

## Article

# A Study on the Characteristic and Antibacterial Activity of $\text{Ti}_3\text{O}_x$ Thin Films

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**Abstract:** A pure Ti target in Ar/O<sub>2</sub> gas mixture was used to synthesize  $\text{Ti}_3\text{O}_x$  thin film on a glass substrate by Reactive High-Power Impulse Magnetron Sputtering (HiPIMS) under different sputtering power (2 and 2.5 kW). The influence of HiPIMS parameters on thin films' structural, morphological, chemical composition, optical and photocatalytic, and antibacterial properties was investigated. In this study,  $\text{Ti}_3\text{O}_x$  thin films can be synthesized using the HiPIMS method without the post-annealing process. Two co-existence phases (hexagonal  $\text{Ti}_3\text{O}$  and base-centered monoclinic  $\text{Ti}_3\text{O}_5$  phases) existed on the  $\text{Ti}_3\text{O}_x$  films. It is found that the peak intensity of (006)  $\text{Ti}_3\text{O}$  hexagonal slightly increased as the sputtering power increased from 2 to 2.5 kW. The  $\text{Ti}_3\text{O}_x$  thin-film bandgap values were 3.36 and 3.50 eV for 2 and 2.5 kW, respectively. The  $\text{Ti}_3\text{O}_x$  films deposited at 2.5 kW showed good photocatalytic activity under UV light irradiation, with a higher methylene blue dye degradation rate than  $\text{TiO}_2$  thin films. The antibacterial study on  $\text{Ti}_3\text{O}_x$  thin films exhibited a high inhibition percentage against *E. coli* and *S. aureus*. This study demonstrates that  $\text{Ti}_3\text{O}_x$  thin films can promote high photocatalytic and antibacterial activity.

**Keywords:**  $\text{Ti}_3\text{O}$ ;  $\text{Ti}_3\text{O}_5$ ; Magnéli-phase; *E. coli*; *S. aureus*; reactive-HiPIMS



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## 1. Introduction

Titanium sub-oxides (TSO) have drawn much attention as they possess interesting properties for metal oxide thin films which have a variety of crystalline structures; unique physical properties; and a wide range of electrical, optical, and electrochemical properties such as electro-optical devices [1], self-cleaning [2], solar cell [3], and photocatalyst [4]. Magnéli-phase titanium oxides, the  $\text{Ti}_n\text{O}_{2n-1}$ , ( $3 \leq n \leq 10$ ) exhibit specific oxygen vacancies organization that garnered substantial interest owing to their excellent electric conductivity, high corrosion resistance, and optical properties [5,6]. According to Xu et al. [7], Magnéli-phase on TSO is a mixed-valence molecule composed of two  $\text{Ti}^{3+}$  ( $3d^1$  electronic configuration) and  $(n-2)\text{Ti}^{4+}$  ( $3d^0$ ) ions. The existence of both  $\text{Ti}^{3+}$  and  $\text{Ti}^{4+}$  ions in the crystal opens up several possible cation configurations, resulting in a variety of charge-ordered states.

Titanium sub-oxides can be grown by a series of methods, including low-energy ion bombardment [8], pulsed layer deposition [9,10], a chemical vapor deposition (CVD) method [11], sol-gel combined with the energy-efficient vacuum-carbothermic (SF-VC)

process [6], and a sputtering method [12–14]. Among all the deposition techniques, reactive HiPIMS has the capability to grow titanium sub-oxides thin films with alterable oxidation states such as  $\text{TiO}$ ,  $\text{Ti}_2\text{O}_3$ ,  $\text{Ti}_3\text{O}_5$ , and  $\text{TiO}_2$  crystals with minor oxygen concentration on  $\text{TiO}_2$  thin film [15–17]. Numerous studies have highlighted the favorable impact of reactive HiPIMS on thin-film performance, including denser microstructures, higher hardness, lower surface roughness, high degree of crystallinity, and extended phase stabilities or enhanced surface coverage of complex-shaped substrate [18–20]. The reactive sputtering method is typically characterized by the target's composition, influencing the sputtering yield and secondary electron emission coefficient that vary between Ti metal and  $\text{TiO}_2$  films [21]. Moreover, besides the elemental coefficients, deposition condition parameters, such as sputtering power, will influence thin films' structure, composition, and photocatalytic properties [22–25].

The properties of single-crystalline TSO such as  $\text{Ti}_2\text{O}$ ,  $\text{Ti}_2\text{O}_3$ ,  $\text{Ti}_3\text{O}_5$ ,  $\text{Ti}_4\text{O}_7$ ,  $\text{TiO}_2$ , etc., have been studied extensively by a few research groups [26–28]. However, it was reported that multiphase composition ( $\text{Ti}_{20}\text{O}_{39}$ ,  $\text{TiO}_2$ ,  $\text{Ti}_3\text{O}$ ,  $\text{Ti}_{17}\text{O}_{33}$ ,  $\text{Ti}_5\text{O}_9$ , and  $\text{Ti}_2\text{O}$ ) on  $\text{TiO}_2$  thin films could promote better photocatalytic activity than single-phase titanium [29]. Mixed phase particles of 30% anatase, 25%  $\text{Ti}_4\text{O}_7$ , and 20%  $\text{Ti}_5\text{O}_9$  also demonstrated the highest photocatalytic  $\text{H}_2$  evolution activity [30]. Instead of photocatalytic activity,  $\text{TiO}_2$ -containing Magnéli phases such as  $\text{Ti}_4\text{O}_7$ ,  $\text{Ti}_3\text{O}_5$ , and  $\text{Ti}_2\text{O}_3$  was reported can enhance the photocatalytic activity [30,31] and antibacterial properties of Hydroxyapatite (Hap) against *E. coli* bacteria [32,33]. The thin-film characteristic has considerable importance, especially for the photocatalytic and antibacterial performance of TSO.

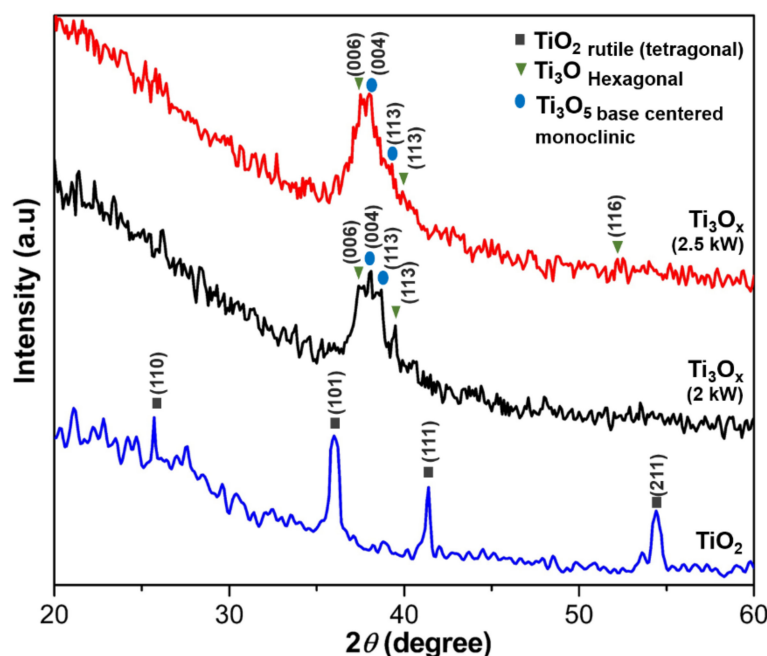
In this study, we have successfully developed multiphase composition on  $\text{Ti}_3\text{O}_x$  thin films prepared using reactive-HiPIMS without the post-annealing process.  $\text{Ti}_3\text{O}_x$  thin films were composed of both  $\text{Ti}_3\text{O}$  and  $\text{Ti}_3\text{O}_5$  phases.  $\text{Ti}_3\text{O}_5$ , as one of the Magnéli-phase titanium oxides, has been explored in previous studies as an attractive material for its properties of structural transformation.  $\text{Ti}_3\text{O}_5$  is shown to have excellent photo-reversibility, photocatalysis, oxygen sensitivity, and low resistance temperature coefficient compared to  $\text{TiO}_2$  thin film [34–37]. Nevertheless, limited published work refers to the in-cooperation of  $\text{Ti}_3\text{O}$  and  $\text{Ti}_3\text{O}_5$  phase properties on thin-film photocatalytic and antibacterial activity. In order to observe the fundamental relations between the mixture phase composition ( $\text{Ti}_3\text{O}$  and  $\text{Ti}_3\text{O}_5$ ) on the structural, morphology, optical, photocatalytic, and antibacterial properties of  $\text{Ti}_3\text{O}_x$  films, different deposition parameters (sputtering power 2 and 2.5 kW at a constant oxygen flow) were applied in the thin film's preparation. The described synthesis demonstrates that titanium sub-oxides ( $\text{Ti}_3\text{O}_x$ ) thin films having  $\text{Ti}_3\text{O}$  and  $\text{Ti}_3\text{O}_5$  can be successfully synthesized using reactive-HiPIMS methods. This study explores the multi-phase TSO structure, microstructure, chemical composition, optical, photocatalytic properties, and antibacterial activity on  $\text{Ti}_3\text{O}_x$  thin films.

## 2. Results and Discussion

### 2.1. Phase Structure and Microstructure of $\text{Ti}_3\text{O}_x$ Films

The crystal phase of the  $\text{Ti}_3\text{O}_x$  thin films on the glass substrate was characterized by X-ray diffraction (XRD) analysis. The  $\text{TiO}_2$  thin films were used to compare with  $\text{Ti}_3\text{O}_x$  thin films samples. Figure 1 shows the XRD patterns of the  $\text{Ti}_3\text{O}_x$  thin films deposited on a glass substrate without post-annealing process as a function of the sputtering power. The presence of the peaks at  $2\theta = 37.78^\circ$ ,  $39.76^\circ$ , and  $52.30^\circ$  correspond to (006), (113), and (116) plane of hexagonal  $\text{Ti}_3\text{O}$  phase (JCPDS card: 76-1644), respectively. Furthermore, (004) and (113) planes resemble the monoclinic  $\text{Ti}_3\text{O}_5$  phase (JCPDS card: 72-0519). Nupriyonok et al. [38] reported that  $\text{Ti}_3\text{O}$  and  $\text{Ti}_3\text{O}_5$  were found through the thermal vacuum treatment of Ti films. It is well known that the two essential variables affecting the crystallization of the film during the sputtering process are the thermal energy generated by the substrate heating and the energy of sputtered particles impinging on the substrate surface [25]. However, in this study, the substrates were not intentionally thermal annealing. The crystallization formed in  $\text{Ti}_3\text{O}_x$  film is observed due to the impinging of energetic sputtered

particles, which are under high sputtering power. However, when  $\text{Ti}_3\text{O}_x$  films were annealed at 550 °C for 3 h, it was found that the  $\text{Ti}_3\text{O}/\text{Ti}_3\text{O}_5$  phases were transferred to the rutile  $\text{TiO}_2$  phase (JCPDS card: 21-1272).

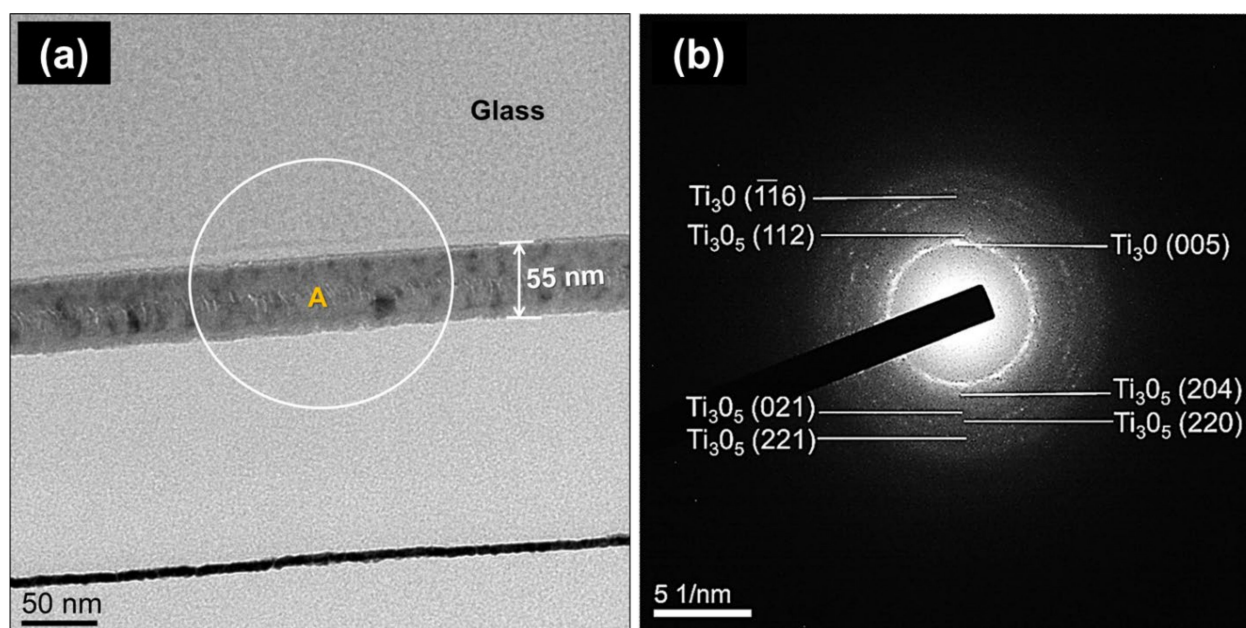


**Figure 1.** X-ray diffraction pattern of  $\text{Ti}_3\text{O}_x$  thin film deposited at 2 and 2.5 kW.  $\text{TiO}_2$  thin films with the related thickness were used as a control.

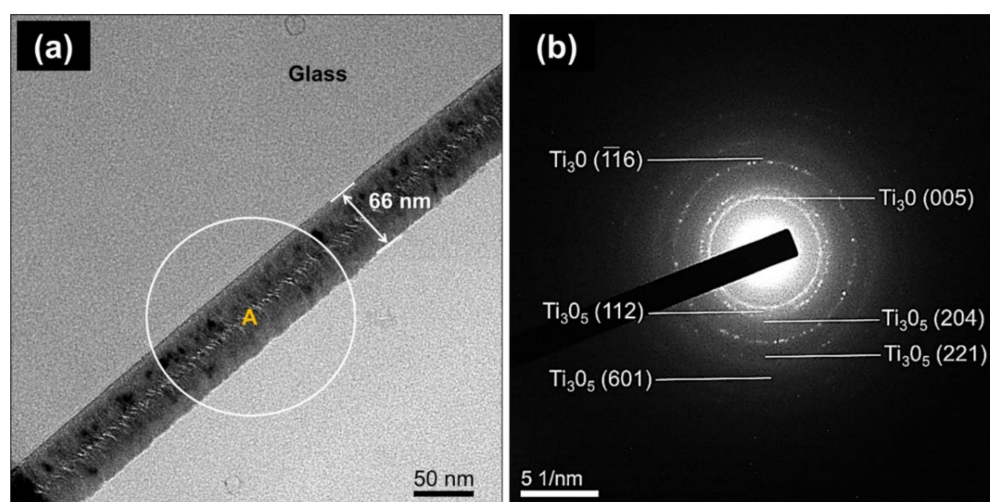
It was observed that the peak intensity of (006)  $\text{Ti}_3\text{O}$  hexagonal slightly increased as the sputtering power increased from 2 to 2.5 kW. The results indicate that  $\text{Ti}_3\text{O}_x$  films can be prepared using HiPIMS under sputtering power at >2 kW and Ar/ $\text{O}_2$  ratio at 200/5 ( $\text{O}_2$  ratio: 2.5%). It was reported that  $\text{Ti}_3\text{O}_5$  is one of the most promising materials for its properties of phase transition photo reversibility, photocatalysis, and oxygen sensitivity [36]. Further study of the microstructure using FE-TEM will be performed to prove this supposition.

The influence of sputtering power on the microstructure of  $\text{Ti}_3\text{O}_x$  as-deposited films was confirmed using High-resolution TEM (HR-TEM). Figure 2a shows the bright cross-sectional field of  $\text{Ti}_3\text{O}_x$  thin film, which is prepared at 2 kW sputtering power. The thickness of the film is approximately 55 nm. The selected zone (inset A) for electron diffraction analysis was used to identify the crystalline phase with a circle area around 200 nm. According to the electron diffraction pattern analysis, the crystalline planes belong to the  $\text{Ti}_3\text{O}$  and  $\text{Ti}_3\text{O}_5$  phases, as shown in Figure 2b. This observation is consistent with the XRD results, as explained in Figure 1.

Figure 3 exposes the HR-TEM micrographs and selected area diffraction (SAD) pattern of the sample with sputtering power of 2.5 kW. When the sputtering power was enhanced to 2.5 kW, it is obvious that the intensities of the diffraction rings of  $\text{Ti}_3\text{O}_x$  deposited at 2.5 kW were stronger than those 2 kW ones. These results implied that enhancing sputtering to 2.5 kW can promote better crystallinity of  $\text{Ti}_3\text{O}_x$  thin films, as shown in Figure 3a. This finding is also in agreement with XRD analysis (Figure 1) that showed peak intensity of (006)  $\text{Ti}_3\text{O}$  and (004)  $\text{Ti}_3\text{O}_5$  slightly increased as the sputtering power increased from 2 to 2.5 kW. According to SAD pattern analysis in Figure 3b, the crystalline planes have referred to hexagonal  $\text{Ti}_3\text{O}$  and base-centered monoclinic  $\text{Ti}_3\text{O}_5$  phases. It found that the increasing sputtering power up to 2.5 kW, drives to the  $\text{Ti}_3\text{O}$  and  $\text{Ti}_3\text{O}_5$  crystalline intensity increased. Moreover, the intensities of the diffraction rings of (116)  $\text{Ti}_3\text{O}$  plane at 2.5 kW were stronger than at 2 kW. This result is agreed with the XRD analysis (Figure 1) that showed the (116)  $\text{Ti}_3\text{O}$  peak only observed on  $\text{Ti}_3\text{O}_x$  thin film deposited at 2.5 kW.



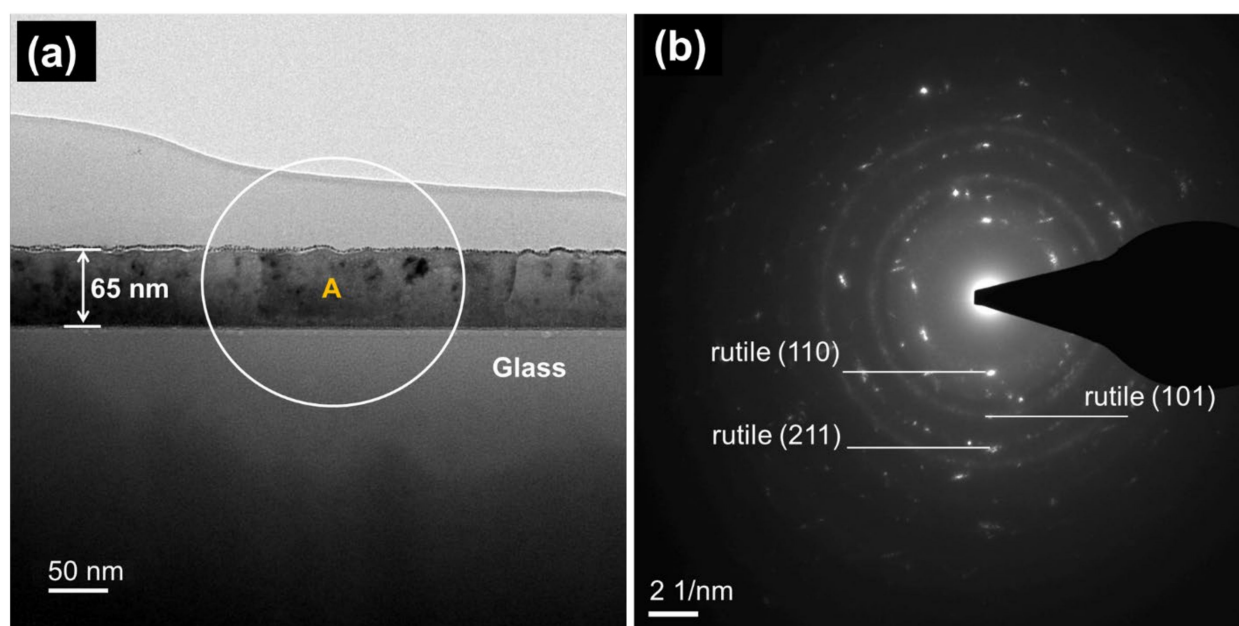
**Figure 2.** Cross-sectional HR-TEM images of  $\text{Ti}_3\text{O}_x$  thin-film sample deposit at 2 kW, (a) bright-field of the film with a thickness 55 nm. The image (inset A) was selected for electron diffraction analysis and (b) the selective area diffraction pattern of section “A” adjacent to  $\text{Ti}_3\text{O}$  and  $\text{Ti}_3\text{O}_5$ .



**Figure 3.** Cross-sectional HR-TEM images of  $\text{Ti}_3\text{O}_x$  thin-film sample deposit at 2.5 kW (a) bright-field of the film with a thickness 66 nm. The image (inset A) was selected for electron diffraction analysis, and (b) the selective area diffraction pattern of section “A” adjacent to  $\text{Ti}_3\text{O}$  and  $\text{Ti}_3\text{O}_5$ .

When  $\text{Ti}_3\text{O}_x$  films were annealed at 550 °C for 3 h, the  $\text{Ti}_3\text{O}/\text{Ti}_3\text{O}_5$  phases were directly transferred to the rutile  $\text{TiO}_2$  phase, as shown in Figure 4b. It shows that the films have good crystallinity. According to SAD pattern analysis, the crystalline planes (110), (211), and (101) belong to the rutile phase in the thin films. It has been reported that  $\text{TiO}_2$  films can be prepared using sputtered titanium film by thermal oxidation [4,39,40]. The single-phase rutile  $\text{TiO}_2$  can be obtained at 550 °C annealing, which agrees with the literature [28].



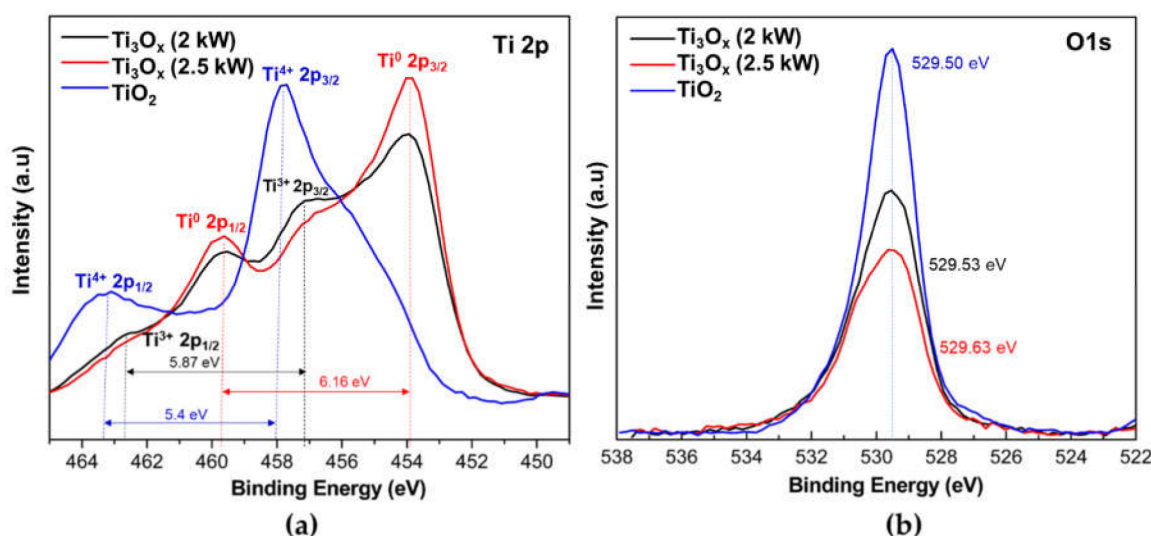


**Figure 4.** Cross-sectional HR-TEM images of  $\text{TiO}_2$  thin-film (a) bright-field of the film with a thickness 65 nm. The image (inset A) was selected for electron diffraction analysis, and (b) the selective area diffraction pattern of section “A” adjacent to rutile  $\text{TiO}_2$ .

The influence of sputtering power on film chemical state,  $\text{Ti}2p$ , and  $\text{O}1s$  core level spectra of  $\text{Ti}_3\text{O}_x$  films were detected using X-ray photoelectron spectroscopy analysis (Figure 5). Photoelectron peaks for Ti and O were clearly recorded for all samples. XPS  $\text{Ti}^0$  signals are 453.57 and 459.73 eV and  $\text{Ti}^{3+}$  signals are 457.1 and 463.1 eV, as shown on Figure 5a. The binding energy differences ( $\Delta E_b$ ) were found at 6.16 and 5.87 eV for  $\text{Ti}^0$  and  $\text{Ti}^{3+}$ , respectively, which is in agreement with the literature [16,41]. After subtracting a Shirley background, the XPS spectra were fitted to the titanium oxide component and an asymmetric line-shape function to fit the metal component. Both  $\text{Ti}^0$  (~453 eV) and  $\text{Ti}^{3+}$  (457.1 eV) chemical states in  $\text{Ti}_3\text{O}_x$  films have referred to  $\text{Ti}_3\text{O}$  and  $\text{Ti}_3\text{O}_5$  phases. In addition, the binding energy curves observed at ~458 and ~463 eV correspond to  $\text{Ti}^{4+}$  that indicated  $\text{TiO}_2$  phases. The shifted peak positions on  $\text{TiO}_2$  films, shown in Figure 5a, indicate that the thermal annealing shifted the binding energy to higher values, effected by surface oxidation, and this effect ascribed to the O-Ti bonding [42].

Moreover, the increasing peak intensity of  $\text{Ti}^0$  chemical state correlated with high metallic titanium when the sputtering power is increased from 2 to 2.5 kW. Godfroid et al. published that the films deposited at the high sputtering power attain the metallic state more rapidly [16].

Figure 5b shows an asymmetric high binding energy curve for  $\text{O}1s$  lines with an intense peak at 529 eV, which is in good agreement with a previous report [43]. The co-existence of  $\text{Ti}_3\text{O}$  and  $\text{Ti}_3\text{O}_5$  phases in  $\text{Ti}_3\text{O}_x$  films was observed using HiPIMS at 2 kW and 2.5 kW with a low oxygen ratio (2.5%), indicating the  $\text{Ti}_3\text{O}_x$  films can be prepared at higher sputtering power and lower oxygen concentration. It is found that lower oxygen concentration plays a role in the co-existence of  $\text{Ti}_3\text{O}$  and  $\text{Ti}_3\text{O}_5$  crystal phases. However, the  $\text{O}1s$  peak intensity was decreased significantly when the sputtering power increased from 2.0 kW to 2.5 kW.



**Figure 5.** X-ray photoelectron spectroscopy of (a) Ti2p and (b) O1s spectra obtained from  $\text{Ti}_3\text{O}_x$  thin films deposit at 2 and 2.5 kW and  $\text{TiO}_2$  thin films as a reference.

The chemical composition of  $\text{Ti}_3\text{O}_x$  films at different sputtering power was analyzed based on XPS data. The concentrations of titanium and oxygen are listed in Table 1. Ti to O proportion at different sputtering powers is 0.95 and 1.20 for 2 kW and 2.5 kW, respectively. The results indicate that the oxygen concentration in  $\text{Ti}_3\text{O}_x$  films was decreased with increasing sputtering power up to 2.5 kW. It means that the  $\text{Ti}_3\text{O}$  phase increased at 2.5 kW in the films. This result is consistent with the XRD and TEM analysis, as exhibited in Figures 1 and 3. Increasing the sputtering power up to 2.5 kW leads to shifting the binding energy to lower energy due to lower oxidation state changes in the Ti-O element. Furthermore, the atomic concentration of Ti and O in the  $\text{TiO}_2$  films was 29.94 and 70.06%, respectively. Ti to O proportion is 0.42. More increased oxygen existence in  $\text{TiO}_2$  films was expected after thermal annealing [44].

**Table 1.** Chemical composition of  $\text{Ti}_3\text{O}_x$  thin films.

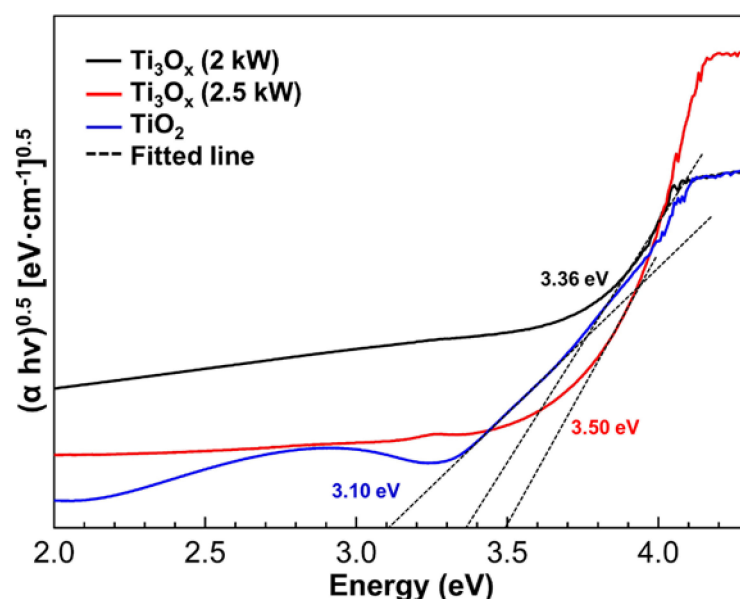
Sample	Atomic Concentration (%)		Ti: O Ratio
	Ti	O	
$\text{Ti}_3\text{O}_x$ (2 kW)	48.86	51.14	0.95
$\text{Ti}_3\text{O}_x$ (2.5 kW)	54.67	45.33	1.20
$\text{TiO}_2$	29.94	70.06	0.42

## 2.2. Optical Properties and Photocatalytic Activity of $\text{Ti}_3\text{O}_x$ Films

It is challenging to know the energy bandgap value for the  $\text{Ti}_3\text{O}_x$  films, while  $\text{TiO}_2$  has an indirect bandgap with a value around 3.0–3.2 eV [24]. However,  $\text{Ti}_3\text{O}$  and  $\text{Ti}_3\text{O}_5$  are still unknown. The indirect bandgap  $\alpha h\nu = A (h\nu - E_g)^{0.5}$  was used to calculate the energy bandgap of  $\text{Ti}_3\text{O}_x$  films. The energy bandgap of  $\text{TiO}_2$  films was used as a reference to compare with  $\text{Ti}_3\text{O}_x$  films. The energy bandgap of the  $\text{Ti}_3\text{O}_x$  films with different sputtering power is shown in Figure 6. The bandgap values of  $\text{Ti}_3\text{O}_x$  films are 3.36 and 3.50 eV for 2 and 2.5 kW, respectively. In this study, increasing the sputtering power up to 2.5 kW will lead to a monotonically increasing energy bandgap.

Indirect optical band gap in the range 3.58–3.75 eV with an increase in sputtering pressure in  $\text{TiO}_2$  thin films being reported earlier by Prabitha et al. [45]. It is well known that the energy bandgap refers to the minimum energy needed to excite an electron from the bottom of the valence band to the top of the conduction band. The lower energy bandgap will lead to electrons excited into the conduction band from the valence band. However, it was found that the energy bandgap of  $\text{Ti}_3\text{O}_x$  films is higher than  $\text{TiO}_2$  films

(3.10 eV). Generally, the energy bandgap ( $E_g$ ) of  $\text{TiO}_2$  varies with its structure, with the  $E_g$  of crystalline anatase and rutile phase being 3.2 and 3.0 eV, respectively [46].



**Figure 6.** Indirect energy band gap value of the  $\text{Ti}_3\text{O}_x$  thin film deposited at 2 and 2.5 kW and  $\text{TiO}_2$  thin film as reference.

The optical transmittance spectra of as-deposited  $\text{Ti}_3\text{O}_x$  films were measured using UV–Vis spectroscopy. Transmittance measurements as a function of wavelength are illustrated in Figure 7. Note that the  $\text{TiO}_2$  films with 66 nm thickness were used as reference. The  $\text{Ti}_3\text{O}_x$  films exhibit low transmission in the spectral range 300–800 nm wavelength compared to  $\text{TiO}_2$  films. The optical transmission spectra of the  $\text{Ti}_3\text{O}_x$  films showed feeble transmittance (<10%), while the sample with high sputtering power (2.5 kW) pointed almost no light transmission.  $\text{TiO}_2$  films with equal thickness are highly transparent in the visible region, around 90%, and are relatively equal to glass substrate transmission spectra. According to microstructure analysis (Figures 2 and 3) of  $\text{Ti}_3\text{O}_x$  films, the intermixing of  $\text{Ti}_3\text{O}$  and  $\text{Ti}_3\text{O}_5$  crystalline phases was observed in the films. These crystalline phases imply a lack of oxygen content in the films that causes poor light transmission. Moreover, the transmission of thin films correlates with the energy bandgap value. The bandgap of  $\text{Ti}_3\text{O}_x$  value is higher than  $\text{TiO}_2$  thin films created the light was absorbed not transmitted [39]. It has been reported that the oxygen vacancies in  $\text{TiO}_2$  film enhanced the absorption of visible light [25].

The photocatalytic activities of  $\text{Ti}_3\text{O}_x$  films deposited at 2 and 2.5 kW were investigated by degradation of methylene blue solution as shown in Figure 8. When the samples were irradiated by UV light, the absorbance of MB decreased, which indicates that the films performed photocatalytic properties.  $\text{TiO}_2$  films with similar thickness and preparation were used to compare the photocatalytic activity. It can be observed that all the samples exhibited quick absorption in the dark. This could be attributed to the high specific surface and small particle size [47] as shown in Figure 8a, The methylene blue degradation of the substrate as control was ~18% (Figure 8a). After UV irradiation, the samples show has a good degradation performance with a range of 51–61% compared to the substrate.

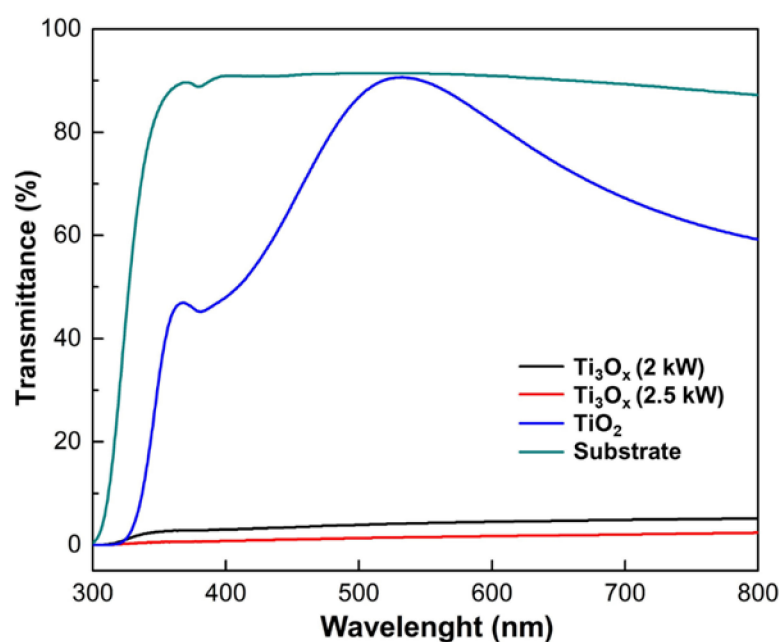


Figure 7. UV-Vis transmittance spectra of the  $\text{Ti}_3\text{O}_x$  thin film deposited at 2 and 2.5 kW compared with  $\text{TiO}_2$  thin film.

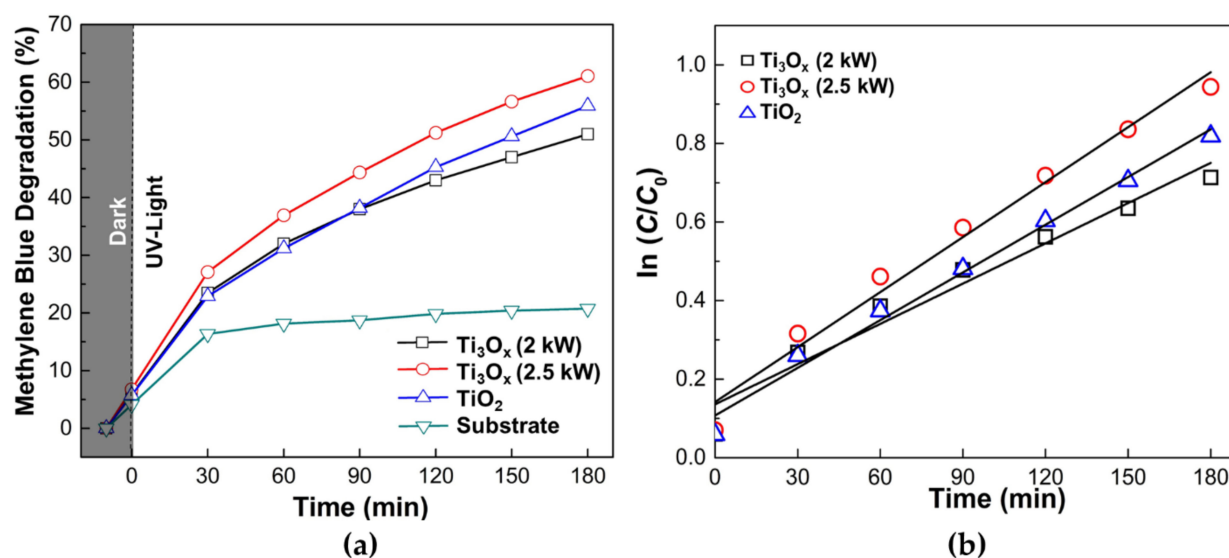


Figure 8. Photocatalytic activity of  $\text{Ti}_3\text{O}_x$  thin film samples in the function of the irradiation time in MB solution. (a) Methylene blue degradation percentage. (b) First-order reaction rate of thin films samples with MB.

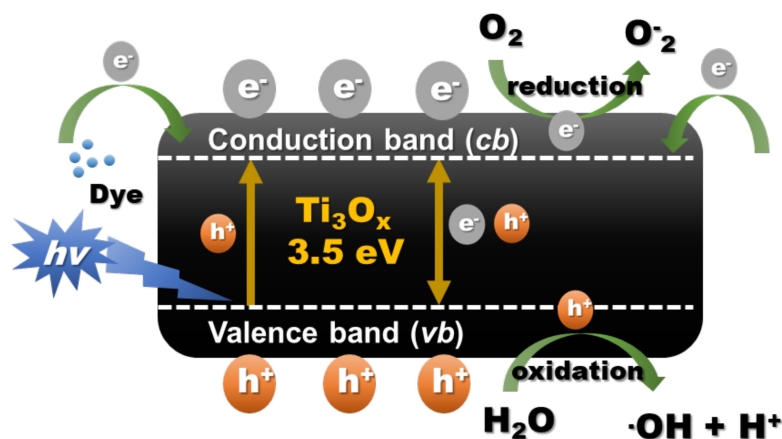
The degradation percentage of methylene blue solution with  $\text{Ti}_3\text{O}_x$  thin films with 2.5 kW sputtering power has higher photocatalytic activity than 2 kW. According to the HR-TEM and XRD analysis,  $\text{Ti}_3\text{O}$  and  $\text{Ti}_3\text{O}_5$  crystalline phases co-existed in the films, and the crystalline phases increased with increasing sputter power, as displayed in Figure 3. Interestingly, the  $\text{Ti}_3\text{O}_x$  films with 2.5 kW sputtering power have a higher photocatalytic activity (61.0%) compared with  $\text{TiO}_2$  films (55.9%) at a similar thickness. This indicates that the  $\text{Ti}_3\text{O}_x$  phases exhibit higher photocatalytic activity than  $\text{TiO}_2$  (rutile) films at similar thickness. Qi et al. [36] reported that UV light could stimulate the  $\text{Ti}_3\text{O}_5$  to produce electron-hole pairs and help redox reactions. The photogenerated electrons will interact with molecular oxygen ( $\text{O}_2$ ) to generate superoxide radical anions ( $\text{O}_2^-$ ), and the photo-generated holes react with water to form hydroxyl ( $\cdot\text{OH}$ ) radicals.  $\text{Ti}_3\text{O}_x$  films prepared



by this HiPIMS technique at 2.5 kW and low oxygen ratio (2.5%) demonstrated good photocatalytic activity.

According to first-order reaction kinetics (as shown in Figure 8b), the time-dependent  $\ln(C/C_0)$  terms are illustrated for all the film samples. The reaction rate constants ( $k$ ) of the  $\text{Ti}_3\text{O}_x$  films and  $\text{TiO}_2$  were calculated:  $\text{Ti}_3\text{O}_x$  films at 2 kW, 2.5 kW,  $\text{TiO}_2$  film were  $3.42 \times 10^{-3} \text{ min}^{-1}$ ,  $4.67 \times 10^{-3} \text{ min}^{-1}$ , and  $4.05 \times 10^{-3} \text{ min}^{-1}$ , respectively. These results explain that a higher degradation rate can be obtained for  $\text{Ti}_3\text{O}_x$  films sputtered at 2.5 kW. The reaction constants ( $k$ ) gradually increased with an increasing the  $\text{Ti}_3\text{O}_x$  film thickness. Influencing significant effects on the electronic, photonic, and photocatalytic properties of  $\text{TiO}_2$ , oxygen deficiencies are critical features [48–50].

The schematic diagram of photocatalytic reaction for MB removal by  $\text{Ti}_3\text{O}_x$  thin films is illustrated in Figure 9. The valence bandgap ( $vb$ ) and conduction band ( $cb$ ) of  $\text{Ti}_3\text{O}_x$  thin films around 3.5 eV. When  $\text{Ti}_3\text{O}_x$  is exposed to energy light ( $h\nu$ ) that is larger than the thin film's energy bandgap, the electron ( $e^-$ ) in the  $vb$  will migrate to the  $cb$ , retaining a positive hole ( $h^+$ ) in the  $vb$ . These exciting holes and electrons have high energy potentials that can transfer to the semiconductor's surface, producing strong reactive free radicals or recombining. Water ( $\text{H}_2\text{O}$ ) and oxygen ( $\text{O}_2$ ) molecules will be trapped on the semiconductor surface by an exciting hole in  $vb$  and an excited electron in  $cb$ , respectively. Finally, trapped  $\text{H}_2\text{O}$  is oxidized into highly reactive hydroxyl radicals ( $\text{OH}\cdot$ ) at  $vb$ , whereas oxygen is reduced into superoxide radical ion ( $\text{O}_2^{\bullet-}$ ) at  $cb$ . These processes may accelerate the photocatalytic process and MB degradation [51].



**Figure 9.** Schematic diagram energy bandgap of  $\text{Ti}_3\text{O}_x$  thin films showing the charge transportation process leading to light irradiation-driven photocatalytic degradation of methylene blue.

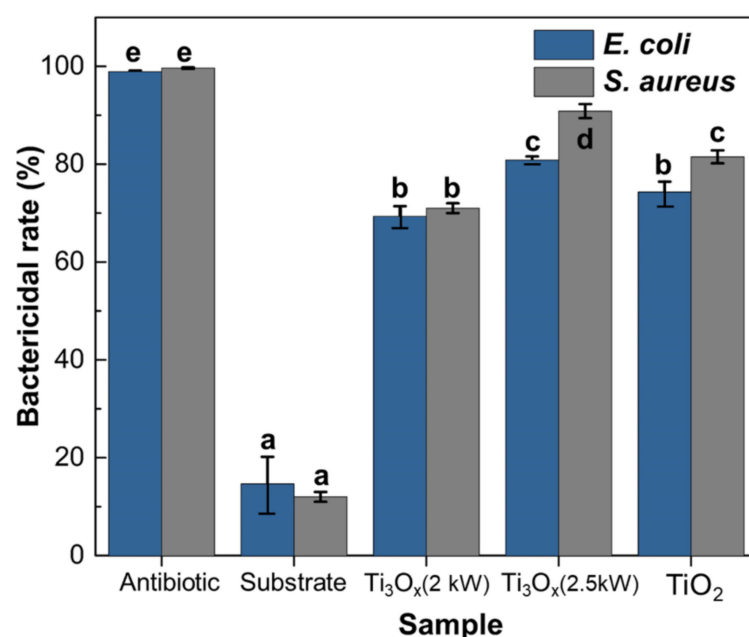
Film thickness and energy bandgap are two key factors determining the photocatalytic performance of  $\text{Ti}_3\text{O}_x$  thin films. The energy bandgap of  $\text{Ti}_3\text{O}_x$  thin films is  $\sim 3.5$  eV, which is higher than the rutile (3.0 eV) or anatase (3.2 eV) phases. According to Qutub et al. [52], the photocatalytic activity of semiconductor particles in aqueous solutions is conducted by electrons and holes produced by photo-excitation. As electrons prefer to recombine with positive holes, the photogenerated electrons should be efficiently separated from the holes to enhance photocatalytic efficiency. One of the effective approaches is to increase the semiconductor catalyst's bandgap, which minimizes the recombination of electrons and holes. In addition, a higher bandgap also corresponds to promoting high redox ability [53]. As the  $\text{Ti}_3\text{O}_x$  has a higher bandgap than rutile  $\text{TiO}_2$ , its oxidizing ability should be stronger. Therefore,  $\text{Ti}_3\text{O}_x$  has a higher energy bandgap promoting better photocatalytic performance than rutile  $\text{TiO}_2$  thin films. However, the  $\text{Ti}_3\text{O}_x$  deposited at 2 kW showed lower photocatalytic activity than  $\text{TiO}_2$  even though it has a higher energy bandgap (3.36 eV). A possible reason is that the film thickness of  $\text{Ti}_3\text{O}_x$  deposited at 2 kW is lower than  $\text{TiO}_2$  thin films.

These outcomes indicated that increasing the deposition power up to 2.5 kW can promote high thickness of  $\text{Ti}_3\text{O}_x$  thin films with energy band gap of  $\sim 3.5$  eV thus showing

high photocatalytic performance. Furthermore, semiconducting photocatalysts mainly depend upon separating a photogenerated hole–electron pairs and transferring electrons from the photocatalyst into the organic pollutants within the oxygen vacancy defects on the surface [54,55].

### 2.3. Antibacterial Activity of $\text{Ti}_3\text{O}_x$ Films

The results of the antibacterial activity of *E. coli* (Gram-negative) and *S. aureus* (Gram-positive) on  $\text{Ti}_3\text{O}_x$  thin films compared to glass substrate and antibiotic are presented in Figure 10. The initial concentration of bacteria was  $1 \times 10^5$  CFU/mL. The glass substrate under UV light only showed a minor antibacterial activity on *E. coli* and *S. aureus* (less than 16%). Instead, the group treated with an antibiotic (100  $\mu\text{g/mL}$ ) as positive control showed high antibacterial inhibition for both bacteria. Notably, two kinds of  $\text{Ti}_3\text{O}_x$  thin-film presented significant antibacterial effects against *E. coli* and *S. aureus* ( $p < 0.05$ ) with bactericidal rates around 80 and 90% in the presence of UV light, respectively (Figure 9).



**Figure 10.** Effect of  $\text{Ti}_3\text{O}_x$  thin films on bactericidal activity against *E. coli* and *S. aureus* compared to the substrate (negative control) and antibiotic (100  $\mu\text{g/mL}$ , positive control) after 60 min UV light irradiation treatment. Means denoted by a different letter indicate significant differences between treatments ( $p < 0.05$ ; Tukey HSD test). Data were expressed as mean  $\pm$  standard deviation ( $n = 3$ ).

It could be seen that the bactericidal rate for both *E. coli* and *S. aureus* significantly increases remarkably with sputtering power increases up to 2.5 kW after 60 min of UV irradiation. The antibacterial effect of  $\text{Ti}_3\text{O}_x$  thin films on *E. coli* and *S. aureus* can be ascribed both to the UV irradiation and photocatalytic activity of  $\text{Ti}_3\text{O}_x$  thin films containing crystal structures of  $\text{Ti}_3\text{O}_5$  and  $\text{Ti}_3\text{O}$ . The thin films fabricated with higher sputtering power (2.5 kW) showed a higher bactericidal rate. The increasing thickness of  $\text{Ti}_3\text{O}_x$  thin films is effective for the inactivation of both Gram-positive and Gram-negative bacteria, and the antibacterial ability of the films  $\text{Ti}_3\text{O}_x$  (2.5 kW) is even better than the rutile  $\text{TiO}_2$  film (74%). The results indicate that the antimicrobial activity depended on the UV irradiation and sputtering power of  $\text{Ti}_3\text{O}_x$  thin films.

Numerous studies have reported the antibacterial activity of  $\text{TiO}_2$  thin films. However, there has been limited published work that refers to the antibacterial mechanism on  $\text{Ti}_3\text{O}_x$  thin films. According to Sunada et al. [56], the bactericidal process comprises low-rate photo-killing and high-rate photo-killing stages. The low-rate photo-killing stage is the partial destruction of the outer membrane of bacteria through robust reactive oxygen species (ROS) produced by  $\text{TiO}_2$ . Several investigations have shown that ROS

formation is the primary mechanism responsible for the antibacterial activities of  $\text{TiO}_2$ -based materials [56–59]. Generation of reactive oxygen species (ROS) occurs due to the induction of electron–hole pairs under light radiation, which recombine and release energy as heat or dissociate due to the charge trapping, thus creating charge carriers for redox reactions in the photocatalytic process.

Photoexcited electron–hole pairs interact with adsorbed electron donors and acceptors (water and oxygen) on the  $\text{TiO}_2$  surface to generate highly reactive hydroxyl radicals ( $\text{OH}\bullet$ ) and superoxide ions ( $\text{O}_2^{\bullet-}$ ) during the photocatalytic process as described in Figure 9. These two reactive oxygen species are strong oxidants that can degrade and oxidize organic material, including bacteria. This process has significant effect on cell mortality. Furthermore, it alters cell permeability, allowing ROS to be more easily accessible to the cell membrane. As a result, ROS attach to the cell membrane, accelerating the peroxidation of the cell membrane's polyunsaturated phospholipid layer. This process will result in the loss of respiratory activity and the leaking of cell components, which will eventually lead to cell death [60,61]. In addition, the formation of such Magnéli phase in a previous study could contribute to the enhanced antibacterial properties observed under irradiation light [31].

Moreover, the bactericidal activity on  $\text{Ti}_3\text{O}_x$  thin films is statistically significantly higher on *S. aureus* (90.83%) than *E. coli* (80.83%), as presented in Figure 10. According to Russell [62], Gram-negative bacteria such as *E. coli* are less sensitive to ROS than Gram-positive bacteria (*S. aureus*). The structural differences in the bacterial cell membrane are one of the primary causes of the increased resistance. Cell membranes of Gram-positive bacteria are covered by a membrane predominantly composed of a peptidoglycan layer and teichoic and lipoteichoic acids. Gram-positive bacteria have a membrane surrounding the cell wall that is composed primarily of a thick peptidoglycan layer and teichoic and lipoteichoic acids but no outer lipid membrane. However, the cell membrane of Gram-negative bacteria is more complex due to an outer membrane composed mainly of lipopolysaccharide (LPS) and a thin peptidoglycan layer [63]. The outer membrane serves as the first barrier, and once it is breached, the cytoplasmic membrane is targeted, resulting in a reduction in cellular respiration and, finally, the death of cells. Consequently, the outer membrane of Gram-negative bacteria works as a permeability barrier, reducing the absorption of reactive oxygen species (ROS) into the cell [62].

These results indicated that deposition power plays crucial parameters for obtaining high photocatalytic performance and antibacterial activity of  $\text{Ti}_3\text{O}_x$  films from our studies. Furthermore, sputtering power at 2.5 kW could provide a high thickness of  $\text{Ti}_3\text{O}_x$  films with an energy bandgap of  $\sim 3.4$  eV and exhibited higher photocatalytic activity than  $\text{TiO}_2$  thin films. Thus, the study demonstrated that the intermixing of the  $\text{Ti}_3\text{O}$  and  $\text{Ti}_3\text{O}_5$  phase on thin-film could promote a high photocatalytic and antibacterial activity that could be considered as an efficient and lasting antibacterial material for future antibacterial and biomedical application.

### 3. Materials and Methods

#### 3.1. Preparation of $\text{Ti}_3\text{O}_x$ Thin Films

The  $\text{Ti}_3\text{O}_x$  thin films were deposited onto the glass substrate using reactive HiPIMS. Glass substrate size was  $76 \times 25$  mm and ultrasonically cleaned in acetone, ethanol, and deionized water for 30 min in sequence before the deposition process. Then, it was blown dry with air and put on holders. Titanium layers were deposited at sputtering power 2 and 2.5 kW using a 99.99% purity titanium target (Ultimate materials Technology Co., Ltd., Hsinchu, Taiwan) with dimensions  $550 \times 125$  mm and thickness 6 mm. The target to substrate distance was kept constant at 150 mm. High-purity oxygen (99.99%) and argon (99.99%) were used as reactive and working gas, respectively. Reactive sputter deposition was operated at  $2.7 \times 10^{-3}$  Torr (0.36 pa) working pressure, the substrate temperature was controlled at  $25^\circ\text{C}$ , and the flow rate of argon and oxygen was fixed at 200 and 5 sccm, respectively. All  $\text{Ti}_3\text{O}_x$  thin film samples were prepared without a post-annealing process.

Moreover, the TiO<sub>2</sub> thin films sample was developed as a comparable sample for Ti<sub>3</sub>O<sub>x</sub> thin films. TiO<sub>2</sub> thin films with thickness around 65 nm were prepared from Ti<sub>3</sub>O<sub>x</sub> thin films (2.5 kW; 5 sccm) samples that continue with post-annealing at 550 °C for 3 h. A summary of the parameters and deposition conditions of Ti<sub>3</sub>O<sub>x</sub> thin film is given in Table 2.

**Table 2.** The deposition rate and thickness of Ti<sub>3</sub>O<sub>x</sub> thin film deposited at 2- and 2.5 kW.

Sputtering Power (kW)	Argon Flow (sccm)	Oxygen Flow (sccm)	Deposition Rate (nm/min)	Thickness (nm)
2	200	5	99	55
2.5	200	5	119	66

### 3.2. Sample Characterization

The crystal phase was determined using X-ray diffraction (XRD; Bruker D8 Advance-AXS GmbH, Am Studio 2D, Berlin, Germany) with monochromatic Cu-K $\alpha$  radiation ( $\lambda = 1.541 \text{ \AA}$ ), operated at 40 kV and 40 mA. The  $2\theta$  scan range is between  $10^\circ \leq 2\theta \leq 60^\circ$  with a grazing angle of  $0.1^\circ$  and a scan speed of 5 s. Microstructural and film thickness observation of the cross-sectional and plane-view morphology of the films deposited onto a glass substrate was observed using a Field Emission Gun Transmission Electron Microscopy (FEG-TEM; FEI E.O Tecnai F20, FEI Company—Thermo Fisher Scientific, Hillsboro, OR, USA) equipped with charge-coupled device (CCD) camera. Selected area diffraction (SAD) was employed at an acceleration voltage of 200 kV. The evaluation of high-resolution TEM (HR-TEM) and SAD patterns was conducted using the Gatan Digital Micrograph software package (version 2.11.1404.0) (Gatan Inc., Pleasanton, CA, USA). The interplanar distance in the real spaces (d-spacing) that were observed from software was compared with the crystallographic database of the Ti<sub>3</sub>O and Ti<sub>3</sub>O<sub>5</sub> planes from JCPDS. The films' quantitative composition on the surface and internal area was examined with X-ray photoelectron spectroscopy (XPS; JEOL, JPS-9010MX, JEOL Ltd, Tokyo, Japan). Internal profile experiments were performed by sputter etching rate 28 nm/min in the thin film for 20 s. Then, the analyzer was operated at voltage 10 kV and current 5 mA with Mg K $\alpha$  radiation line. The X-ray photoelectron spectra were referenced to the C 1s peak ( $E_b = 285 \text{ eV}$ ) present on the sample surface.

### 3.3. Optical Properties Characterization

The absorbance and transmittance of Ti<sub>3</sub>O<sub>x</sub> thin films were determined using UV-vis spectrophotometer (UV/Vis, U-3310, Hitachi Ltd., Tokyo, Japan) in the range wavelength 200–1000 nm and 300–800 nm, respectively. The energy bandgap of the thin films was estimated from the Tauc plots of the optical absorbance. The determination of the energy bandgap was obtained by Tauc's Equation [64,65] Equation (1):

$$\alpha h\nu = A (h\nu - E_g)^n \quad (1)$$

where  $\alpha$  is the absorbed coefficient,  $h$  is the Planck constant,  $\nu$  is the photon's frequency,  $A$  is a constant proportionality,  $E_g$  is the allowed energy gap, and  $n = 0.5$  for allowed direct transition.

The photocatalytic activity of thin films was evaluated by measuring the degradation of Methylene Blue (MB Alfa Aesar; Thermo Fisher Scientific, Lancashire, UK) in the presence of UV light. Ti<sub>3</sub>O<sub>x</sub> Thin-film samples with size 26 mm  $\times$  38 mm were immersed into 20 mL aqueous MB solution with an initial concentration ( $C$ ) of 10 mg/L then irradiated using UV lamp 8 W (UV T8; Philip, Amsterdam, The Netherlands) for 3 h. The UV source was 9 cm from the thin films. Prior to UV radiation, the film was immersed in MB solution and kept under dark conditions for 10 min to achieve MB absorption equilibrium on the Ti<sub>3</sub>O<sub>x</sub> and TiO<sub>2</sub> layer. From the end of the dark-storage time ( $t = 0 \text{ min}$ ,  $C_0$ ), a sample of dye solution was analyzed every 30 min for 3 h ( $t = 30\text{--}180 \text{ min}$ ,  $C_{30}$  to  $C_{180}$ ). The Ti<sub>3</sub>O<sub>x</sub> film photocatalytic activity was determined using UV-Vis spectrophotometer (UV/Vis,



U-3310, Hitachi Ltd., Tokyo, Japan) based on the change in MB concentration following the UV irradiation time (the maximum absorption wavelength of the dye at 664 nm), as described in a previous report [4,28,66]. The degradation of methylene blue was estimated by calculating the percentage of the dye concentration that remained over ( $C_0$ ) the initial dye concentration ( $C$ ), obtained from the absorbance standard curve. The degradation performance evaluated by considering the following Equation (2):

$$\text{Methylene Blue Degradation (\%)} = \left[ 1 - \left( \frac{C_0}{C} \right) \right] \times 100 \quad (2)$$

The initial and final concentrations of methylene blue (mg/L) at reaction time ( $t$ ) were  $C$  and  $C_0$ , respectively. In the pseudo-first-order photodegradation kinetics, the  $\ln(C/C_0)$  linear fit slope in the function of time plot represents the kinetic constant ( $k$ ) of the photodegradation, which can be found in Equation (3):

$$\ln \frac{C}{C_0} = -kt \quad (3)$$

### 3.4. Antibacterial Activity

The antibacterial activity of the  $\text{Ti}_3\text{O}_x$  thin films was evaluated by the agar dilution method [67] against Gram-negative *Escherichia coli* (ATCC25922) and Gram-positive bacteria *Staphylococcus aureus* (ATCC10234). The bacteria were grown in Tryptic Soy Broth (DIFCO<sup>tm</sup>, Becton Dickinson and Company sparks, Maryland, USA) at 37 °C for 12 h. The initial cell concentration used for antibacterial activity was about  $1 \times 10^5$  colony-forming units (CFU)/mL. Thin films samples with dimensions  $1 \times 1$  cm were placed in sterilized 12 well plates (SPL Life Sciences Co., Ltd., Gyeonggi-do, Korea). Furthermore, 40  $\mu\text{L}$  of the bacterial solution was dropped on the surface of the thin films. The plates were sealed then UV-irradiated (UV-A, 8 W T8;  $\lambda = 365$  nm, Philip, Amsterdam, The Netherlands) for 60 min at room temperature ( $25 \pm 2$  °C). After finishing, 10  $\mu\text{L}$  of the remaining sample on the thin-film was taken, and serial dilution was performed. The aliquots of serially diluted suspensions were plated on Tryptic Soy Agar (DIFCO<sup>tm</sup>, Becton Dickinson and Company sparks, MD, USA) plates and incubated at 37 °C for 24 h, and the number of colonies on the plates was counted. In order to compare antibacterial activity, substrate (glass) and antibiotic (100  $\mu\text{g}/\text{mL}$ , kanamycin and ampicillin, Sigma-Aldrich, MO, USA) were used as a negative and positive control. The same treatment was done under dark conditions. The experiment was done in triplicate. All of the experiment was conducted in a sterile environment. The bactericidal rate ( $K$ ) was calculated by Equation (4):

$$K = \left( \frac{A - B}{A} \right) \times 100 \quad (4)$$

$A$  and  $B$  are the numbers of original bacteria colonies grown in the culture medium corresponding to the sample after irradiation with UV light, respectively.

One-way analysis of variance (ANOVA) was performed by using 'SPSS Statistics' version 16 software (SPSS for Windows; IBM Corp, New York, NY, USA). Post hoc testing was done using Tukey HSD tests, which were done to determine significant group differences in antibacterial analysis, and means were considered statistically significant if  $p < 0.05$ . Data were expressed as mean  $\pm$  standard deviation.

## 4. Conclusions

The  $\text{Ti}_3\text{O}_x$  films can be prepared using the reactive High-Power Impulse Magnetron Sputtering (HiPIMS) method without post-thermal annealing. There are two phases ( $\text{Ti}_3\text{O}$  and  $\text{Ti}_3\text{O}_5$ ) that co-existed in the  $\text{Ti}_3\text{O}_x$  films. The sputtering power played a role in influencing the structure, morphology, optical, and photocatalytic properties of  $\text{Ti}_3\text{O}_x$  thin films. It was found that increasing the sputtering power up to 2.5 kW leads to increased crystalline intensity of  $\text{Ti}_3\text{O}$  and  $\text{Ti}_3\text{O}_5$ . The  $\text{Ti}_3\text{O}_x$  films with 2.5 kW sputtering power could

promote higher photocatalytic and antibacterial activity against Gram-negative and Gram-positive bacteria compared with TiO<sub>2</sub> films. Therefore, the Ti<sub>3</sub>O<sub>x</sub> thin film photocatalyst is an attractive and long-lasting antibacterial material appropriate to future biomedical and bactericidal applications.

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