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# 3DRIED: A High-Resolution 3-D Millimeter-Wave Radar Dataset Dedicated to Imaging and Evaluation

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Abstract: Millimeter-wave (MMW) 3-D imaging technology is becoming a research hotspot in the field of safety inspection, intelligent driving, etc., due to its all-day, all-weather, high-resolution and non-destruction feature. Unfortunately, due to the lack of a complete 3-D MMW radar dataset, many urgent theories and algorithms (e.g., imaging, detection, classification, clustering, filtering, and others) cannot be fully verified. To solve this problem, this paper develops an MMW 3-D imaging system and releases a high-resolution 3-D MMW radar dataset for imaging and evaluation, named as 3DRIED. The dataset contains two different types of data patterns, which are the raw echo data and the imaging results, respectively, wherein 81 high-quality raw echo data are presented mainly for near-field safety inspection. These targets cover dangerous metal objects such as knives and guns. Free environments and concealed environments are considered in experiments. Visualization results are presented with corresponding 2-D and 3-D images; the pixels of the 3-D images are  $512 \times 512 \times 6$ . In particular, the presented 3DRIED is generated by the W-band MMW radar with a center frequency of 79 GHz, and the theoretical 3-D resolution reaches 2.8 mm imes 2.8 mm imes 3.75 cm. Notably, 3DRIED has 5 advantages: (1) 3-D raw data and imaging results; (2) high-resolution; (3) different targets; (4) applicability for evaluation and analysis of different post processing. Moreover, the numerical evaluation of high-resolution images with different types of 3-D imaging algorithms, such as range migration algorithm (RMA), compressed sensing algorithm (CSA) and deep neural networks, can be used as baselines. Experimental results reveal that the dataset can be utilized to verify and evaluate the aforementioned algorithms, demonstrating the benefits of the proposed dataset.

**Keywords:** millimeter-wave (MMW) radar; 3-D imaging; high-resolution imaging; radar dataset; near-field

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# 1. Introduction

Millimeter-wave (MMW) 3-D imaging technology [1–4] has attracted enormous attention because non-hazardous radiation of MMW and the work pattern all-day, all-weather. Furthermore, the MMW radar shows superiority in safety inspection [5,6], subsurface detection [7,8], medical monitoring [9–11], intelligent driving [12,13], and nondestructive testing [14]. Besides, the advantages of the MMW radar device are the smaller component size, higher integration level, and wider frequency spectrum, helping us to achieve high-resolution near-field 3-D imaging. However, compared to optical images, the MMW radar requires a large aperture to obtain high-resolution imaging results. Although the hardware is constantly being upgraded, there is still a huge challenge for obtaining raw echo data with millimeter resolution. An effective way is by adopting the theory of the synthetic aperture radar (SAR) to reduce hardware cost and complexity. Since the concept

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of SAR was first proposed in 1951 [15], the technology has developed rapidly over the next 70 years. The planar scanning pattern is used to obtain a large virtual aperture. Using SAR theory, the original resolution limit is broken, and higher resolution imaging data can be obtained. In [16], the development of SAR MMW imaging testbeds has been realized, and low-cost equipment has been adopted.

In the aspect of imaging, all kinds of excellent algorithms are proposed. Back projection algorithm (BPA) [17–19] is the classical algorithm in the radar field. BPA traverses all the points in the imaging space and compensates the residual phase to obtain the reconstructed results and can provide a feasible implementation for an arbitrary radar array arrangement. However, when the number of array elements is large, the computing efficiency will be lost. Therefore, the range migration algorithm (RMA) [20,21], which is a more efficient algorithm, has emerged. RMA uses fast Fourier transform (FFT) and matrix multiplication in the frequency domain to complete imaging. However, the traditional algorithms are constrained by the Nyquist sampling criterion. An ideal imaging platform implementing high-resolution under the Nyquist sampling criterion always needs an extremely large number of array elements, leading to difficulty with data processing. The compressed sensing algorithm (CSA) [22-24] is an emerging technology in recent years. It has shown great advantages in many fields [25–27] because of its characteristic of breaking through the limitation of the Nyquist sampling criterion and using a low sampling rate to achieve high-resolution imaging results. However, the negative effect of CSA is the increase of computation by transforming the radar imaging problem into an optimization problem. Furthermore, the method of using machine learning to build deep neural networks for imaging is also emerging, and many efficient technologies have come out, such as ISTA-Net [28], AMP-Net [29]; however, they are not used for MMW imaging. In the near-field MMW imaging field, Wang et al. presented a novel 3-D microwave sparse reconstruction method based on a complex-valued sparse reconstruction network (CSR-Net) [30], a novel range migration kernel-based iterative-shrinkage thresholding network (RMIST-Net) [31], and a lightweight FISTA-Inspired Sparse Reconstruction Network for MMW 3-D Holography [32]. In the aspect of application, a detection and classification algorithm based on the MMW radar and camera fusion is proposed in [33]; Cui et al. [34] presented a K-means-based machine learning algorithm for user clustering with MMW system; Ref. [35] demonstrates that MMW can be used for robust gesture recognition and can track gestures; A unified framework of multiple target detection, recognition, and fusion is proposed in [36]. Furthermore, Zhao et al. [37] published an improved wiener filter super-resolution algorithm for MMW imaging. Moreover, Ref. [38] proposed a 3-D reconstruction method of array InSAR based on Gaussian mixture model clustering. Finally, Shi et al. introduced near-field MMW 3-D imaging and object detection method [39].

Unfortunately, many urgent theories and algorithms in this research field cannot be fully verified by simulation experiments. In [40], a MMW dataset, ColoRadar, is provided in the field of robotics. The data in ColoRadar are from radar sensors as well as 3-D lidar, IMU, and highly accurate groundtruth. It is not a strict MMW radar dataset. To address this issue, in this paper, a high-resolution MMW 3-D radar dataset for imaging and evaluation is proposed, dubbed as 3DRIED.

To first build a complete dataset, different environments are selected to acquire raw echo data, and different algorithms are selected to process raw data. There is a total of 81 radar echo data and corresponding high-resolution imaging results in 3DRIED. The targets include knives, guns, and other dangerous materials. At the same time, the targets under concealed conditions are also considered. The advantages of 3DRIED are that target types are complete and scene conditions are diverse. It can play a role in safety inspection or help others to verify their algorithms but also can be a trained deep network or used in detection and recognition of 3-D targets. The method of combining the SAR system and broadband MMW is applied to build the experimental platform. BPA, RMA, CSA, and RMIST-Net are selected to imaging and evaluate the dataset. There are 81,400 equivalent array elements in the array plane and raw echo data with a size of 83,353,600,

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which are the basis for doing high-resolution imaging. First, we provide unprocessed ADC samples from each radar measurement. Second, we provide 2-D and 3-D imaging results for each radar data using different algorithms. Lastly, we provide the optical images and numerical evaluation.

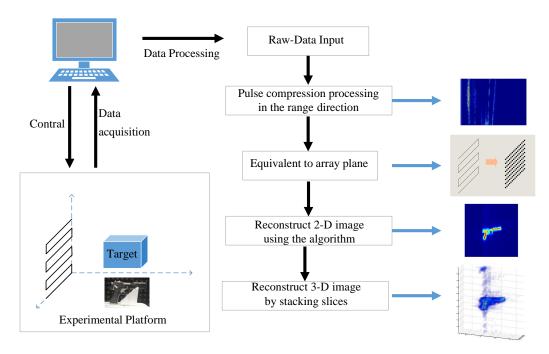
We hope that our dataset can make some contributions to the research field. The proposed dataset will be available at <a href="https://github.com/zzzc1n/3-D-HPRID.git">https://github.com/zzzc1n/3-D-HPRID.git</a> (accessed on 22 July 2021). In future work, It will also expand its content to consider more complex environments and scattering targets with different characteristics. A concise summary of the contributions are as follows:

- 1. Sharing the same principle with SAR, an experimental system with MMW radar is constructed, which works in the mono-static scanning mode.
- 2. 3DRIED contains 9 types of targets, with a total of 81 near-field radar data. Target types are complete; environments conditions are diverse; and applications are extensive.
- 3. The proposed dataset is used to evaluate several widely used MMW imaging algorithms to obtain 2-D and 3-D imaging results, and different numerical evaluation indexes are given as a baseline.

The paper consists of 4 parts. Section 2 is the 3-D near-field radar imaging model, the construction of the experimental platform of the near-field MMW radar, and the imaging algorithms for subsequent data visualization. Section 3 demonstrates the system of data acquisition and the proposed dataset. The analysis and discussion of 3DRIED are also shown in this section. Furthermore, the last section will summarize this paper.

#### 2. Related Theory

The construction of 3DRIED consists of two parts: data acquisition and processing. The total framework is shown in Figure 1, and the following parts will be developed following this structure.



**Figure 1.** The framework of 3-D MMW data acquisition and processing.

#### 2.1. Signal Model

As shown in Figure 2, the 3-D near-field radar imaging model is presented, where y represents the range direction, and x and z represent the horizontal and vertical directions, respectively. The array elements represent the virtual antenna phase center  $P_a = (x, y = 0, z)$ , which is dependent on the position of receivers and transmitters. The

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target coordinates are represented as  $P_t = (x_0, y_0, z_0)$ . Therefore, in this model, the distance history of the target can be described as Equation (1),

$$R = \|P_{a} - P_{t}\|_{2} = \sqrt{(x - x_{0})^{2} + y_{0}^{2} + (z - z_{0})^{2}}$$

$$P_{a} = (x, y = 0, z)$$

$$P_{a} = (x, y = 0, z)$$

$$Y_{a} = (x, y = 0, z)$$

$$P_{a} = (x, y = 0, z)$$

Figure 2. 3-D near-field radar imaging model.

In the array plane, the element spacing determines the grating lobe range of imaging, and the whole array element size determines the imaging resolution in the x-z plane. Three-dimensional imaging space is divided into a series of 2-D slices. The radar system can obtain a large distance range and high resolution when transmitting the frequency-modulated continuous-wave (FMCW) signal.

3-D Imaging Space

FMCW refers to a wave whose frequency increases linearly with time, as shown in Figure 3. FMCW can have a large bandwidth, bring high range resolution, but also make velocity estimation and angle estimation easy; FMCW has a great advantage in the MMW radar high-resolution imaging. The FMCW signal form is Equation (2).

$$f(t) = e^{j2\pi \left(f_0 t + \frac{1}{2} K \cdot t^2\right)}, 0 \le t \le T_c$$
(2)

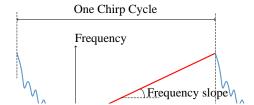


Figure 3. 3-D near-field signal waveform.

We can get the initial echo signal as Equation (3) in the receiver ports, which is the beat signal

$$S_{\text{echo}}(x_0, y_0, z_0) = \sigma(x_0, y_0, z_0) e^{-j2\pi f_0 \tau} e^{j\pi \cdot K \cdot (t - \tau)^2}$$
(3)

where  $\sigma(P_t)$  represents the scattering coefficient of point  $P_t$ , and  $\tau$  represents the echo delay from the scattering point to the equivalent array element. In this expression, the first exponential term contains the information of the scattering target in the dimension of the virtual array plane and the second exponential term contains the information of the range direction.

The echo data after range compression is corrected by distance migration, and the coupling relationship of the echo data between the array plane and the distance direction is released. For near-field scenes at close range, the amount of range migration is usually less than a resolution unit. The process of migration correction can be omitted, so the echo data between different distances are independent of each other. The form of echo data after pulse compression can be expressed as follows:

$$S_r(\mathbf{P}_t) = S_r(x_0, y_0, z_0) = \alpha(x_0, y_0, z_0)e^{-j2kR}$$
(4)

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where  $\alpha(P_t) = \sigma(P_t)\chi^R(r-R)$ ,  $\chi^R(\cdot)$  is the radar range ambiguity function,  $k=2\pi/\lambda$  is the wave number of signal carrier frequency, and  $\lambda$  is the signal wavelength. For 3-D imaging space, the overall echo data is the integral or accumulation of each scattering element in the imaging space. The echo data for the three following algorithms are all based on Equation (5).

$$S_r(N_x, N_z, r) = \int_{\Omega} S_r(P_t) dP_t = \sum_{\Omega} S_r(P_t)$$
 (5)

 $N_x$  and  $N_z$  are the sampling points in x and z directions, and y represents the range.

Moreover, the 3-D near-field radar imaging system combining MIMO array and the broadband signal can significantly reduce the number of transmitters and receivers to lower the cost of system hardware, which has the advantages of low hardware complexity and high efficiency. The system model is shown in Figure 2.

The millimeter sensor scans a 2-D array plane based on the scanning trajectory, then it can be equivalent to a virtual synthetic aperture whose size is related to the motion path; thus, a large irradiation range and high resolution are obtained. To avoid an aliasing effect and sidelobe clutter, achieve the imaging results with a high Signal-to-Noise Ratio (SNR) and good dynamic range. The transceiver spacing  $d \ge \lambda/4$  for the MIMO antenna array is necessary [6,41]. For our 3-D near-field radar imaging system, the cross-range resolutions along with horizontal and vertical directions [6] can be expressed as:

$$\delta_x = \frac{\lambda_c R}{D_{TX_- x} + D_{RX_- x}} \tag{6}$$

$$\delta_z = \frac{\lambda_c R}{D_{TX-z} + D_{RX-z}} \tag{7}$$

where  $\lambda_c$  is the wavelength of center frequency,  $D_{TX_-x}$  and  $D_{RX_-x}$  are the aperture width of transmitting and receiving array on the x-axis,  $D_{TX_-z}$  and  $D_{RX_-z}$  are the aperture width of transmitting and receiving array on the z-axis, which are both D in this experiment. Then the cross-range resolutions along horizontal and vertical directions can be simplified to Equation (8),

$$\delta_x = \delta_z = \lambda_c R / 2D \tag{8}$$

If the distance between two objects is too close, the two received signals after the Fourier transform will overlap in the frequency domain, and the two peaks cannot be shown. That is, distances are blurred, and two targets will be considered one target. The minimum distance is range resolution. Assuming that the minimum distance between the two objects is  $\Delta d$  if they are distinguishable, the  $\Delta f$  must satisfy:

$$\Delta f = K \times 2\Delta d/c > 1/T_s \tag{9}$$

Therefore, the range resolution can be obtained, as shown in Equation (10).

$$\delta y = \frac{c}{2B} \tag{10}$$

# 2.2. 3-D Imaging Algorithm

The algorithms used in this paper are: back projection algorithm (BPA), range migration algorithm (RMA), compressed sensing algorithm (CSA), and RMIST-Net. BPA and RMA are based on the matched filtering theory for imaging. CSA processes the radar echo data using the compressed sensing theory. RMIST-Net combines the advantages of the matched filtering and compressed sensing theory, obtains the imaging results through the deep neural networks, and improves the imaging accuracy and computing efficiency.

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# 2.2.1. Range Migration Algorithm

The echo data at the transceivers is

$$S_r = \int_{\mathbf{O}} \alpha(\mathbf{P}_t) e^{-2jkR} d\mathbf{P}_t \tag{11}$$

Using RMA to process the echo data in near-field conditions is different from in far-field, Equation (11) represents a spherical wave, which can be decomposed into an infinite superposition of plane waves by Equation (12) [42]

$$e^{-2jkR} = e^{-2jk\sqrt{(x-x_0)^2 + y_0^2 + (z-z_0)^2}} \approx \iint e^{-jk_x(x-x_0) - jk_y y_0 - jk_z(z-z_0)} dk_x k_z$$
 (12)

 $k_x$ ,  $k_y$ ,  $k_z$  are the components of spatial wave number k in x - y - z directions, respectively, and they satisfy the relationship in Equations (13) and (14).

$$k_x^2 + k_y^2 + k_z^2 = 4k^2 (13)$$

$$k_y = \sqrt{4k^2 - k_x^2 - k_z^2} \tag{14}$$

Through the decomposition into plane waves

$$S_{r} = \int_{\Omega} \alpha(\mathbf{P}_{t}) \iint e^{-jk_{x}(x-x_{0})-jk_{y}y_{0}-jk_{z}(z-z_{0})} dk_{x}k_{z}dP_{t}$$

$$= \iint_{y_{0}=R} \left( \iint \alpha(\mathbf{P}_{t})e^{-jk_{x}x_{0}}e^{-jk_{z}z_{0}} dx_{0}dz_{0} \right) e^{-jk_{y}R}e^{jk_{x}x_{0}}e^{jk_{z}z_{0}} dk_{x}dk_{z}$$

$$(15)$$

where the inner double integral in Equation (15) represents the 2-D Fourier transform of the reflectivity function, expressed as  $FT_{2D}(\cdot)$ , the outer double integral in Equation (15) represents the 2-D inverse Fourier transform of the reflectivity function, expressed as  $FT_{2D}(\cdot)$ ,

$$S_r = IFT_{2D} \left( FT_{2D} (\alpha(\mathbf{P_t})) e^{-jk_y R} \right)$$
 (16)

Therefore, the 2-D RMA image at a distance of *R* from the sensor can be constructed as Equation (17).

$$\alpha(\mathbf{P_t}) = IFT_{2D} \left( FT_{2D}(S_r) e^{jk_y R} \right) \tag{17}$$

The 3-D RMA images can be obtained by superimposing a series of 2-D slices in the range direction. Under the conditions of under-sampling or an uneven array, BPA can be considered due to the strong adaptability to various radar methods and excellent focusing effect. The formula will not be introduced here.

#### 2.2.2. Compressed Sensing Algorithm

The CS signal processing theory generally has three parts: the sparse representation, the measurement matrix, and the sparse reconstruction algorithm. For 3-D imaging, due to the existence of a large number of non-target regions, such as the atmosphere region and the electromagnetic shielding region, the scattering target only occupies a part of the whole 3-D imaging space, then the target that is sparsely distributed can be considered; of course, this does not include the concealed targets in later sections, as they are weakly sparse or non-sparse. In this article, we used the 3-D SAR sparse imaging method: Sparsity Bayesian Recovery via Iterative Minimum (SBRIM) [43], as shown in Algorithm 1. The measurement matrix on each x-z plane can be expressed as a matrix compensating the time delay phase according to Equation (4).

In the CS signal processing theory, radar echo data can be expressed as:

$$y_s = M\alpha + n \tag{18}$$

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where M is the measurement matrix, n is noise, and  $\alpha$  is the scattering property of radar scanning target. Assuming that the posterior probability of echo data  $y_s$  obeys the Gaussian distribution, the prior probability of scattering property obeys Equation (19), and the prior probability of noise obeys  $f(\beta) \propto 1$ . The presetting parameter  $\lambda_0 > 0$ , 0 .

$$f(\boldsymbol{\alpha}) \propto \prod_{i=1}^{N} f(\alpha_i) = \prod_{i=1}^{N} e^{-\lambda_0 |\alpha_i|^p}$$
(19)

According to the Bayesian criterion, the posterior probability of scattering property  $\alpha$  can be obtained as follows:

$$f(\alpha \mid y_s, \beta) \propto f(y_s \mid \alpha, \beta) f(\alpha) f(\beta)$$
 (20)

Using the maximum likelihood (ML) estimation criterion, the scattering property can be estimated by using Equation (21). CSA transforms the 3-D radar imaging problem into the optimization problem in the complex domain and completes 3-D radar imaging.

$$\hat{\boldsymbol{\alpha}} = \arg\min_{\boldsymbol{\alpha}} \left( \lambda_0 \boldsymbol{\beta} \|\boldsymbol{\alpha}\|_p + \|\boldsymbol{y}_s - \boldsymbol{M}\boldsymbol{\alpha}\|_2^2 \right)$$
 (21)

# Algorithm 1: Compressed Sensing Algorithm (CSA)

**Given:** Raw echo  $y_s$ , measurement matrix M;

Output: 3-D imaging result cube  $\hat{\alpha}$ 

**Initialize:** Parameters  $\lambda_0$ , p, and  $\eta$ , iterative threshold  $\varepsilon_0$ ;

**1:** 
$$\hat{\alpha}^{(0)} = \mathbf{M}^H \mathbf{y}_s$$
,  $r = \|\alpha^{(n)} - \alpha^{(n-1)}\|_2 / \|\alpha^{(n)}\|_2$ , set  $n = 0$ ;

**2:** for  $r \ge \varepsilon_0$  do

3: Diagonal matrix 
$$\Lambda^n$$
:  $\Lambda^n_{ii} = \frac{p}{2} \left( \left| \alpha^{(n-1)}_i \right|^2 + \eta \right)^{\frac{p}{2}-1}$ ;

4: 
$$\hat{\alpha}^{(n)} = \left( \mathbf{M}^H \mathbf{M} + \lambda_0^{(n)} \boldsymbol{\beta}^{(n)} \boldsymbol{\Lambda}^{(n)} \right)^{-1} \mathbf{M}^H \mathbf{y}_S;$$

5: 
$$\boldsymbol{\beta}^{(n)} = \left\| \boldsymbol{y}_{S} - \mathbf{T} \hat{\boldsymbol{\alpha}}^{(n)} \right\|_{2}^{2} / N;$$

6:  $n \leftarrow n + 1$ ;

7: end for

**8:**  $\hat{\alpha} = \hat{\alpha}^{(n)}$ .

#### 2.2.3. Rmist-Net

RMIST-Net [31] generates imaging results through multiple iterations based on the theory of matched filtering and compressed sensing. Detailed steps can be found in [31] but will not be detailed here. The echo data can be expressed as Equation (18). The deep neural network constructed by RMIST-Net is shown in Figure 4, which iterates the steps

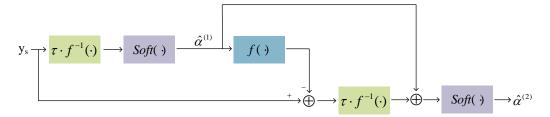
$$\boldsymbol{v}^{(t)} = \boldsymbol{y_s} - f(\hat{\boldsymbol{\alpha}}^{(t)}) \tag{22}$$

$$\hat{\boldsymbol{\alpha}}^{(t+1)} = Soft\left(\hat{\boldsymbol{\alpha}}^{(t)} + \tau \cdot f^{-1}\left(\boldsymbol{y_s} - f(\hat{\boldsymbol{\alpha}}^{(t)})\right); \eta\right)$$
 (23)

where  $t = 0, 1, 2, 3, ..., \hat{\alpha}^{(0)} = \mathbf{0}, \tau$  is a stepsize,  $v^{(t)}$  is the residual measurement error,  $f(\hat{\alpha})$  is the sparse representation function,  $Soft(\cdot; \lambda)$  is the soft-thresholding shrinkage function, expressed as Equation (24).

$$Soft(\mathbf{x}; \eta) = sign(\mathbf{x}) max\{|\mathbf{x}| - \eta, 0\}$$
 (24)

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**Figure 4.** The feed-forward neural network constructed by unfolding T = 2 iterations of RMIST-Net.

#### 3. Dataset

### 3.1. The System of Date Acquisition

In this part, we will introduce parameter settings of the experiment platform and the imaging results of actual measured data using RMA. The effectiveness and completeness of our 3-D near-field radar imaging system are evaluated.

# 3.1.1. Experimental Equipment

As shown in Figure 5, the system devices include a two-axis controllable stepper, 'IWR 1443' MMW radar sensor, 'DCA 1000' data acquisition card, personal computer (PC), and the targets.

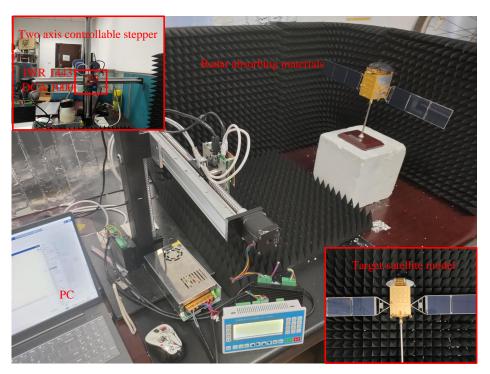


Figure 5. The experimental equipment of data acquisition system.

PC is connected to the radar sensor and the data acquisition card, and 'mmWave-studio' software is used to control the transmitting FMCW signal on the radar sensor. The raw radar echo data are transmitted back to the PC through the network port after they are collected by the data acquisition card and stored as a binary file for subsequent data processing. The scanning trajectory of the radar sensor is controlled by the two-axis controllable stepper to complete the whole planar scanning process. Because the dielectric properties of polystyrene foam are close to those of space and the interference to the actual target is small, some foam blocks are used to fix the target in the experiment. MMW sensor 'IWR1443' manufactured by Texas Instruments (TI) can achieve 77–81 GHz FMCW [44], and the wavelength is 4 mm approximately. It achieves a high degree of integration. 'IWR1443' includes three transmitting antennas and four receiving antennas (3T4R), which

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can achieve a Multiple Input Multiple Output (MIMO) pattern. The 'DCA1000' module provides data capture and transmission for signals from TI IWR radar sensors. In the process of data collection, the sensor using pattern 1T4R transmits the FMCW signal in the W-band, and the frequency is in 77–81 GHz. The frequency K is 70.295 MHz/ $\mu$ s, the number of ADC samples is 256, the sampling rate is 5000 ksps, the signal period is 50 ms, the number of pulses per second is 20. The scanning trajectory of the sensor forms an array plane, horizontal sampling interval [45] is 1 mm, the vertical sampling interval is 2 mm, and the size of the synthetic aperture is 0.4 m  $\times$  0.4 m. In the array plane, we can get 81,400 equivalent array elements, and the size of raw echo data is  $256 \times 407 \times 200 \times 4$ , where '4' means that four receiving antennas are working simultaneously in this MMW radar sensor.

### 3.1.2. Experimental Results

A 1:35 satellite model is used to verify the effectiveness and completeness of the 3-D near-field MMW radar imaging system. Figure 5 is the experimental scene. In order to achieve a quantitative analysis, the image entropy and contrast [46] are introduced. The image entropy can represent the focusing quality of the imaging results, and the smaller the value is, the higher the focusing quality is. The image contrast is the difference between the colors in the imaging result, reflecting the texture features. The stronger the contrast, the more obvious the detail of the imaging results.

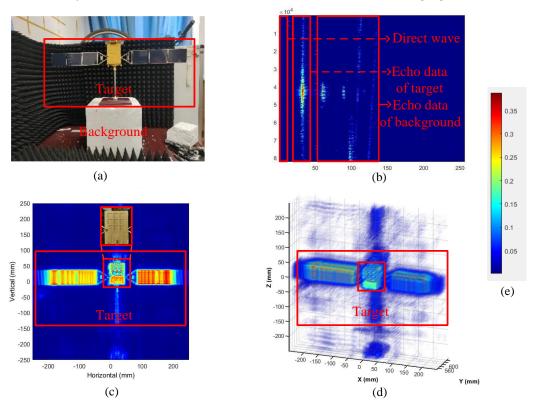
entropy = 
$$-\sum_{i=1}^{x} \sum_{j=1}^{z} \frac{|\hat{\alpha}_{ij}|^2}{\|\hat{\alpha}\|^2} \log \frac{|\hat{\alpha}_{ij}|^2}{\|\hat{\alpha}\|^2}$$
 (25)

contrast = 
$$\sqrt{\frac{xz}{\|\hat{\alpha}\|_2^2} \sum_{i=1}^x \sum_{j=1}^z |\hat{\alpha}_{ij}|^4 - 1}$$
 (26)

As shown in Figure 6a, the satellite model was placed at a vertical distance of 56 cm from the radar sensor. The main body is approximately a cuboid with the length of 4.9, 6.1, and 6.8 cm in the directions of the x-y-z axis. In the facing direction of the radar, there are nine small square grooves, each of which has an area of about 1 m² and a spacing of 2 mm. The size of 3-D imaging space is set as 50 cm  $\times$  10 cm  $\times$  50 cm in the directions of x-y-z axis, and the number of slices in the y axis is 6. The x-z plane is divided into a grid of size  $512 \times 512$ . Figure 6b–d are the image of raw echo data after pulse compression, the 2-D imaging result, and the 3-D imaging result, respectively. Furthermore, the 2-D imaging result is obtained by projecting the maximum value of the 3-D image in the range direction.

Obviously, from a viewpoint of visual effect, the detailed texture of the imaging results generated using RMA is obvious, the background is clear, and the nine grooves of the main part of the satellite model are visible. During the data collection process, the sailboards on both sides of the satellite model were not strictly perpendicular to the distance direction, so there was some angle error, and the corresponding positions in the imaging results were deformed. Compared with optical pictures, the radar imaging results are excellent. This also proves that the data collected by our experimental platform can be used for 3-D nearfield high-resolution MMW radar imaging. From a viewpoint of image quality, the image entropy of RMA 2-D imaging results is 1.3516, and the image contrast is 0.4616. The entropy and contrast of optical images are 3.6318 and 0.5787, respectively. In contrast, the entropy of near-field radar imaging results is only 0.37 of the optical image, meaning that nearfield radar imaging has a better focusing effect and lower complexity. This is because, in the process of radar radiation, the millimeter wave meets other objects in the area of the targets, such as clothes, foam, absorbing material, etc., or directly penetrates, be absorbed, or produces diffuse reflection; only the target echo that has strong scattering property returns radar receiver. Therefore, it has the effect of filtering the complex background,

and the image entropy of the imaging results is greatly reduced. The image contrast decreased by 0.11, which indicated a loss of texture detail in radar imaging.



**Figure 6.** Data processing results. (a) is the optical image; (b) is the image with pulse compression; (c) is the 2-D imaging result; (d) is the 3-D imaging result; (e) is the color label.

Figure 6d is the 3-D imaging results and proved that our data can achieve 3-D high-resolution near-field MMW imaging. It can be observed that in addition to the complete imaging target, there are strong grating lobe and side lobe clutter, which make the images blurred. The imaging quality can be improved through a better imaging algorithm, and our actual measurement data can meet the high-resolution imaging requirements.

According to the theory introduced in Section 2, it can be calculated that the resolution in the vertical and horizontal directions is  $\delta_x = \delta_z \approx 2.8$  mm; this value is determined by the distance of the target and the size of the virtual aperture. Millimeter-scale resolution ensures high image resolution, and when the spacing between objects with different scattering properties is higher than 2.8 mm in the x-z plane, the image results are conspicuous, such as the grooves and sailboards on the satellite model. The range direction resolution is limited by the system bandwidth and is lower than that of the array plane direction. When transmitting a signal with 4 GHz bandwidth, the range direction resolution is about 3.75 cm. The range direction resolution enhancement method [47,48] can be considered to improve the range-direction resolution, and there have been some detailed studies done by researchers in their work; however, this article does not cover them in detail.

# 3.2. Description of Dataset

This section comprehensively presents 3DRIED and analyzes the advantages of 3DRIED by comparing different environments, different algorithms, and different objectives. All the parameters of the experimental platform are set in Section 3.1, as shown in Table 1. The details of 3DRIED are described in Table 2.

<b>Table 1.</b> The parameters of th	e experimental platform.
--------------------------------------	--------------------------

Item	Parameter		
Radar Sensor	'IWR 1443'		
Data Acquistion Card	'DCA 1000'		
Frecquency Range	77–81 GHz		
Frequency Slope	70.295 MHz/μs		
ADC Samples	256		
Virtual Aperture	$0.4~\mathrm{m}  imes 0.4~\mathrm{m}$		
Sampling interval (x-axis)	2 mm		
Sampling interval (z-axis)	1 mm		

**Table 2.** Description of the dataset.

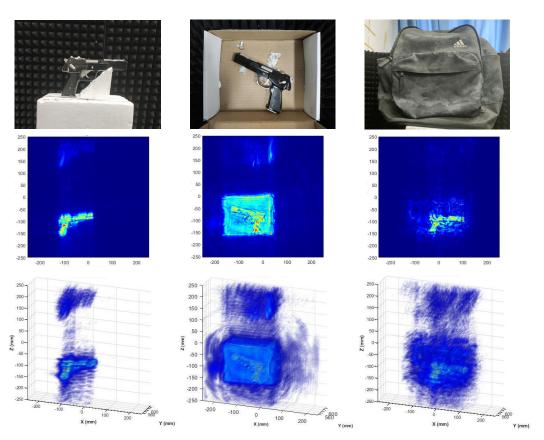
Target		<u> </u>	G 1: D:		Scene Concealed Targets			
		Size	Sampling Points					
		[Weight, Height, Thickness]		Nx Ny Nz		Free Space	Carton	Backpack
	Pistol	[15, 9, 2]	-		200	✓	✓	✓
	Rifle	[26, 12, 2]				<b>√</b>	✓	<b>√</b>
	stiletto	[23, 3, 1.5]		256		<b>√</b>	<b>√</b>	<b>√</b>
	Knife	[29, 7, 0.2]	-			<b>√</b>	<b>√</b>	<b>√</b>
Single	Wrench	[15, 5, 1]	-			<b>√</b>	<b>√</b>	
	Plier	[24, 8, 3]	407 256 20 			<b>√</b>	<b>√</b>	
	Hammer	[30, 9.8, 2.5]				<b>√</b>		
	Snips	[36, 16, 1]				<b>√</b>	✓	✓
	Satellite	[6.1, 4.9, 6.8]				<b>√</b>		
	Steel ball	diameter = 0.8 cm			<b>√</b>			
	Pistol+Rifle					<b>√</b>	✓	
Multiple	Knife+Stiletto					<b>√</b>	<b>√</b>	
	Pistol+Stiletto					<b>√</b>	<b>√</b>	
	Pistol+Knife		-			<b>√</b>	✓	
Echo Data		the transceivers is a complex mat $6 \times 4$ . The distances between tar						
Imaging Results		results have $512 \times 512$ pixels, thing space is $50 \text{ cm} \times 10 \text{ cm} \times 50$		per of sl	ices in t	he y direction	is 6.	

# 3.2.1. Imaging Evaluation under Different Environments

When detecting metal targets and dangerous objects, such as firearms and knives, in the security inspection system, it will be affected by the concealment from clothing, leather bags, and other items. Conventional detection methods, such as visible light shooting, X-ray imaging, metal detection, and other methods, cannot meet the security inspection requirements, while millimeter waves rely on their low radiation, strong penetration, and high resolution and have great advantages in radar security inspection [49,50]. The echo data of the same target in different environments will be different. Here, we compare the imaging conditions of the pistol model in free space, in a carton, and in a backpack.

As shown in Figure 7, the scattering target is a metal pistol model, whose size is  $15 \text{ cm} \times 9 \text{ cm} \times 2 \text{ cm}$ ; the vertical distance to the array plane is 57 cm; the size of imaging space is  $50 \text{ cm} \times 10 \text{ cm} \times 50 \text{ cm}$  in x, y, and z axes, respectively. The imaging range is 53–63 cm in the y axis. Three-dimensional BPA is selected for imaging, and the 2-D imaging results are obtained by projecting the maximum value of the 3-D image in the x-z plane. It can be seen that the pistol model has a clear outline in the 2-D and 3-D imaging

results. Although the image obtained using the traditional BPA has a strong grating lobe and some background clutter, the target can still be distinguished in the imaging results. For the carton with a strong reflection property, the imaging area can also be distinguished. The more complex the scene environment, the more clutter, but the target with strong reflection characteristics can be prominent in the imaging space; that is, in a complex background, the target can be detected by MMW radar. For imaging under three different environmental conditions, the calculated values of image entropy and contrast are also reasonable, as shown in Table 3.



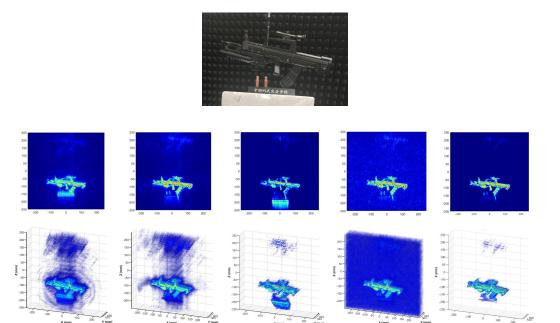
**Figure 7.** Imaging results in different environments. Column 1 is a pistol in a normal environment; Column 2 is a pistol in the carton; Column 3 is a pistol in the backpack; Row 1 are the optical images of the target; Row 2 are 2-D BPA imaging results; Row 3 are 3-D BPA imaging results.

**Table 3.** Quality evaluation of imaging results in different environments.

BPA	Entropy	Contrast
In free space	1.2324	0.6064
In the carton	1.9554	0.7275
In the backpack	1.3137	0.5895

# 3.2.2. Imaging Evaluation Using Different Algorithms

In this part, we select BPA, RMA, CSA, and RMIST-Net to process the raw echo data. The essence of RMA is the multiplication operation in the frequency domain; BPA is the traversal and accumulation operation in the time domain; and the CSA is to solve the optimization problem by iteration to complete the sparse reconstruction. Because the compressed sensing algorithm can use a low sampling rate to complete the high-precision reconstruction of the sparse target, we set the sampling rate to 50%. In order to have an intuitive visual comparison, we randomly downsample the echo data in RMA and select 50% of the data. In addition, RMIST-Net is also used for imaging processing. As shown in Figure 8, the scattering target is a metal rifle model.



**Figure 8.** Imaging results in different algorithms. Row 1 is the optical image; Row 2 are 2-D imaging results using different algorithms; Row 3 are 3-D imaging results using different algorithms; Column 1 are the results of BPA; Column 2 are the results of RMA with 100% sampling rate; Column 3 are the results of CSA with 50% sampling rate; Column 4 are the results of RMA with 50% sampling rate; Column 5 are the results of RMIST-Net.

The size of target is 26 cm  $\times$  12 cm  $\times$  2 cm, the vertical distance to the array plane is 57 cm, the size of imaging space is 50 cm  $\times$  10 cm  $\times$  50 cm in x, y, and z axes, respectively. The imaging range is 53–63 cm in the y axis. The 2-D imaging results are obtained by projecting the maximum value of the 3-D image in the x-z plane. Besides, the number of iterations in CSA is 30, and the iteration phases of RMIST-Net are set to 3 by default.

According to the 2-D and 3-D imaging results in Figure 8, the images generated by BPA and RMA are clear, high-resolution, and accompanied by strong grating lobes and sidelobe clutter, and the calculated corresponding image entropy and contrast are also close. Under the condition of 50% sampling rate, the image generated by CSA has a clear background and weak grating lobes, and the side lobes are also reduced to a very low level. In contrast, the image generated by RMA can observe the target, but because half of the sampled data is randomly lost, a strong image aliasing effect will occur. In other words, when imaging sparse targets, compressed sensing technology has more advantages than classic time-domain and frequency-domain algorithms. RMIST-Net reduces the grating lobes to a lower level, the target profile is clear, and the clutter is minimal. The high-resolution imaging ability of 3DRIED under different imaging algorithms has been verified, and it can also be used by researchers to verify their new algorithm theories. For imaging using four different algorithms, the calculated values of image entropy and contrast are also reasonable, as shown in Table 4.

Table 4. Quality evaluation of imaging results in different algorithms.

Rifle Model	Entropy	Contrast
BPA	1.6385	0.6596
RMA(100%)	1.5423	0.6113
CSA	0.7954	0.3360
RMA(50%)	1.4312	0.8051
RMIST-Net	0.4736	0.8728

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# 3.2.3. Imaging Evaluation with Different Targets

The imaging effect of different targets is determined by many factors such as scattering properties, sparseness, environment, and so on. In this part, we show the 2-D and 3-D imaging results of some data using the mentioned algorithms and give the corresponding image quality indexes. The actual size of the imaging targets and other data are given in the next part. The vertical distance between the target and the radar sensor is 55-60 cm, the size of the imaging space is 50 cm  $\times$  10 cm  $\times$  50 cm in x, y, and z axes, and the imaging range of the distance direction is selected as 53-63 cm in the y axis, which is divided into 6 slices, and the interval of each slice is about 2 cm. Figures 9-11 show the optical images of nine targets. Figures 12-14 show the data processing results, and here is a brief analysis.

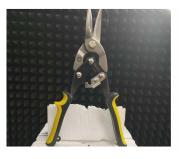






Figure 9. The optical images. Left is a plier; Middle is a stiletto; Right is a hammer.







**Figure 10.** The optical images. **Left** is a concealed knife; **Middle** is a concealed snips; **Right** is concealed knife and stiletto.

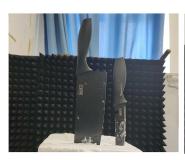
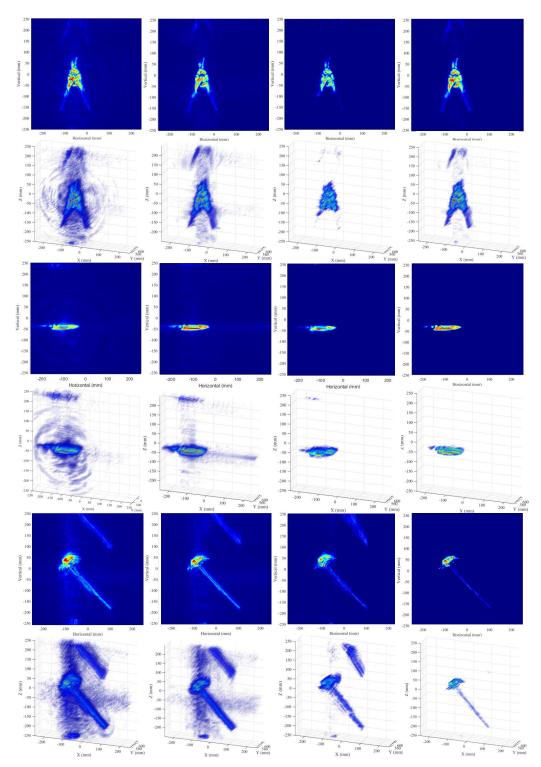






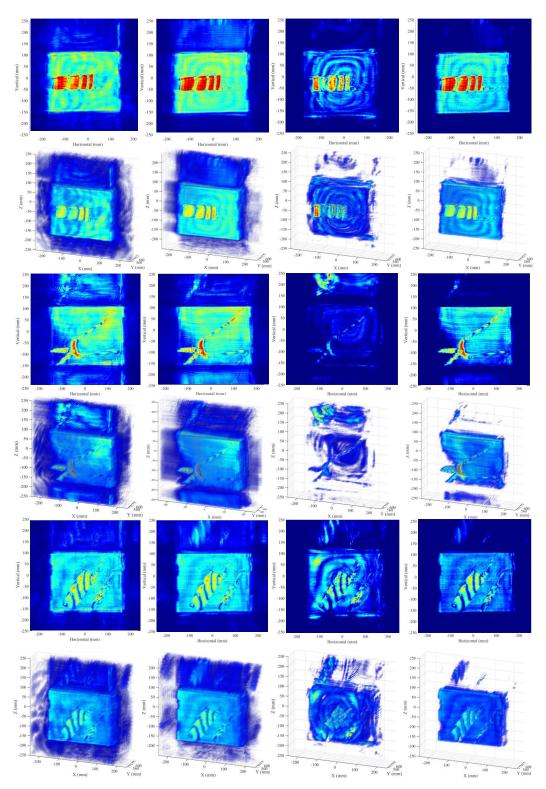
Figure 11. The optical images. Left is knife and stiletto; Middle is a snips; Right is a concealed rifle.

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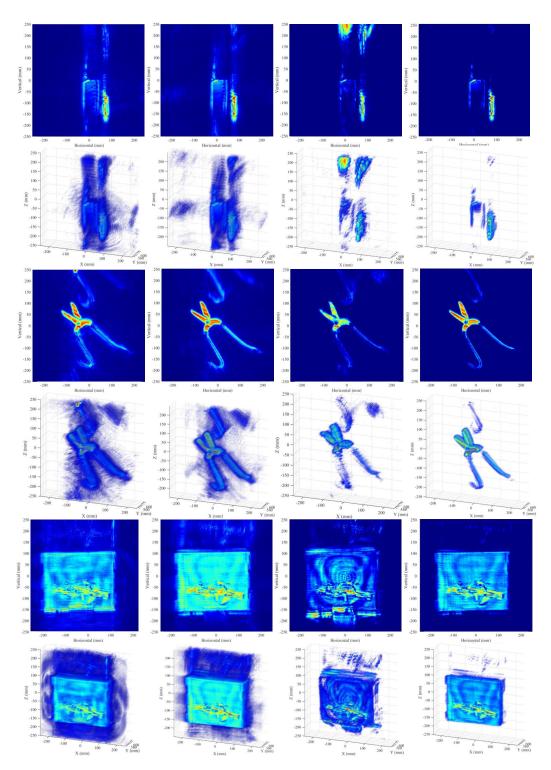
**Figure 12.** Imaging results of different targets using different algorithms. (Rows 1, 2) are the results of a plier; (Rows 3, 4) are the results of a stiletto; (Rows 5, 6) are the results of a hammer. Rows 1, 3, and 5 are the 2-D imaging results; Rows 2, 4, and 6 are the 3-D imaging results; Column 1 is the BPA imaging results; Column 2 is the RMA imaging results; Column 3 is the CSA imaging results; Column 4 is the RMIST-Net imaging results.

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**Figure 13.** Imaging results of different targets using different algorithms. (Rows 1, 2) are the results of the concealed knife; (Rows 3, 4) are the results of the concealed snips; (Rows 5, 6) are the results of the concealed knives. Rows 1, 3, and 5 are the 2-D imaging results; Rows 2, 4, and 6 are the 3-D imaging results; Column 1 is the BPA imaging results; Column 2 is the RMA imaging results; Column 3 is the CSA imaging results; Column 4 is the RMIST-Net imaging results.

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**Figure 14.** Imaging results of different targets using different algorithms. (Rows 1, 2) are the results of knives; (Rows 3, 4) are the results of snips; (Rows 5, 6) are the results of the concealed rifle. Rows 1, 3, and 5 are the 2-D imaging results; Rows 2, 4, and 6 are the 3-D imaging results; Column 1 is the BPA imaging results; Column 2 is the RMA imaging results; Column 3 is the CSA imaging results; Column 4 is the RMIST-Net imaging results.

Observing the images, for the scattering targets with strong scattering properties in the imaging space, the outline can be observed in the reconstructed image. The grating lobes and sidelobe clutter of the image obtained by different algorithms are different, which is reflected in the cleanness of the background. The sidelobes generated by traditional BPA

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and RMA are relatively heavy. The operation of using the window function can be effective for reducing side lobes clutter, but at the same time, it will bring about effects such as main lobe broadening. This article will not do further research. The imaging results obtained by CSA can see that the grating lobe has been reduced, and the sidelobe clutter is almost invisible. This is a high-resolution imaging result at the expense of the running efficiency of the algorithm. The algorithm is complex, and the computation burden is very large. Since CSA has a poor tolerance for weakly sparse or non-sparse targets, in order to get better imaging results, in actual processing, the sampling rate of sparse scattering targets is set to 50%, and the sampling rate of the weakly sparse or non-sparse scattering target is set to 100%. Compared with them, RMIST-Net can reduce the grating lobes and sidelobe clutter without sacrificing the computation efficiency in order to maintain a clear profile facing the targets for different sparse properties. The advantage of using the deep unfolding network to reconstruct radar signals is reflected here.

In addition, some rules can also be found in the entropy and contrast of the image. The image entropy and contrast of the imaging results obtained by BPA and RMA are similar, and their image details and clutter level are also similar; however, the image entropy and contrast of the results obtained using CSA are greatly reduced compared with the two results, corresponding to the details loss and clutter reduction. The effect of CSA under 50% sampling rate is superior to that of BPA and RMA under 100% sampling rate. Under the premise of ignoring the calculation burden, it reflects the superiority of the compressed sensing theory. The image entropy and contrast of the imaging results obtained by RMIST-Net are at an excellent level, and the image quality is the highest. The problems of imaging accuracy and the computing burden are solved by deep neural networks at the same time. Quality evaluation of imaging results from different targets using different algorithms is shown in Table 5.

Table 5. Quality evaluation of imaging results of different targets in different algorithms.

	Pl	ier	Stiletto		Hammer	
	Entropy	Contrast	Entropy	Contrast	Entropy	Contrast
BPA	0.8850	0.4722	2.2505	0.7734	0.8094	0.4211
RMA	0.7957	0.4153	1.7017	0.6840	0.7553	0.4093
CSA	0.3957	0.2549	0.4488	0.3225	0.5008	0.2755
RMIST-Net	0.5985	0.2957	0.1511	3.8106	0.1567	0.9153
	Conceal	Concealed Knife		Concealed Snips		d Knives
	Entropy	Contrast	Entropy	Contrast	Entropy	Contrast
BPA	4.2053	0.8190	3.8394	0.9441	0.6627	0.3974
RMA	4.7477	0.7626	3.8728	0.8600	0.6731	0.3737
CSA	2.2383	0.6440	1.0525	0.5208	0.4715	0.2727
RMIST-Net	3.3787	0.8037	2.0939	0.7179	2.0529	0.8732
	Kn	ives	Sn	Snips		ed Rifle
	Entropy	Contrast	Entropy	Contrast	Entropy	Contrast
BPA	0.6627	0.3974	0.9077	0.4201	3.7789	0.8442
RMA	0.6731	0.3737	0.8704	0.3633	4.1620	0.7984
CSA	0.4715	0.2727	0.5945	0.2760	2.0847	0.6618
RMIST-Net	0.2395	0.4331	0.4906	0.3965	2.9893	0.8015

#### 3.3. Analysis of Running Time

The aforementioned experiments are all run in a unified configuration with the CPU-Intel(R) Core(TM) i7-7700K CPU @ 4.20 GHz (64 GB RAM) and the GPU-NVIDIA GeForce GTX 1080 Ti. In Table 6, the running time of the imaging processing for the hammer in Section 3.2.3 is reported as a representative. Obviously, RMA and RMIST-Net have a huge advantage in reducing the computational burden, and GPU has a superior acceleration

effect facing to large-scale radar data operation. BPA needs approximate 1.97 h to complete a 3-D imaging processing using CPU. By contrast, RMIST-Net costs just 0.09 s under GPU, which is a difference of 80,000 times.

Table 6. Running time under different algorithms.

Methods	BPA	RMA	CSA	RMIST-Net
Time(s) (CPU/GPU)	7075.146/312.745	0.275/-	4507.543/-	2.488/0.090

#### 3.4. Discussion

3DRIED contains a total of 81 different near-field MMW radar echo data; the details are shown in Table 2. According to the target category, there are 9 types of echo data, which are knives, guns, and other dangerous metal objects; according to the scene condition, there are 3 types of echo data, which are placed in the free sense, placed in the carton, and placed in the backpack; according to the number of targets, there are 2 types of targets, which are single target and multiple targets.

The whole file includes the bin file sampled by ADC, the raw data file output as mat format, the optical image of the target, and the reconstructed images generated by near-field MMW 3-D imaging algorithms. The numerical evaluation of high-resolution images is also given as baselines. Significantly, the 81 items of raw echo data that have been collected from the near-field MMW 3-D imaging system are all real, effective, and reliable. Targets types are complete, environments conditions are diverse, and applications are extensive.

#### 4. Conclusions

In this paper, we propose a 3-D near-field MMW radar dataset 3DRIED—an experimental platform that can obtain 3-D information about the target built in combination with the SAR system and broadband MMW. The raw echo data of different targets in different environments are collected, and high-resolution 2-D and 3-D imaging results are obtained. Part of the results is shown in Section 4. We choose the BPA in the time domain, the RMA in the frequency domain, the CSA using the compressed sensing theory, and the deep neural networks RMIST-Net, which verified the completeness of 3DRIED in imaging with different algorithm theories. Different numerical evaluation indexes are given as a baseline here. The measured data of high-resolution MMW radar is precious. Our goal is to provide an effective and reliable dataset for the research field, which is conducive to the promotion of algorithm research using near-field radar data. The measured data in the subsequent work will also be extended to 3DRIED, hoping to make some contributions to the research field.

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