

## Technical Note

# Decreased Anthropogenic CO<sub>2</sub> Emissions during the COVID-19 Pandemic Estimated from FTS and MAX-DOAS Measurements at Urban Beijing

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**Abstract:** The COVID-19 pandemic has led to ongoing reductions in economic activity and anthropogenic emissions. Beijing was particularly badly affected by lockdown measures during the early months of the COVID-19 pandemic. It has significantly reduced the CO<sub>2</sub> emission and toxic air pollution (CO and NO<sub>2</sub>). We use column-averaged dry-air mole fractions of CO<sub>2</sub> and CO (XCO<sub>2</sub> and XCO) observed by a ground-based EM27/SUN Fourier transform spectrometer (FTS), the tropospheric NO<sub>2</sub> column observed by MAX-DOAS and satellite remote sensing data (GOSAT and TROPOMI) to investigate the variations in anthropogenic CO<sub>2</sub> emission related to COVID-19 lockdown in Beijing. The anomalies describe the spatio-temporal enhancement of gas concentration, which relates to the emission. Anomalies in XCO<sub>2</sub> and XCO, and XNO<sub>2</sub> ( $\Delta$ XCO<sub>2</sub>,  $\Delta$ XCO, and  $\Delta$ XNO<sub>2</sub>) for ground-based measurements were calculated from the diurnal variability. Highly correlated daily XCO and XCO<sub>2</sub> anomalies derived from FTS time series data provide the  $\Delta$ XCO to  $\Delta$ XCO<sub>2</sub> ratio (the correlation slope). The  $\Delta$ XCO to  $\Delta$ XCO<sub>2</sub> ratio in Beijing was lower in 2020 (8.2 ppb/ppm) than in 2019 (9.6 ppb/ppm). The  $\Delta$ XCO to  $\Delta$ XCO<sub>2</sub> ratio originating from a polluted area was significantly lower in 2020. The reduction in anthropogenic CO<sub>2</sub> emission was estimated to be 14.2% using FTS data. A comparable value reflecting the slowdown in growth of atmospheric CO<sub>2</sub> over the same time period was estimated to be 15% in Beijing from the XCO<sub>2</sub> anomaly from GOSAT, which was derived from the difference between the target area and the background area. The XCO anomaly from TROPOMI is reduced by 8.7% in 2020 compared with 2019, which is much smaller than the reduction in surface air pollution data (17%). Ground-based NO<sub>2</sub> observation provides a 21.6% decline in NO<sub>2</sub>. The NO<sub>2</sub> to CO<sub>2</sub> correlation indicates a 38.2% decline in the CO<sub>2</sub> traffic emission sector. Overall, the reduction in anthropogenic CO<sub>2</sub> emission relating to COVID-19 lockdown in Beijing can be detected by the Bruker EM27/SUN Fourier transform spectrometer (FTS) and MAX-DOAS in urban Beijing.

**Keywords:** COVID-19; lockdown; total column measurements; XCO<sub>2</sub>; XCO; XNO<sub>2</sub>; fossil fuel emission tracer

## 1. Introduction

An outbreak of a novel infectious coronavirus virus (COVID-19) was first identified in Wuhan, China, in December 2019 and it posed serious health hazards to the local population. To slow down the spread of the virus, the Chinese government imposed a mandatory

lockdown to reduce the mobility of the population, including closing down industrial activity and transport networks. The dramatic reduction in economic activity affected the emissions of greenhouse gases, air quality, and the Earth's climate [1]. The reduction in the consumption of fossil fuels was accompanied by a decrease in the atmospheric concentrations of short-lived air pollutants, such as particulate matter (PM<sub>2.5</sub>, PM<sub>10</sub>), carbon monoxide (CO), nitrogen dioxide (NO<sub>2</sub>), and sulfur dioxide (SO<sub>2</sub>) [2–4] and an increase in the concentration of ozone (O<sub>3</sub>) and the formation of secondary aerosols [5,6]. Beijing, the capital of China, is recognized as one of the most polluted regions in the country and it can therefore be used to determine the local atmospheric response to the COVID-19 lockdown. Measurements from the Tropospheric Monitoring Instrument (TROPOMI) on board the Copernicus Sentinel-5 Precursor satellite have shown that the NO<sub>2</sub> column in Beijing decreased by about 25% during the COVID-19 lockdown [2].

COVID-19 outbreak provides a unique opportunity to investigate the response of CO<sub>2</sub> emissions to the decreasing anthropogenic activities. As a result of the decrease in fossil fuel consumption and vehicular traffic, global daily emissions of carbon dioxide (CO<sub>2</sub>) decreased by about 17% in the first four months of 2020 compared with the same period in 2019 and the total emissions of CO<sub>2</sub> in 2020 are estimated to have decreased by about 8% using 2019 as the baseline year [7]. The estimated emission of CO<sub>2</sub> in China decreased by 10.3–11.5% in the first quarter of 2020 relative to 2019 [7–9].

These assessments of CO<sub>2</sub> reductions are based primarily on bottom-up methods using statistical data from fossil fuel, industry, and traffic emissions. CO<sub>2</sub> accumulates in the atmosphere due to its long residence time, so the decrease in CO<sub>2</sub> emissions during the lockdown period was difficult to observe directly. However, the 2020 lockdown is a unique case to test whether the concentration changes relating to human activities can be separated from the atmospheric measurements. The column-averaged dry-air mole fractions of a gas (X<sub>gas</sub>) are less sensitive to vertical transport, and the horizontal gradient of X<sub>gas</sub> has a more direct relationship with the regional-scale flux than in situ measurements of gas concentrations near the Earth's surface [10]. Sussmann and Rettinger [11] compared the long-term growth rates of XCO<sub>2</sub> before 2019 at several background Total Carbon Column Observing Network (TCCON) sites with the reference forecast rate based on an 8% reduction in annual emissions for 2020 and found the forecast value (with the COVID-19 effect) was significantly lower than the observed value (without the COVID-19 effect), indicating a slowing down of the growth in CO<sub>2</sub>, related to COVID-19.

In this study, we focus on an analysis of anthropogenic CO<sub>2</sub> emission over a large urban region (a megacity like Beijing) using X<sub>gas</sub> measurements. We analysed the variation in CO<sub>2</sub> and CO concentrations during the first four months of 2020 in the Beijing urban area using ground-based XCO<sub>2</sub>, XCO, and XNO<sub>2</sub> measurements by collaborative analysis with satellite data. These measurements are acquired by a Fourier Transform spectrometer EM27/SUN and MAXDOAS. CO and NO<sub>2</sub> are often used as tracers of CO<sub>2</sub> from inefficient combustion. Then the observed CO–CO<sub>2</sub> and NO<sub>2</sub>–CO<sub>2</sub> correlations provide useful constraints for identifying source types. CO and NO<sub>2</sub> last from hours to weeks in the atmosphere and can be transported regionally with a low background concentration, which makes them unique tracers for the transportation and redistribution of anthropogenic CO<sub>2</sub>. XCO<sub>2</sub>, XNO<sub>2</sub>, and XCO data from satellites (XCO<sub>2</sub> from GOSAT, CO from TROPOMI) are also used to investigate the impact of the 2020 lockdown around Beijing.

This paper is structured as follows. Section 2 describes the methods and the datasets obtained from satellite and ground-based observations. Section 3.1 analyses the variation in the XCO<sub>2</sub> anomaly measured by satellites over Beijing in the last three years. Section 3.2 presents the XCO anomaly observed by TROPOMI. The XCO<sub>2</sub>, XCO, and tropospheric NO<sub>2</sub> column concentration time series in the first four months of 2019 and 2020 are presented in Section 3.3. Finally, the correlations of  $\Delta$ XCO<sub>2</sub> with  $\Delta$ XCO and  $\Delta$ XNO<sub>2</sub> under different weather conditions are discussed in Section 3.4. Section 4 concludes the paper.

## 2. Materials and Methods

### 2.1. Ground-Based Observations

A Bruker EM27/SUN Fourier Transform spectrometer has been deployed at the Institute of Atmospheric Physics, Chinese Academy of Sciences, an urban site between the north 3rd and 4th ring roads in Beijing, since 1 January 2019. A WS500 weather station, which operates in conjunction with the EM27 spectrometer, records surface temperatures and pressures with accuracies of 0.2 °C and 0.5 h Pa, respectively. The EM27 spectrometer receives direct sunlight passively through a solar tracker and records the near-infrared spectra in the range 3800–14,000 cm<sup>−1</sup> using an indium gallium arsenide detector. After analysis using a non-linear least-squares fitting retrieval algorithm (PROFFAST) [8], the recorded spectra yield column-averaged dry-air mole fractions of CO<sub>2</sub> and CO (XCO<sub>2</sub>, XCO) (Formula (1)). Use of EM27 is limited under cloudy, rainy, and night-time conditions and it is therefore equipped with an automated clamshell cover, so that it is possible to obtain autonomous remote sensing of greenhouse gases and to maximize the amount of valid observational data.

$$X_{gas} = \frac{gas\ column}{dry\ air\ column} \quad (1)$$

The IFS 125 HR data used in the TCCON have been widely accepted as a standard to calibrate portable Fourier transform spectrometer data [9,10]. We calibrate the results from the EM27 spectrometer using the IFS 125 HR Fourier transform spectrometer in Xianghe, Hebei [11].

A ground-based multi axis differential optical absorption spectroscopy (MAX-DOAS) instrument was installed on the roof of the Chinese Academy of Meteorological Sciences building (CAMS, 39.9475°N, 116.3273°E) for continuous measurements of NO<sub>2</sub>. A full measurement sequence takes about 11 min. In this study, we use the tropospheric NO<sub>2</sub> column (unit: molec/cm<sup>2</sup>) and convert it to column-averaged dry-air mole fractions of NO<sub>2</sub> (XNO<sub>2</sub>, unit: ppb). We used the X-STILT (X-Stochastic Time-Inverted Lagrangian Transport model) to analyze the influences of the synoptic condition. X-STILT is a Lagrangian particle dispersion model and is an effective tool with which to track the backward footprint of column measurements, such as Orbiting Carbon Observatory-2 [12,13]. We adopted the X-STILT model driven by the ERA5 reanalysis datasets (0.25° spatial resolution and 1-h temporal resolution) to analyze the EM27 column observations from the perspective of the weather conditions. The X-STILT model was set to release particles every 100 m within 3 km and every 500 m from 3 to 6 km relative to the observation level, which tends to be denser near the surface. The column footprint (unit: ppm (μmol (m<sup>2</sup> s)<sup>−1</sup>)<sup>−1</sup>), as the output of the X-STILT model, represents the residence time of air in a given area.

### 2.2. Satellite Observations

The Greenhouse Gases Observing Satellite (GOSAT) has a target mode over Beijing and is suitable for studying regional variations in XCO<sub>2</sub>. The Thermal and Near Infrared Sensor for Carbon Observation (TANSO) Fourier transform spectrometer on board the satellite GOSAT records both shortwave infrared (SWIR) and thermal infrared (TIR) spectra with a 10.5-km diameter footprint at the nadir. The National Institute for Environmental Studies (NIES) algorithm was developed to retrieve GOSAT data. To reduce the errors from undersampling, we selected only those days with at least five GOSAT XCO<sub>2</sub> pixels located in the Beijing area. GOSAT data before 2016 were not used because of the very limited number of daily samples. We used the GOSAT XCO<sub>2</sub> products from NIES v02.81 (<https://data2.gosat.nies.go.jp>) obtained within the study area during the past four years (2017–2020).

The XCO over Beijing can readily be observed using data from TROPOMI on board the Sentinel-5 Precursor satellite, which was successfully launched by the European Space Agency (ESA) in October 2018. The spatial resolution of TROPOMI was 7 × 7 km<sup>2</sup> before August 2019 and 7.2 × 5.6 km<sup>2</sup> afterwards. The vertical column concentration of CO (unit: mol/m<sup>2</sup>), which is sensitive to the tropospheric boundary layer, is a primary product

of TROPOMI and can be retrieved from the 2.3- $\mu\text{m}$  spectral range of the SWIR part of the solar spectrum using the Shortwave Infrared CO Retrieval (SICOR) algorithm [14,15]. To ensure the quality of the data, we selected the CO product pixels associated with a quality value (qa\_value) > 0.5. To obtain the XCO values (units: ppb), the CO column values from the TROPOMI were divided by the dry air column values calculated from the European Centre for Medium-Range Weather Forecast analysis data.

### 3. Results

#### 3.1. XCO<sub>2</sub> Anomaly from GOSAT

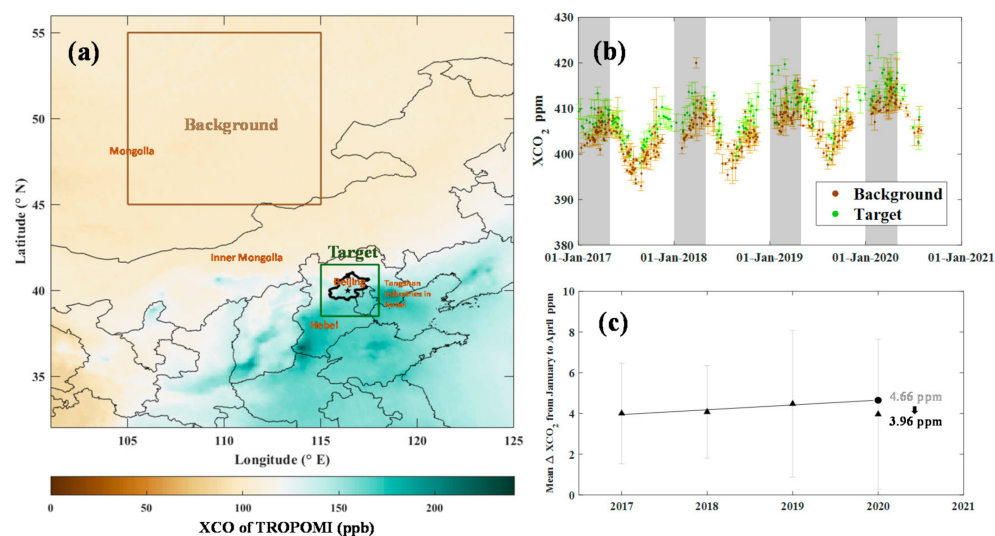
The most recent research [16], which has estimated the daily emission inventories, shows a significant decrease in global CO<sub>2</sub> emissions (8.8%) in the first half of 2020. The decline in emissions from China in the first half of 2020 compared with baseline year of 2019 over the same period is 3.7%, with a maximum in February (18.4%) but they recovered after March. The atmospheric CO<sub>2</sub> column-averaged CO<sub>2</sub> concentration (XCO<sub>2</sub>) observed by space-based and ground-based instruments is a weak signal superimposed on the background abundance, so we focus on the anomaly in XCO<sub>2</sub> rather than on XCO<sub>2</sub> itself in Beijing during the abnormal event due to COVID-19 lockdown. In winter and early spring, the photosynthetic uptake of CO<sub>2</sub> and biomass burning are minimal in the north China region, while domestic heating and traffic contribute significantly to fossil fuel consumption.

This study focuses on a megacity, Beijing, with a population of more than 20 million. The prevailing winds around Beijing were from the northwestern and southwestern directions. GOSAT provides targeted observations at Beijing, making it possible to collect enough data to study changes in XCO<sub>2</sub> in a small region. Because there are very limited data from GOSAT, no filter of wind direction for a single day was applied. We assume that the observed XCO<sub>2</sub> variations result from a superposition of anthropogenic emissions and background variabilities. As a consequence, the XCO<sub>2</sub> anomaly or enhancement for the target region (38.5–41.5°N; 115 to 118°E, the green area in Figure 1a) could be calculated by subtracting the averaged value for the background region (45–55°N from 105 to 115°E, the brown area in Figure 1a) from January 2017 to April 2020 from the GOSAT measurements. The center of Mongolia where population densities are lower and less affected by the COVID-2019 lockdown, was selected as the background region to minimize the biogenic CO<sub>2</sub> emission contribution to the CO<sub>2</sub> enhancement in Beijing. Figure 2 shows the daily averaged XCO of the background region. The negligible daily variations in the averaged XCO indicate there is little effect from human activities.

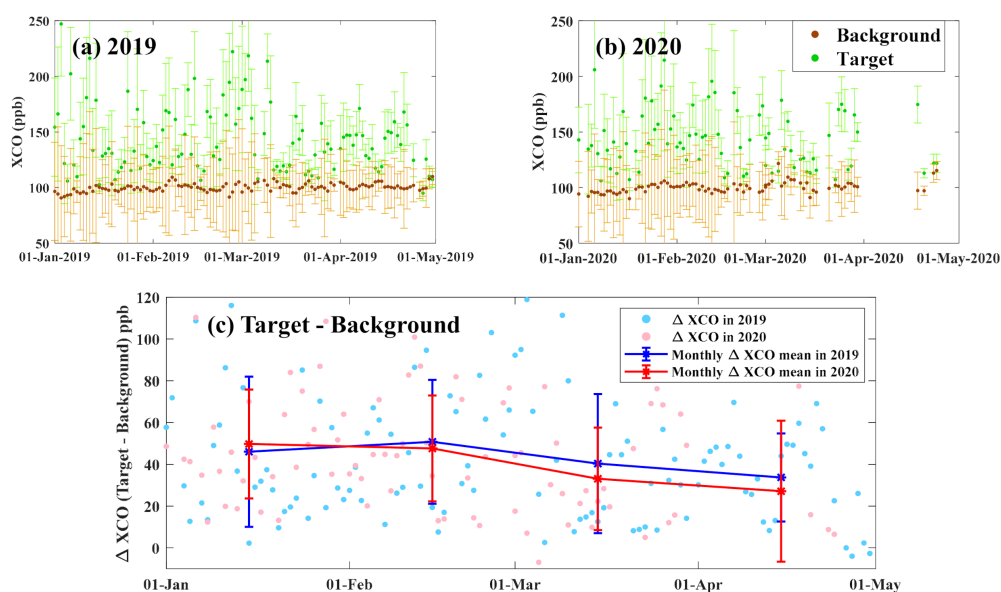
Figure 1b shows the variation in XCO<sub>2</sub> from 2017 to the end of April 2020 and the first four months in each year are marked by grey shading. XCO<sub>2</sub> around Beijing was often higher than that of the background region, indicating the high anthropogenic CO<sub>2</sub> emissions from Beijing and surrounding areas. Figure 1c shows that  $\Delta\text{XCO}_2$  in the first four months of each year increased from 2017 to 2019 and was predicted by extrapolation to be  $4.65 \pm 1.64$  ppm in 2020. The uncertainty is calculated from the square root mean of 2017 to 2019. The observed enhancement from GOSAT in 2020 was  $3.96 \pm 3.68$  ppm, and it is plausible to assume that the reduction of  $0.7 \pm 2.01$  ppm (15%) is a result of the drop in anthropogenic CO<sub>2</sub> in the atmosphere due to the economic disruption during the COVID-19 outbreak. This reduction in XCO<sub>2</sub> growth, as estimated from the target–background difference, reveals that the related atmospheric concentration changes can be detected by column measurement from the satellite; however, the method is too simplified to quantify the reduction in anthropogenic emission. The reduction is a bit higher than the average reduction in China (11.5%) inferred by sector-specific ratio maps of CO<sub>2</sub> to NO<sub>x</sub> emissions, where the latter was estimated from TROPOMI NO<sub>2</sub> reduction [17]. These estimations are higher than the bottom-up estimation (6.6%) from the traffic data in Beijing [18] or the average reduction in China estimated from all inventories (~10%). It should be noted that the chosen background area as well as selection of days will alter the estimated



reduction in XCO<sub>2</sub> growth. There could also be biases due to the limited numbers of satellite observations.



**Figure 1.** (a) Map of TROPOMI XCO averaged from January to April 2019. The region (38.5–41.5°N, 115–118°E) was selected as the target area (green shading) and the region (45–55°N, 105–115°E) was selected as the background region (brown shading). Beijing is outlined by the bold black line and the EM27/SUN Fourier transform spectrometer observation site in urban Beijing is marked by the black star. (b) Daily error bars and mean values of XCO<sub>2</sub> measured by GOSAT from 2017 to 2020 over the target (green) and background (brown) regions. The Error bar presents the standard deviation for each day. The first quarter of each year is indicated by gray shading. (c) Error bars and mean values of XCO<sub>2</sub> for the first quarter of each year. The estimated trend from 2017 to 2019 is plotted as the black line. The estimated increase in XCO<sub>2</sub> ( $\Delta$ XCO<sub>2</sub>) in 2020 is shown with black circle and the observed  $\Delta$ XCO<sub>2</sub> with black triangle. The Error bar presents the standard deviation for each year.



**Figure 2.** Daily error bars and mean values of XCO over the background and target regions for (a) 2019 and (b) 2020. Error bar shows the standard deviation of XCO enhancement ( $\Delta$ XCO) for each day in background and target region. (c) Daily and monthly increases in XCO ( $\Delta$ XCO) in 2019 (blue) and 2020 (red). Error bar presents the monthly standard deviation of  $\Delta$ XCO in 2019 and 2020.

### 3.2. XCO Anomaly from TROPOMI

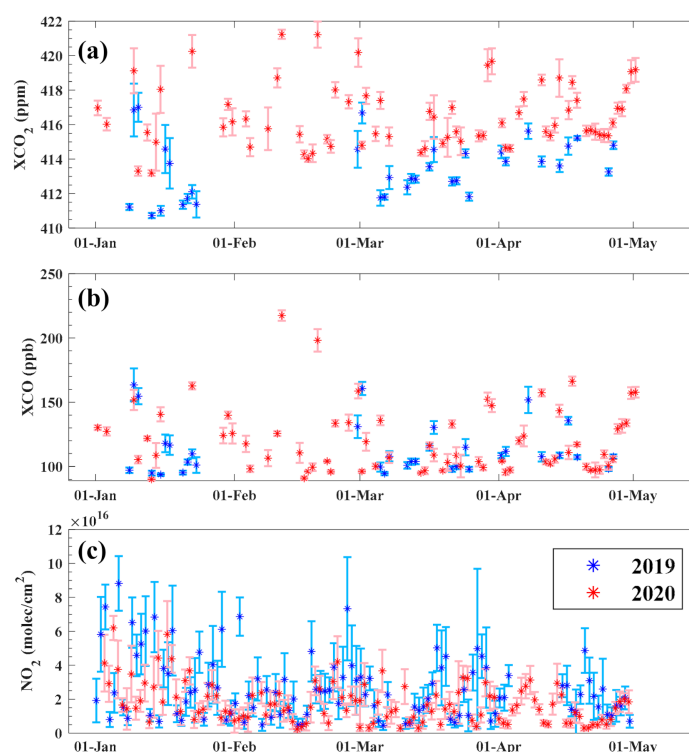
Based on the TROPOMI observations, Figure 2a,b show the XCO of the background and the target regions in the first four months of 2019 and 2020, respectively. The background and target regions are shown in Figure 1. The averaged XCO of the background region is stable with values of around 100 ppb, and the target XCO shows much higher values and apparent daily variations as a result of the local emission and synoptic-scale advections.

Figure 2c compares the monthly  $\Delta\text{XCO}$  (target minus background) during the first four months of 2019 and 2020. Compared with the baseline year of 2019, monthly averaged  $\Delta\text{XCO}$  in 2020 was 8.1% higher in January, but 6.3%, and 18.0% lower in February and March, respectively. The 2020 lockdown in China started on 23rd January 2020, resulting in a sharp decrease from the high XCO value in January during the following three months (Figure 2b). There are TROPOMI CO products for only a few days in April, so the  $\Delta\text{XCO}$  for April 2020 is not calculated. The XCO anomaly ( $\Delta\text{XCO}$ ) decreased by 6.7% on average in the first quarter of 2020, which is comparable to the CO anomalies (6.5%) over north-central China from January to April 2020 by subtracting its long-term trends as observed by the Atmospheric Infrared Sounder on board NASA's Aqua satellite [19].

### 3.3. The Correlations of $\Delta\text{XCO}$ , $\Delta\text{XNO}_2$ , and $\Delta\text{XCO}_2$

Based on the satellite measurements, notable reductions in  $\text{XCO}_2$  and XCO in Beijing could be identified; however, it is hard to comprehensively evaluate the contribution of human activity, even though XCO could be used as tracer for the anthropogenic contribution. It is too difficult to collect enough coincident GOSAT and TROPOMI data for both the target and background areas to build up a meaningful relationship between XCO and  $\text{XCO}_2$  anomalies. Another option is to use ground-based FTS measurements which collect XCO and  $\text{XCO}_2$  data simultaneously. Ground-based portable FTS provides  $\text{XCO}_2$  and XCO data with higher temporal resolution (1 min) than the satellite from the morning to the afternoon for a specific observation site in urban Beijing. Figure 3 shows the time series of  $\text{XCO}_2$  and XCO observed by EM27 and  $\text{NO}_2$  by MAX-DOAS from January to April in 2019 and 2020. Data for February 2019 were missing due to instrument maintenance.  $\text{XCO}_2$  in the January to April period in 2020 is higher than in the baseline year of 2019 (2.9 ppm on average). The 2020–2019 difference in XCO is approximately 5.7 ppb, which shows similar overall characteristic to the TROPOMI observations, as described in Section 3.2. On average,  $\text{NO}_2$  dropped by 31.2% compared to 2019 in the first four months, and it dropped by more than the average decrease in  $\text{NO}_2$  value of 20.2 % of China from the first five months of 2020 [16].

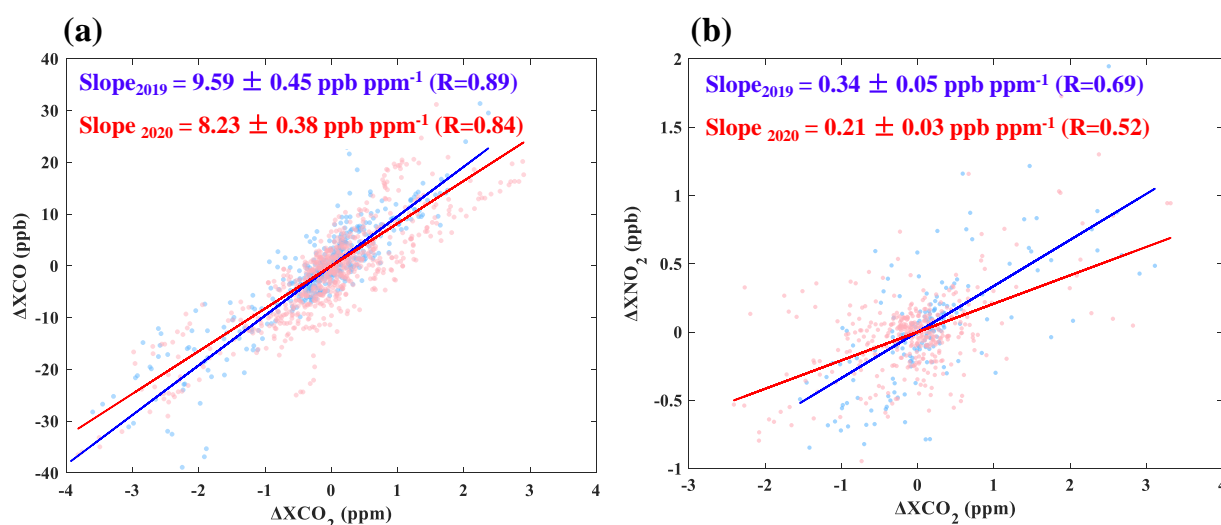
CO is a precursor of  $\text{CO}_2$  and is co-emitted with  $\text{CO}_2$  in combustion activities, thus causing a significant positive correlation between them. The correlation in diurnal variations in  $\text{XCO}_2$  and XCO is due to the diurnal changes in the polluted urban environment though the morning to the afternoon. To better distinguish the variation in anthropogenic  $\text{CO}_2$  from its background signal, we take CO as the tracer gas and calculate the correlations between  $\text{XCO}_2$  and XCO, assuming that the observed diurnal changes are confined to the boundary layer. The correlation slopes derived by linear regression on daily anomalies (noted as  $\Delta\text{CO}$  and  $\Delta\text{CO}_2$ ) are independent of transport and other atmospheric effects that are common to both gases [20]. The Xgas anomaly from EM27 (noted as  $\Delta\text{Xgas}$ ) was calculated by subtracting the morning Xgas at a particular solar zenith angle from its counterpart in the afternoon, in order to eliminate solar zenith angle dependent errors from the forward model [20,21]. To account for the sensitivity of the retrieved column measurement to actual variations at the surface, the anomalies are divided by the averaging kernel value at the surface. Data in February 2020 are excluded because there is no data in February 2019, it should be noted that including these data does not change the overall correlation in 2020. The original EM27 spectra was sampled in a timestep of one minute, to reduce the random noise, the retrieved  $\text{XCO}_2$  and XCO data was averaged for 10 min.



**Figure 3.** Time series of XCO<sub>2</sub> (a), XCO (b), and NO<sub>2</sub> (c) columns from January to April 2019 (blue) and 2020 (red). The asterisk present the daily mean of XCO<sub>2</sub>, XCO, and NO<sub>2</sub> columns and error bars show the standard deviation.

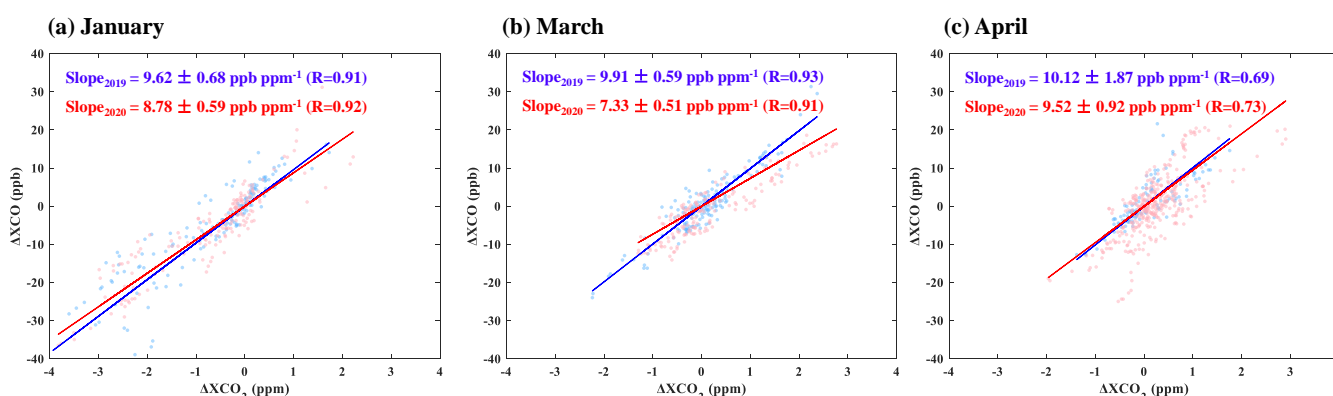
$\Delta$ XCO and  $\Delta$ XCO<sub>2</sub> for all cloud-free days (27 days for 2019 and 34 days for 2020) show very high positive correlations (the correlation coefficient of 0.89 and 0.84, respectively) in winter and early spring when the biospheric influence is weak and less variable in northern China (Figure 4a). The strong correlation allows us to separate the anthropogenic signature in CO<sub>2</sub> robustly and indicates the strong influence of combustion emissions on CO<sub>2</sub>. The regression slope of  $\Delta$ XCO against  $\Delta$ XCO<sub>2</sub> ( $\Delta$ XCO: $\Delta$ XCO<sub>2</sub>) in 2020 ( $\alpha_{2020} = 8.2 \pm 0.4$  ppb/ppm) is lower than for 2019 ( $\alpha_{2019} = 9.6 \pm 0.5$  ppb/ppm), indicating that less combustion-related CO<sub>2</sub> was emitted into the atmosphere during the 2020 lockdown. The proportion of anthropogenic CO<sub>2</sub> in the atmosphere declined by 14.2% from the differences in the regression slopes. This value is close to the estimation from GOSAT data in Section 3.1. Both the satellite and ground-based XCO<sub>2</sub> measurements present a larger reduction than the bottom-up estimates [16,18].

Anthropogenic CO<sub>2</sub> and NO<sub>2</sub> emissions usually come from the same combustion processes, especially in the traffic combustion sector. We use the same enhancement calculation method as for CO:CO<sub>2</sub>. Compared to CO, NO<sub>2</sub> correlates more with vehicle emissions and has a shorter lifetime, which makes it fall rather more noticeably. As can be seen from Figure 4b,  $\Delta$ XNO<sub>2</sub>: $\Delta$ XCO<sub>2</sub> ( $0.34 \pm 0.05$  ppb/ppm) in 2019 is noticeably higher than in 2020 ( $0.21 \pm 0.03$  ppb/ppm) and the correlation coefficient for 2020 (0.52) is significantly lower than for 2019 (0.69).  $\Delta$ XNO<sub>2</sub>: $\Delta$ XCO<sub>2</sub> drops by approximately 38.2%, assisted by the decrease in transportation in China (37.2%) reported by Liu et al. [16].



**Figure 4.** Correlations of  $\Delta XCO$  (a),  $\Delta XNO_2$  (b), and  $\Delta XCO_2$  in 2019 (blue) and 2020 (red); slope2019 and slope2020 represent the regression fitted slopes for 2019 and 2020, R is the correlation coefficient.

The CO:CO<sub>2</sub> slopes for January, March, and April in year 2019 and 2020 are shown in Figure 5. The correlation coefficients for January and March are larger than 0.9 for both years, while the reduced correlation coefficients in April indicated the increasing biogenic influence. In January and April, the slope in 2020 is slightly less than that in 2020 (8.73% and 5.9%). The slope for March reduces by 26.0% in 2020 than in 2019.



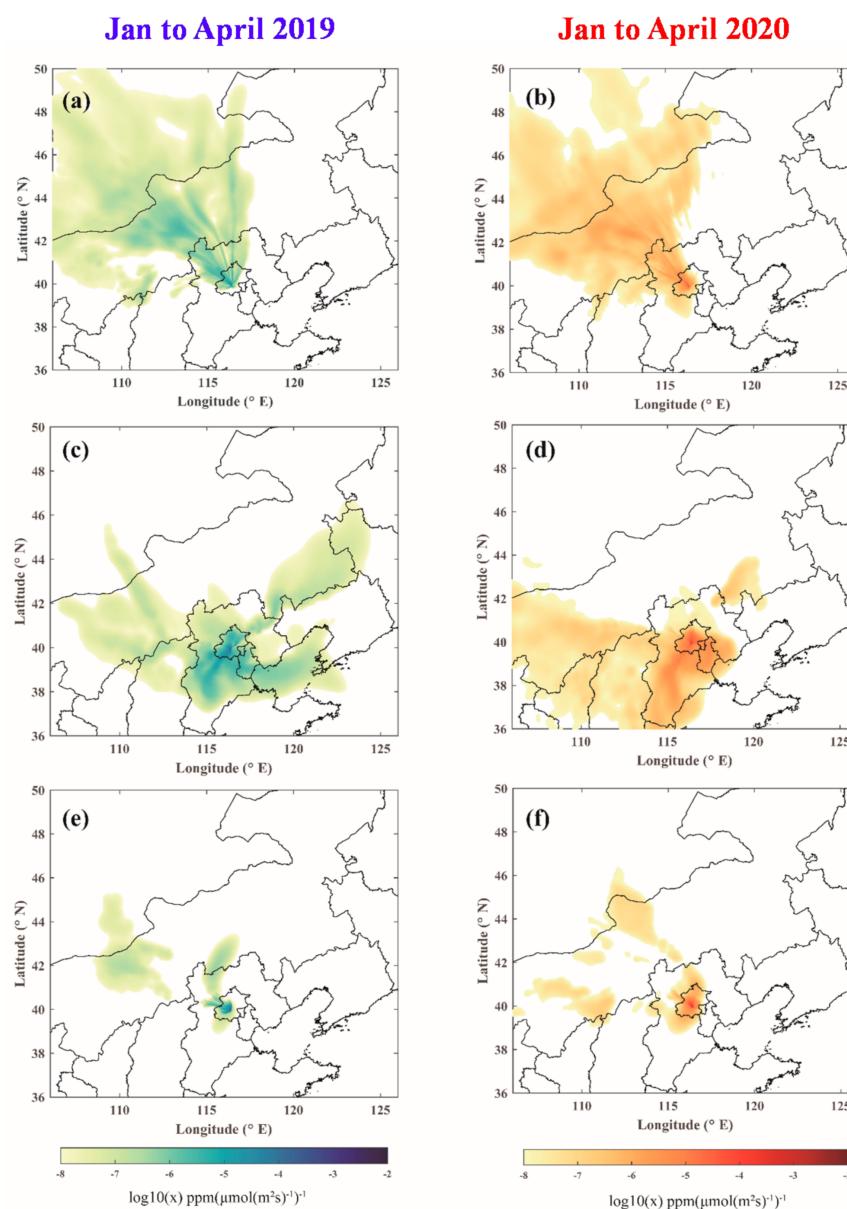
**Figure 5.** Correlations of  $\Delta XCO$  and  $\Delta XCO_2$  in (a) January, (b) March and (c) April of 2019 (blue) and 2020 (red); slope2019 and slope2020 represent the regression fitted slopes for 2019 and 2020, R is the correlation coefficient.

### 3.4. The Correlations of $\Delta XCO$ , and $\Delta XCO_2$ of Different Sources

The variations in XCO and XCO<sub>2</sub> are strongly affected by regional transportation driven by different wind directions and wind speeds. The CO–CO<sub>2</sub> correlation slope provides characterizations of the combustion efficiency signature of the source regions. To show the regional effect, we present a more specific interpretation of the observed  $\Delta XCO:\Delta XCO_2$  slope using a simple dispersion model (STILT). STILT was driven by ERA-5 reanalysis data. Column footprints, which represent the sensitivity of the column measurements to the upstream and downstream surface–atmosphere fluxes, are calculated for 2019 and 2020. Please refer to Wu et al. [12] for more details about the footprint calculation. According to the footprints of 2019 and 2020 (Figure 6), the observation site was most influenced by the northwest source (NW source), the north China plain source (NCP source), and the locally confined source (LC source). The NW footprint originated in the relatively clean region which is mostly influenced by the Siberian high. The NCP footprint originated from northern China (mainly Hebei and Shanxi provinces). Most of the LC



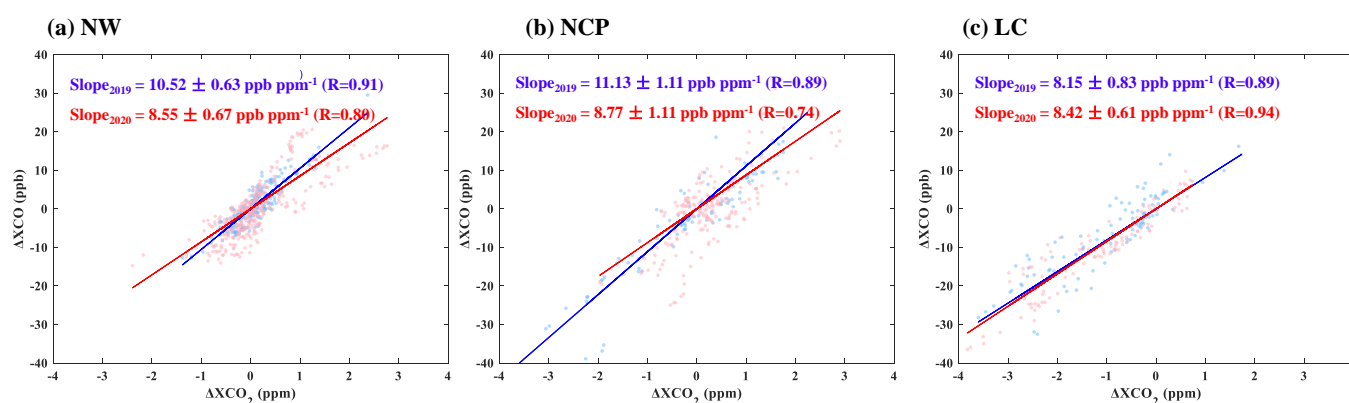
footprint is located in the Beijing area. The NW, NCP, and LC groups account for 54%, 23%, and 23%, respectively, of all days in 2019, and for 48%, 32%, and 19%, respectively, in 2020. FTS measurements are subjected to strong-wind days and weak-wind days: the former is selected when 80% of 24-h backward footprints lie outside the Beijing area (NW and NCP sources) and the latter are selected when 80% of footprints fall within the Beijing area (LC source).



**Figure 6.** Maps of the average 24 h backward footprint ( $\text{ppm}(\mu\text{mol}(\text{m}^2\text{s})^{-1})^{-1}$ ,  $\log_{10}(x)$ ) at the Institute of Physics, Beijing, starting at 12:00 local time in different upwind sources from January to April 2019 (blue) and 2020 (red). (a,b): The area to the northwest of the observation site is defined as the northwest source (NW source), (c,d): the areas to the south and northwest are defined as the north China plain source (NCP source). (e,f): stable weather conditions are classified as the locally confined source (LC source).

The large correlation coefficients of  $\Delta\text{XCO}$  and  $\Delta\text{XCO}_2$  in Figure 7 indicates that the air mass originates from different sources are dominated by anthropogenic emission. The  $\text{CO}_2/\text{CO}$  correlation slope provides a characteristic signature of the source region's overall combustion efficiency.  $\Delta\text{XCO}:\Delta\text{XCO}_2$  originating from different upwind

sources in January to April 2019 and 2020 are distinguished. As seen from Figure 7,  $\Delta XCO:\Delta XCO_2$  from the NW source in 2020 (Nday = 15 d, slope = 8.7 ppb/ppm) is flatter than  $\Delta XCO:\Delta XCO_2$  in 2019 (Nday = 14 d, slope = 10.5 ppb/ppm). Meanwhile,  $\Delta XCO:\Delta XCO_2$  from the NCP source in 2020 (Nday = 10 d, slope = 8.8 ppb/ppm) is much smaller than in 2019 (Nday = 6 d, slope = 11.1 ppb/ppm). The slope from the LC source presents lower than the value in other sources (NW, NCP) in 2019 and shows flatten in 2020, which indicates higher combustion efficiency in the Beijing area than in the surroundings during 2019. The ratio of CO emission to CO<sub>2</sub> emission derived from emission inventories, Emission Database for Global Atmospheric Research (EDGAR) and Peking University (PKU), also indicate that the value for the Beijing area is lower than for the surroundings. This brings agreement that air transported from a clean background (the NW source) was little affected during the 2020 lockdown; on the contrary, the reduction in the correlation slope of the air originating from the NCP suggests a significant reduction in anthropogenic CO<sub>2</sub> emission in the polluted area.



**Figure 7.** Correlations of  $\Delta XCO$  and  $\Delta XCO_2$  in 2019 originating from (a) NW, (b) NCP, and (c) LC.

#### 4. Conclusions

Economic activity in China was reduced during the COVID-19 lockdown and Beijing experienced a reduction in carbon emissions and toxic air pollution (CO and NO<sub>2</sub>) during this time period. The COVID-related decreases in CO<sub>2</sub> emissions have been estimated from bottom-up emission inventories in China and globally. The tropospheric nitrogen dioxide (NO<sub>2</sub>) column concentration data observed by satellite and the surface air quality data in China have also shown considerable declines during the lockdown period [16]. We have investigated the responses of anthropogenic CO<sub>2</sub> and CO emissions in Beijing during the 2020 lockdown using the dry-air-column measurements observed by ground-based FTS (EM27/Sun) in urban Beijing supplemented by satellite data (GOSAT and TROPOMI). The dry-air-column measurements have the advantage that they are less impacted by boundary layer transport on the data. We set up a simple method to identify the reduction in XCO<sub>2</sub> and XCO from an anomaly analysis of these data, through both observed XCO<sub>2</sub> and XCO being increased in the Beijing area. We set up a simple method to derive the XCO<sub>2</sub> anomaly from GOSAT and the XCO anomaly from TROPOMI over Beijing from the differences between the target area and the background area. XCO and XCO<sub>2</sub> anomalies of FTS data are derived from morning–afternoon differences.

Based on FTS data, the highly correlated daily XCO and XCO<sub>2</sub> anomalies allow the proportion of XCO<sub>2</sub> concentration in the atmosphere related to anthropogenic emission to be estimated from the correlation slope. The correlation slope in the first four months of 2020 is reduced by 14.2% compared with 2019, giving an opportunity to estimate the reduction in anthropogenic emissions. Based on GOSAT data, the averaged XCO<sub>2</sub> anomaly in January to April 2020 in contrast to the predicted value from an extrapolation of the years 2017–2019 over the same period declines by 15%. A similar reduction in CO<sub>2</sub> emission of 15.6% in China was inferred by sector-specific ratio maps of CO<sub>2</sub> to NO<sub>x</sub> emissions using

TROPOMI NO<sub>2</sub> data [17]. As for using NO<sub>2</sub> as a tracer, the correlation slope of NO<sub>2</sub> to CO<sub>2</sub> shows a 38.2% decline in the first quarter of 2020 compared to 2019, corresponding to reduction in the traffic-related CO<sub>2</sub> emission reported by a previous study. The consistent results from both ground-based data and satellite data confirm the significant decline in anthropogenic CO<sub>2</sub> emissions. Overall, these atmospheric-data-driven estimations are higher than the bottom-up estimations. In addition, all days of FTS data are classified into three categories (air transported from a cleaner background, from a polluted area, and local) according to the backward footprint analysis. The reduced correlation slopes of the three sources show significant differences and a maximum reduction is obtained from NCP and a flatter slope from a cleaner background. Based on TROPOMI, the XCO anomaly shows a 7.8% decrease in the first four months of 2020 compared to 2019, which is consistent with that estimated from Atmospheric InfraRed Sounder (AIRS) data.

Our results show that atmospheric CO<sub>2</sub> concentration changes as well as the anthropogenic emission changes related to COVID-19 can be detected by the ground-based FTS measurements by collaborative analysis with XCO. However, our results are also subject to some uncertainties and limitations: there is a need to undertake an in-depth analysis of the uncertainties inherent in the observations and transportation. Currently, there is crucial limitation for verifying bottom-up estimates. Resolving these limitations (e.g., exploiting flux from the information contained in these measurements) and investigating the local source contribution require further study using state-of-the-art inverse modelling.

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