treated with low-dose 1  $\mu$ M elraglusib or vehicle control (DMSO) for 24 h. As expected, samples clustered by cell type when aggregate data were visualized using a t-SNE plot (Figure 3G). Interestingly, immune cells showed a differential expression of mitochondrial-encoded genes (MT) and ribosomal genes (RB) post-treatment with elraglusib, suggesting a metabolic shift in line with the extensive metabolic reprogramming undertaken in immune cells post-activation [28] to support immune cell activities such as cytokine production (Figure 3H). Several genes showed the same trends post-treatment in both cell lines (Figure 3I). In both cell lines, we observed an increase in immune cell activation marker *CD69* and a decrease in the immunosuppressive marker *CHI3L1*. Finally, we noted an increase in immune cell attractant *CCL4* in the NK-92 cells and an increase in immune cell chemoattractant *CXCR4* in the TALL-104 cells.

Because the previously observed non-canonical NF- $\kappa$ B pathway activation is known to enhance the expression of immune cell chemotactic chemokines and cytokines, we sought to determine how elraglusib treatment impacts the immune cell secretome. TALL-104 and NK-92 cells were treated with 1  $\mu$ M elraglusib for 48 h before cell culture supernatant was collected for cytokine profile analysis. TALL-104 cells treated with elraglusib showed increases in effector molecules IFN- $\gamma$ , granzyme B, and TRAIL concentrations, as measured in picogram per milliliter (Figure 3J). In contrast, NK-92 cells treated with elraglusib showed increases in IFN- $\gamma$  and TRAIL but decreases in the concentration of secreted granzyme B.



**Figure 3.** Elraglusib treatment increases effector molecule secretion and induces an energetic shift in cytotoxic immune cells. Representative images of western blot analysis of (A) TALL-104 and (B) HT-29 cytotoxic immune cells for expression of indicated proteins after increasing durations of elraglusib treatment (0–72 h). (C) Proposed model for non-canonical NF-κB pathway activation: increased NIK expression indicates non-canonical NF-κB pathway activation which enhances the expression of chemokines and cytokines (CCL11, TNF-α, GM-CSF) and subsequently leads to increased recruitment and proliferation of cytotoxic immune cells (CD8+ T, CD4+ T, NK cells). Immune cells were treated with 1 μM elraglusib for 24 h and treated versus untreated samples were compared in triplicate. Microarray analysis results were visualized using volcano plots for (D) NK-92 and (E) TALL-104 immune cell lines

( $N = 3$ ). (F) A Venn diagram was used to compare the 124 genes that were differentially expressed post-treatment with elraglusib in the two immune (TALL-104, NK-92) cell lines ( $N = 3$ ). (G)  $10 \times$  single-cell sequencing analysis of immune cells treated with elraglusib. TALL-104 and NK-92 cells were treated with  $1 \mu\text{M}$  elraglusib for 24 h and aggregate data were visualized using a t-SNE plot. (H) Immune cells show differential expression of mitochondria-encoded genes (MT) and ribosomal genes (RB) after elraglusib treatment. (I) Heatmap comparing gene expression post-elraglusib treatment as compared to control. Fold-change is shown, where red indicates a positive fold-change and green indicates a negative fold-change. (J) Immune cells (TALL-104, NK-92) were treated with  $1 \mu\text{M}$  elraglusib for 48 h and cell culture supernatant was analyzed with the Luminex 200. Fold-change is shown, where red indicates a positive fold-change and green indicates a negative fold-change ( $N = 3$ ).

### 2.5. Elraglusib Significantly Prolongs Survival in Combination with Anti-PD-L1 Therapy in a Syngeneic MSS CRC Murine Model

Because elraglusib activated immune cells and increased tumor cell PD-L1 expression, we sought to evaluate the potential for elraglusib to increase the efficacy of ICB and utilized a syngeneic murine colon carcinoma BALB/c murine model using a MSS cell line CT-26 (Figure 4A). Mice were randomly assigned to one of seven groups: isotype ( $N = 12$ ), elraglusib ( $N = 12$ ), elraglusib + isotype ( $N = 12$ ), anti-PD-1 ( $N = 12$ ), anti-PD-L1 ( $N = 12$ ), elraglusib + anti-PD-1 ( $N = 12$ ), and elraglusib + anti-PD-L1 ( $N = 12$ ). In this MSS CRC model, we observed a significantly improved survival curve in the elraglusib and anti-PD-L1 combination therapy group (Figure 4B). We also observed statistically significant improved survival in the elraglusib, anti-PD-1, and anti-PD-L1 alone groups as compared to the control (Supplementary Figure S5A–E). However, we saw the most sustained response in the elraglusib and anti-PD-L1 combination therapy group (Supplementary Figure S5F). Murine body weights did not differ significantly regardless of the treatment group (Supplementary Figure S5G–L). Additionally, the mice did not show evidence of significant treatment-related toxicity on complete blood count or serum chemistry analysis (Supplementary Table S6). Both the elraglusib individual treatment and dual treatment groups maintained normal renal function, as evidenced by normal blood urea nitrogen (BUN) and creatinine, and were free of significant electrolyte perturbations. Liver function tests did not reveal any evidence of liver toxicity and the dual-treatment mice did not have any elevations in AST, ALT, or bilirubin. As can be expected in mice with significant tumor burdens, mice across treatment groups had decreased albumin levels and evidence of mild marrow hypoplasia resulting in mild anemia, and reduced white blood cell and platelet counts. This effect was independent of the treatment group and likely related to tumor burden at the time of sacrifice.

### 2.6. Murine Responders Have More T Cell Tumor-Infiltration and Elevated Tumoral CD8+/Treg and CD4+/Treg Ratios

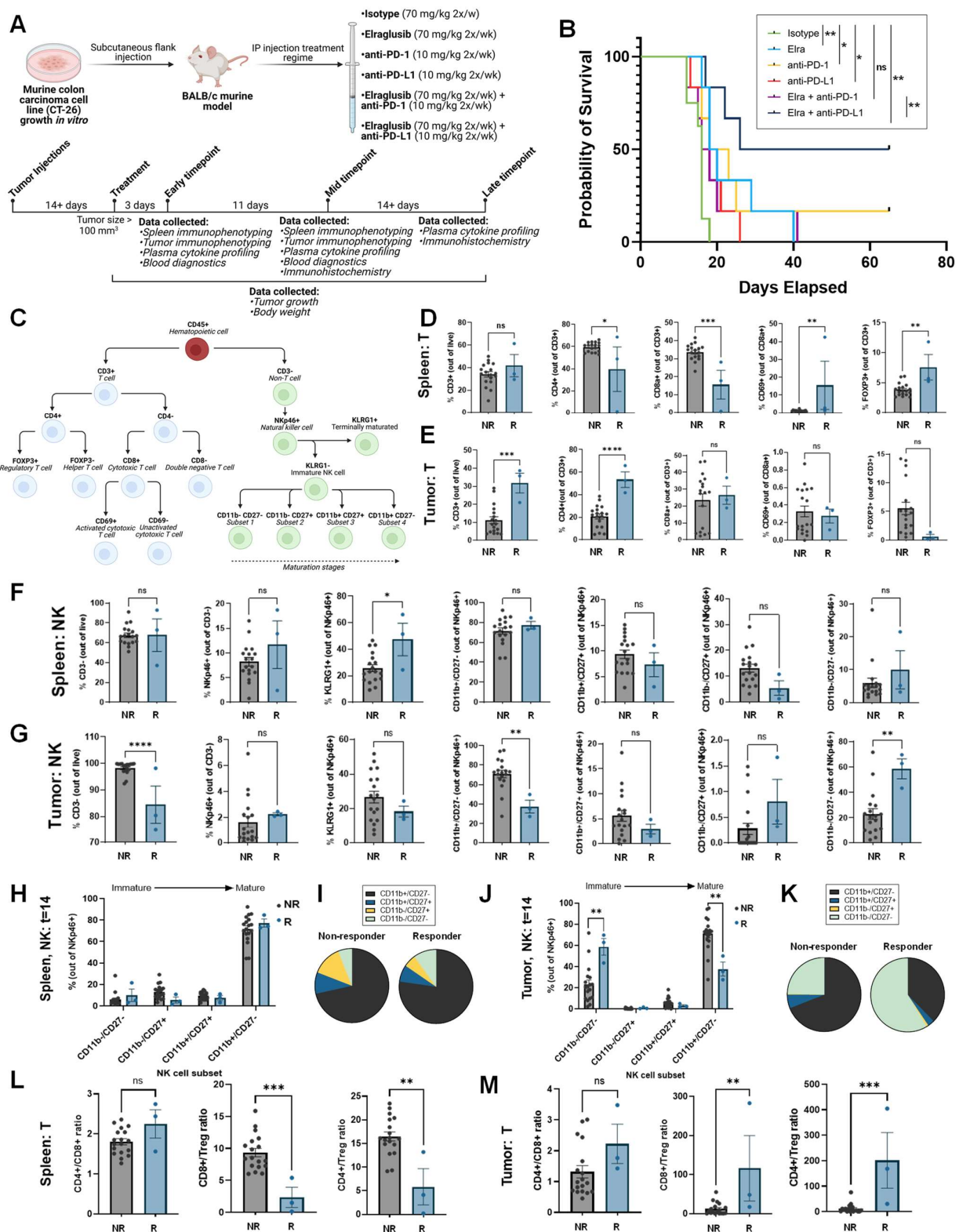
To begin to evaluate our hypothesis that elraglusib increases immune cell activation and recruitment, we utilized multi-color flow cytometry to characterize the natural killer (NK) and T cell populations two weeks after treatment initiation, and immune cell subpopulations were analyzed in both the spleen and the tumor (Figure 4C). Two weeks after treatment initiation, mice were grouped as responders (R) or non-responders (NR) based on a tumor volume less than or greater than  $100 \text{ mm}^3$ , respectively. Compared to non-responders, regardless of treatment group, responders two weeks after treatment had statistically significantly reduced levels of splenic CD4+ and CD8+ T cells and had increased percentages of CD69+ activated T cells and Foxp3+ regulatory T cells (Tregs) (Figure 4D). Meanwhile, responders had increased percentages of tumor-infiltrating CD3+ and CD4+ T cells (Figure 4E). We also observed that responders had increased percentages of splenic KLRG1+ mature NK cells and tumor-infiltrating CD11b-/CD27- immature NK cells, and decreased percentages of tumor-infiltrating CD11b+/CD27-activated NK cells two weeks after treatment initiation (Figure 4F,G). We did not observe striking differences between non-responders and respon-

ders in the splenic immature natural killer cell subsets (CD11b<sup>-</sup>/CD27<sup>-</sup>, CD11b<sup>-</sup>/CD27<sup>+</sup>, CD11b<sup>+</sup>/CD27<sup>+</sup>, CD11b<sup>+</sup>CD27<sup>-</sup>) (Figure 4H,I). In contrast, we did observe significant differences between non-responders and responders in the tumor-infiltrating immature natural killer cell subsets (Figure 4J,K). We observed that responders had a greater proportion of immature (CD11b<sup>-</sup>/CD27<sup>-</sup>) NK cells and a reduced proportion of mature (CD11b<sup>+</sup>CD27<sup>-</sup>) NK cells two weeks after treatment initiation. When comparing the T cell ratios, compared to non-responders, responders had a reduced splenic CD8<sup>+</sup>/Treg and CD4<sup>+</sup>/Treg ratio (Figure 4L). The CD8<sup>+</sup>/Treg ratio is commonly used as an index of TIL's effector function [29]. Additionally, responders had an elevated intra-tumoral CD8<sup>+</sup>/Treg and CD4<sup>+</sup>/Treg ratio (Figure 4M). Overall, the observed changes in immune cell subsets in responders are consistent with the increased infiltration of cytotoxic immune cells into the tumor.

## 2.7. Murine Responders Show an Immunostimulatory Tumor Microenvironment by IHC

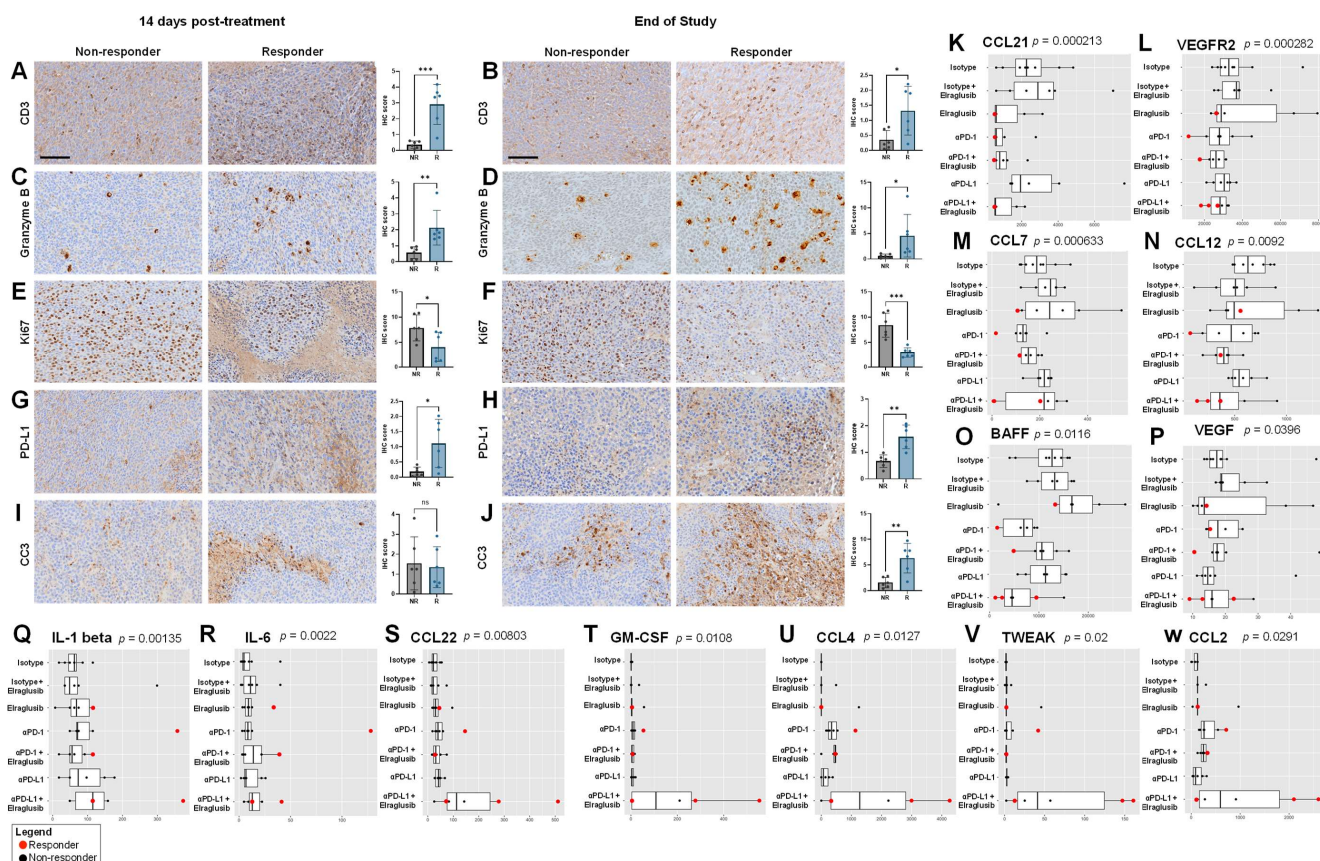
To further interrogate the tumor microenvironment (TME), we conducted immunohistochemistry (IHC) on tumor sections from the two weeks post-treatment initiation timepoint or from the end-of-study (EOS) timepoint. We compared non-responders (NR) and responders (R) and stained for T cell marker CD3 and observed that responders had significantly more CD3<sup>+</sup> T cells as compared to non-responders at both timepoints. (Figure 5A,B). To determine if there were any differences in immune cell activation, we stained for Granzyme B and again observed that responders had significantly more Granzyme B<sup>+</sup> staining at both timepoints as compared to non-responders (Figure 5C,D). We stained for Ki67 as a marker of tumor cell proliferation and observed that responders had less tumor cell proliferation as compared to responders at both timepoints (Figure 5E,F). As we found that elraglusib upregulated tumor cell PD-L1 expression and observed an improvement in survival when elraglusib was combined with anti-PD-L1 therapy as compared to anti-PD-1 therapy, we next looked at PD-L1 staining in the tumor sections (Figure 5G,H). We observed that responders had more PD-L1<sup>+</sup> tumor cells than non-responders at both timepoints. To examine tumor cell apoptosis, we then stained for cleaved-caspase 3 (CC3) and noted that there was no difference in CC3 expression at the mid-timepoint (two weeks after treatment initiation); however, responders did have significantly elevated CC3 expression than non-responders at the EOS timepoint (Figure 5I,J). We also analyzed the expression of CD4, CD8, Foxp3<sup>+</sup>, NKp46, TRAIL, PD-1, VEGF, and TGFβ2 to gain additional insights into the tumor immune microenvironment at both the two weeks after treatment initiation timepoint and the EOS timepoint, respectively (Supplementary Figure S6). To examine helper T cell presence, we stained for CD4 and observed that responders had more CD4<sup>+</sup> T cells than non-responders at both timepoints (Supplementary Figure S6A). Interestingly, we saw the same trends when we examined CD8 expression, where responders had more CD8<sup>+</sup> T cells than non-responders, which differed from the flow cytometry results but could be explained by the large variability in CD8a<sup>+</sup> T cells we observed using flow cytometry in the non-responder group (Supplementary Figure S6B). We did not observe statistically significant differences in Foxp3<sup>+</sup> Treg expression between responders and non-responders at either timepoint (Supplementary Figure S6C). When we examined NK cell tumor-infiltration by IHC, we noted more NKp46<sup>+</sup> NK cells in responders at the two weeks after treatment initiation timepoint, but this difference was not significant at the EOS timepoint (Supplementary Figure S6D). We chose to examine another cytotoxic mediator, TRAIL, and observed no difference between responders and non-responders at the mid-timepoint but, interestingly, observed reduced TRAIL expression in the responders as compared to the non-responders at the EOS timepoint (Supplementary Figure S6E). We also examined PD-1 expression and did not note any significant differences between responders and non-responders at either of the timepoints (Supplementary Figure S6F). Again, we noted a similar lack of significance when we examined immunosuppressive and angiogenic VEGF expression (Supplementary Figure S6G). Finally, we examined immunosuppressive TGFβ2 expression and noted no differences between responders and non-responders at the mid-timepoint but noted that responders had significantly reduced expression at the EOS timepoint (Supplementary Figure S6H).





**Figure 4.** Elraglusib enhances immune-cell tumor-infiltration to prolong survival in combination with anti-PD-L1 therapy in a syngeneic murine model of MSS colon carcinoma. (A) Experimental model overview of the syngeneic murine colon carcinoma BALB/c murine model using MSS cell line CT-26. (B) Kaplan-Meier estimator curves for all treatment groups as indicated. Statistical significance was determined using a Log-rank (Mantel-Cox) test. (C) Overview of cell lineage markers used for flow

cytometric immunophenotyping analysis. Two weeks after treatment initiation, immune cell subpopulations were analyzed in the spleen and tumor. (D) Splenic T cells, (E) tumor-infiltrating T cells, (F) splenic NK cells, and (G) tumor-infiltrating NK cells were compared between responders (R,  $N = 3$ ) and non-responders (NR,  $N = 18$ ), regardless of treatment group. NK cell subsets based on the expression of CD11b and CD27 were compared in the spleen and visualized via (H) bar graph and (I) pie chart. NK cell subsets based on the expression of CD11b and CD27 were also compared in the tumor and visualized via (J) bar graph and (K) pie chart. T cell ratios were compared in the (L) Spleen and (M) Tumor. Statistical significance was determined using two-tailed unpaired T tests.  $p$ -value legend: \*  $p < 0.05$ , \*\*  $p < 0.01$ , \*\*\*  $p < 0.001$ , \*\*\*\*  $p < 0.0001$ . ns: non-significant.



**Figure 5.** Responders have a more immunostimulatory tumor microenvironment as compared to non-responders. IHC analysis of tumors two weeks post-treatment initiation or tumors from long-term mice. Non-responders (NR,  $N = 18$ ) and responders (R,  $N = 3$ ) were compared.  $20 \times$  images, scale bar represents  $100 \mu\text{m}$ . (A,B) CD3, (C,D) Granzyme B, (E,F) Ki67, (G,H) PD-L1, and (I,J) cleaved-caspase 3 (CC3) were compared at the two weeks after treatment initiation timepoint, and the long-term timepoint, respectively. Statistical significance was determined using two-tailed unpaired T tests ( $N = 6$ ). Serum from long-term mice sacrificed was analyzed via cytokine profiling for (K) CCL21, (L) VEGFR2, (M) CCL7, (N) CCL12, (O) BAFF, (P) VEGF, (Q) IL-1  $\beta$ , (R) IL-6, (S) CCL22, (T) GM-CSF, (U) CCL4, (V) TWEAK, and (W) CCL2. Responders (red,  $N = 3$ ) and non-responders (black,  $N = 18$ ) were compared. A Kruskal-Wallis test was used to calculate statistical significance, followed by a Benjamini-Hochberg correction for multiple comparisons.  $p$  values are shown for analytes that were significantly different between responders and non-responders and are ordered by significance.  $p$ -value legend: \*  $p < 0.05$ , \*\*  $p < 0.01$ , \*\*\*  $p < 0.001$ . ns: no significance.

## 2.8. Murine Responders Have Reduced Tumorigenic and Elevated Immunomodulatory Cytokine Concentrations

We next analyzed murine serum samples from EOS mice for cytokine profiles and noted interesting trends between responders and non-responders. Responders were more likely to have reduced serum concentrations of CCL21 ( $p = 0.000213$ ), VEGFR2 ( $p = 0.000282$ ), CCL7

( $p = 0.000633$ ), CCL12 ( $p = 0.0092$ ), BAFF ( $p = 0.0116$ ), and VEGF ( $p = 0.0396$ ) compared to non-responders (Figure 5K–P). In contrast, responders had elevated serum concentrations of IL-1  $\beta$  ( $p = 0.00135$ ), IL-6 ( $p = 0.0022$ ), CCL22 ( $p = 0.00803$ ), GM-CSF ( $p = 0.0108$ ), CCL4 ( $p = 0.0127$ ), TWEAK ( $p = 0.02$ ), and CCL2 ( $p = 0.0291$ ) compared to non-responders (Figure 5Q–W).

Analytes that were statistically significant between responders and non-responders at both timepoints (two weeks after treatment initiation, EOS) included CCL7/MCP-3/MARC ( $p = 2.19 \times 10^{-5}$ ), CCL12/MCP-5 ( $p = 0.000606$ ), TWEAK/TNFSF12 ( $p = 0.00112$ ), BAFF/TNFSF13B ( $p = 0.00469$ ), IL-1  $\beta$ /IL-1F2 ( $p = 0.00507$ ), CCL21/6Ckine ( $p = 0.00539$ ), VEGF ( $p = 0.00646$ ), IFN- $\gamma$  ( $p = 0.00817$ ), CCL4/MIP-1  $\beta$  ( $p = 0.0133$ ), IL-6 ( $p = 0.229$ ), and GM-CSF ( $p = 0.0257$ ). The entire panel of cytokines, chemokines, and growth factors analyzed by multiplex immunoassay in murine serum from the EOS timepoint included BAFF, MCP-1, MIP-1  $\alpha$ , MIP-1  $\beta$ , RANTES, MCP-3, Eotaxin, MCP-5, VEGFR2, MIP-3  $\alpha$ , CCL21, MDC, IP-10, CXCL12, GM-CSF, Granzyme B, IFN- $\gamma$ , IL-1  $\alpha$ , IL-18, IL-2, IL-3, IL-4, IL-6, IL-7, IL-10, IL-12 p70, IL-13, IL-16, VEGF, M-CSF, Prolactin, and TWEAK (Supplementary Figure S7A–S).

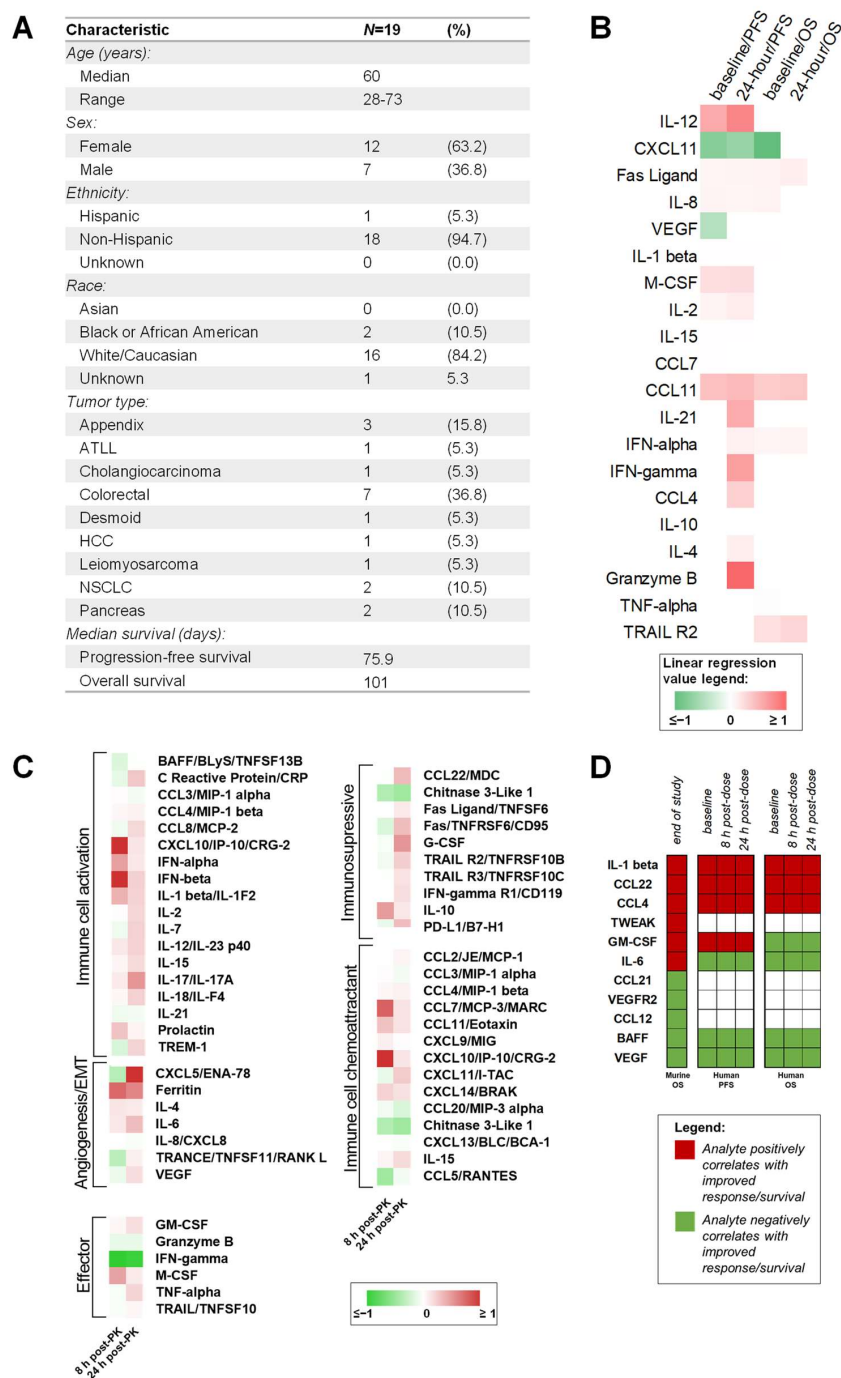
### 2.9. Patient Plasma Concentrations of Cytokines from a Phase 1 Clinical Trial Investigating Elraglusib Correlate with Progression-Free Survival, Overall Survival, and In Vivo Response to Therapy Results

To determine the clinical relevance of the biomarkers of response identified in our murine model, we next employed Luminex 200 technology to analyze plasma samples from patients with refractory solid tumors of multiple tissue origins enrolled in a Phase 1 clinical trial investigating elraglusib (NCT03678883). Patients included in this analysis represented multiple tumor types, including appendix ( $N = 3$ , 15.8%), adult T-cell leukemia/lymphoma (ATLL) ( $N = 1$ , 5.3%), cholangiocarcinoma ( $N = 1$ , 5.3%), colorectal ( $N = 7$ , 36.8%), desmoid ( $N = 1$ , 5.3%), hepatocellular carcinoma (HCC) ( $N = 1$ , 5.3%), leiomyosarcoma ( $N = 1$ , 5.3%), non-small cell lung cancer (NSCLC) ( $N = 2$ , 10.5%), and pancreas ( $N = 2$ , 10.5%) cancer (Figure 6A). The median PFS was 75.9 days, and the median OS was 101 days (Supplementary Table S7). Baseline and 24 h post-elraglusib plasma concentrations of cytokines, chemokines, and growth factors were plotted against PFS and OS and simple linear regressions were used to calculate significance. A heatmap was then used to visualize linear regression values (Figure 6B). We found that baseline concentrations of several analytes (IL-12, CXCL11, Fas Ligand, IL-8, VEGF, IL-1  $\beta$ , M-CSF, IL-2) correlated with progression-free survival (PFS). Likewise, concentrations of several analytes (IL-12, IL-1  $\beta$ , IL-21, IL-8, IFN- $\alpha$ , IFN- $\gamma$ , M-CSF, CCL4, Fas Ligand, IL-2, IL-10, CCL11, IL-15, IL-4, Granzyme B, CXCL11) 24 h post dose also correlated with PFS. We next analyzed overall survival (OS) data and noted that baseline concentrations of several analytes (IL-8, CXCL11, CCL11, IFN- $\alpha$ , TNF- $\alpha$ , Fas Ligand, TRAIL R2, IL-1  $\beta$ ) correlated with OS. Next, 24 h post-dose concentrations of several analytes (IFN- $\alpha$ , Fas Ligand, TRAIL R2, CCL11) also correlated with OS. Importantly, Fas Ligand and CCL11 were the only two analytes that were statistically significant in all four comparisons (pre-dose, post-dose, OS, PFS) and were found to be positively correlated with improved PFS and OS.

Many of the analytes were upregulated at 8 and 24 h after treatment as compared to baseline (Figure 6C). When the cytokines, chemokines, and growth factors were grouped by timepoint and raw values were visualized with a heatmap, we noticed several interesting trends (Supplementary Figure S8A). When grouped by primary tumor location (appendix, adult T cell leukemia/lymphoma [ATLL], cholangiocarcinoma, colorectal, desmoid, hepatocellular carcinoma [HCC], leiomyosarcoma, non-small cell lung cancer [NSCLC], pancreatic), we noted that the patient with a desmoid tumor had elevated expression of many of the analytes included in the panel. When cytokines were grouped by elraglusib dose (1, 2, 3.3, 5, 7, 9.3, 12.37) in milligrams per kilogram, we noted that patients receiving a 7 mg/kg dose had increased expression of many of the analytes included in the panel at both the 8- and 24-h post-dose timepoints (Supplementary Figure S8B). Finally, when cytokines were grouped by cytokine, chemokine, or growth factor family we noted that TNF family molecules (BAFF, Fas Ligand, Fas, TNF- $\alpha$ , TRAIL R2, TRAIL R3, TRAIL, TRANCE) has a decreased expression at the 8-h



post-dose timepoint as compared to baseline and had increased over baseline levels by the 24-h timepoint (Supplementary Figure S8C).



**Figure 6.** Patient plasma concentrations of cytokines correlate with progression-free survival (PFS), overall survival (OS), and in vivo response to therapy results. Plasma samples from human patients with refractory solid tumors of multiple tissue origins enrolled in a Phase 1 clinical trial investigating a novel GSK-3 inhibitor elraglusib (NCT03678883) were analyzed using a Luminex 200 ( $N = 19$ ). (A) Table summarizing patient demographics. (B) Baseline and 24-h post-elraglusib plasma concentrations of cytokines, chemokines, and growth factors were plotted against PFS and OS. Simple linear regressions were used to calculate significance.  $p$  values less than 0.05 were reported as statistically significant. (C) Cytokines

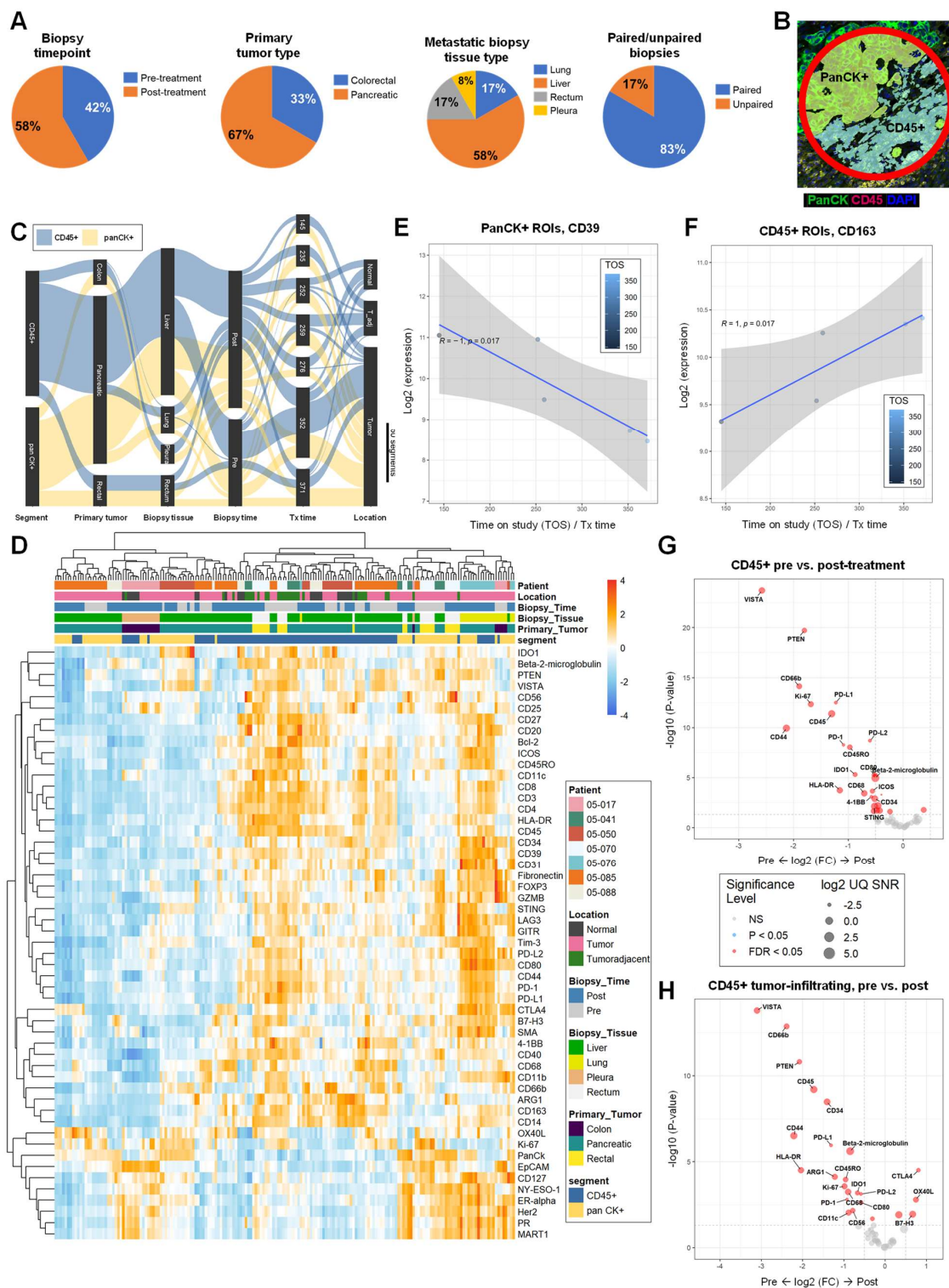


grouped by function. Fold-change is shown where green indicates a negative ( $<0$ ) fold-change compared to the baseline (pre-dose) value and red indicates a positive ( $>0$ ) fold-change. (D) Table comparing murine and human circulating biomarker trends. Red boxes indicate that an analyte concentration positively correlated with response to therapy/PFS/OS while green boxes indicate that an analyte concentration negatively correlated with response to therapy/PFS/OS.

To compare both murine and human circulating biomarker trends, we created a table to visualize major trends (Figure 6D). EOS analyte concentrations that positively correlated with OS in the mouse model included IL-1  $\beta$ , CCL22, CCL4, TWEAK, GM-CSF, and IL-6. Those that negatively correlated with OS in the mouse model included CCL21, VEGFR2, CCL12, BAFF, and VEGF. Interestingly, we observed that many of these trends held when analyzing the human data. IL-1  $\beta$ , CCL22, and CCL4 all were positively correlated with PFS and OS in the human cohort; likewise, BAFF and VEGF were negatively correlated with OS and PFS. GM-CSF and IL-6 had opposing correlations in the human cohort as compared to the murine cohort.

#### *2.10. PanCK+ Expression of Immunosuppressive CD39 Negatively Correlated with Time-on-Treatment (Tx time) While CD45+ Expression of Monocyte/Macrophage Marker CD163 Positively Correlated with Tx Time*

To gain insights into the human TME post-elraglusib, we utilized GeoMx Digital Spatial Profiling (DSP) technology to profile the expression of 59 proteins in tumor biopsies ( $N = 12$ ) from patients treated with elraglusib ( $N = 7$ ). A total of 42% ( $N = 5$ ) of the tumor biopsies analyzed were collected near or before treatment start (pre-treatment) and 58% ( $N = 7$ ) of the biopsies analyzed were collected from post-treatment (average time-on-treatment [Tx time] at post-treatment biopsy: 270 days) (Figure 7A). Primary tumor types included CRC ( $N = 4$ , 33%) and pancreatic cancer ( $N = 8$ , 67%), while metastatic biopsy tissue sites included lung ( $N = 2$ , 17%), liver ( $N = 7$ , 58%), rectum ( $N = 2$ , 17%), and pleura ( $N = 1$ , 8%). We analyzed five paired tumor biopsies ( $N = 10$  slides total, 83%), and two unpaired biopsies ( $N = 2$  slides total, 17%). Half ( $N = 6$ , 50%) of the tumor sections were needle biopsies (Supplementary Figure S9) and half ( $N = 6$ , 50%) were tissue biopsies (Supplementary Figure S10). All patients included in this analysis were considered responders based on the definition used in the Phase 1 trial that treatment response is equal to disease control greater than 16 weeks. Our region of interest (ROI) selection strategy focused on mixed tumor and immune cell segments within FFPE tissue. ROIs were segmented based on panCK+ and CD45+ morphology stains to compare tumor versus immune cells protein expression (Figure 7B, Supplementary Figure S11). We utilized a PCA plot to visualize dimensionality reduction and, as expected, samples tended to cluster by tissue type (liver, lung, pleura, rectum) and further separated by segment (CD45, panCK) on PC2 (Supplementary Figure S12A,B). We utilized a Sankey diagram to visualize the study design, where the width of a cord in the figure represents how many segments are in common between the two annotations they connect (Figure 7C). This visualization is useful for showing the flow of samples and how they relate to the biological annotations. For example, approximately half of the ROIs from the entire experiment were CD45+ and the other half were panCK+. As expected, samples tended to cluster together based on patient ID, primary tumor location, biopsy timepoint, metastatic biopsy tissue site, immune cell location, or segment (CD45, panCK) type when visualized on an aggregate heatmap (Figure 7D). As we were interested in the ability to predict a patient's time-on-treatment (Tx time), we sought to correlate pre-treatment protein expression levels among the responders with Tx time data and found that PanCK+ segment expression of immunosuppressive CD39 negatively correlated with Tx time (Figure 7E), while CD45+ segment monocyte/macrophage marker CD163 expression positively correlated with Tx time (Figure 7F) [30].



**Figure 7.** Spatial profiling of patient tumor biopsies reveals a more immunostimulatory tumor microenvironment after elraglusib treatment. Patient samples were analyzed using NanoString GeoMx Digital Spatial Profiling (DSP) technology ( $N = 12$  biopsies). **(A)** Pie charts showing biopsy timepoint, primary tumor type, metastatic biopsy tissue type, and paired/unpaired biopsy sample information breakdowns. **(B)** A representative region of interest (ROI) showing PanCK+ and CD45+ masking. Green indicates CK, red indicates CD45, and blue indicates DAPI staining. **(C)** A Sankey diagram was

used to visualize the study design where the width of a cord in the figure represents how many segments are in common between the two annotations they connect. The scale bar represents 50 segments. Blue cords represent CD45+ segments and yellow cords represent panCK+ segments. (D) Heatmap of all areas of interest (AOIs). Patient IDs, immune cell locations, biopsy timepoint, biopsy tissue, primary tumor location, and segment identity information are color coded as indicated in the legend. (E) PanCK+ ROI CD39 expression plotted against time-on-study (TOS). Points are color-coded by time on study (TOS)/time on treatment with darker blue points indicating a shorter TOS or time on treatment. (F) CD45+ ROI CD163 expression plotted against TOS. (G) Volcano plot showing a comparison of CD45+ region protein expression in post-treatment biopsies and pre-treatment biopsies regardless of timepoint. Grey points are non-significant (NS), blue points have  $p$  values  $<0.05$ , and red points have false discovery rate (FDR) values less than 0.05. The size of the point represents the  $\log_2$  UQ Signal-to-noise ratio (SNR). (H) Volcano plot showing a comparison of tumor-infiltrating CD45+ immune cell segment protein expression in pre- versus post-treatment biopsies. Grey points are non-significant (NS), blue points have  $p$  values  $<0.05$ , and red points have false discovery rate (FDR) values less than 0.05. The size of the point represents the  $\log_2$  UQ Signal-to-noise ratio (SNR).

### 2.11. Tumor-Infiltrating Immune Cells Have Reduced Inhibitory Checkpoint Expression and Elevated Expression of T Cell Activation Markers after Elraglisib Treatment

When comparing all samples, CD45+ regions of post-treatment biopsies had increased protein expression of T cell activation marker OX40L ( $p = 0.016$ ) and decreased protein expression of checkpoint molecules VISTA ( $p = 2.0 \times 10^{-24}$ ), PD-L1 ( $p = 3.2 \times 10^{-13}$ ), PD-L2 ( $p = 2.0 \times 10^{-9}$ ), LAG3 ( $p = 5.1 \times 10^{-4}$ ), and PD-1 ( $p = 5.6 \times 10^{-9}$ ). CD45+ regions of post-treatment biopsies also had a decreased protein expression of myeloid/neutrophil marker CD66b ( $p = 7.5 \times 10^{-15}$ ), myeloid markers IDO1 ( $p = 4.8 \times 10^{-6}$ ), CD80 ( $p = 5.4 \times 10^{-6}$ ), and CD11b ( $p = 6.7 \times 10^{-3}$ ), TAM/M2 macrophage marker CD68 ( $p = 3.8 \times 10^{-4}$ ), myeloid/T cell activation marker OX40L ( $p = 0.016$ ), myeloid marker CD40 ( $p = 0.020$ ), and DC/myeloid marker CD11c ( $p = 0.022$ ) as compared to pre-treatment samples (Figure 7G). Because we were interested in the differential expression of proteins based on immune cell location in relation to the tumor, we annotated CD45+ ROI locations as tumor-infiltrating, tumor-adjacent, or normal tissue (Supplementary Figure S13A–C). When comparing tumor-infiltrating CD45+ immune cell segments in pre- versus post-treatment biopsies, it was found that post-treatment tumor-infiltrating CD45+ immune cell segments had a reduced protein expression of immune checkpoints VISTA ( $p = 1.6 \times 10^{-14}$ ), PD-L1 ( $p = 1.1 \times 10^{-6}$ ), PD-L2 ( $p = 7.6 \times 10^{-4}$ ), and PD-1 ( $p = 1.6 \times 10^{-3}$ ) and elevated protein expression of T cell activation markers CTLA4 ( $p = 3.1 \times 10^{-5}$ ) and OX40L ( $p = 1.6 \times 10^{-3}$ ) (Figure 7H). We also noted that post-treatment tumor-infiltrating CD45+ immune cell segments had a reduced protein expression of myeloid marker CD66b ( $p = 1.4 \times 10^{-13}$ ), antigen PTEN ( $p = 1.5 \times 10^{-11}$ ), hematopoietic marker CD34 ( $p = 3.3 \times 10^{-9}$ ), T cell activation marker CD44 ( $p = 3.1 \times 10^{-7}$ ), antigen presentation B2M ( $p = 2.5 \times 10^{-6}$ ), immune cell activation marker HLA-DR ( $p = 3.1 \times 10^{-5}$ ), TAM/M2 macrophage marker ARG1 ( $p = 7.6 \times 10^{-5}$ ), memory T cell marker CD45RO ( $p = 1.1 \times 10^{-4}$ ), proliferation marker Ki-67 ( $p = 2.7 \times 10^{-4}$ ), TAM/M2 macrophage marker CD68 ( $p = 5.8 \times 10^{-4}$ ), myeloid marker IDO1 ( $p = 6.6 \times 10^{-4}$ ), myeloid marker CD80 ( $p = 2.4 \times 10^{-3}$ ), NK cell marker CD56 ( $p = 6.9 \times 10^{-3}$ ), DC/myeloid marker CD11c ( $p = 9.5 \times 10^{-3}$ ), and T cell activation marker GITR ( $p = 0.021$ ) and had an elevated protein expression of immune checkpoint molecule B7-H3 ( $p = 0.012$ ) and Treg marker CD127 ( $p = 0.012$ ) as compared to pre-treatment tumor-infiltrating CD45+ immune cell segments.

### 2.12. Patients with a Long Time-on-Treatment Have Decreased B Cell and Myeloid Marker Expression in Immune Cell Regions and Have Decreased Immune Checkpoint Expression in Tumor Cell Regions

Next, we sought to compare pre-treatment biopsy protein expression in CD45+ segments between patients who were undergoing treatment for a longer duration of time, called “Long Tx patients”, and patients who participated in the study for a shorter duration of time, called “Short Tx patients”, and observed that Long Tx patients had a reduced protein expression of B

cell marker CD20 ( $p = 0.012$ ) and myeloid activation marker CD80 ( $p = 0.047$ ) (Supplementary Figure S12C). Long Tx was defined as a Tx time greater than 275 days (~39 weeks). Then, we compared protein expression in CD45+ segments in post-treatment biopsies between Long Tx patients and Short Tx patients. We observed that Long Tx patients had reduced protein expression of antigen NY-ESO-1 ( $p = 0.021$ ) and progesterone receptor (PR) ( $p = 0.022$ ) (Supplementary Figure S12D). We then compared pre-treatment biopsy protein expression in PanCK+ segments between Long Tx patients and Short Tx patients and observed that Long Tx patients had reduced protein expression of cytotoxic T cell marker CD8 ( $p = 3.5 \times 10^{-3}$ ), antigen Her2 ( $p = 0.033$ ), Treg marker Foxp3 ( $p = 0.033$ ), T cell marker CD3 ( $p = 0.035$ ), and B cell marker CD20 ( $p = 0.046$ ). Long Tx patients also had reduced immune checkpoint protein expression of LAG3 ( $p = 0.023$ ), PD-L2 ( $p = 0.028$ ), and PD-1 ( $p = 0.046$ ) (Supplementary Figure S12E). We carried out the same analysis with a focus on panCK+ segments in post-treatment biopsies. Long Tx patients had reduced protein expression of mature B cell/DC marker CD35 ( $p = 8.5 \times 10^{-3}$ ), antigen NY-ESO-1 ( $p = 8.7 \times 10^{-3}$ ), antigen Her2 ( $p = 0.022$ ), antigen MART1 ( $p = 0.029$ ), cytotoxic T cell marker CD8 ( $p = 0.030$ ), Treg marker Foxp3 ( $p = 0.030$ ), antigen PTEN ( $p = 0.032$ ), DC/myeloid marker CD11c ( $p = 0.034$ ), memory T cell marker CD45RO ( $p = 0.036$ ), checkpoint PD-L1 ( $p = 0.047$ ), and PR ( $p = 0.049$ ) as compared to Short Tx patients (Supplementary Figure S12F). Several additional comparisons were made between pre- and post-treatment biopsies, immune cell location in proximity to the tumor, and paired and unpaired biopsies (Supplementary Figure S14A–N).

### 3. Discussion

ICB is a promising treatment strategy for many cancer patients, including MSI-H CRC patients. However, the response rate to ICB in MSS CRC patients is very limited, especially as the tumor stage advances, thus, there is a clear need for improved treatment strategies for this patient population. Evaluating the combination of ICB with small molecules in oncology represents one of the ways we might improve the efficacy of ICB in MSS CRC patients. Here, we focus on the small-molecule inhibitor of GSK-3 elraglusib and characterize several immunomodulatory mechanisms that provide a clinical rationale for the combination of GSK-3 inhibitors such as elraglusib in combination with ICB.

We demonstrate that the small-molecule inhibition of GSK-3 using elraglusib leads to increased natural killer and T-cell-mediated CRC cell killing in a co-culture model. Moreover, elraglusib acts on tumor cells to sensitize them to immune-cell-mediated killing. This tumor cell sensitization could be resultant of drug-induced modifications in the tumor cell secretome, such as decreased VEGF expression, decreased soluble PD-L1, and increased CXCL14, as we previously described [26,31]. VEGF has been shown to inhibit T cell activation [32], while CXCL14 is a known NK cell chemoattractant [33]. It has been shown that the soluble or shed version of PD-L1 can retain the ability to bind PD-1 and function as a decoy receptor to negatively regulate T cell function, despite being a truncated version lacking the membrane domain of the protein [34]. Therefore, the increase in efficacy, in combination with ICB, that we observed in the murine model could be due to the concomitant downregulation of sPD-L1 and upregulation of cell-surface-expressed PD-L1.

Elraglusib-mediated immunostimulation may also function, in part, by inducing pyroptosis in cancer cells. Pyroptosis is a lytic and pro-inflammatory type of programmed cell death that results in cell swelling and membrane perforation. Although the role of pyroptosis in cancer is controversial, it has been suggested that pyroptosis may contribute to anti-tumor immunity [35]. Since we observed gasdermin B expression post-IFN- $\gamma$  treatment in CRC cells, and because we found that elraglusib treatment upregulated immune cell IFN- $\gamma$  secretion, we hypothesize that the IFN- $\gamma$  released from CD8+ T cells and NK cells is responsible for triggering pyroptosis, which may contribute to elraglusib-mediated immunostimulation. Future experiments will seek to further characterize the role of pyroptosis in the co-culture system after treatment with elraglusib.

Another mechanism behind elraglusib-mediated immunomodulation is the suppression of inflammatory NF- $\kappa$ B signaling and survival pathways in the tumor cells. We demon-



strated that elraglusib treatment of CRC cells decreased Survivin, NF- $\kappa$ B p65, Bcl-2, and Mcl-1 expression while increasing PD-L1 expression. This is in accordance with previous studies that have shown that GSK-3 is a positive regulator of NF- $\kappa$ B [36]. Microarray data showed an increased expression of antiproliferative, proapoptotic, and NF- $\kappa$ B regulator genes and decreased expression of genes involved in cell cycle progression, antiapoptotic, and EMT genes in CRC cell lines. Multiplex immunoassay data showed a decreased tumor cell secretion of proteins involved in angiogenesis, EMT, and immunosuppression.

Meanwhile, we observed the opposite effect on NF- $\kappa$ B signaling in immune cells, where we observed that drug treatment increased NF- $\kappa$ B-inducing kinase (NIK) expression. NIK is the upstream kinase that regulates the activation of the non-canonical NF- $\kappa$ B signaling pathway and may suggest a role for non-canonical NF- $\kappa$ B signaling in immune cells after elraglusib treatment, which future studies could evaluate. It is known that the increased expression of NIK leads to an enhanced expression of chemokines and cytokines such as CCL3, TNF- $\alpha$ , and MCP-1, thus leading to the increased recruitment and proliferation of cytotoxic immune cells [37]. Moreover, the treatment of immune cells with elraglusib increased effector molecule secretion and increased expression of genes involved in cytotoxic granule exocytosis, cellular proliferation, and the modulation of NF- $\kappa$ B activity. Moreover, elraglusib treatment resulted in the decreased gene expression of proapoptotic molecules and regulators of TGF $\beta$  signaling which may also contribute to the tumor-suppressive and anti-angiogenic effects of elraglusib that have been previously described [38].

In a syngeneic murine colon carcinoma BALB/c murine model using MSS cell line CT-26, we observed significantly improved survival in mice treated with elraglusib and anti-PD-L1 therapy. We also demonstrated increased survival in mice treated with elraglusib alone as compared to the control group. We also observed statistically significant improved survival in the anti-PD-1 and anti-PD-L1 alone groups as compared to the control. Responders had reduced percentages of splenic CD4<sup>+</sup> T cells and splenic CD8<sup>+</sup> T cells and increased percentages of CD69<sup>+</sup> activated T cells and Foxp3<sup>+</sup> Tregs. The increased splenic percentages of both activated and end-stage T cells in the responder groups could be indicative of an anti-tumor immune response that was mounted earlier in the course of treatment. Future studies could analyze the changes in these immune cell populations during the course of therapy in greater detail, especially as we could have missed important changes in immune cell subtypes due to the limited timepoints. Compared to non-responders, responders also had more CD3<sup>+</sup> and CD4<sup>+</sup> tumor-infiltrating lymphocytes. Further studies could evaluate the contribution of CD4<sup>+</sup> versus CD8<sup>+</sup> tumor-infiltrating T cells to the observed response to elraglusib and anti-PD-L1 therapy, especially considering the recent interest in the contribution of CD4<sup>+</sup> helper T cells to anti-tumor immunity [39]. We did not observe many significant differences in splenic NK cell subpopulations in either the tumor or the spleen, although perhaps the timepoint we chose to analyze was not representative of NK cell subpopulation changes that may have occurred earlier or later in the course of treatment. One limitation of this model is that it is a heterotopic flank tumor model as opposed to an orthotopic colon tumor model, which may be more representative of the CRC TME. Follow-up experiments could examine the contribution of CD4<sup>+</sup> T cells, CD8<sup>+</sup> T cells, and NK cells to response to therapy in the murine MSS CRC model by blocking the function of each cell population in individual experiments.

We observed that murine responders had reduced serum concentrations of BAFF, CCL7, CCL12, VEGF, VEGFR2, and CCL21. BAFF is a cytokine that belongs to the TNF ligand superfamily, which may promote tumorigenesis indirectly through the induction of inflammation in the TME and directly through the induction of EMT [40]. Meanwhile, CCL7 has been shown to enhance both cancer progression and metastasis via EMT, including in CRC cells [41]. Similarly, others have demonstrated that CXCR4 plays a critical role in the promotion of the progression of inflammatory CRC [42]. It is commonly known that the expression of VEGF-1 in CRC is associated with disease localization, stage, and long-term survival [43]. We previously observed the suppression of VEGF in a panel of CRC cell lines

post-elraglusib treatment and saw a similar suppression of VEGF in the murine responders. Moreover, we noted a decrease in VEGFR2 in murine responders, a protein that is highly expressed in CRC and promotes angiogenesis [44]. Finally, CCL21 has been shown to play a role in colon cancer metastasis [45]. Since many of the downregulated analytes in responders play a role in EMT, future studies of elraglusib could include metastatic CRC models.

We observed that responders had elevated serum concentrations of CCL4, TWEAK, GM-CSF, CCL22, and IL-12p70 as compared to non-responders. Others have demonstrated that CCL4 is an important chemokine in the TME, determining response to ICB, and that a lack of CCL4 can lead to the absence of CD103<sup>+</sup> dendritic cells (DCs) [46]. DCs are an important cell population influencing the response to ICB, and although we did not monitor their levels in this study, it is conceivable that they played a role in influencing response to therapy. For this reason, further studies could monitor DC populations during the course of therapy. TWEAK is commonly expressed by peripheral blood monocytes and upregulates its expression after exposure to IFN- $\gamma$  [47]. TWEAK has also been shown to promote the nuclear translocation of both classical and alternative NF- $\kappa$ B pathway subunits [48]. GM-CSF is a well-known immunomodulatory factor that has immunostimulatory functions but is also predictive of poor prognosis in CRC [49]. Finally, we observed increased levels of IL-12p70 in murine responders. IL-12 is a potent, pro-inflammatory cytokine that has been shown to increase the activation and cytotoxicity of both T and NK cells as well as to inhibit immunosuppressive cells such as TAMs [50] and myeloid-derived suppressor cells (MDSCs) [51]. We demonstrated that GSK-3 inhibitors such as elraglusib represent a possible combination strategy to increase the efficacy of ICB in patients with MSS CRC. The elraglusib-mediated increase in tumor surface-cell-expressed PD-L1 presumably makes this an ideal small molecule to combine with anti-PD-L1 therapies. As this study was concerned solely with CRC, future studies could evaluate the combination of GSK-3 inhibitors with ICB in other malignancies of interest, such as pancreatic cancer.

The cytokine analysis of plasma samples from patients with refractory solid tumors of multiple tissue origins enrolled in a Phase 1 clinical trial investigating elraglusib (NCT03678883) revealed that elevated baseline plasma levels of proteins such as IL-1  $\beta$  and reduced levels of proteins such as VEGF correlated with improved PFS and OS. PFS was also found to be positively correlated with elevated plasma levels of immunostimulatory analytes such as Granzyme B, IFN- $\gamma$ , and IL-2 at 24 h after elraglusib treatment. Several of these secreted proteins correlated with results from the *in vivo* study, where the expression of proteins such as IL-1  $\beta$ , CCL22, CCL4, and TWEAK was positively correlated with improved response to therapy while the expression of proteins such as BAFF and VEGF negatively correlated with response to therapy [52]. These results introduce novel circulating biomarkers for correlations with response to therapy, which could provide significant clinical utility.

DSP analysis of paired FFPE tumor biopsies from patients with CRC or pancreatic cancer before and after treatment revealed that CD39 expression in PanCK<sup>+</sup> segments was negatively correlated with duration of treatment, while CD163 expression in CD45<sup>+</sup> segments was positively correlated with duration of treatment and potential therapeutic benefit. It is known that CD39 can inhibit costimulatory signaling, increase immunosuppression during T cell priming, and its expression is associated with TAMs, Tregs, and inhibited cytotoxic immune cell function [53]. CD39 has been shown to suppress pyroptosis, impair immunogenic cell death, and CD39 expression on endothelial cells regulates the migration of immune cells and promotes angiogenesis [53]. Moreover, CD163 is a marker of cells from the monocyte/macrophage lineage therefore future studies could evaluate the impact of monocyte/macrophages on response to elraglusib. We also noted that immune cell segments showed differential protein expression based on the proximity to the tumor where tumor-infiltrating immune cells had decreased expression of immune checkpoints (PD-L1, Tim-3, PD-1) and Treg markers (CD25, CD127) as compared to tumor-adjacent immune cells, regardless of timepoint. While the downregulation of immune checkpoint proteins PD-1, TIGIT, and LAG-3 by elraglusib has been previously described in melanoma

models [54], our findings regarding VISTA and PD-L2 have not yet been reported. Interestingly, we observed a downregulation of PD-L1 expression in PanCK+ segments at the post-treatment biopsy as compared to the pre-treatment biopsies. This was unexpected as we, and others, have shown that GSK-3 inhibition upregulates tumor cell PD-L1 expression [8,55]. The observed decrease in PanCK+ PD-L1 expression may be a result of the late timepoint of the post-treatment biopsies, as the average time-on-study at the post-treatment timepoint was 270 days. These novel observations regarding emerging immune checkpoint inhibitors should be included in future correlative studies regarding GSK-3 inhibition.

When we analyzed differential protein expression between Long Tx patients and Short Tx patients, we found that Long Tx patients had a reduced post-treatment expression of mature B cell/DC marker CD35, antigen NY-ESO-1, antigen Her2, antigen MART1, cytotoxic T cell marker CD8, Treg marker Foxp3, antigen PTEN, DC/myeloid marker CD11c, memory T cell marker CD45RO, checkpoint PD-L1, and PR in PanCK+ segments as compared to Short Tx patients, which introduces several novel potential biomarkers of response to GSK-3 therapy, which should be validated in further studies. Moreover, when we compared post-treatment protein expression in tumor-infiltrating CD45+ immune cell segments in Long Tx patients and Short Tx patients, we found that Long Tx patients had a decreased expression of antigens NY-ESO-1, PTEN, and PR as compared to Short Tx patients. Interestingly, these three antigens (NY-ESO-1, PTEN, and PR) had decreased expression in Long Tx patients post-treatment, regardless of tumor or immune cell region.

There are several potential limitations of this study. One such limitation is that we tested the combination of elraglusib and ICB therapy in a mouse model using only one MSS CRC cell line. Future studies could determine how other MSS CRC cell lines, and perhaps MSI-H cell lines, will respond to this combination treatment. We also had sample size limitations for the number of mice that were included in each treatment group at each flow cytometry timepoint throughout the course of the study, due to the feasibility of the mouse work. Future studies could include larger numbers of mice per flow cytometry timepoint or include a comparison of both male and female mice to determine if there are any sex-specific effects. Furthermore, given access to an expanded cohort of tumor biopsies from patients treated with elraglusib, it would be interesting to analyze pre-treatment biopsies between responders and non-responders using DSP technology to aid in identifying predictive biomarkers.

In conclusion, this work demonstrates that the small-molecule inhibition of GSK-3 using elraglusib may be a potential means to increase the efficacy of ICB and improve response in patients with MSS CRC, and possibly other tumor types. These findings support further studies and the clinical development of elraglusib in combination with ICB, anti-PD-L1 therapy in particular. Moreover, this study, to our knowledge, represents the first digital spatial analysis of tumor biopsies from patients treated with elraglusib and very few oncology drugs have been evaluated using GeoMx technology to date. The novel circulating biomarkers of response to GSK-3 inhibition identified using the cytokine profiling data could provide significant clinical utility and the spatial proteomics data provide us with novel insights into the immunomodulatory mechanisms of GSK-3 inhibition.

## 4. Methods

### 4.1. Cell Culture Maintenance

Human CRC cells SW480 (RRID: CVCL\_0546), HCT-116 (RRID: CVCL\_0291), HT-29 (RRID: CVCL\_0320), and KM12C (RRID: CVCL\_9547) were used in this study. SW480 cells were cultured in Dulbecco's Modified Eagle Medium (DMEM) supplemented with 10% FBS and 1% Penicillin–Streptomycin. HCT-116 and HT-29 were cultured in McCoy's 5A (modified) Medium supplemented with 10% FBS and 1% Penicillin–Streptomycin. KM12C cells were cultured in Eagle's Minimal Essential Medium supplemented with 10% FBS and 1% Penicillin–Streptomycin. Human immune cells NK-92 (RRID: CVCL\_2142), TALL-104 (RRID: CVCL\_2771), and patient-derived CD8+ T cells were also used in this study. NK-92 cells were cultured in Alpha Minimum Essential medium supplemented with 2 mM L-glutamine, 1.5 g/L sodium bicarbonate, 0.2 mM inositol, 0.1 mM 2-mercaptoethanol,

0.02 mM folic acid, 12.5% horse serum, and 12.5% FBS. TALL-104 cells (CD2+; CD3+; CD7+; CD8+; CD56+; CD4−; CD16−) and patient-derived T cells (CD3 +; CD8 +) were cultured in RPMI-1640 containing 20% FBS, 100 U/mL penicillin, and 100 µg/mL streptomycin. Recombinant human IL-2 (Miltenyi cat# 130-097744) with a final concentration of 100 units/mL was added to all immune cell culture media. All cell lines were incubated at 37°C in a humidified atmosphere containing 5% CO<sub>2</sub>. Cell lines were authenticated and tested to ensure the cultures were free of mycoplasma infection.

#### 4.2. Measurement of Cell Viability

Cells were seeded at a density of  $3 \times 10^3$  cells per well in a 96-well plate (Greiner Bio-One, Monroe, NC, USA). Cell viability was assessed using the CellTiter Glo assay (Promega, Madison, WI, USA). Cells were mixed with 25 µL of CellTiter-Glo reagents in 100 µL of culture volume, and bioluminescence imaging was measured using the Xenogen IVIS imager (Caliper Life Sciences, Waltham, MA). The percent of cell viability was determined by normalizing the luminescence signal to control wells. Dose-response curves were generated, and the half-maximal inhibitory concentration (IC<sub>50</sub>) was calculated using Graph-Pad Prism (RRID: SCR\_002798) version 9.2.0. For IC<sub>50</sub> generation, concentrations were log-transformed, and data were then normalized to control, and a log (inhibitor) versus response (three parameters) test was used.

#### 4.3. Pyroptosis Assay

Recombinant Human TNF-α (Cat #300-01A, PeproTech, Rocky Hill, NJ, USA) and Recombinant Human IFN-γ (Cat # 300-02, Peprotech, Rocky Hill, NJ, USA) were purchased for use in western blot analysis while rhTRAIL was generated in-house [56].

Reagent	Source	Identifier	Concentration
Vinculin (E1E9V) XP <sup>®</sup> Rabbit mAb	Cell Signaling	Cat# 13901	1:1000
Anti-GSDMB antibody	Sigma-Aldrich	Cat# HPA052407	1:1000

#### 4.4. Isolation of Donor-Derived CD8+ T Cells

An Easy Step Human CD8+ T Cell Isolation Kit was used to isolate CD8+ T cells from a donor PBMC sample via negative selection (Cat #, 17913, Stem Cell Technologies, Vancouver, Canada).

#### 4.5. Collection of Cell Culture Supernatants Used in Cytokine Measurements

Cells were plated at  $3.5 \times 10^4$  cells in a 48-well plate (Thermo Fisher Scientific, Waltham, MA, USA) in complete medium and incubated at 37 °C with 5% CO<sub>2</sub>. At 24 h after plating, almost all the tumor cells were adherent to the bottom of the flask and the complete medium was replaced with the drug-containing medium. Subsequently, the culture supernatants were collected after 48 h of incubation and were frozen at −80 °C until the measurement of cytokines was performed. On the day of analysis, samples were thawed and centrifuged to remove cellular debris.

#### 4.6. Human Cytokine Profiling

Human cell line culture supernatants were analyzed using an R&D systems Human Pre-mixed Multi-Analyte Kit (R&D Systems, Inc., Minneapolis, MN, USA) and a Luminex 200 (RRID: SCR\_018025) Instrument (LX200-XPON-RUO, Luminex Corporation, Austin, TX, USA) according to the manufacturer's instructions. Sample levels of TNF-α, 4-1BB/TNFRSF9/CD137, IL-8/CXCL8, Ferritin, IFN-β, IL-10, CCL2/JE/MCP-1, VEGF, CXCL13/BLC/BCA-1, IFN-γ, CCL20/MIP-3 α, CCL3/MIP-1 α, CCL22/MDC, CCL4/MIP-1 β, Fas Ligand/TNFSF6, IL-17/IL-17A, IL-2, BAFF/BLYS/TNFSF13B, GM-CSF, CXCL5/ENA-78, TRANCE/TNFSF11/RANK L, CXCL9/MIG, G-CSF, IFN-γ R1/CD119, VEGFR3/Flt-4, C-Reactive Protein/CRP, CXCL11/I-TAC, IL-21, CXCL14/BRAK, IL-6, Fas/TNFRSF6/CD95, TRAIL R3/TNFRSF10C, IL-4, CCL5/RANTES, PD-L1/B7-H1, CCL7/MCP-3/MARC, Chitinase 3-like 1, CXCL10/IP-10/CRG-



2, IL-1  $\beta$ /IL-1F2, IL-7, Prolactin, CCL8/MCP-2, TRAIL R2/TNFRSF10B, M-CSF, IL-15, Granzyme B, IFN- $\alpha$ , TREM-1, IL-12/IL-23 p40, TRAIL/TNFSF10, CCL11/Eotaxin, and IL-18/IL-1F4. Quantitative analysis with 6 standards and a minimum of 50 counts per bead region was used with the Luminex to generate analyte values reported as picograms/ milliliter (pg/mL). Sample concentrations less than the lower limit of detection for each particular analyte were recoded as the lower limit value divided by 10. Sample concentrations above the upper limit of detection for a particular analyte were recoded as the upper limit of detection.

#### 4.7. Murine Cytokine Profiling

Whole blood from mice was collected, allowed to clot, and serum was isolated using a serum separator tube (SST) according to manufacturer instructions. Murine serum samples were analyzed using an R&D systems Murine Premixed Multi-Analyte Kit (R&D Systems, Inc., Minneapolis, MN, USA) and a Luminex 200 (RRID: SCR\_018025) Instrument (LX200-XPON-RUO, Luminex Corporation, Austin, TX, USA) according to the manufacturer's instructions. Sample levels of GM-CSF, IL-7, IL-12 p70, CCL2/JE/MCP-1, IL-1  $\beta$ /IL-1F2, VEGF, IL-2, IL-4, VEGFR2/KDR/Flk-1, IL-6, IL-10, IL-13, IFN- $\gamma$ , IL-3, IL-16, CXCL10/IP-10/CRG-2, CCL5/RANTES, CCL7/MCP-3/MARC, CCL12/MCP-5, Prolactin, M-CSF, CCL3/MIP-1  $\alpha$ , IL-1  $\alpha$ /IL-1F1, CCL20/MIP-3  $\alpha$ , CCL4/MIP-1  $\beta$ , TWEAK/TNFSF12, CXCL12/SDF-1  $\alpha$ , BAFF/BLyS/TNFSF13B, Granzyme B, CCL21/6CKine, CCL11/Eotaxin, and CCL22/MDC. Sample values are reported in picograms per milliliter (pg/mL). Quantitative analysis with 6 standards and a minimum of 50 counts per bead region was used with the Luminex to generate analyte values reported as picograms/ milliliter (pg/mL). Sample concentrations less than the lower limit of detection for each particular analyte were recoded as the lower limit value divided by 10. Sample concentrations above the upper limit of detection for a particular analyte were recoded as the upper limit of detection. Data analysis and visualization were generated using R (RRID: SCR\_001905) software (R Development Core Team, 2020). When comparing responders and non-responders, a Kruskal-Wallis test was used to calculate statistical significance, followed by a Benjamini-Hochberg correction for multiple comparisons.

#### 4.8. GFP+ Cell Line Generation

A total of 50,000 HT-29 or HCT 116 cells were seeded in a 12-well tissue culture plate and allowed to adhere overnight. They were then transduced with lentivirus containing the plasmid pLenti\_CMV\_GFP\_Hygro (pLenti CMV GFP Hygro (656-4) was a gift from Eric Campeau & Paul Kaufman (Addgene viral prep # 17446-LV; RRID: Addgene\_17446)) at a multiplicity of infection of 10 with 8  $\mu$ g/mL polybrene (hexadimethrine bromide (Cat # 107689, Sigma Aldrich, St. Louis, MO, USA) for 24 h, before washing with PBS and replacing with fresh medium [57]. The cells were then sorted for GFP-positivity using a BD FACS Aria™ III Cell Sorter (RRID: SCR\_016695).

#### 4.9. Multicolor Immune Cell Co-Culture Experiments

A total of 10,000 HCT-116, SW480, or HT-29 colorectal cancer cells were plated per well in a clear-bottom, black-walled 48-well tissue culture plate and were allowed to adhere overnight. Cells were subsequently treated with DMSO, 5  $\mu$ M or 10  $\mu$ M elraglusib, and/or 10,000 TALL-104, donor-derived CD8+ T cells, or NK-92 cells (for an effector-to-tumor ratio of 1:1) for 24 h. Immune cell monocultures were treated with DMSO, 5  $\mu$ M or 10  $\mu$ M elraglusib and cell viability was monitored to ensure that the concentrations of drug used were not cytotoxic to immune cells. CRC cells were labeled using CellTracker™ Green CMFDA (5-chloromethyl fluorescein diacetate), immune cells (NK-92, TALL-104) were labeled using CellTracker™ Blue CMAC Dye (7-amino-4-chloromethylcoumarin), and ethidium homodimer-1 (EthD-1) was used as a marker of cell death (Invitrogen, Waltham, MA, USA). 10  $\times$  images were captured using a Nikon Ti-U Inverted Fluorescence Microscope and NIS-Elements F Package imaging software 3.22.00 Build 710 (Nikon Instruments Inc., New York, NY, USA). The number of red/green color cells in random fields was deter-

mined using thresholding and particle analysis in the Fiji modification (RRID: SCR\_002285) of ImageJ and expressed as a dead/live cell ratio. Normalization was carried out by subtracting the percentage of cell death due to drug or vehicle control (DMSO) from the percentage of dead cells observed in the co-culture of tumor and immune cells treated with the drug. At least 100 cells were evaluated per sample, with 3 independent replicates. Statistical analysis was carried out using GraphPad Prism 9 (RRID: SCR\_002798).

#### 4.10. Single-Color Immune Cell Co-Culture Experiments

A total of 5000 HT-29 GFP+ or HCT 116 GFP+ cells were plated per well in a clear-bottom, black-walled 96-well tissue culture plate and were allowed to adhere overnight. Cells were subsequently treated with DMSO, 5  $\mu$ M elraglusib, and/or 5000 TALL-104 or NK-92 cells (for an effector-to-tumor ratio of 1:1) for 48 h. Nine images were taken per well at 10  $\times$  magnification using a Molecular Devices ImageXpress<sup>®</sup> Confocal HT.ai High-Content Imaging System and quantified for the number of GFP+ objects using the MetaXpress (RRID: SCR\_016654) software (Molecular Devices, San Jose, CA, USA). 40  $\times$  images were also taken at 24 h for representative images of cellular morphology changes. Statistical analysis was carried out using GraphPad Prism 9 (RRID: SCR\_002798).

#### 4.11. Generation of Single-Cell Suspensions

Spleens were strained, filtered, and washed while tumors were collected, washed, and digested before lymphocytes were collected using a Percoll gradient (Cat # P1644-100ML, Sigma Aldrich, St. Louis, MO, USA).

#### 4.12. Flow Cytometry

Flow cytometry viability staining was conducted by suspending murine spleen and tumor single cell suspensions in Zombie Violet fixable viability kit (Cat # 423114, BioLegend, San Diego, CA, USA) according to manufacturer instructions for 30 min at room temperature. Staining for membrane surface proteins was conducted using conjugated primary antibodies for 1 h on ice, according to manufacturer instructions. Cells were fixed and permeabilized using the eBioscience<sup>™</sup> Foxp3/Transcription Factor Staining Buffer Set according to manufacturer instructions (Cat# 00-5523-00, Invitrogen, Waltham, MA, USA). Cells were resuspended in Flow Cytometry Staining Buffer (R&D Systems, Minneapolis, MN, USA) and analyzed using a BD Biosciences LSR II (RRID: SCR\_002159) and FlowJo (RRID: SCR\_008520) version 10.1 (FlowJo, Ashland, OR, USA).

Reagent	Source	Identifier	Concentration
Zombie Violet <sup>™</sup> Fixable Viability Kit	BioLegend	423114	1:1000
CD45 Monoclonal Antibody (30-F11), eVolve 605	eBioscience <sup>™</sup>	83-0451-42	5 $\mu$ L/test
PE Rat Anti-Mouse CD3 Molecular Complex, Clone 17A2 (RUO)	BD Biosciences	555275	0.125 $\mu$ g/test
CD335 (NKp46) Monoclonal Antibody (29A1.4), APC	eBioscience <sup>™</sup>	17-3351-82	0.125 $\mu$ g/test
APC/Cy7 anti-mouse/human CD11b, clone: M1/70	BioLegend	101226	0.125 $\mu$ g/test
Cd27 Monoclonal Antibody (LG.7F9), FITC	eBioscience <sup>™</sup>	11-0271-82	0.5 $\mu$ g/test
Klrg1 Monoclonal Antibody (2F1), PE-Cyanine7	eBioscience <sup>™</sup>	25-5893-82	0.25 $\mu$ g/test
Anti-mouse CD45, eBioscience, eVolve 605, clone: 30-F11	Invitrogen	83-0451-42	0.5 $\mu$ g/test
APC-Cy <sup>™</sup> 7 Rat Anti-Mouse CD3 Molecular Complex, clone 17A2	BD Biosciences	560590	0.125 $\mu$ g/test
CD4 Monoclonal Antibody (RM4-5), PE-Cyanine7	Invitrogen	25-0042-82	0.25 $\mu$ g/test
PE Rat Anti-Mouse CD8a, Clone 53-6.7 (RUO)	BD Biosciences	553032	0.125 $\mu$ g/test
CD69 Monoclonal Antibody (H1.2F3), FITC	eBioscience <sup>™</sup>	11-0691-81	0.5 $\mu$ g/test
FOXP3 Monoclonal Antibody (FJK-16s), APC	Manufacturer	17-5773-82	1 $\mu$ g/test

#### 4.13. Natural Killer Cell Immunophenotyping

The NK cell flow cytometry panel included the following directly conjugated primary antibodies: anti-mouse CD45, eBioscience eVolve 605 clone: 30-F11 (Ref # 83-0451-42, Invitrogen), PE anti-mouse CD3 molecular complex (17A2) (mat. #: 555275, BD biosciences), anti-mouse NKp46 APC (Ref # 17-3351-82), APC/Cy7 anti-mouse/human CD11b clone:

M1/70 (cat# 101226, BioLegend), anti-Cd27 Monoclonal Antibody (LG.7F9) FITC (eBioscience™, Thermo Scientific, cat # 11-0271-82), and (Klrg1 Monoclonal Antibody (2F1) PE-Cyanine7 (eBioscience, Thermo Scientific, cat # 25-5893-82). Gating strategies are as follows:

NK cell: live/CD45/CD3-/NK1.1+  
 Mature NK cell: live/CD45/CD3-/NK1.1+/KRLG1+  
 Activated NK cell: live/CD45/CD3-/NK1.1+/CD11b+  
 NK cell subset 1: live/CD45/CD3-/NK1.1+/CD11b-CD27-  
 NK cell subset 2: live/CD45/CD3-/NK1.1+/CD11b-CD27+  
 NK cell subset 3: live/CD45/CD3-/NK1.1+/CD11b+CD27+  
 NK cell subset 4: live/CD45/CD3-/NK1.1+/CD11b+CD27-

#### 4.14. T Cell Immunophenotyping

The T cell flow cytometry panel included the following directly conjugated primary antibodies: anti-mouse CD45 superbright 600 clone: 30-511 (ref# 63-0451-82, eBioscience), anti-CD3 APC-Cy7 clone 17A2(BD Biosciences, cat # 560590), eBioscience anti-mouse CD4 PE-Cy7 clone: RM4-5 (Ref # 25-0042-82, Invitrogen), PE anti-mouse CD8a (Ly-2)(53-6.7) (cat # 553032, BD), anti-mouse CD69 FITC clone: H1.2F3 (Ref# 11-0691-81, eBioscience), and Foxp3 (FJK-16s) APC (eBioscience). Gating strategies are as follows:

CD4+ T cell: live/CD45+/CD3+/CD4+/Foxp3-  
 CD8+ T cell: live/CD45+/CD3+/CD8+  
 Treg: live/CD45+/CD3+/CD4+/Foxp3+  
 Activated CD8+ T cell: live/CD45+/CD3+/CD8+/CD69+

#### 4.15. Western Blot Analysis

Cells were plated in a 6-well plate and incubated overnight before the spent media was replaced with drugged media. Drug treatment lasted for indicated durations. Protein was extracted using radioimmunoprecipitation (RIPA) assay buffer (Cat # R0278, Sigma-Aldrich, St. Louis, MO, USA) containing cOmplete™, Mini, EDTA-free Protease Inhibitor Cocktail (Cat # 4693159001, Roche, Basel, Switzerland) from sub-confluent cells. Denaturing sample buffer was added, samples were boiled at 95 degrees for 10 min, and an equal amount of protein lysate was electrophoresed through NuPAGE™ 4 to 12%, Bis-Tris, 1.5 mm, Mini Protein Gels (Invitrogen, Waltham, MA, USA) then transferred to PVDF membranes. The PVDF membrane was blocked with 5% non-fat milk (Sigma-Aldrich, St. Louis, MO, USA) in 1 × TTBS. Primary antibodies were incubated with the transferred PVDF membrane in blocking buffer at 4 °C overnight. Secondary antibodies included Goat anti-Rabbit IgG (H + L) Secondary Antibody, HRP (Cat # 31460, Invitrogen, Waltham, MA, USA), and Goat anti-Mouse IgG (H + L) Secondary Antibody, HRP (Cat # 31430, Invitrogen, Waltham, MA, USA). The signal was detected using Pierce™ ECL Western Blotting Substrate (Cat # 32106, Thermo Scientific, Waltham, MA, USA) and a Syngene Imaging System (RRID: SCR\_015770).

Reagent	Source	Identifier	Concentration
PARP Antibody	Cell Signaling	Cat# 9542S	1:1000
Mcl-1 (D2W9E) Rabbit mAb	Cell Signaling	Cat# 94296S	1:1000
NF-κB p65 (L8F6) Mouse mAb	Cell Signaling	Cat# 6956	1:1000
PD-L1 (E1L3N®) XP® Rabbit mAb	Cell Signaling	Cat# 13684	1:1000
Bcl-2 (D55G8) Rabbit mAb	Cell Signaling	Cat# 4223S	1:1000
Survivin (71G4B7) Rabbit mAb	Cell Signaling	Cat# 2808S	1:1000
Mouse Anti-Ran	BD Biosciences	Cat# 610341	1:5000
NIK Antibody	Cell Signaling	Cat# 4994	1:1000

#### 4.16. In Vivo Studies

The experimental in vivo protocol (Protocol # 19-01-003) was approved by the Institutional Animal Care and Use Committee of Brown University (Providence, RI, USA). Six- to seven-

week-old female BALB/c mice (RRID: IMSR\_JAX:000651) were purchased from Taconic. A total of 50,000 cells were suspended in 50  $\mu$ L ice-cold PBS and 50  $\mu$ L Matrigel (Catalog # 354234, Corning, New York, NY, USA), and 100  $\mu$ L was injected subcutaneously into the rear flanks. Once tumor volume reached at least 100 mm<sup>3</sup>, mice were randomly assigned to one of seven groups (12 mice/group): Control (isotype), elraglusib, elraglusib + Isotype, anti-PD-1, anti-PD-L1, elraglusib + anti-PD-1, and elraglusib + anti-PD-L1. All treatments were delivered by IP injection using the following dosing schedule: Isotype (70 mg/kg, twice a week), elraglusib (70 mg/kg, twice a week), anti-PD-1 (10 mg/kg, twice a week), anti-PD-L1 (10 mg/kg, twice a week). Treatment dosing was determined based on prior studies of elraglusib [58] and anti-PD-1/anti-PD-L1 [59,60]. The solvent for elraglusib was DMSO and the formulation buffer for elraglusib was made using 75% PEG400, 7% Tween 80, and 18% Ethanol. The treatment continued until mice developed signs of discomfort from excessive tumor growth. Mice were weighed once a week to monitor signs of drug toxicity. The length (L) and width (W) of the masses were measured three times per week with a digital caliper, and the tumor volume was calculated by applying the formula:  $0.5LW^2$ . Collection of whole blood and serum was performed by cardiac puncture and sent to Antech Diagnostics GLP (Morrisville, NC, USA) for blood cell count and chemistry tests, or in-house cytokine profiling. Tumors and organs were dissected and harvested for analysis by IHC and flow cytometry.

#### 4.17. Immunohistochemistry

Excised tissues were fixed with 10% neutral buffered formalin and paraffin-embedded. Five-micrometer tissue sections were cut with a microtome and mounted on glass microscope slides for staining. Hematoxylin and eosin staining was completed for all tumor specimens. Paraffin embedding and sectioning of slides were performed by the Brown University Molecular Pathology Core Facility. Slides were dewaxed in xylene and subsequently hydrated in ethanol at decreasing concentrations. Antigen retrieval was carried out by boiling the slides in 2.1 g citric acid (pH 6) for 10 min. Endogenous peroxidases were quenched by incubating the slides in 3% hydrogen peroxide for 5 min. After nuclear membrane permeabilization with Tris-buffered saline plus 0.1% Tween 20, slides were blocked with horse serum (Cat# MP-7401-15, Vector Laboratories, Burlingame, CA, USA), and incubated with primary antibodies overnight in a humidified chamber at 4°C. After washing with PBS, a secondary antibody (Cat# MP-7401-15 or MP-7402, Vector Laboratories, Burlingame, CA, USA) was added for 30 min, followed by diaminobenzidine application (Cat# NC9276270, Thermo Fisher Scientific, Waltham, MA, USA) according to the manufacturer's protocol. Samples were counterstained with hematoxylin, rinsed with distilled water, dehydrated in an increasing gradient of ethanol, cleared with xylene, and mounted with Cytoseal mounting medium (Thermo Fisher Scientific, catalog no. 8312-4). Images were recorded on a Zeiss Axioskop microscope (RRID: SCR\_014587), using QCapture (RRID: SCR\_014432). QuPath software (RRID: SCR\_018257) was used to automatically count positive cells. For each IHC marker, five 20  $\times$  images per group were analyzed, and results were represented as the absolute number of positive cells per 20  $\times$  field. The signal was quantified by converting randomly sampled 20  $\times$  images into 16-bit images and then utilizing Fiji to employ MaxEntropy thresholding.

#### 4.18. Microarrays

A total of  $0.5 \times 10^6$  tumor cells (HCT-116, HT-29, KM12C) were plated in a 6-well plate and allowed to adhere overnight before 24-h treatment, as indicated. Then,  $1 \times 10^6$  immune cells (NK92, TALL-104) were plated and treated with elraglusib as indicated for 24 h. RNA was isolated from cell pellets in batches of 6 using an RNeasy Plus Mini Kit (Cat # 74134, Qiagen, Hilden, Germany). Acceptable RNA concentration and quality were verified with Nanodrop and Bioanalyzer measurements. GeneChip™ Human Transcriptome Array 2.0 assays were conducted according to manufacturer instructions in two batches using randomized samples to limit batch effects (Cat# 902162, Applied Biosystems, Waltham, MA, USA). Applied Biosystems Transcriptomic Analysis Console (TAC) software (RRID:



SCR\_016519) was used to calculate fold changes in gene expression relative to the untreated control cells. Values were considered statistically significant for  $p$  values < 0.05.

Reagent	Source	Identifier	Concentration
CD4 (D7D2Z) Rabbit mAb	Cell Signaling	25229S	1:200
CD8 $\alpha$ (D4W2Z) XP <sup>®</sup> Rabbit mAb (Mouse Specific)	Cell Signaling	98941	1:800
Anti-TRAIL antibody	Abcam	ab231265	20 $\mu$ g/ml
NKp46 (CD335) Polyclonal Antibody	Invitrogen	PA5-79720	1 $\mu$ g/mL
FoxP3 (D6O8R) Rabbit mAb	Cell Signaling	12653	1:800
Granzyme B (E5V2L) Rabbit mAb	Cell Signaling	44153	1:200
Ki-67 (D3B5) Rabbit mAb (Mouse Preferred; IHC Formulated)	Cell Signaling	12202	1:800
PD-1/CD279 Polyclonal antibody	Proteintech	18106-1-AP	1:1000
PD-L1/CD274 Monoclonal antibody	Proteintech	66248-1-Ig	1:5000
Cleaved Caspase-3 (Asp175) Antibody	Cell Signaling	9661	1:400
VEGF Monoclonal Antibody (JH121)	Invitrogen	MA5-13182	1:20
TGF beta 2-Specific Polyclonal antibody	Proteintech	19999-1-AP	1:500

#### 4.19. Single-Cell RNA Sequencing

Single cells were captured and 3' single-cell gene expression libraries were conducted (Next GEM v3.1) using the 10  $\times$  Genomics Chromium system by SingulOmics (SingulOmics, New York, NY, USA). Gene expression libraries were sequenced with ~200 million PE150 reads per sample on Illumina (RRID: SCR\_016387) NovaSeq (Illumina, Inc., San Diego, CA, USA). After sequencing, clean reads were then analyzed with human reference genome GRCh38 using Cell Ranger v6.1.2 ([RRID: SCR\_017344], 10  $\times$  Genomics, Pleasanton, CA, USA). Data were analyzed and visualized using Loupe Browser ([RRID: SCR\_018555], 10  $\times$  Genomics, Pleasanton, CA, USA).

#### 4.20. Digital Spatial Profiling

An Agilent Technologies hybridization oven was used for baking tissue onto slides (Agilent, Santa Clara, CA, USA). A NanoString GeoMx<sup>®</sup> Digital Spatial Profiler (DSP) instrument (NanoString, Seattle, WA, USA) was used to scan slides, identify regions of interest (ROIs), and collect photocleavable barcodes according to manufacturer instructions. A custom panel was designed to include the following proteins: Ms IgG1, Ms IgG2a, Rb IgG, GAPDH, Histone H3, S6, Beta-2-microglobulin, CD31, CD45, Ki-67, ARG1, CD11b, CD11c, CD14, CD163, CD39, CD40, CD68, HLA-DR, GZMB, CD20, CD3, CD34, CD4, CD56, CD66b, CD8, Foxp3, Fibronectin, 4-1BB, B7-H3, CTLA4, GITR, IDO1, LAG3, OX40L, STING, Tim-3, VISTA, Bcl-2, ER- $\alpha$ , EpCAM, Her2, MART1, NY-ESO-1, PR, PTEN, PanCk, SMA, CD127, CD25, CD27, CD44, CD45RO, CD80, ICOS, PD-1, PD-L1, and PD-L2. An Eppendorf Master-Cycler Gradient Thermal Cycler was used to generate the Illumina sequencing libraries from the photocleaved tags. (Eppendorf, Hamburg, Germany). An Agilent Fragment Analyzer (RRID: SCR\_019417) was used for library size distribution analysis with a high-sensitivity NGS Fragment Kit (Cat# DNF-474-0500, Agilent, Santa Clara, CA, USA). qPCR for quantification was run using an Illumina-compatible KAPA Library Quantification Kits (ROX Low) (cat# KK4873) on an Applied Biosystems ViiA 7 Real-Time qPCR / PCR Thermal Cycler System (Applied Biosystems, San Francisco, CA, USA) and was analyzed using QuantStudio software (RRID: SCR\_018712). Sequencing was performed using a NextSeq 500/550 High Output Kit v2.5 (75 Cycles) kit (cat# 20024906) on an Illumina Sequencing NextSeq 550 System ([RRID: SCR\_016381], Illumina, San Diego, CA, USA). The initial annotated dataset went through quality control (QC) to check if housekeeper genes and background (isotype) control molecules were themselves correlated with the predictors of interest. Every ROI was tested for raw sequencing reads (segments with <1000 raw reads were removed), % sequencing saturation (defined as [1-deduplicated reads/aligned reads]%, segments below ~50% were not analyzed), and nuclei count per segment (>100 nuclei per segment is generally recommended). Both immunoglobulins (IgGs) and housekeeper genes were highly correlated with one another. Signal to noise (SNR) ratio was calculated using background

probes and all probes were detected above the background in at least one ROI. Finally, data were normalized based on background IgG expression and all normalization factors were well distributed. Data analysis and visualization were generated using R ([RRID: SCR\_001905], R Development Core Team, 2020).

#### 4.21. Clinical Specimens

Archival tumor specimens and peripheral blood samples were collected from patients enrolled in the Phase I study of Elraglusib (9-ING-41), a small-molecule-selective glycogen synthase kinase-3 beta (GSK-3b) inhibitor, as monotherapy or combined with cytotoxic regimens in patients with relapsed or refractory hematologic malignancies or solid tumors (Clinicaltrials.gov NCT03678883) who received treatment at the Lifespan Cancer Institute (Providence, RI, USA). The study was conducted in accordance with the Declaration of Helsinki and the International Conference on Harmonization Good Clinical Practice guidelines. The study protocol was approved by the Institutional Review Board (IRB) of Rhode Island Hospital under protocol number 1324888-120. The patients also participated in a Lifespan Cancer Institute research protocol designed to investigate molecular and genetic features of tumors and mechanisms of resistance (Rhode Island Hospital IRB protocol number 449060-38). All patients provided written informed consent.

#### 4.22. Statistical Analysis

GraphPad Prism (RRID: SCR\_002798) version 9.5.0 was used for statistical analyses and graphical representation (GraphPad, San Diego, CA, USA). Data are presented as means  $\pm$  standard deviation (SD) or standard error of the mean (SEM). The relations between groups were compared using two-tailed, paired Student's T tests or one-way ANOVA tests. Survival was analyzed with the Kaplan-Meier method and was compared with the log-rank test. For multiple testing, Tukey's or Benjamini-Hochberg's methods were employed. Statistical significance is reported as follows:  $p \leq 0.05$ : \*,  $p \leq 0.01$ : \*\*, and  $p \leq 0.001$ : \*\*\*.

**Supplementary Materials:** The supporting information can be downloaded at: <https://www.mdpi.com/article/10.3390/ijms241310870/s1>.

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**Informed Consent Statement:** Informed consent was obtained from all subjects involved in the study.

**Data Availability Statement:** The microarray data generated in this study are publicly available in Gene Expression Omnibus (GSE222849) at GSE. Other data generated in this study are available

within the article and its supplementary data files. Further information and requests for resources and reagents should be directed to and will be fulfilled by the lead contact.

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