

Article

A Decision-Making Approach Based on TOPSIS Method for Ranking Smart Cities in the Context of Urban Energy

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Abstract: This paper presents the use of multi-criteria decision-making (MCDM) for the evaluation of smart cities. During the development of the method, the importance of the decision-making approach in the linear ordering of cities was presented. The method of using the Technique for Order of Preference by Similarity to Ideal Solution (TOPSIS) was proposed for the preparation of ranking. The method was verified by the application in the measurement of energy performance in smart cities. The authors conducted a literature review of research papers related to urban energy and MCDM published in the period from 2010 to 2020. The paper uses data from the World Council on City Data (WCCD). The research conducted allowed for the identification of the most popular MCDM techniques in the field of urban energy such as TOPSIS, AHP and DEA. The TOPSIS technique was used to organize and group the analyzed cities. Porto took the top position, whereas Buenos Aires was the last.



Citation: Hajduk, S.; Jelonek, D. A Decision-Making Approach Based on TOPSIS Method for Ranking Smart Cities in the Context of Urban Energy. *Energies* **2021**, *14*, 2691. <https://doi.org/10.3390/en14092691>

Academic Editor:
Evangelos Pournaras

Received: 14 April 2021
Accepted: 1 May 2021
Published: 7 May 2021

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Keywords: urban energy; smart city; ISO 37120's indicators; multi-criteria decision making method

1. Introduction

Energy generation is the basis of any economic activity, and therefore, the basis for the economic development of cities and countries. It gained importance with the onset of the First Industrial Revolution, and, since then, the energy demand has been growing rapidly. Currently, it is impossible for cities to function normally without a constant energy supply. It is used to power all kinds of devices used, among other things, in industry, communication, agriculture, and many other sectors of the economy. The depletion of energy and materials resources leads to environmental threats and climate change in the world. The EU is committed to achieving the following objectives in the energy policy to 2030 [1]: (1) increasing the share of renewable energy in consumption to 35% in all sources of energy consumed; (2) improving energy efficiency by 35%; (3) interconnections covering at least 15% of the EU electricity systems; (4) an increase of 12% in the share of renewable energy in transport; (5) a reduction of at least 40% in greenhouse gas emissions compared to 1990 levels. According to the International Energy Agency [2], electricity consumption has grown from 10,898 TWh in 1990 to 24,739 TWh in 2018 worldwide.

Becoming a smart city is an important task in the transition path and an urban strategy of many cities. They can use different models of building city development strategies e.g., a model of using sentiment analysis to build city strategies and the realization of the related projects [3]. The presented model is a part of a larger work on developing the concept of the Regional Spatial Business Community (RSBC) as an example of a project that will increase the investment attractiveness of cities [4]. Transition processes should take into account the perspectives of involved stakeholders in supporting niche empowering processes necessary for sustainable energy transitions [5]. Koepke, Monstadt and Otsuki [6] argue that debates on urban energy transitions in academia and policy practice need to

more systematically address the diverse urban development patterns within southern cities, their co-evolution with place-based electricity constellations, and their context-specific challenges and opportunities. Furthermore, as climate change develops, with most of the world population living in urban areas, decarbonization of cities is among the greatest challenges of the coming decades [7].

Local governments constantly introduce innovation to cities by encouraging international enterprises to deploy renewable energy projects and provide green energy services and products to municipalities. Urban leaders need to know how to attract energy sector enterprises. Public managers understand the multi-criteria decision-making problem that energy enterprises face when deciding on the location in a city. Considering how important the energy sector is for sustainable smart cities, this paper focuses on identifying the locations that are critical for energy enterprises when choosing new places to offer the services.

The aim of this paper is to present a ranking of smart cities in the context of energy based on the MCDM method using ISO 37120 indicators. Firstly, the paper identified publications on urban energy and MCDM based on a literature review. Secondly, it attempts to organize the methods and main indicators in the field of urban energy and MCDM. The papers available on the Web of Science, Springer, Scopus, IEEE, and Elsevier databases were reviewed. Inductive thinking was used in the theoretical part whereas the empirical part is based on the TOPSIS technique and entropy method. The paper attempts to answer the following research questions: how can we measure urban energy? Which variables can we use? Which cities have the highest level of urban energy? What is the classification of cities in terms of urban energy?

The procedure for achieving the aims of the study imposed a specific structure of the paper. After the introduction and presentation of the study aims, the Section 2 reviews the literature on the areas of the keywords and the title. The Section 3 describes material and methods, whereas in the fourth, the results are presented and scientifically discussed. The paper ends with a summary and indication of the objectives for development and identification of limitations.

2. Literature Review

Contemporary cities are becoming increasingly complex open systems that are always interdependent with their surroundings. The transformations that are observed in the environment imply the necessity to transform cities and develop their smart versions. Mitchell [8] believes that in cities there is a growing web of direct connections to the mechanical and electrical systems of buildings, household appliances, production machinery, process plants, transportation systems, electrical grids, and other energy supply networks, water supply and waste removal networks, systems that provide life safety and security, and management systems for just about every imaginable human activity.

With the absence of a uniform and generally accepted definition of a smart city, different streams in defining this concept can be observed. Initially, it was assumed that a smart city is one in which the information society, with the help of the Internet and information infrastructure, creates a virtual city space [9]. Research in this stream focuses on the use of the most advanced ICT solutions in the creation and development of urban infrastructure in a broad sense [10]. The second stream consists of studies that emphasize the role of human and social capital, education, and environment in the smart city concept. Kourtit and Nijkamp [11] emphasize creativity and knowledge and use the term smart city to refer to cities that aim to improve their socio-economic, environmental, and logistic performance and increase competitiveness.

In conclusion, the most important approaches take into account the multidimensionality and multifaceted nature of the smart city concept, recognition of smart cities as an effective urban development and management model [12,13], the use of models of value delivery from the construction of smart cities [14], recognition of the main drivers for

increasing the intelligence of cities [15], and the recognition and consistent elimination of barriers to the implementation of the smart city concept [16].

In the urban literature of smart cities, there are some discussions of limitations of smart cities, meaning that not all potential desiring smart cities deserve to be named 'smart'. For example, Nicula et al. [17] deduced that the current poor implementation of smart applications demonstrates Alba Iulia's digital city status not as one of a smart city. The authors argued that some cities, for instance in Central Europe, could not become smart cities because they still have problems with the social inclusion of marginal people. In the near future, the issues of exclusion and contesting racial stigma of Romanians in many European cities must also be addressed [18] and it is necessary to plug stakeholders in by setting up a complex, multi-stakeholder, city-regional urbanity as a way toward real smartness in cities and regions [19]. The long list of challenges that city authorities have to face also includes the fight against corruption and negligence in urban management [20], the challenges of sustainable development, green cities, and, above all, liveable cities [21].

Energy and city are strongly interrelated since cities are a focal point of energy consumption. Modern cities are becoming more and more dependent on the reliability and efficiency of the electrical infrastructure [22]. Tsolakis and Anthopoulos [23] defined a model that reveals the correlations of energy demand and supply with emission producers within a city. The main energy demand sources are the local economic entities from service-providing and industry sectors with their buildings, while consumption is measured with a quantitative index of total consumption and consumption per capita. Furthermore, Steemers [24] argues that buildings, transportation, and industry are the main energy users, while energy consumption affects the local microclimate. Energy demand depends on the city's density, and therefore dense cities have lower demand for energy used in transportation and higher for that used in buildings compared to sparse cities. Energy demand in buildings concerns heating and cooling, water heating is needed in houses, and lighting is used in offices. However, Hu and Wang [25] introduced the total-factor energy efficiency model which uses local labor size, capital, farm area, and energy use as the input and local Gross Domestic Product as the output. Additionally, Honma and Hu [26] used the total-factor energy efficiency model and discovered an interrelation between energy efficiency and per-capita income. Cherubini et al. [27] claimed that urban waste management plays a significant role in city energy efficiency. Waste treatment with energy and material recovery ensures important benefits of the reduction in greenhouse gas emissions and significant energy output.

Every city is characterized by a unique energy supply network model [28]. The task of the smart energy service is to find the optimal balance between consumption and production. This system is controlled by sensors for collecting information about the state of the network and the capacity of suppliers. Haarstad and Wathne [29] investigated the links between smart city projects and urban energy sustainability using case studies of three cities: Nottingham, Stavanger, and Stockholm.

Researchers have been studying multidimensionality interactions between cities and energy consumption. Gargiulo and Russo [30] analyzed a comprehensive set of urban factors such as physical features (housing density, house size, house age, house material, green areas), functional features (land use mix, functional specialization), geographical features (degree days, coastal location, topography) and socio-economic features (income, car ownership, household composition, education, ethnicity).

A city's energy efficiency is a complex process that consists in the optimal use of time and energy in a way that does not waste any of them [31]. Efficiency relates to productivity or performance. However, efficiency is also related to sufficiency and, in terms of sustainability, it concerns the optimal energy use with respect for future generations. Through energy conservation policies, municipalities can both save money and reduce negative externalities associated with energy use.

Over the last three decades, a great number of publications in the Web of Science and Elsevier databases (more than 5000) have dealt with urban energy (Figure 1), with a

significantly increasing trend observed every year. There are more than 600 publications on urban energy from 2018 in the Elsevier database. Furthermore, Trianni et al. [32] analyzed the trends for academic debate and research in the Energy Efficiency journal.

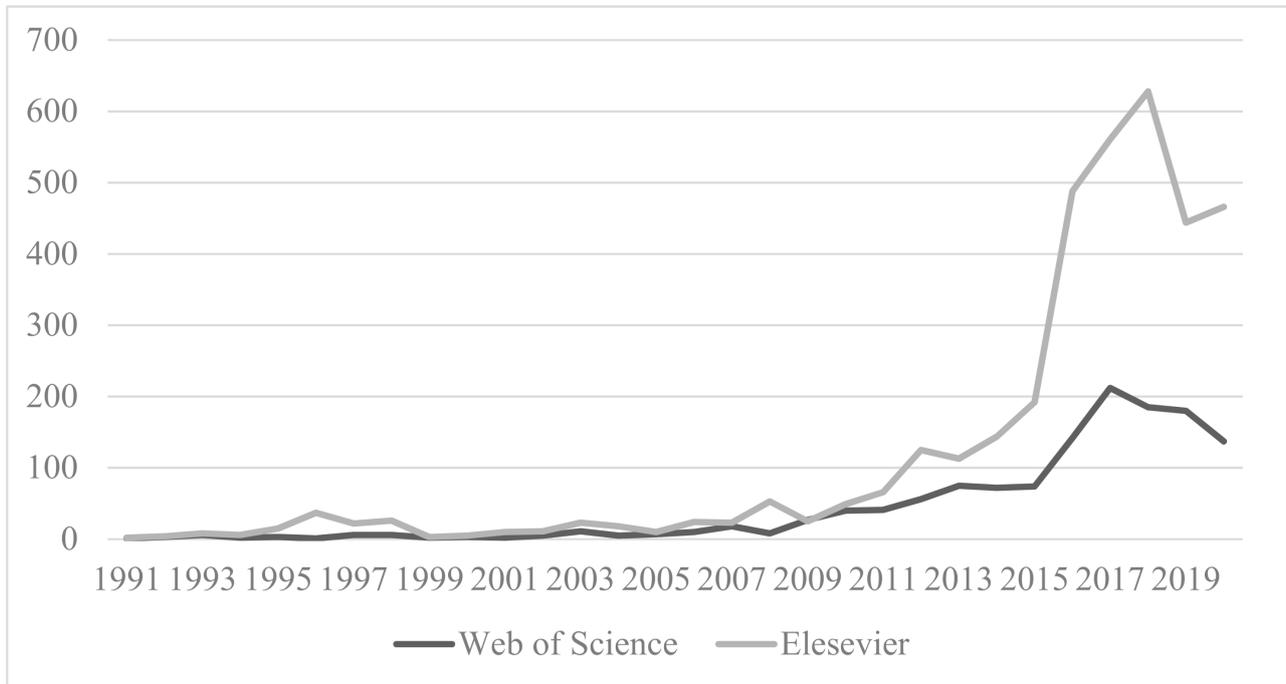


Figure 1. The publications on the topic urban energy, 1991–2020. Source: authors' work.

In the field of operations research, the optimization procedures and approaches were developed to support the business sector in the analysis and solving complex problems, based on multiple and opposite criteria or objectives. Decision-making is a common human practice that requires choosing the best alternative among many. MCDA techniques are considered to be a modern part of operations research, characterized by the multi-objective optimization problem. One of the first publications on MCDM was written by Benjamin Franklin in his work on moral algebra [33]. Since the 1950s, MCDM has been practiced by theoretical and empirical scientists to test the capability of mathematical modeling of the decision-making approach. The MCCM methods are applied in the following sectors: economics, logistics, industrial engineering, environmental science, urban studies, and public policy.

Many researchers have relied on the MCDM or Multi-Criteria Decision Analysis (MCDA). According to Colapinto et al. [34], the top journals referring to MCDA and urban energy based on the number of publications are Journal of Cleaner Production, Sustainability, and Journal of Environmental Management. Energy Policy is worth adding to this list, especially the papers [35,36]. Interesting examples of the application of MCDM and Multi-Criteria Decision Analysis are presented in the papers [37,38] published in Sustainability.

A total of 276 scientific articles concerning the application of MCDA or MCDM techniques to selected decision-making problems in the field of urban energy from 2010 to 2020 worldwide were analyzed (Figure 2). The authors studied MCDA trends and applications in urban energy. During the analysis, the most frequently used MCDA techniques identified as associated with urban energy were TOPSIS, AHP (Analytic Hierarchy Process), DEA (Data Envelopment Analysis), ELECTRE (Elimination et Choice Translating Reality), PROMETHEE (Preference Ranking Organization Method for Enrichment Evaluation), MACBETH (Measuring Attractiveness by a Categorical Based Evaluation Technique), and VIKOR (Vlsekriterijumska Optimizacija i Kompromisno Resenje). Furthermore, Mar-

dani et al. [39] found that most researchers applied fuzzy MCDM, hybrid MCDM, and TOPSIS. Xu et al. [40] summarized the research on DEA in the field of energy efficiency.

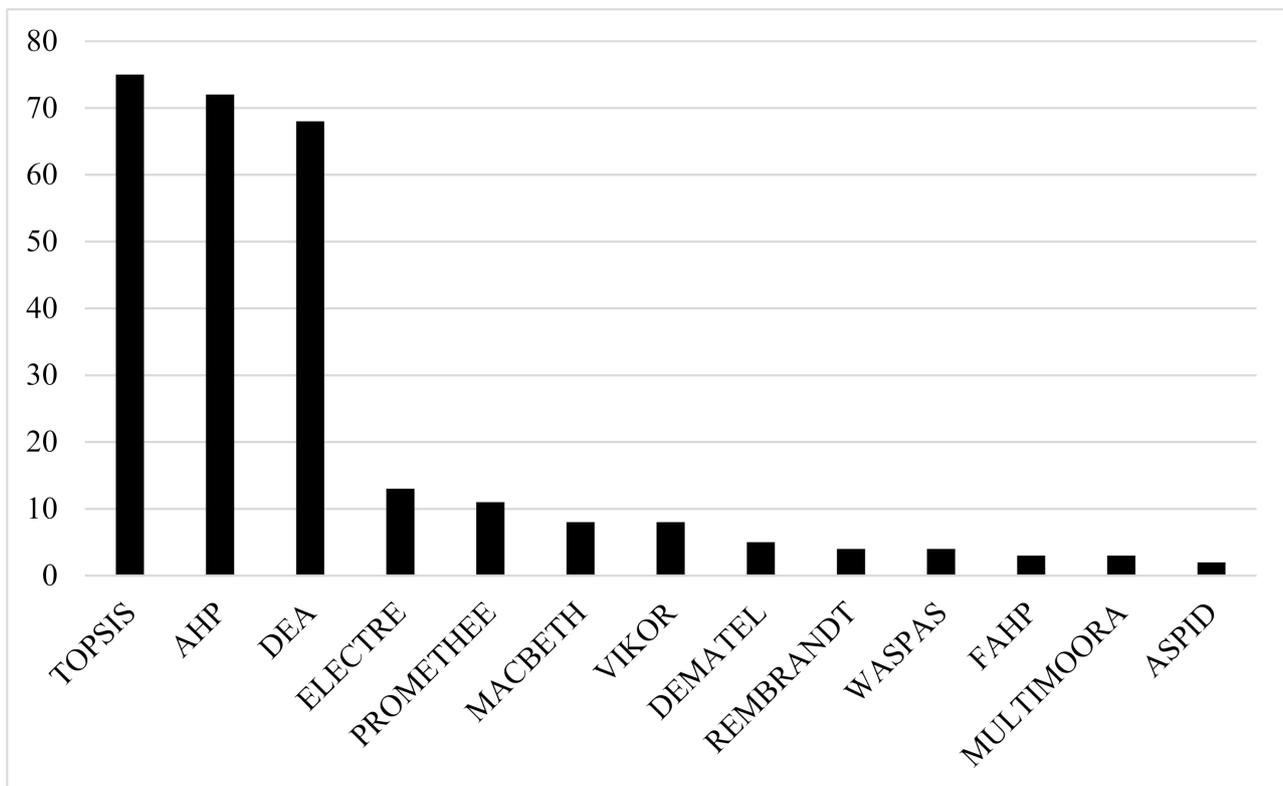


Figure 2. The popularity of MCDA technics in urban energy. Source: authors' work.

The authors conducted a literature review of the publications in the Web of Science and Elsevier databases. Table 1 presents the results of this analysis. The research targeted relevant manuscripts that focused on MCDM techniques in the field of urban energy and were published in 2016–2020. The summary indicates the methods, aims, objects, and main indicators used in the research.

Based on the literature review, the authors identified three areas of urban energy evaluation: (i) urban energy performance, (ii) energy performance of different types, and (iii) energy performance of different objects. The interest in the problem of assessment is great and constant. Most papers focused on the studies related to urban energy performance [60]. The urban energy performance includes urban energy efficiency [49–52,61], urban sustainability performance [56], urban environmental efficiency [58], and low-carbon ecological city evaluation [42,43,62]. The energy performance studies of different types include three perspectives: energy well-being performance [47], energy security performance [45], and carbon emission performance [59]. The energy performance studies of different objects refer to the following categories: building energy performance [54], household energy performance, enterprise energy performance [33], industrial energy performance [53], national energy performance [63], and regional energy performance [48]. The energy performance assessment is carried out with the use of evaluation indicators or key factors [64]. The evaluation indicators are divided into input (capital, labor, energy) and output variables (gross domestic product, GDP). The key factors include population, area, urbanization, traveling mode, and climate.

Table 1. Papers relevant to MCDM and urban energy.

Authors	Method	Aims	Objects	Main Indicators
Geng, Zhang, 2020 [41]	TOPSIS	To establish a correlation model and a comprehensive evaluation system between environment and urbanization	13 cities in Hunan province of China	Environment subsystem: resource elements (sown area, water consumption), ecological elements (park green land, green area coverage rate), ecological pressure (sewage discharged, energy consumption), ecological response (gas utilization rate, sewage treatment rate) Urbanization subsystem: population (population growth rate, urbanization rate), economic (GDP, investment in fixed assets, output value of the tertiary industries), spatial (area of paved roads, population density), social (retail sales of consumer goods, number of vehicles, number of general educations, number of health institutions)
Fang, Pang, Liu, 2016 [42]	TOPSIS	To creatively take a quantitative study on a smart low-carbon city's dynamic mechanism	64 Chinese cities	59 major indicators in six categories: science and technology, resource and environment, economy and industry, facilities and functions, critical capital, institution and culture
Porro, Pardo-Bosch, Agell, Sanchez 2020 [33]	Integrated AHP and fuzzy linguistic TOPSIS	To design a framework oriented to public managers based on the assessment of criteria and sub-criteria the strategic location decision made by enterprises	Energy sector enterprises of European cities	27 sub-criteria in six criteria: characteristics of the city's host country or region, structural factors, government and its policies, socioeconomic context, environmental conditions, market condition for energy firms

Table 1. Cont.

Authors	Method	Aims	Objects	Main Indicators
Pang, Fang, 2016 [43]	TOPSIS	To investigate the dynamic of smart low-carbon development	52 Chinese cities	52 indicators from six categories (science and technology, resource and environment, economy and industry, facilities and functions, critical capital, institution and culture) e.g., number of national key laboratories, energy intensity, GPD city, internet penetration rate, number of R&D personnel, urbanization level
Luo, Chen, Sun, Zhu, Zeng, Chen, 2020 [44]	TOPSIS	To measure the centrality together with the factors influencing centrality using data for the population flow	Cities in the Yangtze River Economic Belt	17 indicators such as: total permanent residential population, GDP, added value of secondary and tertiary industries, total fixed assets investment, total retail sales of consumer goods, actual use of foreign investment, R&D expenditure, tourism income
Zhu, Li, Feng, 2019 [45]	hybrid AHP-TOPSIS	To explore the potential links between urban smartness and resilience	187 Chinese cities	21 indicators such as: principal arterial, tertiary industry, population natural growth, persons covered of unemployment insurance, green coverage
Gokhan, Ceren, 2020 [46]	Analytic Network Process, TOPSIS	To evaluate the dimensions of smart cities	44 cities around the world	47 criteria such as: innovation index score, research and development score, entrepreneurship index score
Stanković, Džunić, Džunić, Marinković, 2017 [47]	Combining the AHP and TOPSIS	To analyze the social, economic and environmental aspects of urban life and to provide the ranking cities according to smart performance	23 Central and Eastern European cities	26 qualitative indicators divide into five thematic categories: infrastructure, liveability and housing conditions, environment, employment and finance, governance, urban safety, trust and social cohesion as well as two indicators refers to citizens' perceptions on quality of life in the city (satisfaction with cities and aspects of urban life)

Table 1. Cont.

Authors	Method	Aims	Objects	Main Indicators
Carli, Dotoli, Pellegrino, 2018 [48]	Sensitive analysis for AHP	To analyze the sustainable development of energy, water and environmental systems, through a set of objective performance indicators	4 Italian metropolitan areas: Bari, Bitonto, Mola, Molfetta	35 indicators from seven dimensions: energy consumption and climate (e.g., energy consumption per capita); penetration of energy and CO ₂ saving measures; renewable energy potential and utilization (e.g., renewable energy in electricity production); water and environmental quality; CO ₂ emissions and industrial profile (e.g., CO ₂ emissions of buildings); city planning and social welfare (e.g., GDP per capita); R&D, innovation and sustainability policy (e.g., patents in clean technologies)
Li et al., 2016 [49]	DEA	To identify urban energy efficiency hierarchy and level transition analysis	49 China's cities	Input: capital, area, labor, electricity, water Output: GDP, PM2.5, SO ₂
Wang et al., 2017 [50]	Stochastic Frontier Analysis	To assess urban energy performance factors	30 provincial capitals in China	Capital (total fixed asset investment), labor (the number of urban employees), energy (annual energy consumption), economic output (gross regional product); urbanization level (urbanization rate), population and area (population density), urban climate (temperature index), travel selection (household car ownership per 100 urban resident households)
Wang, Li, 2017 [51]	DEA, correlation analysis	To measure the urban energy efficiency	Five central districts in Shanghai city	Input: total energy consumption Output: heating degree day and cooling degree day, population, total building area, annual output of industry

Table 1. Cont.

Authors	Method	Aims	Objects	Main Indicators
Li et al., 2019 [52]	Meta-frontier dynamic DEA	To evaluate the dynamic energy efficiency of the urban environments	31 Chinese cities	Input: labor, fixed assets, energy consumption Output: GDP Carry-over: PM2.5, SO ₂
Huang et al., 2019 [53]	DEA	To analyze the changes in industrial production performance and energy conservation efficiency	656 Chinese cities (including cities above prefecture-level and country-level cities)	Employment number, capital investment of the secondary industry, electricity used in production in the secondary industry
Dirutigliano et al., 2018 [54]	PROMOTHEE	To provide a guideline for ranking different alternatives of building retrofitting at the building and district level	198 buildings in Turin's district (Italy)	13 economic and socio-environmental criteria: investment cost, replacement cost, maintenance cost, tax deduction, internal comfort, energy bill savings, reliability, built environment, improvement of internal thermal comfort, social image and awareness
Lombardi et al., 2017 [55]	MACBETH, "Playing Cards"	To analyse and test approaches into ranking of the evaluation criteria	Two projects: District Information Modelling and Management for Energy Reduction, Zero Energy Buildings in Smart Urban District	Economic (investment costs, payback period), environmental (reduction of the CO ₂ emissions), technical (reduction of the energy requirement, resilience of the energy systems)
Moutinho et al., 2018 [56]	DEA	To assess urban performance in term of eco-efficiency	24 German and 14 French cities	Input: energy consumption, population density, labor productivity, resource productivity, patents per inhabitant Output: GDP, CO ₂ emissions
Song et al., 2016 [57]	Energy Synthesis, Slacks-Based Measure DEA	To measure the urban metabolic evolution index	31 major Chinese cities	Renewable energy, indigenous renewable energy, locally non-renewable energy, imported energy, exported energy, waste energy

Table 1. Cont.

Authors	Method	Aims	Objects	Main Indicators
Liu et al., 2020 [58]	DEA	To measure urban green total factor productivity (GTFP) with a difference-in-difference (DID) approach	283 prefecture-level Chinese cities (96 pilot and 187 non-pilot cities)	Input variables: capital stock, number of employees, energy consumption Output variables: GDP, CO ₂ emissions Control variables: innovation index
Wang et al., 2020 [59]	Slacks-Based Measure DEA based on non-expected output	To explore the spatiotemporal evolution of urban carbon emission performance	283 cities in China	Input: fixed-asset investment, inventory assets, number of employees, energy consumption, urban electricity consumption Expected output: GDP Non-expected output: urban CO ₂ emissions

Source: authors' elaboration on the basis of [33,41–59].

ISO standards can be used for assessing urban energy and other dimensions of smart cities [65,66]. For instance, ISO 37122 [67] includes the following indicators: electrical and thermal energy produced from wastewater treatment per capita per year, electrical and thermal energy produced from solid waste treatment per capita per year, the percentage of the city's energy that is produced using decentralized energy production systems, storage capacity of the city's energy grid per capita, the percentage of energy consumption of public street lighting in the total annual municipal energy consumption, the percentage of street lighting that has been refurbished, the percentage of public buildings requiring renovation/refurbishment (by floor area). However, ISO 37123 [68] enumerates only three indicators related to smart urban energy: number of different electricity sources providing at least 5% of total energy supply capacity, electricity supply capacity as a percentage of peak electricity demand, the percentage of critical facilities served by off-grid energy services. Table 2 presents the general information about ISO standards related to urban energy.

Table 2. Overview of the ISO standards related to urban energy.

	Year	Name of Standard	Number of Thematic Groups	Total Number of Indicators	Number of Energy Indicators
ISO 37120	2014	Sustainable development of communities—indicators for city services and quality of life	17	46 + 54 *	7
ISO 37122	2019	Sustainable cities and communities—indicators for smart cities	19	76	7
ISO 37123	2019	Sustainable cities and communities—indicators for resilient cities	16	66	3

Legend: * Number of core + supporting indicators. Source: authors' elaboration on the basis of [67–69].

3. Materials and Methods

The present study focused on the assessment of urban energy. The research was conducted in three steps: selection, evaluation, and classification (Figure 3). The test procedure consisted of several successive stages: (1) the choice of indicators and objects; (2) the construction of the normalized decision matrix; (3) the calculation of criterion weights based on entropy method; (4) linear ordering using TOPSIS method; (5) clustering of cities; (6) conclusions and recommendations.

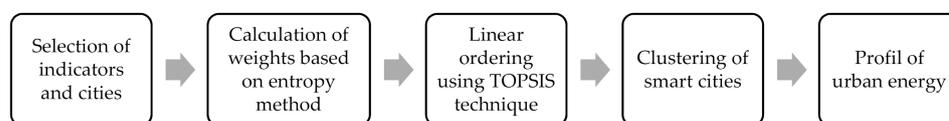


Figure 3. The research design. Source: authors' work.

The study used the TOPSIS technique, which is one of the most commonly used to solve decision-making problems in the field of urban energy. The first method of linear ordering based on the decision theory using patterns and anti-patterns was proposed by Hwang and Yoon in 1981 under the name TOPSIS. The test steps using the classic TOPSIS procedure can be concluded as follows [70]:

Stage 1. The multiple attributes were selected in accordance with substantive and statistical considerations. Then attributes were divided into stimulants (S) and destimulants (D).

Stage 2. Based on the multiple attributes, the decision matrix X was constructed:

$$X = [x_{ij}] = \begin{bmatrix} x_{11} & \cdots & x_{1n} \\ \vdots & \ddots & \vdots \\ x_{m1} & \cdots & x_{mn} \end{bmatrix} \quad (1)$$

where: x_{ij} represents the value of the j -th attribute ($j = 1, 2, \dots, n$) for the i -th objects (cities, $i = 1, 2, \dots, m$) and $x_{ij} \in \mathbb{R}$.

Stage 3. The values of attributes were normalized in order to obtain their comparability in accordance with the formula:

$$r_{ij} = \begin{cases} \frac{x_{ij}}{\sum_{j=1}^n x_{ij}} & , \text{ gdy } j \in \text{stymulant} \\ 1 - \frac{x_{ij}}{\sum_{j=1}^n x_{ij}} & , \text{ gdy } j \in \text{destymulant} \end{cases} \quad (2)$$

Stage 4. The normalized (vector-based) decision matrix was constructed:

$$R = [r_{ij}] = \begin{bmatrix} r_{11} & \cdots & r_{1n} \\ \vdots & \ddots & \vdots \\ r_{m1} & \cdots & r_{mn} \end{bmatrix} \quad (3)$$

where: r_{ij} means the normalized value of the j -th attribute ($j = 1, 2, \dots, n$) for the i -th alternatives (cities, $i = 1, 2, \dots, m$).

Stage 5. The criterion weight vector w_j for the attribute was determined based on the entropy method [71]:

$$E = (e_1, e_2, \dots, e_n), \quad (4)$$

where: E means an entropy vector,

$$e_n = \frac{-1}{\ln m} \sum_{i=1}^m z_{ij} \ln z_{ij} \quad (5)$$

$$z_{ij} \ln z_{ij} = 0 \quad (6)$$

where: $z_{ij} = 0$,

$$w = (w_1, w_2, \dots, w_n), \quad (7)$$

$$\sum_{j=1}^n w_j = 1, w_j \in [0, 1], \quad (8)$$

where: w_j means the criterion weight.

If all the criteria were equally valid, the weights were computed according to the following formula:

$$w_j = \frac{d_j}{\sum_{j=1}^n d_j} \quad (9)$$

$$d_j = 1 - e_j \quad (10)$$

Stage 6. The values of normalized indicators were weighted based on the following formula:

$$v_{ij} = r_{ij} \cdot w_j \quad (11)$$

Stage 7. Based on the weight of each attribute, the weighted normalized decision matrix V was calculated:

$$V = [v_{ij}] = \begin{bmatrix} v_{11} & \cdots & v_{1n} \\ \vdots & \ddots & \vdots \\ v_{m1} & \cdots & v_{mn} \end{bmatrix} \quad (12)$$

where: v_{ij} means the weighted and normalized value of the j -th attribute ($j = 1, 2, \dots, n$) for the i -th alternatives (cities, $i = 1, 2, \dots, m$).

Stage 8. The model coordinates of the ideal (A^+) and anti-ideal (A^-) were established [72]:

$$A^+ = (v_1^+, v_2^+, \dots, v_m^+) \tag{13}$$

$$A^- = (v_1^-, v_2^-, \dots, v_m^-) \tag{14}$$

$$v_m^+ = \left\{ \left(\max_i v_{ij} | j \in S \right), \left(\min_i v_{ij} | j \in D \right) | i = 1, 2, \dots, n \right\} \tag{15}$$

$$v_m^- = \left\{ \left(\min_i v_{ij} | j \in S \right), \left(\max_i v_{ij} | j \in D \right) | i = 1, 2, \dots, n \right\} \tag{16}$$

where: $S = \{j = 1, 2, \dots, m | j \text{ represent the bigger the better attribute}\}$;

$D = \{j = 1, 2, \dots, m | j \text{ represent the smaller the better attribute}\}$.

Stage 9. The positive distance (d_i^+) and the negative distance (d_i^-) of each assessed object were calculated as follows:

$$d_i^+ = \sqrt{\sum_{j=1}^m (v_{ij} - v_j^+)^2} \tag{17}$$

$$d_i^- = \sqrt{\sum_{j=1}^m (v_{ij} - v_j^-)^2} \tag{18}$$

where: $i = 1, 2, \dots, m$.

Stage 10. The values of the relative closeness coefficient (RC_i) of each object were calculated:

$$RC_i = \frac{d_i^-}{d_i^+ + d_i^-} \tag{19}$$

where: $0 \leq RC_i \leq 1, i = 1, 2, \dots, m$.

Stage 11. The modification of the relative closeness coefficient (RC_i') in the classic TOPSIS procedure was applied to simplify the smart cities levels according to the following formula:

$$RC_i' = \frac{RC_i}{\sum_{i=1}^m RC_i}, (i = 1, 2, \dots, m) \tag{20}$$

Stage 12. The ranking of smart cities was prepared.

Stage 13. The classification was determined based on the relative closeness coefficient (RC_i) as well as arithmetic mean (\overline{RC}_i) and standard deviation (S_{RC_i}) with typological classes specified through the creation of four separate groups of similar objects:

- Class I: if the relative closeness coefficient is $RC_i \geq \overline{RC}_i + S_{RC_i}$
- Class II: if the relative closeness coefficient is $\overline{RC}_i \leq RC_i < \overline{RC}_i + S_{RC_i}$
- Class III: if the relative closeness coefficient is $\overline{RC}_i - S_{RC_i} \leq RC_i < \overline{RC}_i$
- Class IV: if the relative closeness coefficient is $RC_i < \overline{RC}_i - S_{RC_i}$.

In the context of urban energy, the ranking of smart cities is complex and multi-faceted [73]. Firstly, cities consist of many interrelated systems, which impact each other. Secondly, various stakeholders in cities may have conflicting ideas.

Empirical materials within this study were based on currently available statistical data listed by the WCCD (www.open.dataforcities.org) between 2014 and 2017. Indicators were selected from ISO 37120:2014 standard, namely [74]:

- X1—total residential electrical energy use per capita;
- X2—a share of city population with authorized electrical service;
- X3—energy (electricity) consumption of public buildings per year;

X4—a share of the city’s total energy consumption energy derived from renewable sources;

X5—total electrical energy use per capita;

X6—average number of electrical interruptions;

X7—average length of electrical interruptions.

There are four core (X1, X2, X3, X4) and three supporting indicators (X5, X6, X7).

The following analysis includes cities from 6 world regions (without Africa). Table 3 presents the general overview of the analyzed cities. The urban profile involves the features such as a country, a year of ISO 37120 certification, a city population, a city land area, a population density, and a city product.

Table 3. The selected cities profile.

Cities	Country	Certification Year	Population	City Land Area [km ²]	Population Density	City Product per Capita [USD]
Amsterdam	Netherlands	2014	834,713	164.66	5065.00	71,627.00
Hague	Netherlands	2017	519,988	98.13	5298.97	45,933.67
Zwolle	Netherlands	2017	124,896	119.30	1046.00	42,988.80
Oslo	Norway	2016	658,390	426.38	1544.14	95,628.00
Zagreb	Croatia	2016	790,017	641.32	1232.48	20,181.20
Valencia	Spain	2015	787,266	137.48	5849.19	24,288.33
Porto	Portugal	2016	214,329	41.42	5180.50	863.75
Sintra	Portugal	2017	382,521	319.23	1198.30	20,801.29
Boston	USA	2014	672,840	125.00	5383.00	177,079.00
Doral	USA	2016	51,382	40.06	1281.02	76,066.18
San Diego	USA	2016	1,381,083	842.23	1639.79	62,295.00
Saint-Augustin-de-Desmaures	Canada	2016	19,369	85.84	225.64	119,889.10
Toronto	Canada	2015	2,808,503	634.00	4430.00	50,325.00
Piedras Negras	Mexico	2018	163,595	70.87	2308.38	8829.54
Torreon	Mexico	2016	679,288	305.23	2225.50	11,352.00
Buenos Aires	Argentina	2014	2,890,151	203.00	14,450.80	27,720.00
Brisbane	Australia	2016	1,184,215	1338.10	882.00	48,416.33
Melbourne	Australia	2014	122,207	37.70	3088.78	587.14
Tbilisi	Georgia	2017	1,113,000	502.00	2217.13	55,343.19
Amman	Jordan	2014	2,584,600	680.00	3800.88	2705.81
ArRiyadh	Saudi Arabia	2016	6,506,700	3115.00	2088.00	22,213.00

Source: authors’ elaboration based on [75].

The city with the largest land area and population is ArRiyadh (6,506,700; 3115 km²), but Buenos Aires has the highest population density (14,450.8). The highest city product per capita was reported in Boston (177,079 USD), while the lowest was Melbourne (587.14 USD).

The TOPSIS technique is based on 21 alternatives analysed with respect to seven criteria. The distances from the positive ideal and negative ideal solutions allowing for the formation of a linear ordering are determined. Table 4 shows the characteristics of TOPSIS in terms of alternatives and criteria.

Table 4. Steps of the TOPSIS method.

	Input	Process	Output
Alternatives	AM HG ZW OS ZA VA PO SI BO DO SD SA TO PN TR BA BR ME TB AN AR	Calculation of TOPSIS technique	Results, ranking and clustering
Criteria	X ₁ X ₂ X ₃ X ₄ X ₅ X ₆ X ₇		

Source: authors' elaboration.

4. Results and Discussion

The research began with computing the basic statistics for urban energy indicators by measuring the position (arithmetic mean) and variability (standard deviation, variation coefficient). The share of the city's total consumption of energy derived from renewable sources (161.3%) is the most varied indicator, whereas the percentage of the city's population with authorized electrical services (7.5%) provides the least information. Table 5 presents the general statistics of each indicator.

Table 5. The basic statistics of urban energy indicators.

	Units	Character	\bar{x}	S_D	V	Max Value, City	Min Value, City
X1	kWh/Year/capita	D	2558.8	2331.8	91.1	9201.5 SA	127.2 AN
X2	%	S	97.9	7.3	7.5	100.0 PO	98.62 BA
X3	kWh/m ² /year	D	149.0	160.5	107.8	615.1 TO	5.1 TR
X4	%	S	18.2	29.4	161.3	99.0 OS	0.0 BA
X5	kWh/Year/capita	D	9412.3	7487.3	79.5	28,375 PN	1200.5 AN
X6	customer/year	D	0.86	1.21	140.9	6.0 BA	0.0 PO
X7	hours	D	1.5	2.3	152.1	13.5 BA	0.0 SI

Legend: \bar{x} —the arithmetic mean, S_D —the standard deviation, V —the variation coefficient. S—stimulant, D—destimulant. Source: elaborated by the authors based on [75].

In the next step, a decision matrix (X) was developed. Then, the normalized decision matrix (R) was developed based on a normalized vector (r). Results of calculated the normalized decision matrix are summarized in Appendix A.

Based on the entropy method, the entropy vector (e) and the criterion weight vector (w) were determined. Table 6 shows the weights of the evaluation criteria. The most important criterion was X_7 ($w = 0.18550$) whereas the least important was X_2 ($w = 0.00000$).

Table 6. Weights of the evaluation criteria.

	X1	X2	X3	X4	X5	X6	X7
e	0.31331	1.00000	0.31159	0.68221	0.31475	0.29990	0.29896
d	0.68669	0.00000	0.68841	0.31779	0.68525	0.70010	0.70104
w	0.18170	0.00000	0.18215	0.08409	0.18132	0.18525	0.18550

Source: authors' work.

Weight factors (w) were determined and the weighted normalized decision matrix (V) was developed. Appendix B presents the weighted normalized decision matrix.

Results of the calculated relative closeness coefficient (RC) and the ranking of smart cities compared to the basic level of urban energy are summarized in Table 7. Likewise, the positive distance (d^+) and the negative distance (d^-) were presented in this table.

The values of the relative closeness coefficient range from 0.29794 to 0.82071, while the modification of the relative closeness coefficient (RC')—from 0.0197434 and 0.0543855.

Table 7. The ranking of smart cities.

Cities	d^+	d^-	RC	RC'	Rank
AM	0.02167	0.09234	0.80993	0.0536712	2
HG	0.02430	0.09489	0.79611	0.0527552	4
ZW	0.02415	0.09157	0.79131	0.0524375	7
OS	0.02564	0.08945	0.77719	0.0515017	10
ZA	0.03513	0.07396	0.67793	0.044924	15
VA	0.02559	0.08855	0.77578	0.0514085	11
PO	0.02054	0.09401	0.82071	0.0543855	1
SI	0.02501	0.09608	0.79350	0.0525823	6
BO	0.02370	0.09198	0.79513	0.0526905	5
DO	0.04258	0.08065	0.65447	0.0433695	18
SD	0.02590	0.08556	0.76763	0.0508684	12
SA	0.04987	0.06461	0.56441	0.0374019	20
TO	0.04491	0.07741	0.63285	0.0419366	19
PN	0.04369	0.09154	0.67690	0.0448562	16
TR	0.02507	0.09148	0.78488	0.0520116	9
BA	0.09026	0.03831	0.29794	0.0197434	21
BR	0.02489	0.09336	0.78952	0.0523191	8
ME	0.04028	0.08002	0.66520	0.0440807	17
TB	0.02167	0.09140	0.80835	0.0535666	3
AN	0.03162	0.08374	0.72589	0.0481026	13
AR	0.03568	0.07755	0.68491	0.0453869	14

Source: authors' work.

The relative closeness coefficient (RC) was defined for each smart city. As a result, Porto (PO) was found to be the most desirable city among these alternatives, overtaking its nearest competitor, Amsterdam (AM). Saint-Augustin-de-Desmaures (SA) ranked twelve, leaving Buenos Aires (BA) last. Figure 4 presents a visualization and a summary of the relative closeness coefficient.

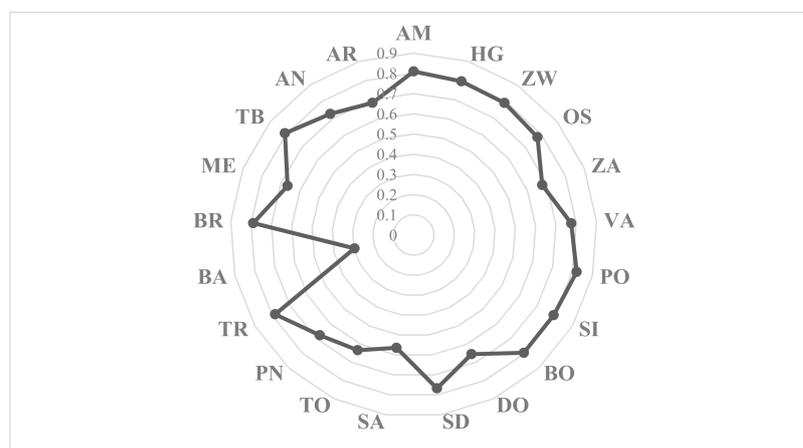


Figure 4. The visualization of relative closeness coefficient. Source: authors' work.

Using the values of the relative closeness coefficient, a four-level classification of smart cities can be derived based on the assessment of urban energy. Table 8 presents the classification of smart cities by their level of urban energy. From the table, it can be seen that none of the sample smart cities reached the ‘excellent’ status with regard to its urban energy. The majority of smart cities (thirteen) were with the ‘good’ status and RC was above 0.7186. ArRiyadh (AR), Zagreb (ZA), Piedras Negras (PN), Melbourne (ME), Doral (DO), and Toronto (TO) belong to group III, with RC between 0.59865 and 0.7186. Two cities (SA, BA) were with the ‘low’ status and RC below 0.59865.

Table 8. Classification of smart cities in terms of urban energy level.

Level	Status	Ranges	Cities
I	Excellent	$RC > 0.83854$	-
II	Good	$0.7186 < RC < 0.83854$	PO, AM, TB, HG, BO, SI, ZW, BR, TR, OS, VA, SD, AN
III	Medium	$0.59865 < RC < 0.7186$	AR, ZA, PN, ME, DO, TO
IV	Low	$RC < 0.59865$	SA, BA

Source: authors’ work.

In conclusion, the overall assessment of smart cities is sufficient, but they performed poorly in promoting the concepts of a low-carbon city and sustainable development. Furthermore, the percentage of the city’s total consumption of the energy derived from renewable sources (X4) is low among the criteria analyzed and the weight is only 8.4%. Additionally, the average number (X6) and time of electrical interruptions (X7) are extremely important criteria (18.52% and 18.55%, respectively).

The relationship between city product per capita and closeness coefficient was analyzed. Most cities with a high city product belong to cluster 2. The assessment carried out identified three outlier cities, which are Boston, Saint-Augustin-de-Desmaures, and Buenos Aires. The trend line shows that a \$1 increase in city product causes an increase in closeness coefficient by $2 \cdot 10^{-7}$. Figure 5 presents a dependence of city product and relative closeness coefficient.

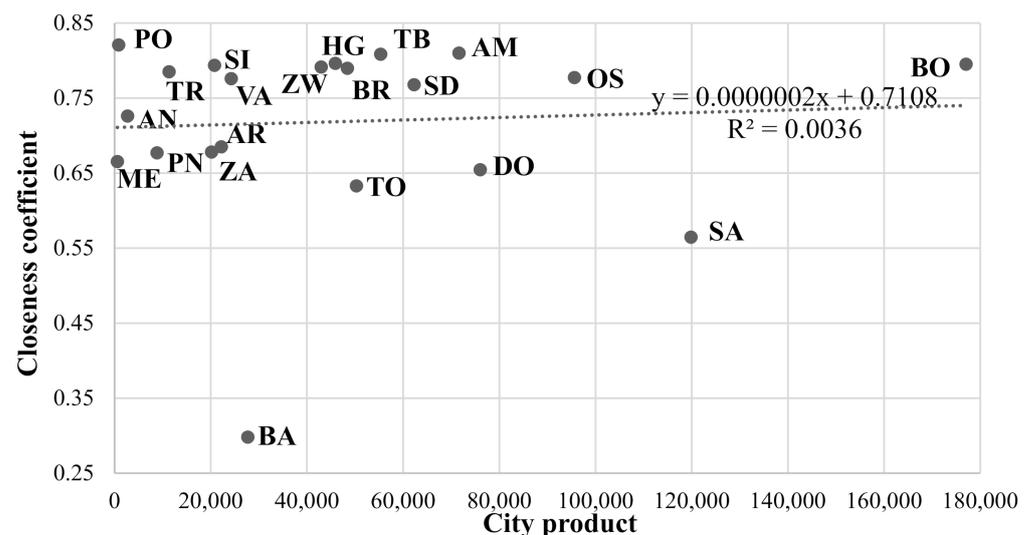


Figure 5. The dependence of city product and relative closeness coefficient. Source: authors’ work.

Porto is a city where power outages do not occur and it ranked first in the ranking of cities. A similar situation is observed in Hague and Sintra, with the 4th and 5th positions in the ranking of cities, respectively. In contrast, the largest percentage of renewable energy sources was found in Oslo and Saint-Augustin-de-Desmaures. Buenos Aires, Piedras

Negras, and ArRiyadh ranked 21st, 16th, and 14th among cities, respectively. The highest electricity consumption per capita was reported in Saint-Augustin-de-Desmaures and Piedras Negras.

Porro et al. note that financial facilitation, support for public-private partnerships, the level of transparency, and the degree of bureaucracy are important criteria when making decisions on the location of energy companies [33]. Wang et al. state that urbanization rate, temperature index, and having a car in a household are positively related to urban energy efficiency [50]. Dirutigliano et al. believe that the construction sector plays a fundamental role in urban energy consumption [54]. Furthermore, Wang et al. suggest that energy savings can be achieved by improving the efficiency of carbon dioxide emissions [59]. The research indicates that the time of power outages has the greatest influence on the value of the closeness coefficient.

5. Conclusions

The smart city rankings exhaustively analyze the areas of smart cities, such as economy, mobility, environment, quality of life, social capital, and management. Unfortunately, the energy aspects of the modern city are insufficiently considered. The concept of a smart city should put more emphasis on energy, which, if implemented in a sustainable manner, is likely to contribute to the reduction of city maintenance costs, economic growth, and to fulfill the obligations imposed on the member states by the European Union regarding pollutant emissions and respect for the natural environment. The identification of smart cities in terms of energy should be based on energy consumption per inhabitant, urban energy networks, energy-efficient and interactive buildings, and urban power technologies. An energy-efficient smart city should stand out in the following areas: renewable energy resources, electrical network, heating network, cogeneration, thermal efficiency improvement in facilities, interactive buildings, and sustainability of the energy system.

Based on theoretical and empirical studies, it can be concluded that:

- Urban energy is an important research direction, as confirmed by the growing number of publications.
- MCDM techniques are one of the important tools in solving decision-making problems in the field of urban energy, especially energy efficiency, sustainability performance, environmental efficiency, and low-carbon ecological city evaluation.
- TOPSIS, AHP, and DEA are the most popular MCDM techniques in the field of urban energy.
- A ranking of smart cities in terms of urban energy was obtained based on the multi-criteria analysis conducted using the TOPSIS technique. Porto was found to be the best location for energy enterprises and projects. Buenos Aires was ranked last.

The proposed research procedure can be used to analyse and evaluate cities in a country, but also to select alternatives in the context of other urban characteristics.

The paper contributes to the existing literature by complementing the location theory using TOPSIS technique for urban energy investment decisions. Furthermore, it provides city managers with information on variables that are relevant to energy companies looking for new locations to operate.

Future research objectives using the TOPSIS procedure will involve other algorithms of normalization and criteria weighting. It is also planned to extend the analysis to the other research objects (European cities, Polish cities) using TOPSIS. The authors intend to develop rankings based on other popular MDCM techniques, e.g., DEA, AHP.

This study has also some limitations. The paper uses available and measurable indicators while omitting those that are difficult to obtain and evaluate. However, the analysis should be multidimensional and comprehensive. Therefore, more indicators should be considered and selected in future research. Furthermore, TOPSIS is a sensitive technique because of its method of normalization and weighing the criteria.

Author Contributions: Conceptualization S.H. and D.J.; methodology, S.H. and D.J.; writing—original draft preparation, S.H. and D.J. (Introduction—D.J., Materials and Methods and Results—D.J. and S.H., Discussion and Conclusions—S.H.). All authors have read and agreed to the published version of the manuscript.

Funding: This study was realized during scientific project WZ/WIZ/-INZ/2/2019 supported by Polish Ministry of Education and Science.

Institutional Review Board Statement: Not applicable.

Informed Consent Statement: Not applicable.

Data Availability Statement: Not applicable.

Acknowledgments: The authors thanks everyone who provided constructive advice that helped to improve this paper.

Conflicts of Interest: The authors have declared that no competing interest exist.

Abbreviations

AM	Amsterdam
HG	Hague
ZW	Zwolle
OS	Oslo
ZA	Zagreb
VA	Valencia
PO	Porto
SI	Sintra
BO	Boston
DO	Doral
SD	San Diego
SA	Saint-Augustin-de-Desmaures
TO	Toronto
PN	Piedras Negras
TR	Torreon
BA	Bueno Aires
BR	Brisbane
ME	Melbourne
TB	Tbilisi
AN	Amman
AR	ArRiyadh

Appendix A

Table A1. Normalized decision matrix.

	X1	X2	X3	X4	X5	X6	X7
AM	0.97924	0.04765	0.97696	0.04217	0.97196	0.98619	0.98609
HG	0.98204	0.04770	0.97348	0.00386	0.98213	1.00000	0.99292
ZW	0.97935	0.04770	0.96794	0.01740	0.97523	0.99841	0.97218
OS	0.89166	0.04770	0.93839	0.28425	0.93069	0.97876	0.97547
ZA	0.97976	0.04714	0.97618	0.00238	0.98308	0.91184	0.89555
VA	0.97427	0.04770	0.98365	0.01375	0.98349	0.94636	0.98280
PO	0.96674	0.04770	0.99328	0.06033	0.97166	1.00000	0.97673
SI	0.96749	0.04770	0.99480	0.00777	0.95324	1.00000	1.00000
BO	0.96461	0.04770	0.96187	0.04351	0.95028	0.98354	1.00000

Table A1. *Cont.*

	X1	X2	X3	X4	X5	X6	X7
DO	0.89697	0.04770	0.88836	0.00116	0.89188	0.92990	0.99975
SD	0.96700	0.04770	0.94478	0.03278	0.96663	0.96654	0.96813
SA	0.83479	0.04770	0.92006	0.28280	0.90912	0.84387	0.90137
TO	0.96687	0.04770	0.78795	0.09050	0.95408	0.92618	0.98002
PN	0.85841	0.04770	0.95436	0.00000	0.85511	0.99947	0.99899
TR	0.99083	0.04770	0.99824	0.00096	0.98553	0.96283	0.98306
BA	0.97262	0.04704	0.95852	0.00000	0.97867	0.68136	0.65857
BR	0.96236	0.04770	0.95605	0.02349	0.95984	0.99841	0.99798
ME	0.96892	0.04770	0.87563	0.03582	0.86170	0.98513	0.95245
TB	0.98423	0.04770	0.99328	0.05604	0.98794	0.99947	0.94790
AN	0.99771	0.04736	0.98065	0.00104	0.99387	0.99203	0.88948
AR	0.91411	0.04765	0.97557	0.00000	0.95388	0.90972	0.94057

Source: authors' work.

Appendix B**Table A2.** Weighted normalized decision matrix.

	X1	X2	X3	X4	X5	X6	X7
AM	0.17793	0.00000	0.17796	0.00355	0.17623	0.18269	0.18292
HG	0.17844	0.00000	0.17732	0.00032	0.17808	0.18525	0.18418
ZW	0.17795	0.00000	0.17631	0.00146	0.17683	0.18495	0.18034
OS	0.16201	0.00000	0.17093	0.02390	0.16875	0.18131	0.18095
ZA	0.17802	0.00000	0.17781	0.00020	0.17825	0.16892	0.16612
VA	0.17702	0.00000	0.17918	0.00116	0.17833	0.17531	0.18231
PO	0.17566	0.00000	0.18093	0.00507	0.17618	0.18525	0.18118
SI	0.17579	0.00000	0.18121	0.00065	0.17284	0.18525	0.18550
BO	0.17527	0.00000	0.17521	0.00366	0.17230	0.18220	0.18550
DO	0.16298	0.00000	0.16182	0.00010	0.16171	0.17226	0.18545
SD	0.17570	0.00000	0.17210	0.00276	0.17527	0.17905	0.17959
SA	0.15168	0.00000	0.16759	0.02378	0.16484	0.15632	0.16720
TO	0.17568	0.00000	0.14353	0.00761	0.17299	0.17157	0.18179
PN	0.15597	0.00000	0.17384	0.00000	0.15505	0.18515	0.18531
TR	0.18003	0.00000	0.18183	0.00008	0.17869	0.17836	0.18235
BA	0.17672	0.00000	0.17460	0.00000	0.17745	0.12622	0.12216
BR	0.17486	0.00000	0.17415	0.00198	0.17404	0.18495	0.18512
ME	0.17605	0.00000	0.15950	0.00301	0.15624	0.18249	0.17668
TB	0.17883	0.00000	0.18093	0.00471	0.17913	0.18515	0.17583
AN	0.18128	0.00000	0.17863	0.00009	0.18021	0.18377	0.16499
AR	0.16609	0.00000	0.17770	0.00000	0.17296	0.16852	0.17447

Source: authors' work.

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