



Supplementary Materials: Early Response Prediction of Multiparametric Functional MRI and ^{18}F -FDG-PET in Patients with Head and Neck Squamous Cell Carcinoma Treated with (Chemo)Radiation

Roland M. Martens, Thomas Koopman, Cristina Lavini, Tim van de Brug, Gerben J.C. Zwezerijnen, J. Tim Marcus, Marije R. Vergeer, C. René Leemans, Remco de Bree, Pim de Graaf, Ronald Boellaard and Jonas A. Castelijns

Table S1. Interobserver correlation quantitative MRI-parameters.

		PRETREATMENT				INTRATREATMENT			
		Primary tumor							
		Observer 1	Observer 2	Difference	Correlation	Observer 1	Observer 2	Difference	Correlation
		Mean (SD)	Mean (SD)	Wilcoxon Signed rank test	Pearson r	Mean (SD)	Mean (SD)	Wilcoxon Signed rank test	Pearson r
DWI	ADC_GTV(x103cm ³)	10.504 (10.055)	10.051 (8.991)	0.069	0.944	10.871 (0.104)	10.927 (0.105)	0.476	0.997
	ADC_median(x103mm ² /s)	0.105 (0.182)	0.105 (0.180)	0.292	0.994	0.126 (0.199)	0.126 (0.181)	0.687	0.971
	ADC_skewness	0.368 (0.508)	0.396 (0.519)	0.870	0.847	0.222 (0.452)	0.233 (0.463)	0.851	0.921
	ADC_kurtosis	3.769 (1.21)	3.732 (1.318)	0.515	0.910	3.295 (0.806)	3.318 (0.837)	0.771	0.869
IVIM	D(mm ² /s)	0.009 (0.001)	0.009 (0.140)	0.633	0.986	0.001 (<0.001)	0.001 (<0.001)	0.674	0.986
	f(mm ² /s)	0.099 (0.061)	0.100 (0.062)	0.870	0.991	0.101 (0.046)	0.102 (0.052)	0.307	0.960
	D*(mm ² /s)	0.006 (0.002)	0.007 (0.003)	0.163	0.994	0.006 (0.001)	0.006 (0.002)	0.077	0.988
DCE	DCEGTV(cm ³)	12.035 (9.490)	11.414 (9.300)	0.002	0.985	10.099 (8.714)	9.910 (8.897)	0.064	0.872
	Kep(min ⁻¹)	0.830 (0.298)	0.745 (0.287)	<0.001	0.827	0.714 (0.261)	0.716 (0.283)	0.463	0.563
	K ^{trans} (min ⁻¹)	0.535 (0.215)	0.513 (0.221)	0.002	0.808	0.646 (0.266)	0.606 (0.236)	0.013	0.373
	V _e	0.080 (0.195)	0.745 (0.228)	<0.001	0.775	0.983 (0.293)	0.944 (0.293)	0.841	0.698

The mean, standard deviation (SD), the Wilcoxon signed rank test and the Pearson interobserver correlation test of all quantitative MRI-parameters (DWI, IVIM and DCE) calculated for the two observers. Overall, there were significant differences between the delineations of both observers among the DCE parameters for primary tumor and DWI, IVIM and DCE for LNM. The correlation ranged from excellent ($r > 0.8$) to good ($0.6 < r < 0.8$), except for PT Kep and V_e ($r=0.563$ and $r=0.373$, respectively).

Table S2. Clinical parameters per patient outcome.

Clinical parameters (mean±SD)	Locoregional recurrence-free survival			Distant metastasis-free survival			Overall survival		
	Responders	Non-responders	P-value	No DM	DM	P-value	Survival	Death	P-value
Gender	0.744±0.44	0.833±0.38	0.459	0.676±0.47	0.95±0.22	0.020	0.778±0.43	0.769±0.43	0.944
Age	60.564±8.69	64.667±5.62	0.049	60.784±9.13	63.85±5.08	0.188	61.718±8.70	62.167±6.58	0.661
T-stage	0.718±0.46	0.50±0.51	0.112	0.784±0.42	0.40±0.50	0.004	0.590±0.50	0.778±0.43	0.171
N-stage	0.590±0.50	0.722±0.46	0.339	0.514±0.51	0.85±0.37	0.013	0.564±0.50	0.778±0.43	0.123
HPV	0.308±0.47	0.444±0.51	0.319	0.243±0.43	0.55±0.51	0.022	0.462±0.51	0.111±0.32	0.011
Location primary tumor (PT)	0.18±0.39	0.278±0.46	0.402	0.243±0.43	0.15±0.37	0.414	0.205±0.41	0.222±0.43	0.884
Smoking	0.667±0.48	0.556±0.51	0.423	0.758±0.43	0.50±0.52	0.320	0.564±0.50	0.778±0.43	0.087

The mean and standard deviation of the clinical parameters per responders versus non-responders, no distant metastasis (No DM) versus distant metastasis (DM), survival versus death, with the Mann-Whitney U test. The significant differences between these groups is marked green ($p<0.05$). In this analysis all the analysed observables were made binary: For gender, value 1 represents male, 0 is women. For T-stage $0 < T < 1$ ($T=0$ when stage is 1 or 2, and $T=1$ when Stage is 3 or 4). For Nodal stage $N=0 \Rightarrow$ stage 0 and 1, $N=1 \Rightarrow$ stage 2 and 3, $0 < N < 1$. For location PT: 0=oropharynx, 1=Larynx. For smoking: 1= smoker (20 package/years)+ stopped shorter than 2 years)

Table S4. Univariate and multivariate analysis predicting locoregional recurrence-free survival.

Locoregional recurrence-free survival

Clinical parameters	PRETREATMENT				INTRATREATMENT				DELTA		
	Univariate*		Multivariate analysis		Univariate*		Multivariate analysis		Univariate*		Multivariate analysis
	Parameters	p-value	p-value	HR (95%CI)	p-value	p-value	HR (95%CI)	p-value	p-value	HR (95%CI)	
Clinical parameters	Gender	0.441	-								
	Age	0.141	-								
	T-stage	0.203	-								
	N-stage	0.268	-								
	HPV	0.710	-								
	Location PT	0.378	-								
	Tobacco usage	0.325	-								
DWI	ADC_GTV (cm ³)	0.846	-		0.501	-	-		0.154	-	
	ADC_median (x10 ³ mm ² /s)	0.138	-		0.122	-			0.892	-	
	ADC_Skewness	0.600	-		0.219	-			0.029	0.029	0.958 (0.923-0.996)
	ADC_Kurtosis	0.011	0.011	1.883 (1.16-3.06)	0.358	-			0.457	-	
IVIM	D (xE3 mm ² /s)	0.050	0.026	0.018 (0.001-0.61)	0.780	-			0.336	-	
	f (x10 mm ² /s)	0.217	-		0.196	-			0.126	-	
	D* (xE2 mm ² /s)	0.270	-		0.359	-			0.259	-	
	DCEGTV (cm ³)	0.726	-		0.593				0.608	-	
DCE	K _{ep} (min ⁻¹)	0.025	-		0.774	0.040	0.43E-4 (3.561E-9- 0.508)		0.288	0.048	0.003 (0.000-0.962)
	K _{trans} (min ⁻¹)	0.099	-		0.817	0.021	235 (2.1 -26297)		0.834	-	
	V _e	0.448	-		0.298	0.023	0.023(0.001-0.565)		0.711	-	
18F-FDG-PET	MATV (cm ³)	0.962	-		0.550	-			0.460		
	SUV_mean (Bq)	0.455	-		0.837	-	-		0.150	-	
	SUV_peak (Bq)	0.001	0.001	10.5 (2.66-41.2)	0.534	-			0.070	-	
	TLG (Bq x cm ³)	0.679	-		0.358	0.049	0.004 (0.00-1.015)		0.144	-	

Univariable (*) and multivariable analysis of functional imaging parameters per modality predicting locoregional recurrence-free survival. Significantly prognostic parameters are marked green.



Table S5. Univariate and multivariate analysis predicting distant metastasis-free survival.

Distant metastasis-free survival									
Clinical parameters	PRETREATMENT			INTRATREATMENT			DELTA		
	n=57 patients	Univariate	Multivariate analysis	Univariate	Multivariate analysis	Univariate	Multivariate analysis		
	Parameters	p-value*	p-value**	HR (95%CI)	p-value*	p-value**	HR (95%CI)	p-value*	p-value**
Gender		0.058	-						
Age		0.267	-						
T-stage		0.013	-						
N-stage		0.027	0.012	3.227 (1.30-8.02)					
HPV		0.079	-						
Location PT		0.561	-						
Tobacco usage		0.276	-						
DWI	ADC_GTV (cm ³)	0.046	-	0.262	-	0.071	-		
	ADC_median (x10 ³ m ² /s)	0.038	-	0.049	-	0.769	-		
	ADC_Skewness	0.001	-	0.005	0.01	3.359 (1.18-9.54)	0.754	-	
	ADC_Kurtosis	<0.001	<0.001	3.957 (2.09-7.51)	0.029	-	0.065	-	
IVIM	D (xE3 mm ² /s)	0.019	0.019	0.015 (0.0004-0.498)	0.455	-	0.311	-	
	f (x10 mm ² /s)	0.955	-	0.199	-	0.447	-		
	D* (xE2 mm ² /s)	0.774	-	0.205	-	0.624	-		
DCE	DCEGTV (cm ³)	0.065	-	0.138	-	0.364	-		
	K _{ep} (min ⁻¹)	0.815	-	0.777	-	0.598	-		
	K _{trans} (min ⁻¹)	0.430	-	0.927	-	0.015	0.015	2.617 (1.21-5.67)	
	V _e	0.090	-	0.858	-	0.041	-		
18F-FDG-PET	MATV (cm ³)	0.032	-	0.017	-	0.489	-		
	SUV_mean (Bq)	0.696	-	0.195	-	0.420	-		
	SUV_peak (Bq)	0.002	0.001	9.356 (2.51-34.9)	0.307	-	0.088	-	
	TLG (Bq x cm ³)	0.022	0.004	3.1E4 (25.5-3.8E6)	0.008	0.01	2.13 (1.21-3.74)	0.885	-

* Univariable Cox-regression analysis

** Multivariable Cox-regression analysis

Multivariable analysis of functional imaging parameters per modality predicting distant metastasis. Significantly prognostic parameters are marked green.

**Table S6. Univariate and multivariate analysis predicting overall survival.****Overall survival**

Clinical parameters	n=57 patients	PRETREATMENT			INTRATREATMENT			DELTA		
		Univariate*		Multivariate**	Univariate*		Multivariate**	Univariate*		Multivariate**
		Parameters	p-value*	p-value	HR (95%CI)	p-value*	p-value	HR (95%CI)	p-value*	p-value
	Gender	0.89	-							
	Age	0.92	-							
	T-stage	0.17	-							
	N-stage	0.17	0.03	3.373 (0.03-0.55)						
	HPV	0.02	0.01	0.123 (0.03-0.55)						
Clinical parameters	Location PT	0.75	-							
	Tobacco usage	0.24	-							
DWI	ADC_GTV (cm ³)	0.02	0.02	1.059 (1.01-1.11)	0.01	0.001	1.074 (1.03-1.12)	0.74	-	
	ADC_median (xE ³ m ² /s)	0.65	-	-	0.69	-		0.99	-	
	ADC_Skewness	0.10	-	-	0.71	-		0.83	-	
	ADC_Kurtosis	0.98	-	-	0.33	-		0.86	-	
IVIM	Dt (xE ³ mm ² /s)	0.61	-		0.23	-		0.10		
	F (xE ¹ mm ² /s)	0.45	-		0.53	-		0.72		
	D _p (xE ² mm ² /s)	0.34	-		0.44	-		0.22		
DCE	DCE _{GTV} (cm ³)	0.02	0.02	1.054 (1.01-1.10)	0.02	0.02	1.057 (1.01-1.11)	0.44	-	
	K _{ep} (min ⁻¹)	0.85	-		0.25			0.11	0.001	0.00-0.56)
	K ^{trans} (min ⁻¹)	0.74	-		0.39			0.55	-	
	V _e	0.46	-		0.94			0.54	-	
¹⁸ F-FDG-PET	MATV (cm ³)	0.00	0.001	1454.6 (11.54-1.8E5)	0.01	0.001	259.3 (5.76-1.17E4)	0.72	-	
	SUV_mean(Bq)	0.02	0.03	0.228 (0.06-0.89)	0.08	-		0.80	-	
	SUV_peak(Bq)	0.45	-		0.16	-		0.07	-	
	TLG (Bq x cm ³)	0.60	-		0.07	-		0.70	-	

* Univariable Cox-regression analysis ** Multivariable Cox-regression analysis

Multivariable analysis of functional imaging parameters per modality predicting adverse overall survival. Significantly prognostic parameters are marked green.



Table S7. Logistic-regression prognostic models of primary tumours

Logistic-regression prognostic models of primary tumours					
Outcome	Model	Imaging time	Selected features	Selected Clinical features	
Locoregional recurrence-free survival	Pretreatment	Pre-treatment	Intercept = -2.246	Slope	Intercept = -1.859
			ADC_kurtosis	0.211	AD_Ckurtosis
			SUV_peak	2.145	SUV_peak
		Intratreatment	Intercept = -		Intercept = -
			-	-	-
	Delta		Intercept = -0.471		Intercept = -0.244
			ADCGTV	-0.558	Age
			ADC_median	5.154	N-stage
			ADC_skewness	-0.041	ADC_skewness
			D	0.030	f
Distant metastasis	Pre-/intratreatment/delta		f	-0.459	SUV_peak
			DCE_GTV	0.222	TLG
			Kep	-0.235	
			Ve	0.458	
			SUV_peak	-0.627	
			TLG	-0.075	
			Intercept = -2.173		Intercept = -1.804
			PRE-ADC_kurtosis	0.317	PRE-ADC_kurtosis
			PRE-Kep	0.169	PRE-SUV_peak
			PRE-SUV_peak	1.470	Δ-ADC_skewness
Intratreatment	Pretreatment		Δ-ADC_skewness	0.011	Δ-SUV_peak
			Δ-f	-0.036	Δ-TLG
			Δ-SUV_peak	-0.191	
			Δ-TLG	-0.009	
			Intercept = -5.950		Intercept = -7.511
			ADC_kurtosis	1.695	Gender
			D	0.971	Tobacco
			SUV_peak	0.203	ADC_kurtosis
					PRE-D*
					PRE-SUV_peak
Delta	Intratreatment		Intercept = -1.268		Intercept = -1.949
			ADC_skewness	1.223	Gender
					HPV
					T-stage
					ADC_skewness
			Intercept = -1.616		Intercept = -10.144
			ADCGTV	-0.581	Gender
			ADC_kurtosis	-3.044	Age
			D	1.154	HPV
			Ve	0.648	Tobacco
	Delta		SUV_mean	-0.114	N-stage
					ADC_GTV
					ADC_skewness
					ADC_Kurtosis
					D
					Ve
					SUV_peak
					SUV_mean
			Intercept = -6.754		Intercept = -5.950

Overall survival	Pre-/intratreatment/delta	PRE-ADC_kurtosis	1.392	PRE-ADC_kurtosis	1.277
		INRA-ADC_skewness	0.154	PRE-SUV_peak	0.017
		PRE-D*	0.333		
		PRE-SUVpeak	0.149		
		Intercept = -1.080		Intercept = -1.387	
	Pretreatment	ADC_skewness	0.174	Gender	-0.685
		MATV	6.541	HPV	-3.196
		SUV_mean	-0.979	N-stage	0.908
				PRE-ADC_skewness	0.926
				PRE-D	-0.139
Intratreatment	Delta			PRE-f	-0.278
				PRE-MATV	8.64
				PRE-SUV_peak	-0.836
				PRE-SUV_mean	1.886
		Intercept = -		Intercept = -1.178	
	Pre-/intratreatment/delta	-		HPV-status	-1.487
				N-stage	0.51
				INTRA-DCE_GTV	0.022
				INRA_MATV	2.243
		Intercept = -0.856		Intercept = -0.659	

Table S7. Lasso logistic-regression in pretreatment, intratreatment and delta-imaging features and combined for predicting LRFFS, DMFS and OS. Lambda = median cross-validated lambda with 10 folds and 500 repeats. Intercept and slope were presented for each model.



Table S8. Cox-regression prognostic models of primary tumours

Cox-regression prognostic models of primary tumours						
Outcome	Model	Imaging time	Selected features	Hazard ratio	Selected Clinical + imaging features	Hazard ratio
Locoregional recurrence-free survival	Pretreatment		PRE-ADC_kurtosis	1.101	PRE-ADC_kurtosis	1.063
			PRE-Kep	1.813	PRE-Kep	1.504
			PRE-SUV_peak	3.858	PRE-SUV_peak	3.532
	Intratreatment		-	-	-	-
			-	-	-	-
			-	-	-	-
			Δ-ADC_GTV	0.682	Age	1.004
			Δ-ADC_median	12.472	N-stage	1.39
			Δ-ADC_skewness	0.982	ADC_skewness	0.985
Distant metastasis	Delta		Δ-D	0.887	f	0.967
			Δ-f	1.298	SUV_mean	0.894
			Δ-Kep	0.735	SUV_peak	0.783
			Δ-SUV_mean	0.770		
			Δ-SUV_peak	0.684		
			PRE-ADC_kurtosis	1.235	PRE-ADC_kurtosis	1.215
			PRE-D	0.753	PRE-D	0.821
			PRE-Kep	2.321	PRE-Kep	2.159
			PRE-SUV_peak	2.004	PRE-SUV_peak	2.039
	Pre/intratreatment/delta		Δ-ADC_skewness	0.971	Δ-ADC_skewness	0.974
			Δ-f	0.966	Δ-f	0.978
			Δ-SUV_mean	0.903	Δ-SUV_mean	0.916
			Δ-SUV_peak	0.818	Δ-SUV_peak	0.834
			PRE-ADC_skewness	1.568	PRE-ADC_skewness	1.151
			PRE-ADC_kurtosis	1.952	PRE-ADC_kurtosis	1.901
	Pretreatment		PRE-SUV_peak	1.646	PRE-SUV_peak	1.241
			PRE-TLG	3.923		
			INTRA-ADC_skewness	1.640	Gender	1.444
			INTRA-TLG	1.006	Tobacco use	0.650
					T-stage	0.565
					INTRA-ADC_skewness	1.640
Distant metastasis	Intratreatment				INTRA-MATV	28.602
					INTRA-TLG	1.006
			Δ-ADCGTV	0.842	Gender	1.383
			Δ-ADC_kurtosis	0.609	Tobacco use	0.577
			Δ-Ktrans	1.404	T-stage	0.882
	Delta		Δ-Ve	1.164	N-stage	1.600
			Δ-SUV_peak	0.965	Δ-ADC_kurtosis	0.820
					Δ-Ktrans	1.338
					Δ-Ve	1.077
					Δ-SUV_peak	0.947
			Δ-ADC_kurtosis	1.822	PRE-ADC_skewness	1.063

Overall survival	Pre-/intradreatment/delta	PRE-SUV_peak	1.063	PRE-ADC_kurtosis	1.869
				PRE-SUV_peak	1.151
	Pretreatment	PRE-ADC_skewness	1.025	HPV	0.237
		PRE-MATV	164.50	N-stage	1.153
		PRE-SUV_mean	0.419	PRE-ADC_skewness	1.823
	Intradreatment			PRE-MATV	125.25
				PRE-SUV_mean	0.439
				PRE-SUV_peak	1.810
		INTRA-ADCGTV	1.014	HPV	0.604
		INTRA-MATV	1.802	ADC_GTV	1.014
	Delta			MATV	1.802
		Δ-D	1.692	HPV	0.37
		Δ-D*	0.939	N-stage	1.576
		Δ-Kep	0.842	D	1.054
		Δ-SUV_peak	0.914	Kep	0.842
	Pre-/intradreatment/delta			SUV_peak	0.915
		PRE-MATV	35.492	HPV-status	0.485
		PRE-SUV_mean	0.614	PRE-ADC_skewness	1.250
				PRE-MATV	58.848
				PRE-SUV_mean	0.593
				Δ-Kep	0.9799
				Δ-SUV_peak	0.977

Table S9. Lasso cox-regression in pretreatment, intratreatment and delta-imaging features and combined for predicting LRFFS, DMFS and OS. The selected features are presented with the hazard ratios. Lambda = median cross-validated lambda with 10 folds and 500 repeats.

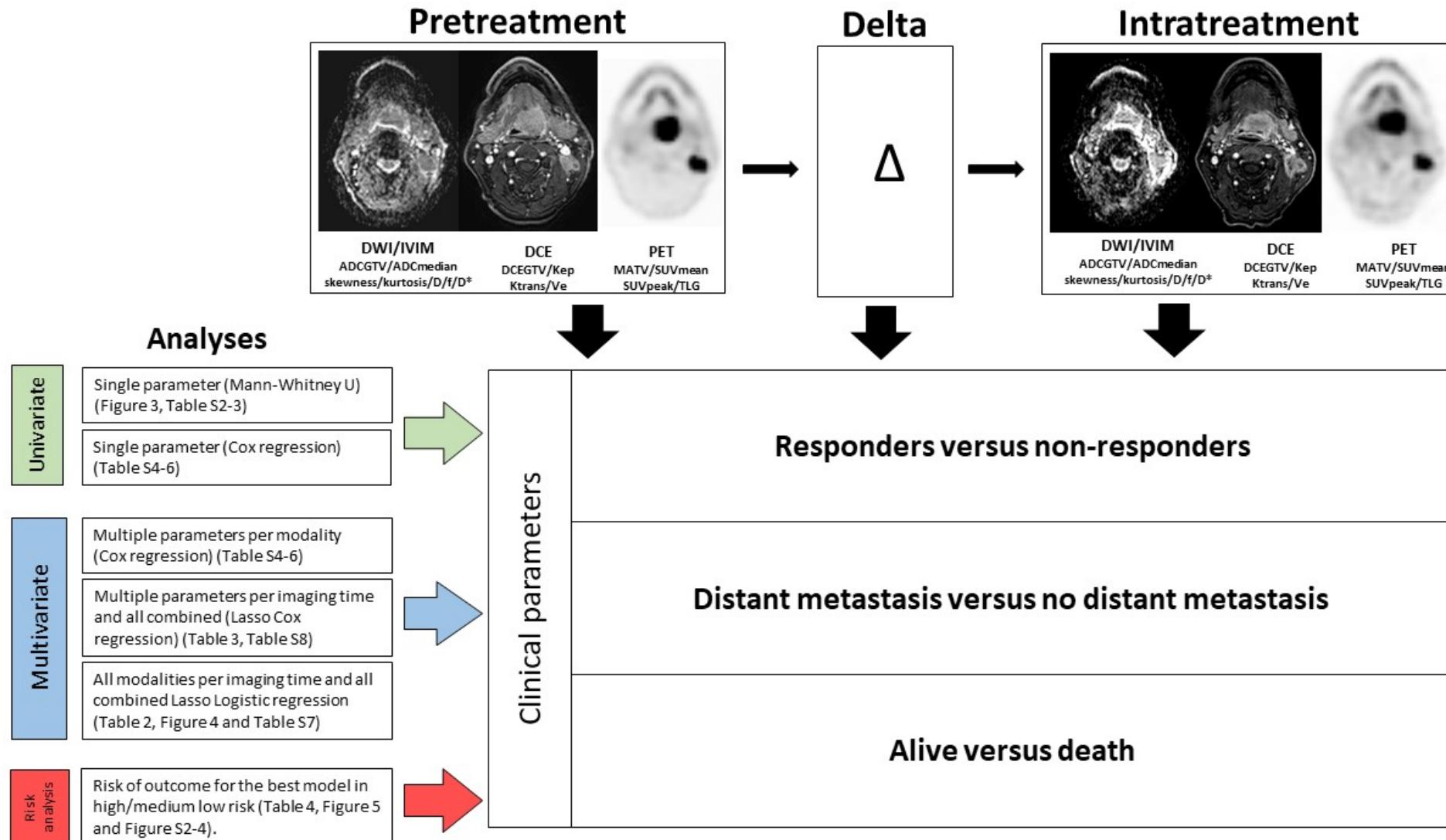


Figure S1. Overview of performed analysis in current study with the univariate/multivariate and risk analysis for primary tumors in pretreatment, delta and intratreatment imaging times and all three combined.

A

LRFFS

B

DMFS

C

OS

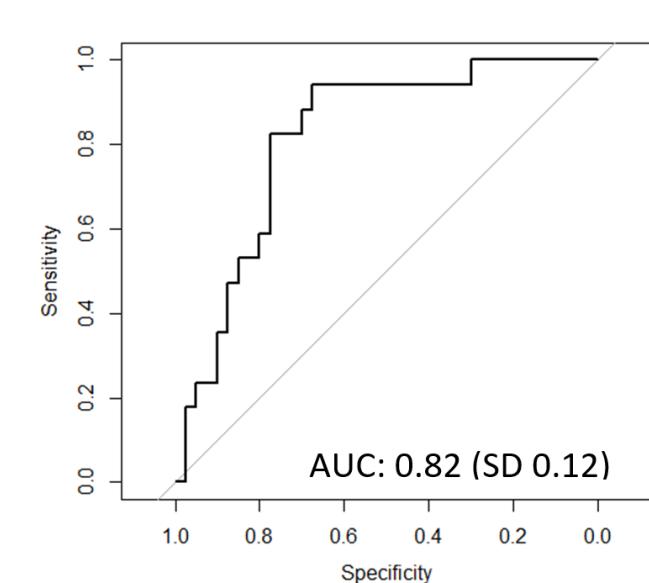
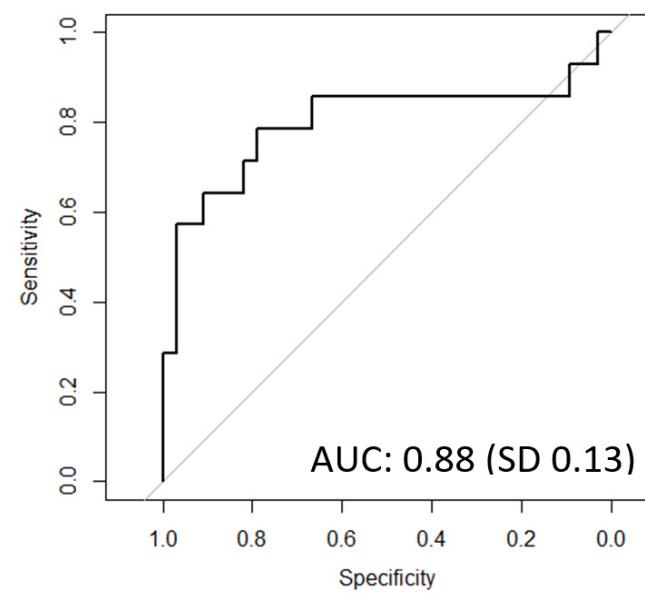
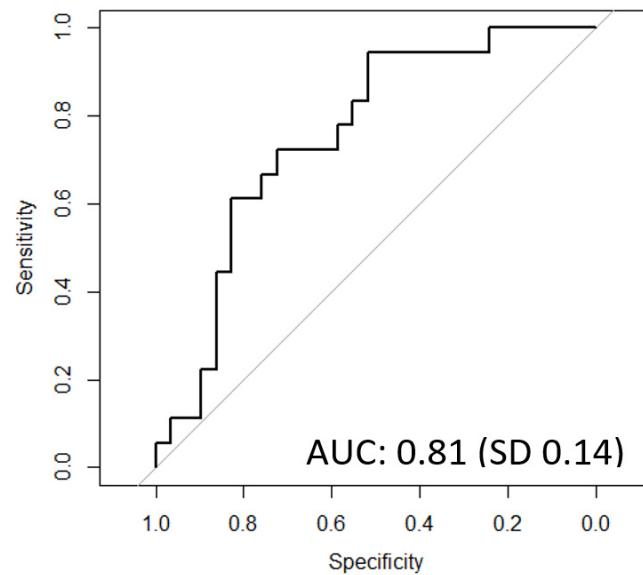


Figure S2. The area under the curves for the high risk group of patients, using the best (A) locoregional recurrence-free survival (LRFFS), (B) distant-metastasis-free survival (DMFS) and (C) overall survival (OS)

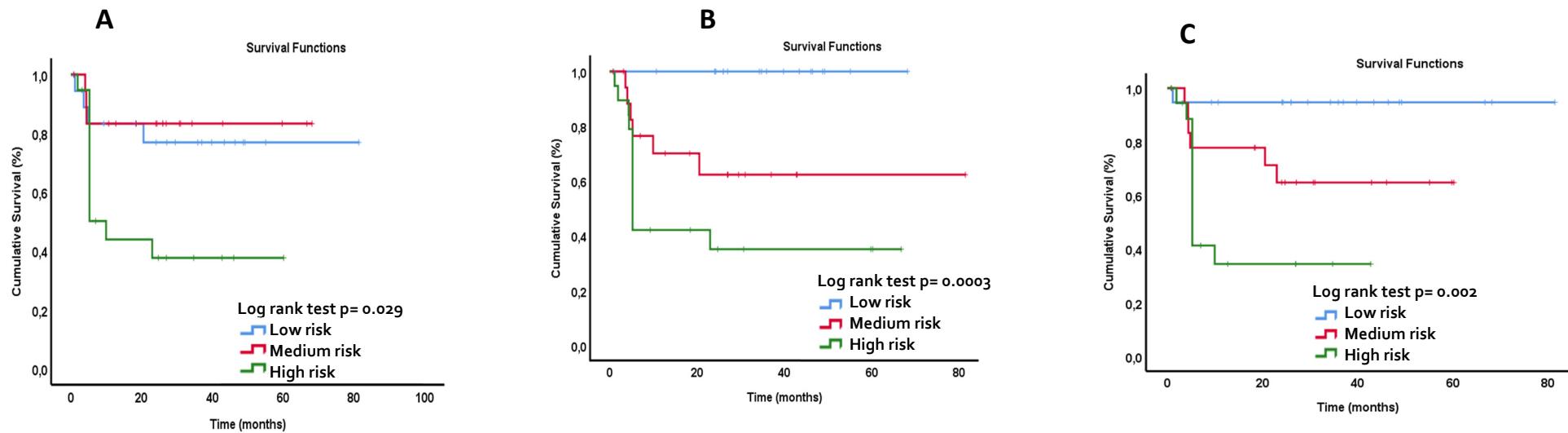


Figure S3. Kaplan meier curves with logrank test for locoregional recurrence-free survival divided in high/medium/low risk patient groups for pretreatment (A), intratreatment (B) and delta parameters (C).

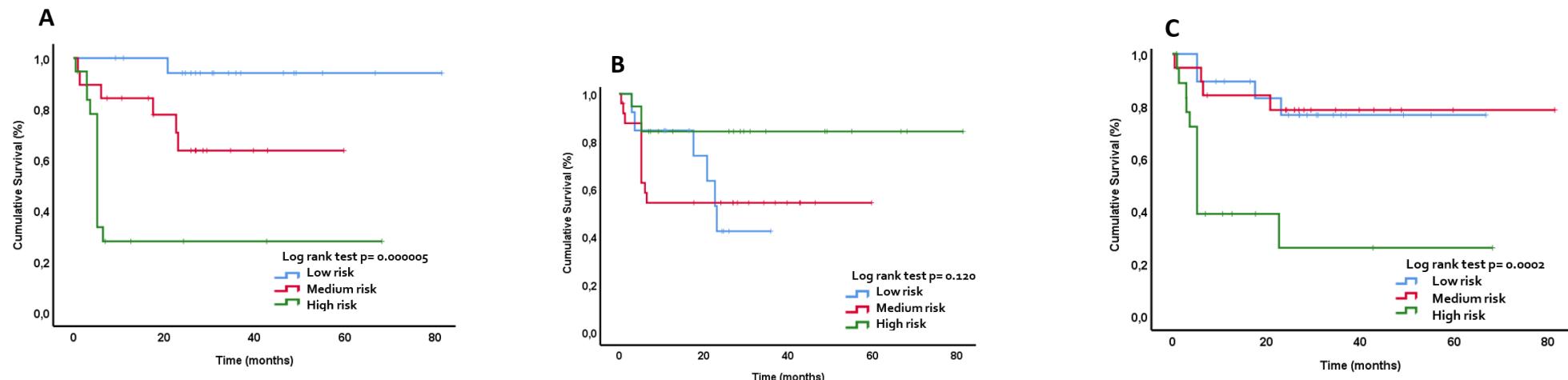


Figure S4. Kaplan Meier curves with logrank test for distant metastasis-free survival divided in high/medium/low risk patient groups for pretreatment (A), intratreatment (B) and delta parameters (C).

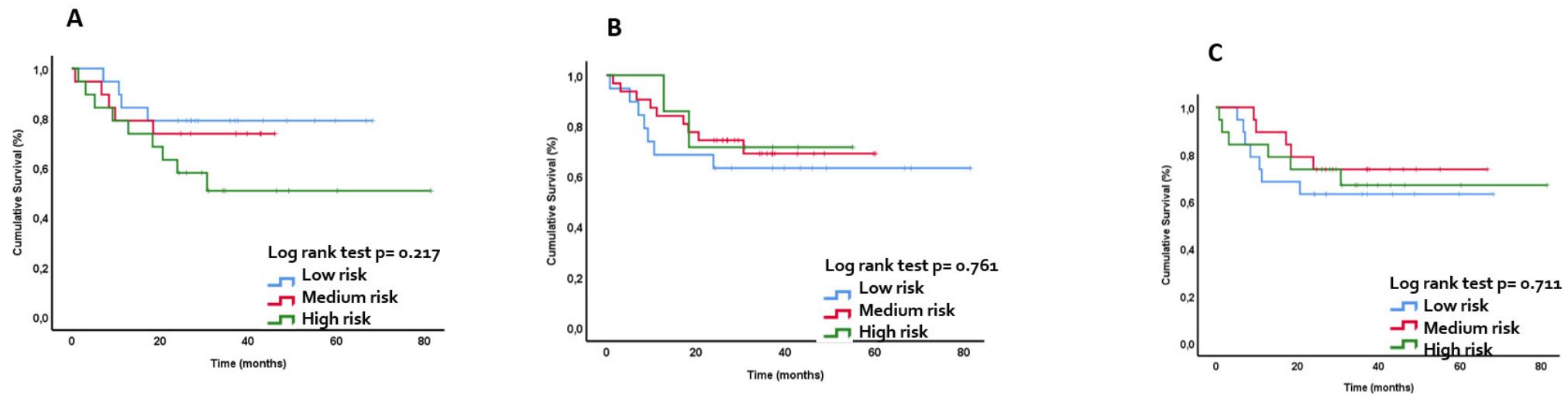


Figure S5. Kaplan meier curves with logrank test for overall survival divided in high/medium/low risk patient groups for pretreatment (A), intratreatment (B) and delta parameters (C).