

# Article Innovative Strategies for the Use of Reflective Foils for Fruit Colouration to Reduce Plastic Use in Orchards

Patrick Hess<sup>1</sup>, Achim Kunz<sup>2</sup> and Michael M. Blanke<sup>1,\*</sup>



<sup>2</sup> Campus Klein-Altendorf, University of Bonn, 53359 Rheinbach, Germany; akunz@uni-bonn.de

\* Correspondence: mmblanke@uni-bonn.de

Abstract: (1) Background: Plastic in fruit orchards represents an environmental issue due to large CO2eq emissions associated with its production from fossil fuel and disposal (often incineration). (2) Materials and methods: Apple cv. "Braeburn Hillwell" trees on M9 rootstocks under a hail net were used at Campus Klein-Altendorf (CKA), Germany (50 °N) in 2018. In order to reduce the use of plastics to improve the red colouration of fruit particularly under hail nets, three alternatives to the current use of reflective mulch in each alleyway between the tree rows were explored, with uncovered grass alleyways as control. About 2800 colour measurements were done in the four weeks prior to harvest on 720 attached fruit below and above 1 m height in the field, and ca. 6900 additional colour measurements were conducted at harvest. (3) Results: The underlying regulatory mechanisms contrasted between the diffusive reflection of the white woven ground cover (such as Lumilys<sup>TM</sup> or Extenday<sup>TM</sup>) in the alleyways and aluminium foil under the trees with regular (straight) light reflection. Good fruit colouring and a plastic reduction were achieved (a) through spreading the white woven ground cover in every other row, and (b) through substituting the white ground cover with aluminium foil (80% recycled). Both methods can reduce greenhouse gas (GHG) emissions (75–110 kg CO<sub>2eq</sub>/ha for the first option a). (4) Conclusion: Plastic use in fruit orchards can be reduced by multiple use of the material in the same or several years, spreading it in every other row or substituting it by another reflective material, a relevant step towards an environment-friendly sustainable horticulture.

**Keywords:** anthocyanin; Extenday<sup>TM</sup>; fruit colouration; light reflection; Lumilys<sup>TM</sup>; plastic recycling; reflective films; resource conservation; sustainable horticulture

### 1. Introduction

Criticism concerning food and fruit production at all stages of the supply chain includes unnecessary waste from the field to wholesale, retail, restaurants and the consumer [1–4]. The excessive use of plastic is criticised due to its fossil-fuel based, non-renewable nature, which can constitute a major source of GHG emissions due to production, pollution, waste and possibly micro plastics as important aspects for a sustainable, environment-friendly horticulture.

As a result of climate change and associated changes in the weather system, such as an increase in hailstorms, protective hail nets have become more widespread [3]; the combination of the resultant lack of light under the hail net [5] and warmer autumn temperatures [6] prevents the (red) colouration in many fruits and in many locations worldwide, from Brazil to Chile, Washington DC to Ontario and Bonn to Bologna [3]. The consumer (and trade), however, demands red-coloured fruit. In the consumer's perception, the red colour is associated with a ripe fruit, sweetness and good taste [3,7].

Hence, the objective of the present study was to investigate possible alternatives or modifications to the use of reflective mulch for colouration of fruit such as apricot, apple, (Anjou) pear, (red) grape berry, peach, persimmon (kaki), etc. In other words, the stimulation of the anthocyanin synthesis [8–10] for sustainable cultivation of these fruit



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**Copyright:** © 2020 by the authors. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (https://creativecommons.org/ licenses/by/4.0/). crops. Apple was chosen here due to its widespread cultivation in many countries with temperate climate zones throughout the world [4]. With ca. 80 million metric tons annual production (FAOSTAT [11]), it counts as the third largest fruit crop worldwide.

### 2. Materials and Methods

### 2.1. Location, Fruit Trees, Colour Measurement and Maturity Indexing

Nine-year-old cv. 'Braeburn Hillwell' apple trees on M9 rootstocks were cultivated at Campus Klein-Altendorf (CKA), University of Bonn, Germany (50 °N). The trees were trained to slender spindles and planted N-S to optimise light conditions (Jackson and Palmer, 1972) [12]. Fruit colour was measured with a portable i1 Pro (Xrite, Michigan, USA) in the lower third (<1 m tree height) and middle third (<1 m) of the trees, since fruit in the shaded lower parts of the tree canopy (Figures 1 and 2) are particularly prone to poor colouration. In these lower and middle parts of the tree, five fruits were marked in the west and five in the east tree periphery. Colour was repeatedly measured four times per fruit, i.e., every 90° around the fruit equator, on these still attached fruits and marked spots, resulting in 2800 values, and averaged for Figure 3, while colour values measured on the down-facing side of the fruit under 1 m height are presented in Figure 4. The second round of colour measurements was on harvested fruit using automated machine grading in an MSE 2000 (Greefa, Geldermalsen, Holland) with dedicated single fruit evaluation [13]. The 100 fruit samples for maturity indexing at two dates comprised ten apples per plot and treatment, i.e., five fruits from the east side and five fruits from the west side of the tree, and included the assessment of starch degradation, sugar content and fruit firmness, using standard methods [5].



**Figure 1.** Different approaches using (**a**) (recycled) aluminium foil directly under the trees (left), or (**b**) Lumilys woven textile both after 6 weeks exposure, both at the end of the experiment before harvest.

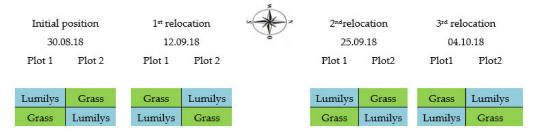
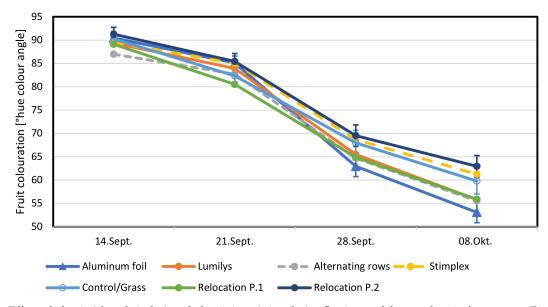
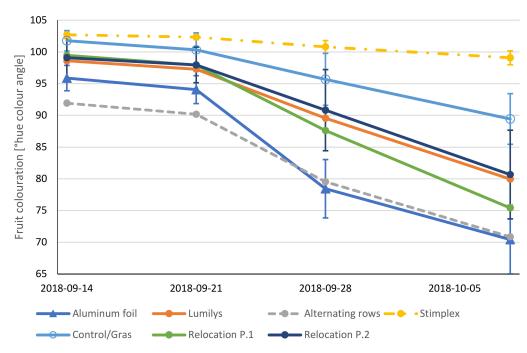


Figure 2. Pictorial representation of moving Lumilys mulch within the same row ("relocation") in autumn 2018.



**Figure 3.** Effect of plastic (closed circles) and aluminium (triangles) reflective mulches or chemical measures (Biostimulant Stimplex<sup>TM</sup>) relative to the control (open circles) **on red colour formation (anthocyanin synthesis) at the fruit equator** as a decline in °hue colour angles for apple cv. 'Braeburn Hillwell' at Campus Klein-Altendorf in 2018 (n = 100 colour measurements per treatment and date plus SEs at the 5% error level).



**Figure 4.** Hue colour angle of the **bottom side of apples below 1 m height** exposed to aluminium foil (triangle), Lumilys<sup>R</sup> reflective (plastic) mulch, Lumilys<sup>R</sup> in alternating tree rows (closed circles), the biostimulant Stimplex<sup>R</sup>, control/grass (open circle) and relocation P.1 and P.2 for the four measurement dates (n = 10/per treatment and measurement day plus SEs at the 5% error level for Stimplex<sup>TM</sup> control, relocation P2 and P1 and aluminium foil).

# 2.2. Reflective Mulches and Biostimulants

Reflective white woven textile mulch type Lumilys<sup>TM</sup> (100 g/m<sup>2</sup>; Beaulieu Textiles, Belgium) [14] was spread on 30 August 2018, i.e., ca. five weeks prior to the anticipated harvest (traditional way of using reflectors), with grassed alleyways without ground cover as control. The 2.6 m wide white polypropylene mulch cloth leaves a ca. 50 cm wide gap for soil respiration/aeration and water uptake close to the tree trunk.

The innovative alternatives to the white reflective groundcover in every row included (a) spreading only every other row (thereby saving 50% of the plastic) (Figure 2) and (b) the substitution of the (diffuse) reflective mulch by aluminium foil (type Profissimo®, dm pharmacies, Karlsruhe, Germany) (regular reflection) under the trees, leaving a 2.3 m wide gap on the grassed alleyway 1; the foil used was made from 80% recycled aluminium. The biostimulant Stimplex®/Acadian®, based on extracts of the brown algae (*Ascophyllum nodorum*; Acadian Seaplants Co., Dartmouth, NS, Canada), was our third alternative (Table 1).

Treatment	Spreading Time or Treatment Date	Dosage/Relocation			
Aluminium					
Aluminium foil	30 August 2018	n.a.			
	Plastic				
Lumilys every row (current standard)	30 August 2018	n.a.			
Lumilys in alternating rows	30 August 2018	n.a.			
Lumilys relocation 1	30 August 2018	12 Sept.; 25 Sept.; 4 Oct. 2018			
Lumilys relocation 2	30 August 2018	12 Sept.; 25 Sept.; 4 Oct. 2018			
Control	untreated; grass, without grou	ndcover)			
Control 1 with uncovered grass	n.a.	n.a.			
Control 2 with uncovered grass	n.a.	n.a.			
Chemical alternative					
Biostimulant Stimplex 1	14 Sept. and 1 Oct. 2018	4 L/ha			
Biostimulant Stimplex 2	14 Sept. and 1 Oct. 2018	4 L/ha			

Table 1. Experimental design to stimulate fruit colour at Klein-Altendorf in 2018.

Notes: n.a-not applicable.

The white woven reflective mulch (Lumilys<sup>TM</sup>) was moved ca. every ten days in the fruit colouration period of six weeks before the harvest. In this relocation trial, the reflective mulch was spread in the alleyway either on the west side or the east side of the apple trees.

## 2.3. Statistics

The experiment comprised 80 apple trees. Each treatment consisted of ten trees plus one border tree, and the plots were replicated. A hundred and forty maturity tests were conducted at two dates on 10 apples per plot and treatment, i.e., five fruits from the east and five fruits from the west side of the tree, and averaged. A total of 2800 colour angle measurements were performed in the orchard on 560 attached fruits below and above 1 m height (Figure 3). All 5,515 apple fruits in the experiment were subjected to automated grading for colour (Figure 4), ca. 700 apples per treatment. Data were checked for normal distribution and homogeneity of variance, and then subjected to an analysis of variance (ANOVA) using Excel's statistical function and SEs at the 5% error level presented in Figures 3 and 4.

### 3. Results and Discussion

Repeated non-destructive colour measurements on the attached fruit (Figure 3) show the decline in the hue colour angle during fruit maturation, i.e., progressive development of red (anthocyanin) colour formation of the apple cv. 'Braeburn Hillwell' fruit. With about 63 °hue, the least red colouration was observed with the relocation of the reflective mulch within the same row and the uncovered grassed as control (60 °hue; Figure 3).

# 3.1. Development of Fruit Colouration on the Tree and Effect of Fruit Position in the Canopy on Colouration

The best fruit colouration (55 ° hue) was observed with reflective mulch every other row/alleyway ("alternating rows"; Figures 2 and 3) and the aluminium foil under the trees (53 ° hue; values averaged over the east and west side and over <1 m and >1 m).

Both polypropylene mulch relocations in plot 1 and plot 2 (Figure 2) and their use in every other row ("alternating") provide a plastic reduction of 50%. The colour of the apple fruit from relocation plot 1 (56 °hue) and relocation plot 2 (62 °hue) differed drastically, particularly in the period during 28 September to 8 October, with an apparent discrepancy between the decrease in the °hue colour angle of 9 °hue for apple in plot 1 and the 3 °hue for relocation plot 2 (Figure 3). In plot 1 the relocation of the reflective sheets within the same row had the strongest colouration effect, and the fruit were exposed longer to Lumilys reflective mulch in the alleyway on the east side than in relocation plot 2. *The reflective mulch (Lumilys) appeared more effective on the less sunny east side of the apple tree rows with north-south planting, especially in late autumn with a decreasing solar angle,* which has not been shown before, to our knowledge. Fruit on the *west* side of the trees benefited from sufficient PAR and UVB radiation for colouration (anthocyanin synthesis).

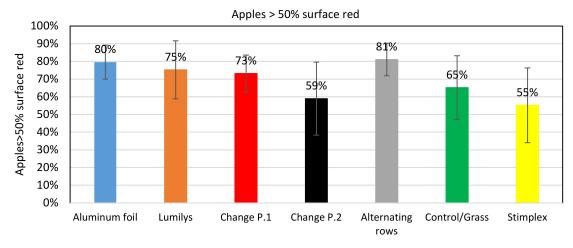
The lower, more shaded and green side of the apple fruit, particularly in the bottom of the tree canopy below 1 m, is severely affected by the lack of light. *This bottom side of the fruit below 1 m in the tree canopy benefited most, in terms of the best red colour, from the aluminium foil directly under the tree (70.4 °hue) and Lumilys<sup>R</sup> in every other ("alternating") alleyway (70.8 °hue) (Figure 4).* 

When the chemical biostimulant Stimplex<sup>TM</sup> was applied, however, the colouration on the lower side of apple fruits was not improved (99 °hue), relative to the untreated control (grass; 89.4 °hue; Figure 4), and hence appeared unsuitable for this purpose.

The use of aluminium foil under the tree would mean a 100% reduction of plastic for fruit colouration in the orchard. Other (organic) alternatives like fresh wheat straw, which conveniently becomes available concomitantly, and bio-degradable white sports field paint failed in previous studies in our location in the Northern hemisphere [8], as well as evaporative cooling [15] and other biostimulants [15,16].

### 3.2. Colour Assessment—Automated Grading of Harvested Fruit

The colour threshold for the machine grading of all ca. 6900 harvested apple fruits was set to >50% red peel colouration for the classification as premium fruit. Reflective mulch every in other row ("alternating") had the largest share, with 81% in this sorting category (>50% surface red), followed by the aluminium foil, with 80% in relation to the traditional design of the reflective mulch in every row (75%). Where Lumilys mulch was relocated three times within the same row to save 50% of plastic, fruit from relocation plot 1 gained a similar hue value as Lumilys, with 73%, but that from relocation plot 2 exhibited a much lower value, with only 59%, i.e., less red colour (Figure 2). This discrepancy between fruit colour in plot 1 and plot 2 is in line with the results of the field colour measurements with attached fruit (Figure 1a). The control with uncovered grassed alleyways showed only 65% fruit in this premium colour category, as with the biostimulant Stimplex (Figure 5). The results of the colour sorting (Figure 5) correspond to the results of the colour angle measurements in the field (Figure 3), which also showed the best red fruit colour in both the application of the reflective mulch (Lumilys<sup>R</sup> in alternating tree rows and the aluminium foil directly underneath the trees). The results of the colour measurements of attached apple fruits in the field (n = 3600 measurements) and of the automated sorting machine of ca. 6900 fruits show that the aluminium foil directly underneath the trees leads to apples with a better red colouring, thereby substituting 100% of plastic mulch by (recycled) aluminium. The reflective mulch (Lumilys) in the alleyway of every other row ("alternating") provided an equally good red colour, thereby reducing the plastic mulch input in the orchard by 50%.



**Figure 5.** Effect of colour enhancing measures on the portion of apples with more than 50% red colour on their surface, with SDs at the 5% error level from machine sorting results (n = 6900).

### 3.3. Maturity Indexing of Apple Fruit

The maturity indexing showed that the biostimulant Stimplex<sup>TM</sup> accelerated ripening (Table 2) and advanced fruit maturation by one week, causing it to ripen as early as 4 October 2018. The red colour of the apples was bright red, and they retained their firmness (Table 4). An early harvest could lead to an increase in the sales price for apples of an early variety (such as "Gala") at an early location [13] (Table 2). All other treatments with reflective mulches had no influence on fruit maturity and, above all, maintained firmness as a pre-requisite for storability.

Treatment	Streif Maturity Index		Streif Expectation	
	Average	SD	Min	Max
Control 1 + 2/grass	0.32	0.18	0.14	0.25
Aluminium foil	0.3	0.19	0.14	0.25
Lumilys every row	0.3	0.05	0.14	0.25
Lumilys relocation P. 1	0.26	0.08	0.14	0.25
Lumilys relocation P. 2	0.26	0.07	0.14	0.25
Lumilys alternating rows	0.39	0.22	0.14	0.25
Stimplex biostimulant	0.2	0.06	0.14	0.25

Table 2. Maturity indexing (Streif index) of apples on 4 October 2018 (n = 10 per treatment).

In Table 2, grey colour background represents metal, blue plastic and green a chemical alternative.

### 3.4. Economics

The additional proceeds, as a result of the colour improvement, were calculated by taking the percentage change of the apples with more than 50% red colour as compared to the control. The price difference between fruits with > 50% red colour, which sell at an average of  $0.45 \notin /\text{kg}$  ( $\notin 0.35-0.55$ ), and those with less than 50% red colour, which sell at an average of  $0.08 \notin /\text{kg}$ , was multiplied by yields of 30 t/ha, 40 t/ha and 50 t/ha. The results show that the largest financial gain was from well-coloured fruit from trees with reflective mulch in every other alleyway (row), followed by aluminium foil (under the tree) and then

Lumilys in every alleyway/row ("traditional" approach) and change P. 1. These results confirm that Lumilys in alternating alleyways/rows and aluminium foil represent possible alternatives to spreading reflective mulches like Lumilys<sup>R</sup> in every row (Figure 1a).

The calculation is based on the cost for Lumilys<sup>R</sup> reflective mulches (2700 m/ha), at 50 cent/m<sup>2</sup> (plus 240  $\notin$ /ha hooks), and the recycled aluminium foil, at 51 cent/m<sup>2</sup> (plus 328  $\notin$ /ha sandbags), which hardly differed. The biostimulant Stimplex<sup>R</sup> was more economical due to the lower cost of 151  $\notin$ /ha for two product applications (2 × 4 L/ha) and a low workload. Annual costs were based on a 10-year lifespan for Lumilys and a single or double use of the (recycled) aluminium foil.

A financial gain as a difference between gross income and cost was seen in the reflective mulch in every other alleyway/row ("alternating"), aluminium foil (under the tree), Lumilys and change P. 1, as compared to control/grass. Based on a yield of 50 t/ha, a financial gain was achieved with (i) Lumilys<sup>R</sup> in every row 1091 €/ha), (ii) Lumilys<sup>R</sup> in every other row ("alternating") (2899 €/ha), and (iii) aluminium foil (1388 €/ha), while the triple re-location of the Lumilys<sup>R</sup> foil saves 50% in material costs but is not profitable due to the insufficient red colour of the apples for marketing as premium fruit (Table 3).

Table 3. Financial gain of employing the four alternatives for fruit colouration.

Parameter	Additio	nal Revenue Per	Hectare	Cost/ha		Financial Gain	
Yield:	30 t/ha	40 t/ha	50 t/ha		30 t/ha	40 t/ha	50 t/ha
Control	0	0	0	0	0	0	0
Aluminium foil	2171 €/ha	2895 €/ha	3619€/ha	2231 €/ha	-60€/ha	664€/ha	1388 €/ha
Lumilys	1689 €/ha	2253 €/ha	2816 €/ha	1725€/ha	-36€/ha	528€/ha	1091 €/ha
Relocation P.1	1457 €/ha	1943 €/ha	2429€/ha	1733€/ha	—276 €/ha	210€/ha	696 €/ha
Relocation P.2	—118 €/ha	/	/	1733€/ha	/	/	/
Alternating rows	2335 €/ha	3114 €/ha	3892€/ha	993 €/ha	1342 €/ha	2121 €/ha	2899€/ha
Stimplex	—534 €/ha	/	/	139€/ha	/	/	/

/ no colour improvement relative to the untreated control, red background indicates financial losses, green financial gains.

# 3.5. Sustainable Apple Colouration—Economic and Ecological Aspects

Current criticism in the public and media targets the excessive use of plastic in the fruit supply chain [4,17–20]. The present study has shown that the use of plastics for fruit colouration could be decreased in three ways. Both the plastic mulch (Lumilys) in every other row and aluminium produced apple fruit with sufficient fruit colouration for economic marketing as premium fruit in class I and saved 50% or 100%, respectively, of plastic input in the orchard. The traditional use of plastic mulch in the alleyway in every row [21,22] is associated with 2700 m rolls × 2.6 m width × 0.1 kg/m<sup>2</sup>  $\Rightarrow$  700 kg polypropylene/ha. Using the emission factor of 2.2–3.2 kg CO<sub>2</sub>eq/kg PP (PlasticsEurope, Brussels, Belgium, 2005) [23], this is equivalent to GHGs of 1.5–2.2 t CO<sub>2eq</sub>/ha for full orchard coverage (100%). A reduction in the use of plastic mulch in every other row (or translocation) would hence save 0.75–1.1 t CO<sub>2</sub> eq/ha in the material alone, with a multiple use, for ca. 10 years, of 75–110 kg CO<sub>2</sub> eq/ha/year.

For one hectare, 108 kg of aluminium foil is required to substitute the plastic mulch in every row. Aluminium production requires a high energy input of 13–16 kWh/kg aluminium (Hydro Inc., Chicago, IL, USA, 2016) [24]. Hence, it often takes place where energy is cheaply available, adding long-distance transport to the final validation. We used aluminium for the first time, since recycled material became available, which contains 80% recyclat and requires only 20% of new aluminium for its production. Based on the German electricity grid emission factor of 0.6 kg  $CO_2$  eq/kWh, the GHG emissions associated with the production of primary aluminium are equivalent to 7.8–9.6 kg  $CO_2$ eq/kg aluminium. Per acreage, with a need for 108 kg aluminium per hectare, this amounts to 821–1037 kg  $CO_{2eq}$ /ha. Since the employed aluminium foil was made of 80% recycled aluminium with ca. 10% GHG of the primary aluminium (Hydro.com 2016), this results in 223–290 kg  $CO_{2eq}$ /ha. However, using aluminium foil made from 100% recycled aluminium would reduce the value to 82–104 kg  $CO_{2eq}$ /ha (Table 4). Even using the aluminium foil twice could significantly reduce the  $CO_2$  load to between 112 and 145 kg  $CO_2$  eq/ha. A combination of 100% recycled aluminium foil with a 2-year use would reduce the  $CO_2$  burden to 41–52 kg  $CO_2$  eq/ha. The decisive factor is that the use of the 100% recycled aluminium foil is associated with less  $CO_2$  emissions, which makes its use more sustainable.

**Table 4.** Greenhouse gas (GHG) emissions associated with different scenarios of employing mulches for fruit colouration.

Material	Material Input/ha	Lifespan (years)	GHG Emissions (kg CO <sub>2</sub> eq/ha & year)	
Lumilys				
(polypropylene)	700 kg PP/ha	10	150-220	
every row				
Lumilys change and	350 kg PP/ha	10	75-110	
alternating rows	000 Kg 11 / Iu	10	70 110	
Aluminium foil 80%	108 kg alu/ha	1	223-290 *	
recycled aluminium	100 kg ulu/ lu	1	220 270	
Aluminium foil 80%	108 kg alu/ha	2	112-145 *	
recycled aluminium	100 kg ulu/ lu		112 110	
Aluminium foil 100%	108 kg alu/ha	1	82-104 *	
recycled aluminium	100 kg ulu/ lu	1	02 101	
Aluminium foil 100% recycled aluminium	108 kg alu/ha	2	41–52 *	

\* range originates from 7.8–9.6 CO<sub>2</sub>eq/kg aluminium (Hydro.com, 2016)]; plastic in blue, metal in green background colour.

Both results of the colour measurements in the field (Figure 3) and machine grading (Figure 5) show the large potential for colour improvement in situations with colouration problems on the East side (morning sun, weak and short) and in the lower part (below 1 m) of the tree canopy (Figure 3).

This environmental benefit is largely caused by the fact that the aluminium foil was not laid out over the entire alleyway, due to its regular reflection property [25]. At present, the single use of the aluminium foil in an orchard protected by a hail net may be extended to two years, looking at the clean stage of the material at the end of its use in the orchard under our conditions (Figure 1a). The aluminium foil employed consisted of 80% recycled aluminium and may be used for another year, depending on its contamination. Meanwhile, there are also aluminium foils made of 100% recycled aluminium, which is sustainable. The possibility of recycling the aluminium foil used depends on the degree of contamination, and it may even be recycled again.

Despite its origin from fossil fuel, the use of reflective polypropylene (PP) mulches such as Lumilys<sup>TM</sup> is sustainable, when used repeatedly (7-years warranty—estimated 10-year lifespan) and properly disposed of. At the waste collection points, the plastic material is washed, shredded and then melted—the PP pellets/granules are the source of recycled plastics such as boxes or flower pots [17].

#### 4. Conclusions

The sustainability aspect is judged here on the basis of a sufficient red colour of the apples, which determines their sales price and orchard (bio-)economy. Short-lived biodegradable plastic mulches [4] are not suitable for this purpose, if fossil-fuel based. The use of aluminium foil made of 80–100% recycled aluminium is associated with considerably lower  $CO_2$  emissions than new aluminium, with its high energy demand. Both the recycled aluminium foil with single (or duplicate) use, and the Lumilys plastic mulch,

a material with a long lifespan, used twice a year over several years and/or in every other row, results in ecologically and economically sound horticulture and could be further improved by recycling the fairly clean white single pure plastic polypropylene.

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