

Article

Asymmetric Synthesis of *trans*-3-Alkoxyamino-4-Oxygenated-2-Piperidones Mediated by Transition-Metal-Free Dual C-H Oxidation and Its CAL-B-Assisted Enzymatic Resolution

Julio Romero-Ibañez ¹, Marina A. Ortega-Rojas ², Jonathan R. Valdéz-Camacho ²,
Luis G. Hernández-Vázquez ², Fernando Sartillo-Piscil ^{1,*} and Jaime Escalante ^{2,*}

¹ Centro de Investigación de la Facultad de Ciencias Químicas, Benemérita Universidad Autónoma de Puebla (BUAP), 14 Sur Esq. San Claudio, Col. San Manuel, Puebla 72570, Mexico

² Instituto de Investigación en Ciencias Básicas y Aplicadas, Centro de investigaciones Químicas, Universidad Autónoma del Estado de Morelos, Av. Universidad 1001, Chamilpa, Cuernavaca 62210, Mexico

* Correspondence: fernando.sartillo@correo.buap.mx (F.S.-P.); jaime@uaem.mx (J.E.);
Tel.: +52-222-2955500 (ext. 7391) (F.S.-P.); +52-777-3297997 (ext. 6040) (J.E.)

Abstract: A general chemo-enzymatic approach to synthesize both enantioenriched *trans*-3-alkoxy-amino-4-oxy-2-piperidones, which are important scaffold for various naturally occurring alkaloids, is reported. To this end, a selective transition-metal-free dual C–H oxidation of piperidines mediated by the TEMPO oxoammonium cation (TEMPO+) was used, followed by enzymatic resolution of the corresponding alkoxyamino-2-piperidones with *Candida antarctica* lipase (CAL-B), to yield the title compounds in high enantiomeric excess (*ee*). The absolute configuration of both enantioenriched compounds was determined using chemical correlation and circular dichroism (CD) spectroscopy. The former method highlights the oxidative ring contraction of the *trans*-alkoxyamine-2-piperidone ring into its corresponding 2-pyrrolidinone.

Keywords: *trans*-3-Alkoxyamino-4-oxygenated-2-piperidones; selective C–H oxidation; transition-metal-free; enzymatic resolution; CAL-B; deconstructive lactamization; alkaloids



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1. Introduction

Alkaloids are naturally occurring secondary metabolites containing a nitrogen atom in the form of amines or amides [1–7]. Either natural or synthetic alkaloids have gained much attention due to their biological activities with promising pharmacological applications [8].

For example, 3,4-dioxygenated-2-piperidone derived alkaloids from piper species, such as tedanalactam [9], piparoxide [10], and 3 β ,4 α -dihydroxy-1-(3-phenylpropanoyl)-piperidin-2-one [11], are piperidone alkaloids with enormous pharmaceutical potential (Figure 1).

Although the 3,4-dioxygenated-2-piperidone system could be considered a non-complex molecular motif, there are only a few known approaches to accessing them in an asymmetric fashion [12].

In this regard, the dual C-H functionalization of piperidines to their 2-piperidones dioxidized at the C3 and C4 positions has proven to be a versatile chemo- and regioselective method to synthesize chiral alkaloid precursors [12,13]. However, given the fact that the sp³ C-H bond is barely reactive, their functionalization poses a great synthetic challenge [14,15]. For this reason, the use of metal catalysts is normally applied [16–18]. Unfortunately, these catalysts are generally quite expensive and toxic, causing economic and ecological drawbacks [19,20]. Most recently, a dual C-H oxidation of piperidines to their corresponding piperidin-2-one derivatives under transition-metal-free conditions has shown to be an efficient, accessible, and eco-friendly approach for accessing biologically important alkaloids [21–23].

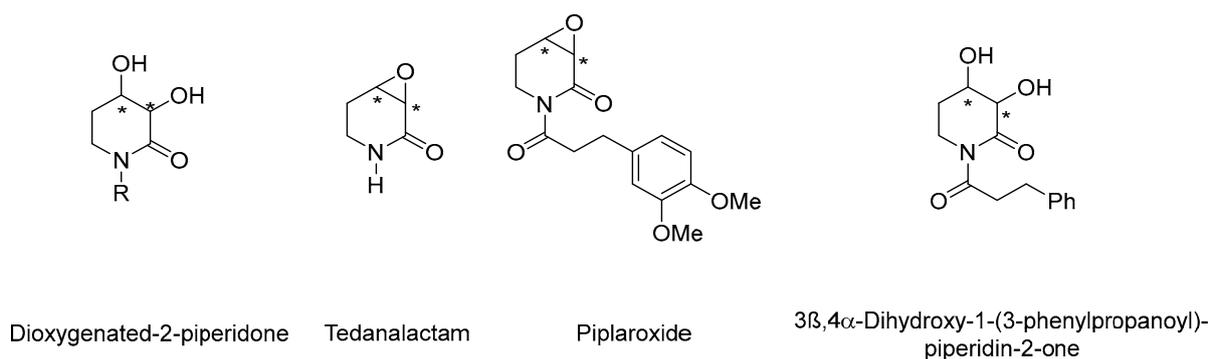


Figure 1. Representative natural products containing the dioxxygenated-2-piperidinone motif; where * represents chiral center.

On the other hand, chemo-enzymatic resolution of the racemic mixtures subjected to lipases-catalyzed hydrolysis reactions or esterases-catalyzed ester bond formation has been shown to be a convenient tool for accessing the desired alkaloid chiral products in high enantiomeric excess [23]. The most popular enzyme that carried out hydrolysis and transesterification, along with other reactions, because of its catalytic promiscuity capability [24,25], is probably Lipase B from *Candida Antarctica* (CAL-B). Another characteristic of CAL-B which is widely exploited by synthetic organic chemists is its capability to catalyze many kinds of organic reactions with a wide variety of substrates in different organic solvents [26–34]. Thus, the chemo-enzymatic resolution of the racemic mixtures catalyzed by CAL-B, through alcohol acylation or ester hydrolysis [35–44], would be a practical approach for accessing the desired chiral products. Since the resolution of secondary alcohols and amines of medium size is the main application of CAL-B, there is a great interest in methods of kinetic resolutions for bulky alcohols [44,45].

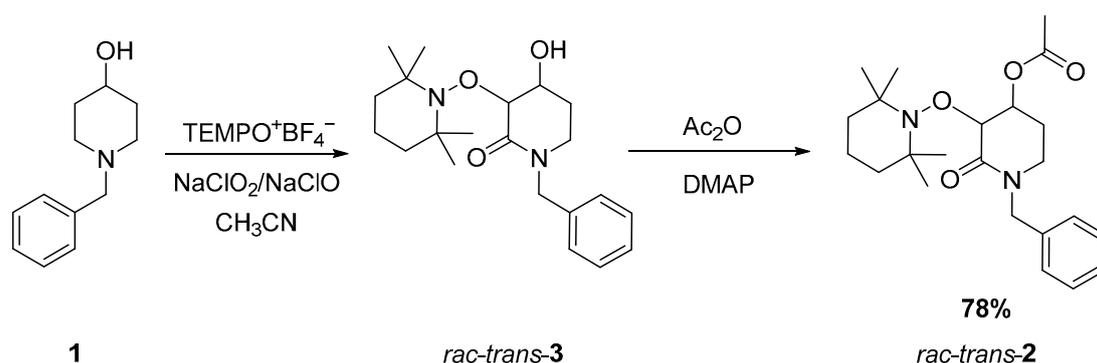
In this regard, we discuss the advances of a new approach to access *trans*-3-alkoxyamine-4-oxygenated-2-piperidones enantiomerically enriched taking advantage of the selective transition-metal-free dual C-H oxidation of piperidines followed by the enzymatic kinetic resolution (EKR) of racemic esters with CAL-B.

2. Results and Discussion

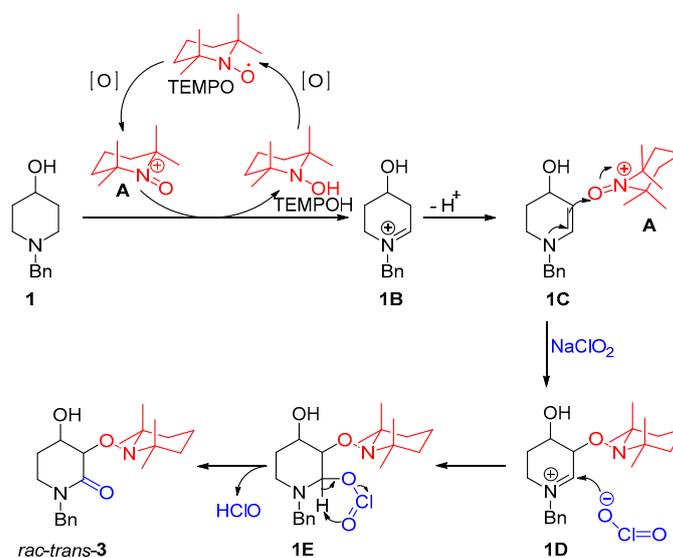
2.1. Synthesis of *Rac-Trans*-2

As a widely applied method in our research group [12,22,23], a tandem dual C–H oxidation was carried out using *N*-benzyl-4-hydroxy-piperidine **1** as starting material. The piperidine derivative was mixed with the TEMPO oxoammonium cation in the presence of sodium chlorite and sodium hypochlorite in acetonitrile to obtain the alkoxyamine lactam (*rac-trans*-**3**), which, after acylation, produces *rac-trans*-**2** in good yield (Scheme 1).

According to the proposed mechanism shown in Scheme 2, cation **A** promotes the formation of the iminium ion intermediate **1B**, which, after the loss of a proton, leads to an enamine intermediate **1C**. The addition of TEMPO comes after a nucleophilic attack from the enamine. Finally, a nucleophilic attack by the chlorite ion to the iminium ion intermediate **1D** gives **1E**, which, after HClO elimination, produces *rac-trans*-**3**.



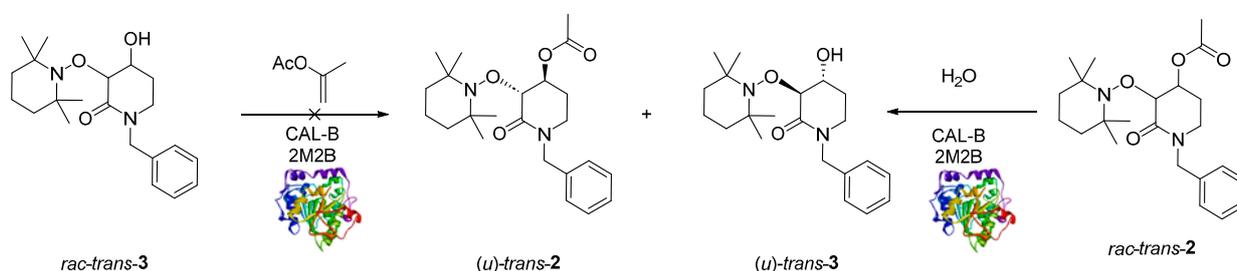
Scheme 1. Synthesis of *rac-trans-2* using dual C-H oxidation mediated by the TEMPO oxoammonium cation.



Scheme 2. Reaction mechanism for the synthesis of *rac-trans-3* from **1** (adapted from ref. [22]).

2.2. Enzymatic Resolution and Chiral-HPLC Enantiomeric Excess Evaluation

Once *rac-trans-2* was obtained, two different approaches were considered for the enzymatic resolution: (a) enzymatic-catalyzed acylation of *rac-trans-3* and (b) enzymatic-catalyzed hydrolysis of *rac-trans-2* (Scheme 3).



Scheme 3. CAL-B catalyzed enzymatic resolution: *rac-trans-3* acylation (left) and *rac-trans-2* deacylation (right).

First, *rac-trans-3* was subjected to a typical enzymatic-catalyzed acylation using CAL-B as a biocatalyst and prop-1-en-2-yl acetate as an acetyl-transfer agent and 2-methyl-2-butanol (2M2B) as solvent [46]. The reaction was monitored with TLC; and after 22 h formation of *rac-trans-2* was not detected by TLC plate (Scheme 3, left). One possible explanation is that the alcohol *rac-trans-3* could not access the nucleophilic pocket due to its structural characteristics (See Supplementary Material, Figure S1).

Since it was not possible to achieve the enzyme-catalyzed acylation product mediated by CAL-B, we decided to explore the enzymatic de-acylation using *rac-trans-2* as substrate [47], CAL-B as a biocatalyst, water as a reagent and 2M2B as the solvent (Scheme 3, right). Several experiments were carried out to determine the best conditions to promote the de-acylation reaction (Table 1).

Table 1. Reaction conditions for the enzymatic resolution of the racemic mixture of *rac-trans-2* to obtain (*u*)-*trans-3*.

Entry	Substrate (mmol)	Conc. (mol/L)	Enzyme (mg/mL)	H ₂ O (eq.)	Time (h)	Temperature (°C)	Conversion ^a (%)	[α] _D ²⁰	ee (%)	E
1	0.17	0.2	20	2	24	45	3 ^b	Nd	≥99 ^c	205 ^d
2	0.15	0.2	20	4	72	45	13 ^e	Nd	≥99 ^c	230 ^d
3	0.25 ^f	0.4	100	3	72	60	29 ^b	+45.2 ^g	≥99 ^c	383 ^d

^a Calculated using $c = 1 - \{[S]/[S_0]\}$. ^b Determined as an isolated product. ^c Chiral-HPLC; OD-H chiral column, elution system Hex:*i*-PrOH 98:02, flux 0.8 mL min⁻¹, UV-Vis 210 nm. ^d Calculated using $E = \ln[1 - c(1 + ee_p)] / \ln[1 - c(1 - ee_p)]$. ^e Determined with ¹H NMR. ^f Enantioenriched starting material isolated from previous experiments. ^g ($c = 1.0$, CHCl₃).

The de-acylation reaction was carried out in a 0.2 M solution of *rac-trans-2* in 2M2B. As can be seen, a very low quantity of the product *rac-trans-3* was isolated, which represents a poor percentage of conversion (Entry 1, Table 1). In a second experiment, the equivalents of water were doubled and the reaction time was increased to 72 h (Entry 2, Table 1), which led to only a small improvement.

To enhance the conversion to alcohol (*u*)-*trans-3*, a third attempt was performed. We suspect that the low conversion yield can be attributed to the poor interaction of the substrate *rac-trans-2* with the enzyme. Therefore, an assay where a more concentrated solution and a higher quantity of the enzyme were considered as well as a higher temperature (Table 1, Entry 3). A slight enhancement was measured. This last result supported the proposed hypothesis. Furthermore, to evaluate the selectivity of CAL-B, it was decided to use the recovered enantioenriched starting material *trans-2*. A lower conversion degree was obtained because there was less *trans-2* enantiomer, which is selectively recognized by CAL-B.

To achieve the enantiomeric quantification of the desired enantioenriched product (*u*)-*trans-3*, chiral-HPLC assays were developed. First, the *rac-trans-2* substrate was injected into Chiral-HPLC using an OD-H chiral column in an elution system Hex:*i*-PrOH 98:02 with a flux of 0.8 mL min⁻¹, using a UV-Vis detector at 210 nm, where an excellent chromatography resolution was observed. The corresponding retention times for both (*u*)-*trans-2* enantiomers were 14.18 min and 18.02 min, respectively. Once the HPLC parameters were established, the next step was to demonstrate that these HPLC conditions were applicable for the correct differentiation of *rac-trans-2* and *rac-trans-3* with a high level of chromatography resolution. To this end, a 2:1 mixture of the corresponding *rac-trans-2* and *rac-trans-3* was injected into the chiral HPLC. Retention times for both *rac-trans-2* enantiomers were 13.36 min and 16.45 min, respectively, while an increase in retention times was observed for both *rac-trans-3* enantiomers with 18.69 min and 25.71 min, respectively (Figure 2).

Percolated crude reactions, where *rac-trans-3* de-acylated product predominates, were analyzed using the Chiral-Column HPLC technique. Although with low conversion, an excellent enantiomeric excess (99% ee, [α]_D²⁰ = +45.2, c 1.0, CHCl₃) was obtained, which means that the enzymatic resolution of *rac-trans-2* proceeds enantiospecifically (Figure 3), and, moreover, it is a worthwhile method because of the high value (380) obtained for the enantiomeric ratio (*E*).

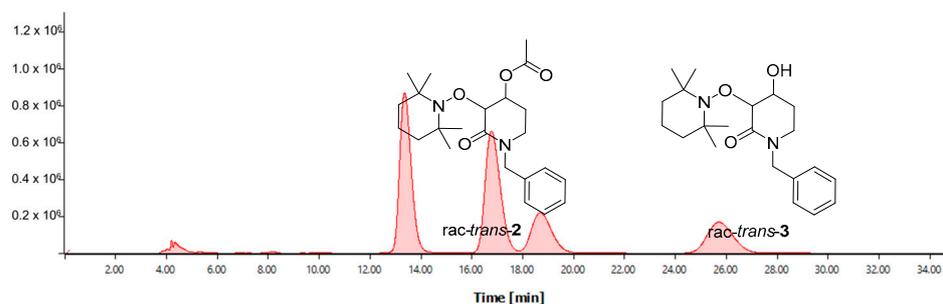


Figure 2. Chiral-HPLC chromatogram of the 2:1 mixture of both *rac-trans-2* and *rac-trans-3*.

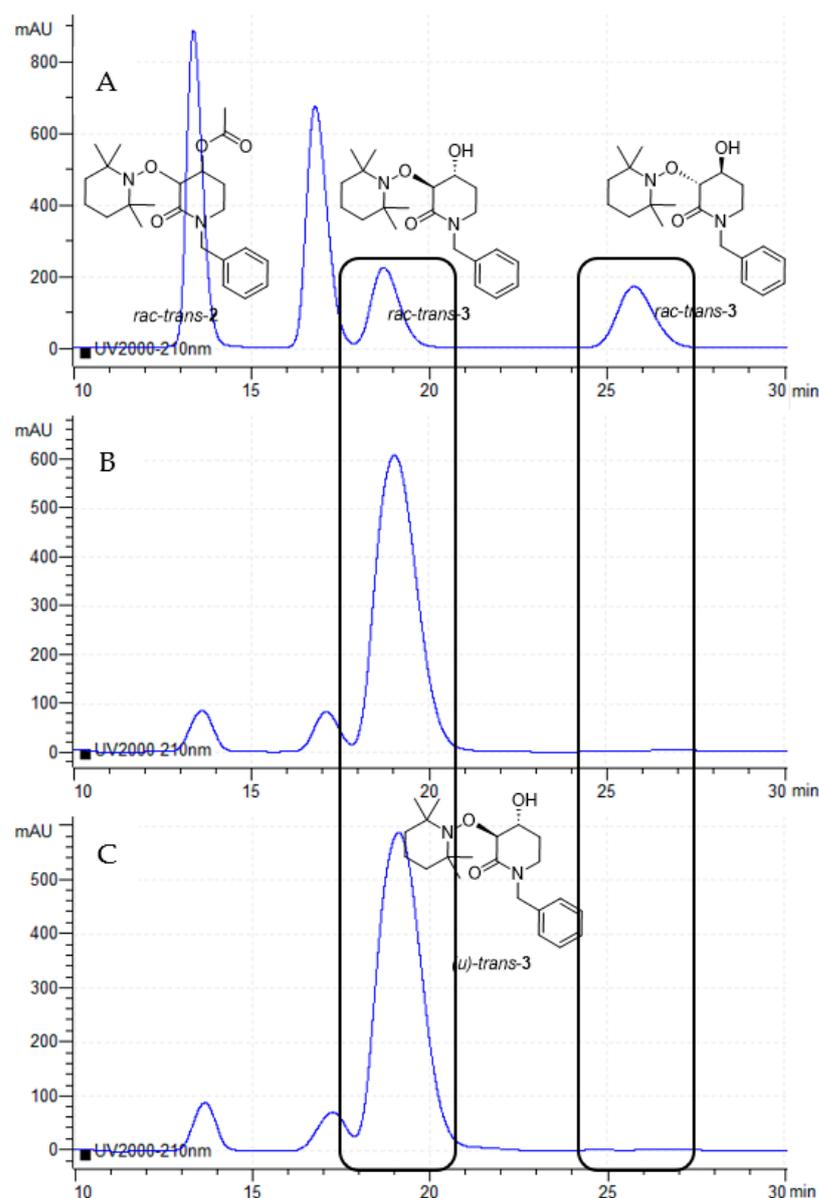


Figure 3. (A) Chiral-HPLC chromatogram of the 2:1 mixture of both *rac-trans-2* and *rac-trans-3*. (B) Chiral-HPLC chromatogram of the CALB-catalyzed de-acylation reaction at 24 h. (C) Chiral-HPLC chromatogram of the CALB-catalyzed de-acylation reaction at 72 h.

Along with the Chiral-Column HPLC Analysis, the NMR technique was used to determine the conversion percentage of the enzyme-catalyzed-mediated hydrolysis.

Both reactions previously mentioned were monitored with $^1\text{H-NMR}$ using benzylic protons shifts as a reference. At 24 h, there were no notable changes in the $^1\text{H-NMR}$ spectra compared with those for the starting material (*rac-trans-2*), as shown in Figure 4A. However, when the reaction time is increased to 72 h, new signals appeared at 4.83 and 4.53 ppm, which belong to the (*u-trans-3*) product (Figure 4B).

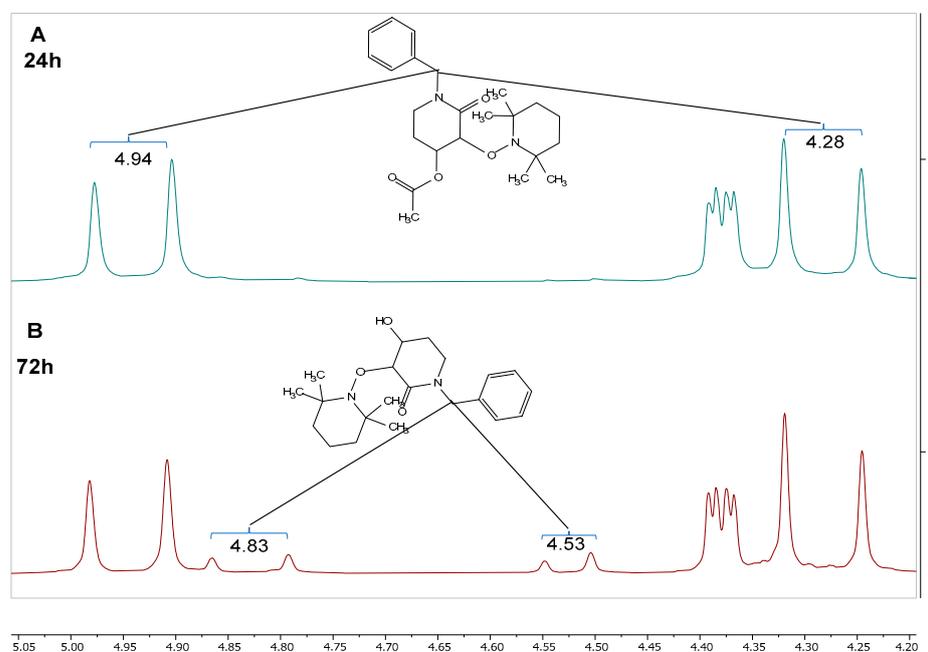


Figure 4. $^1\text{H-NMR}$ spectra of the reaction crude after (A) 24 h and (B) 72 h.

Finally, all isolated products (*u-trans-3*) from each assay listed in Table 1 were collected as unique samples and their *ee* value was measured again using Chiral-HPLC. Identical values of *ee* as the individual measurements were obtained (≥ 99 *ee*). As can be seen, a peak around 20.3 min is detected with no other signals (Figure 5).

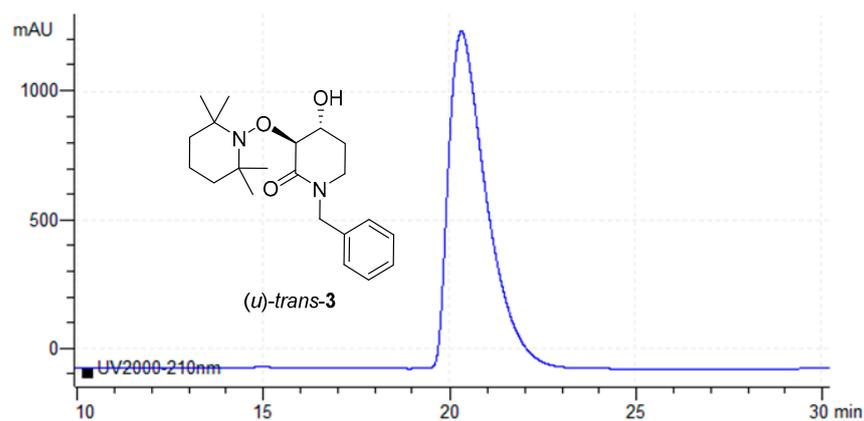


Figure 5. Chiral-HPLC de-acylation product (*u-trans-3*).

Since remanent was used for a second and third enzymatic resolution with the expectation to obtain a more enantiopure substrate (*u-trans-2*), after analysis with Chiral-HPLC, a dominant peak was observed at 10.87 min, ≥ 97 *ee* (Figure 6).

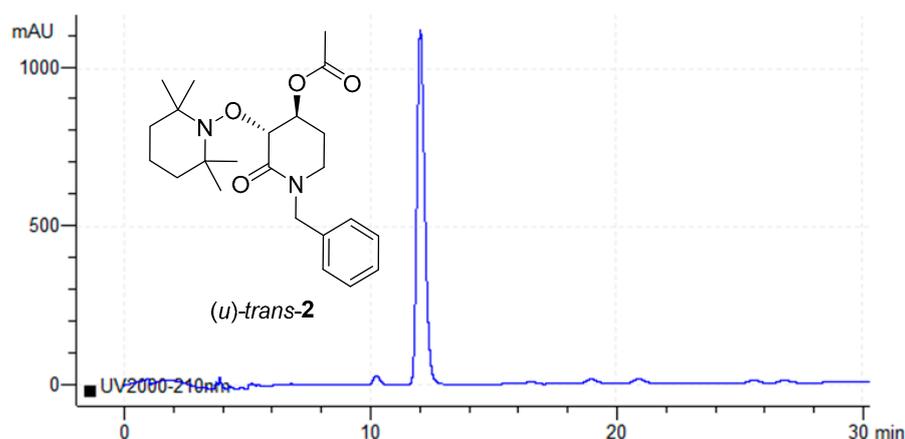
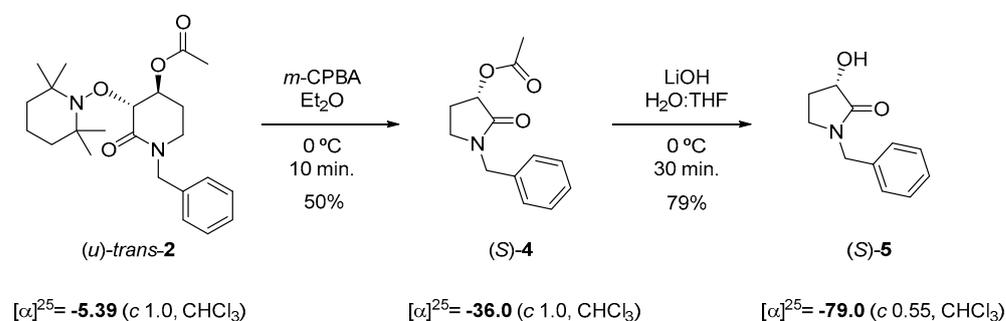


Figure 6. Chiral-HPLC acetylated compound (*u*)-*trans*-2 after 3 enzymatic resolutions.

Although energetically expensive (long reaction times and amount of enzyme), the last method represents an excellent enantiospecific strategy. This result can be attributed to the behavior exhibited by one of the *rac*-*trans*-2 enantiomers as the first substrate, which effectively enters the acyl pocket (See Supplementary Material, Figure S1b).

2.3. Chemical Correlation

To assign the absolute configuration, a chemical correlation was performed. Taking advantages that optically pure compound (*S*)-5 was prepared following Huang et al. [48] from (*S*)-malic acid, we transformed (*u*)-*trans*-2 to (*S*)-5 by applying deconstructive lactamization protocol [21] with *m*-CPBA followed by de-acylation in basic conditions of lactam (*S*)-4 (Scheme 4). The specific rotation for (*S*)-5 matched to reported $[\alpha]_D^{20} = -76.2$ (c 1.03, CHCl₃).



Scheme 4. Chemical correlation for determining the absolute configuration of (*u*)-*trans*-2.

The last result allowed us to assign the absolute configuration of (*u*)-*trans*-2 to be (3*R*, 4*S*).

Finally, the highly enantioenriched isolated materials (*u*)-*trans*-2 and (*u*)-*trans*-3 were also subjected to Circular Dichroism (CD) spectroscopy ($\lambda = 350\text{--}195$ nm, Conc. = 8×10^{-5} mol/L, solvent = CH₃CN). For the enzymatically solved alcohol (*u*)-*trans*-3, a negative Cotton effect was observed (Figure 7), while the acylated starting material (*u*)-*trans*-2 showed an opposite behavior to that expected from octant rule structures (Figure 8).

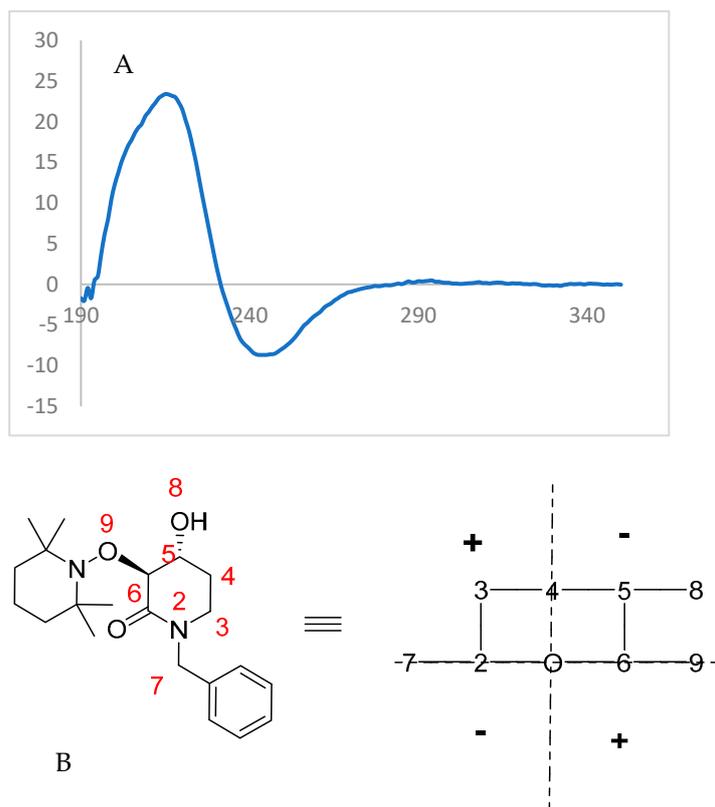


Figure 7. (A) Experimental CD spectrum of (3*S*,4*R*)-(+)-**3**. (B) Structure transformed with octant rule; a negative cotton effect is expected.

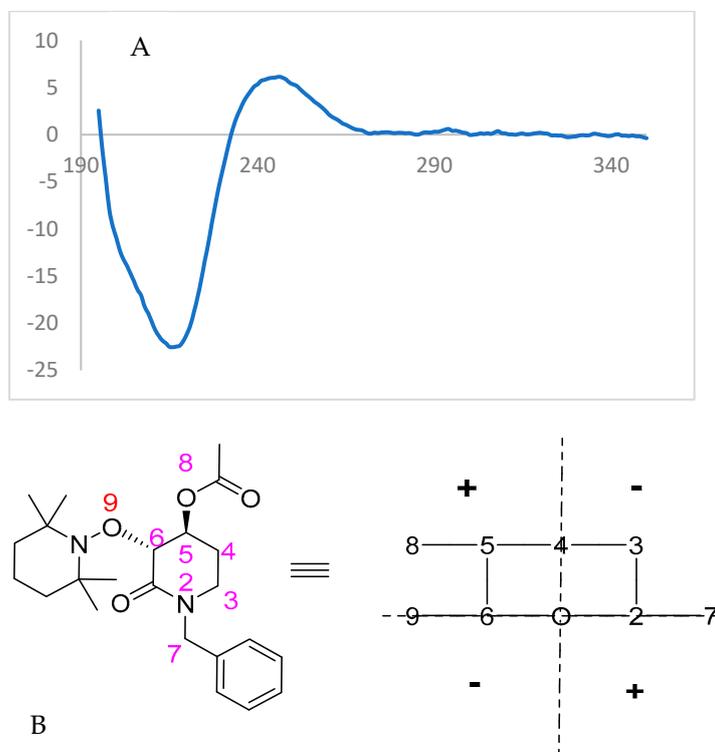


Figure 8. (A) Experimental CD spectrum of (3*R*,4*S*)-(-)-**3**. (B) Structured transformed with octant rule; a positive cotton effect is expected.

3. Materials and Methods

Commercially available reagents were used without further purification. When inertness conditions were required, the reactions were carried out under an inert argon atmosphere with dry solvents under anhydrous conditions. *Candida antarctica* lipase B (CAL-B) was obtained as Novozym[®] 435 (Novozymes Mexico). Solvents were used as technical grade and freshly distilled prior to use. Column chromatography (CC) was performed using silica gel (230–400 mesh) from Sigma-Aldrich, Toluca, Mexico with solvents indicated in the text. Analytical grade solvents were purchased from Tecsiquim Toluca, Mexico (*i*-PrOH), Caledon Laboratory Chemicals Georgetown, Ontario, Canada (*n*-hexane). NMR spectra were recorded with Bruker-500 (500 MHz) using as reference: TMS for ¹H (0.0 ppm) and CDCl₃ for ¹³C (77.16 ppm); chemical shifts (δ) are reported in parts per million (ppm) and Hz for the coupling constants (*J*). The following abbreviations (or combinations thereof) were used to explain the multiplicities: s = singlet, d = doublet, t = triplet, q = quartet, m = multiplet, and br = broadened. Melting points were not corrected and carried out using a Fisher-Scientific 12–144 melting point apparatus. Optical rotations were measured with an Autopol-III polarimeter using the sodium D line (589 nm).

Method for 1-Benzyl-2-oxo-3-((2,2,6,6-tetramethylpiperidin-1-yl)oxy)piperidin-4-yl acetate (*rac-trans*-2): To a solution of 4-hydroxy-3-alkoxyaminolactam *rac-trans*-3 [16] (1.2 g, 3.33 mmol) and 4-DMAP from Sigma-Aldrich, Toluca, Mexico (0.4 g, 3.33 mmol) in 33.3 mL of anhydrous CH₂Cl₂ at 0 °C was added Et₃N (0.5 g, 5.0 mmol) and acetic anhydride from Sigma-Aldrich, Toluca, Mexico (1.02 g, 10 mmol). After 5 min, the reaction mixture was left to warm and stirred for 1 h. Afterward, 20 mL of water was added, and both phases were separated. The aqueous phase was extracted with CH₂Cl₂ (25 mL \times 3). The combined organic phases were dried (Na₂SO₄), and the solvent was removed under reduced pressure. The residue was purified using column chromatography [SiO₂, hexanes:EtOAc, 5:1] to give 1.2 g (90%) of *rac-trans*-2 as a white solid. M.p.: 73–74 °C; ¹H NMR (500 MHz, CDCl₃) δ : 1.13 (br s, 6H), 1.25 (br s, 6H), 1.31–1.35 (m, 1H), 1.47–1.52 (br m, 5H), 1.90–1.95 (m, 1H), 2.01 (s, 3H), 2.28–2.35 (m, 1H), 3.21 (ddd, *J* = 12.5, 9.5, 6.0 Hz, 1H), 3.30 (ddd, *J* = 12.5, 7.5, 3.5 Hz, 1H), 4.29 (d, *J* = 15.0 Hz, 1H), 4.38 (d, *J* = 2.0 Hz, 1H), 4.94 (d, *J* = 15.0 Hz, 1H), 5.42 (q, *J* = 3.5 Hz, 1H), 7.25–7.33 (m, 5H); ¹³C NMR (125 MHz, CDCl₃) δ : 17.2, 20.3, 20.7, 21.1, 23.0, 33.3, 33.9, 40.4(2C), 42.2, 50.1, 60.6(2C), 69.2, 80.7, 127.5, 128.2(2C), 128.6(2C), 136.9, 167.4, 169.9. HRMS (FAB⁺) *m/z*: [M+H]⁺ Calcd for C₂₃H₃₅N₂O₄⁺ *m/z*: 403.5350, Found 403.2599.

Method for (3*S*,4*R*)-1-Benzyl-4-hydroxy-3-((2,2,6,6-tetramethylpiperidin-1-yl)oxy)piperidin-2-one ((*u-trans*-3). Enzymatic de-acylation reactions were carried out in sealed glass vials. The reactions consisted of the preparation of a solution of *rac-trans*-2 0.2 M in 2M2B, 2 to 4 equivalents of water, and 20 to 100 mg mL⁻¹ of CAL-B (Novozym[®] 435). The reaction mixtures were stirred in a thermostated water bath at 45 °C or 60 °C. At the end of the reaction, the enzyme was filtered off and washed with DCM. The solvent was evaporated in vacuum. The residue was purified using column chromatography, Hex:EtOAc; 95:05 to 60:40] to give the product (*u-trans*-3) as a white solid. Yield 39%; [α]_D²⁰ = +45.2 (c 1.0, CHCl₃) for product obtained at 60 °C. Spectral data ¹H and ¹³C NMR are consistent with the literature [16]. δ : 1.21–1.87 (m, 18H), 2.06 (dq, *J* = 13.5, 3.8 Hz, 1H), 3.14–3.24 (m, 2H), 4.28 (d, *J* = 14.6 Hz, 1H), 4.33 (m, 1H), 4.53 (d, *J* = 8.7 Hz, 1H), 4.83 (d, *J* = 14.6 Hz, 1H), 6.37 (br, 1H), 7.23–7.38 (m, 5H); ¹³C NMR (125 MHz, CDCl₃) δ : 17.2, 20.3, 20.7, 21.1, 23.0, 33.3, 33.9, 40.4(2C), 42.2, 50.1, 60.6(2C), 69.2, 80.7, 127.5, 128.2(2C), 128.6(2C), 136.9, 167.4, 169.9 HRMS (FAB⁺) *m/z*: [M+H]⁺ Calcd for C₂₁H₃₃N₂O₃⁺ *m/z*: 361.4680, Found 361.2516. Spectroscopic data for (*u-trans*-3) enantiomerically pure agree with those reported for racemic alcohol

Method for (S)-1-Benzyl-2-oxopyrrolidin-3-yl acetate (S-4): To an enantioenriched solution of (*u-trans*-2) (0.1 g, 0.25 mmol) in 8.3 mL of Et₂O at 0 °C was added *m*-CPBA (77%; 0.17 g, 0.75 mmol) slowly. After 10 min of stirring at this temperature, Et₃N (0.23 g, 2.25 mmol) and 5 mL of H₂O were added. The phases were separated, and the aqueous phase was extracted with Et₂O (3 \times 8 mL). The combined organic phases were dried with Na₂SO₄, and the solvent was removed under reduced pressure. The residue was purified

using column chromatography [SiO_2 , hexanes/EtOAc; 4:1 until TEMPO came out of the column, then 1:1] to give 23.9 mg (50%) of S-4 [2] as a colorless oil. $[\alpha]_{\text{D}}^{25} = -36.0$ ($c = 1.0$, CHCl_3); ^1H NMR (500 MHz, CDCl_3) δ : 1.93 (tdd, $J = 13.5, 9.5, 8.0$ Hz, 1H), 2.16 (s, 3H), 2.50–2.56 (m, 1H), 3.21 (dt, $J = 10.0, 7.5$ Hz, 1H), 3.29 (td, $J = 9.5, 2.5$ Hz, 1H), 4.45 (d, $J = 14.5$ Hz, 1H), 4.54 (d, $J = 14.5$ Hz, 1H), 5.35 (t, $J = 8.0$ Hz, 1H), 7.24–7.36 (m, 5H); ^{13}C NMR (125 MHz, CDCl_3) δ : 21.1, 26.1, 43.1, 47.2, 71.4, 128.0, 128.4(2C), 129.0(2C), 135.7, 170.2, 170.5.

Method for (S)-1-Benzyl-3-hydroxypyrrolidin-2-one (S-5): To a solution of S-4 (0.02 g, 0.086 mmol) in 2.9 mL of THF:H₂O (2:1) at 0 °C was added LiOH (6.2 mg, 0.26 mmol). The reaction mixture was stirred and monitored with TLC. Upon completion, an aqueous solution of KHSO₄ was added until a pH of 1–2 was reached. Then, 10 mL of Et₂O was added, the phases were separated, and the aqueous phase was extracted with Et₂O (3 × 10 mL). The combined organic phases were dried with Na₂SO₄, and the solvent was removed under reduced pressure. The residue was purified using column chromatography [SiO_2 , EtOAc:EtOH; 1:2] to give 13 mg (79%) of S-5 as a white solid. M.p.: 69–70 °C; $[\alpha]_{\text{D}}^{25} = -79.0$ ($c = 0.55$, CHCl_3); ^1H NMR (500 MHz, CDCl_3) δ : 1.91–1.99 (m, 1H), 2.39–2.45 (m, 1H), 3.15–3.27 (m, 2H), 4.01 (br, 1H), 4.41–4.51 (m, 3H), 7.23–7.35 (m, 5H); ^{13}C NMR (125 MHz, CDCl_3) δ : 27.9, 43.2, 47.2, 70.2, 127.9, 128.3(2C), 128.9(2C), 135.8, 175.0.

4. Conclusions

An asymmetric synthesis approach to *trans*-3-alkoxyamine-4-oxy-2-piperidones from a simple 4-hydroxypiperidine is described. The synthetic goal was achieved by combining selective dual C–H oxidation of piperidines mediated by the TEMPO cation and CAL-B enzymatic resolution. Since the functionalization of sp^3 C–H bonds is performed under transition-metal-free conditions and using cheap and innocuous reagents, this approach represents an eco-friendly alternative to access valuable alkaloid intermediates. This methodology also provides evidence for the capability to use the remaining material in order to achieve a good atom economy. Furthermore, the hydrolysis strategy seems to be a better alternative for bulky alcohol resolution. Deconstructive lactamization of an enantioenriched compound allowed for not only assigning the absolute configuration of the two chiral centers in the title compounds by chemical correlation but also enabled access to another valuable chiron with a high optical purity: (S)-4-hydroxy-2-pyrrolidinone [(S)-5]. Additionally, applications of this novel approach in the total synthesis of biologically important alkaloids will be reported in due course.

Supplementary Materials: The following supporting information can be downloaded at: <https://www.mdpi.com/article/10.3390/catal13040703/s1>, Figure S1. Schematic representation for: (a) resolution of the *rac-trans*-3 as the second substrate (for enantiomer (3*S*,4*R*)) and (b) resolution of the *rac-trans*-2 intermediate as the first substrate (for the fast-reacting enantiomer (3*S*,4*R*)-2).

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