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# Analysis of Forest Landscape Restoration Based on Landscape Connectivity: A Case Study in the Yi River Basin, China, during 2015–2020

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Abstract: Landscape connectivity has widely been recognized as one of the key objectives in studies of forest landscape management, ecological conservation and construction. Protecting virgin forests and afforesting marginal cropland are two long-term ecological projects in China. However, along with rapid urbanization and industrialization in China, the relationship between landscape connectivity and forest landscape restoration (FLR) has not been fully explored. The emergent question concerns whether the connectivity of a restored forest landscape could benefit the local flora and fauna. We evaluated the status of FLR in the Yi River watershed based on remote sensing images during 2015-2020. The forest landscape connectivity (FLC) was investigated using landscape connectivity indicators, applying the theory of landscape connectivity. We also examined the variations of FLC under different landform types (hills and low mountains) according to distance threshold values ranging from 100 m to 20,000 m. The most appropriate distance thresholds for analyzing FLC in hills and low mountains are 500 m and 100 m, respectively. The results showed that in this period, the FLC in low mountains was increased, whereas that of hills was decreased. The contributions for reforested patches on the improvement of the FLC were evaluated. In hills, patches that made "very high" and "high" contributions to improve the FLC occupied 15.6% of the total reforested area, whereas the proportion in low mountains was 25.5%. The results indicated that although rainfed cropland patches have been converted to forest patches, some of them have made small contributions to the FLC. Through this case study, we hope to have confirmed that landscape connectivity analysis could be used as a criterion for selecting important patches in the planning of FLR. Moreover, we have introduced this implementable method for future ecological restoration management programs.

Keywords: forest landscape restoration; landscape connectivity; distance threshold; Yi River basin



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# 1. Introduction

Landscape connectivity is a parameter to determine continuity among units of landscape spatial structure [1,2]. The definition of landscape connectivity was first introduced into landscape ecology in 1984, and has become one of the core subjects in this area [1,3]. It can describe the functions and ecological processes in landscapes and helps to identify organic connections among homogeneous and heterogeneous patches. These organic connections can not only be species' communication among organisms' communities, but also the direct exchange and transference of material and energy among landscape elements [2]. Research on landscape connectivity did not start until 1996 in China, which was relatively late [4,5]. However, with the process of large-scale urbanization and the loss of natural habitats in China, landscape connectivity has received increased attention in recent years. Research on landscape connectivity has been widely applied in fields such as Land 2021, 10, 904 2 of 11

the construction of landscape security patterns [6] and urban ecological networks [7,8], assessments of urban land suitability [9,10] and suitable habitats for wildlife [11], land use changes and its effects on landscape connectivity [12–14], etc. Previous studies have shown that landscape connectivity has significant impacts on forest ecosystem functions, such as seed migration and dispersal, animal migration, gene flow, infiltration interference and soil erosion, and further influences the completeness, sustainability and stability of forest ecosystems [14–17].

Forest landscape restoration (FLR) is widely known as an efficient approach to restore ecological functionality, benefit biodiversity, and improve ecosystem services in degraded forest landscapes [18–20]. Prospective forest restoration aims to meet the current and future human needs of forest resources. In China, the Returning Farmland to Forest Program (RFFP) is part of an FLR scheme. This grand ecological program has the largest investment, is the most policy-oriented, has the broadest coverage, and has the highest level of public participation [14]. Henan province initiated the RFFP in 2000, and this program was fully operational in 2002. The RFFP is an ongoing scheme. With the increase in urbanization, FLR will be developed further. The years 2015 and 2020 were the last in the 12th and 13th Five-Year Plans in China, respectively. The selection of this research period was to assess the implementation of the two plans, such as the status of FLR and the variation of forest landscape connectivity (FLC). However, whether the restored forest landscape is more conducive to promote the survival and development of local organisms, and how to assess the importance of cropland patches that need to be reforested before FLR, are questions which remain unclear [14,21–23].

Here, we raised three research questions: How did FLR change between 2015 and 2020? How did FLC change under different landforms? How have the reforested patches contributed to the FLC? In this study, FLR statuses in the Yi River basin in 2015 and 2020 were compared according to the land cover in these two years. On the basis of landscape connectivity theory, landscape connectivity indices that included the number of components (NC) and the integral index of connectivity (IIC) were used to analyze FLC. The index dIIC shows the importance of IIC. During the study period, some rainfed cropland patches were turned into forest patches. We calculated the dIIC of these reforested patches to reveal their contributions to improving the landscape connectivity. This study can help to determine the selection of important patches at the beginning of FLR, hence providing a scientific foundation for regional ecological restoration.

## 2. Materials and Methods

## 2.1. Site Description

The Yi–Luo River is an important tributary on the south bank of the lower reaches of the Yellow River. This typical twin river consists of the Yi River and the Luo River. The Yi River mainly flows through the city of Luoyang in Henan Province, China. The total length of the main stream is 237.4 km. Based on the digital elevation model (DEM) data (overall accuracy of around 30 m) of the Central Plains Economic Zone (CPEZ) of China, the extent of the Yi River basin (111°19′~112°55′ E, 33°39′~34°41′ N) was generated by the soil and water assessment tool (SWAT) in ArcMap 10.3 (Esri Inc., Redlands, CA, USA) (Figure 1). The total area of the basin is 5936.71 km².

Land 2021, 10, 904 3 of 11

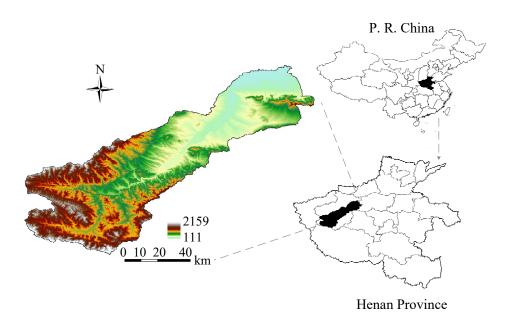


Figure 1. The location of the Yi River basin.

The elevation ranges from 111 m to 2159 m. The natural landforms are mountains, hills and valley plains, with proportions of 50%, 40% and 10%, respectively. The main landcover types are forests, cropland, grassland, and impervious surfaces. The basin is located on the border of China's warm temperate zone and north subtropical region; hence, there is an obvious transition from southwest to northeast, such as the precipitation progressively declining by an average of 700~900 mm. Forests are mainly concentrated in the southwest, with a total area of 3119.86 km² in 2020, which accounted for 53% of the whole basin. Deciduous, broad-leaved forests are dominant in the Yi River basin. The proportion of this type of forest is nearly 99% of the whole forest area. The other types of forests are evergreen needle-leaved forest, deciduous needle-leaved forest, and mixed forest. The natural protection is good in this basin. However, with the acceleration of urbanization, the natural environment has been disturbed by human activity. Driven by local policies, a series of ecological restoration plans have been implemented.

## 2.2. Data Resources

The DEM data (with 30 m precision) of the CPEZ were obtained from the National Science and Technology Infrastructure Center—National Earth System Science Data Sharing Infrastructure—Data Center of Lower Yellow River Regions.

Landscape data were collected from the data center of Tsinghua University, which contains the Global Land Cover with Fine Classification System at 30 m in 2015 and 2020 (GLC\_FCS30-2015, GLC\_FCS30-2020) [24]. Within this, overall GLC\_FCS30-2015 and GLC\_FCS30-2020 accuracies of 82.5% and 88.9%, respectively, were achieved.

## 2.3. Classification of Landform Types

Landform can directly or indirectly decide the distribution and utilization of different land use types. Here, we used the spatial analytic tool in ArcMap 10.3 (Esri Inc., Redlands, CA, USA) to classify landform types by elevation. The areas with elevation lower than 200 m were valley plains, between 200 m and 500 m were hills, between 500 m and 1000 m were low mountains, and above 1000 m were middle mountains (Figure 2). In this study, we mainly analyzed the connectivity characteristics of forest landscapes in hills and low mountains. During FLR, rainfed cropland patches are most likely to be returned to forest patches [14]. Hence, we also focused on the connectivity characteristics of rainfed cropland in hills and mountains, to analyze the importance of rainfed cropland patches on FLR.

Land 2021, 10, 904 4 of 11

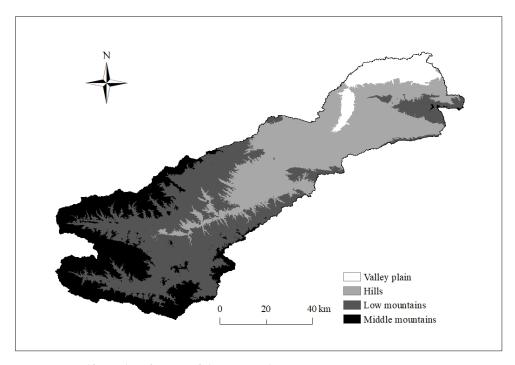


Figure 2. Landform classification of the Yi River basin.

## 2.4. Analysis of the FLC

We select forest patches with areas greater than 6 ha. Then, we used Conefor 2.6 (Duke University, Durham, NC, USA) to analyze landscape connectivity. We selected two binary indices, NC and IIC, to represent the FLC in the Yi River basin. Based on the result of IIC, we calculated dIIC to show the importance of patches that were reforested from rainfed cropland for the improvement of FLC.

NC represents the number of components. A component is a group of interconnected patches, and different components are isolated from each other. As a landscape becomes more connected, it will present fewer components [25]. When NC is equal to 1, it means that all the patches in the landscape are connected, and are subordinated to the same component. Moreover, the NC value does not change any more when the distance threshold increases.

IIC is the best binary index for analyzing landscape connectivity in this software [26,27]. It ranges from 0 to 1 and increases with improved connectivity. The increasing distance threshold provides larger searching ranges, and it is much easier for two random patches to connect with each other. The calculation formula is:

IIC = 
$$\frac{\sum_{i=1}^{n} \sum_{j=1}^{n} \frac{a_i \cdot a_j}{1 + nl_{ij}}}{A_L^2}$$
 (1)

Here, n is the total number of patches in the landscape,  $a_i$  and  $a_j$  are the areas of patches i and j,  $nl_{ij}$  is the number of links in the shortest path (topological distance) between patches i and j, and  $A_L$  is the maximum landscape area (which includes forest landscape patches and non-forest landscape patches).

The dIIC value can indicate the importance of a patch and helps to filter out the priority patches. Before the calculation, we screened out the rainfed cropland patches in 2015 and forest patches in 2020, and used the intersection tool in ArcGIS 10.3 (Esri Inc., Redlands, CA, USA) to obtain the reforested patches during this period. Patches with areas smaller than 6 ha were deleted. The calculation formula is:

$$dIIC(\%) = 100 \cdot \frac{IIC_{reforested} - IIC}{IIC}$$
 (2)

Land 2021, 10, 904 5 of 11

Here, IIC<sub>reforested</sub> is the IIC value of newly formed forest landscape from the reforested rainfed cropland. Using natural break classification to classify the dIIC values into five levels depends on the importance degree, which were very low, low, medium, high, and very high in this analysis.

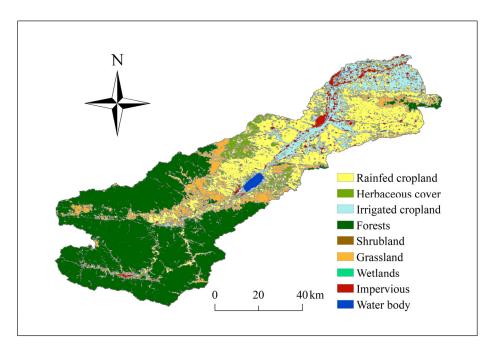
## 2.5. Selection of the Distance Thresholds

Calculations of landscape connectivity indices require the distance thresholds of landscape patches. When the distances between patches are greater than the thresholds, the patches are not considered to be connected; in contrast, when thresholds are greater than the distances, the patches are connected. Patch connectivity is related to the characteristics of organisms' migration and diffusion processes [16,28–30]. Based on the records of historical documents and statistical yearbooks, we have roughly investigated wildlife activity ranges in the Yi River basin. We set ten distance thresholds, 0.1 km, 0.5 km, 1 km, 2.5 km, 5 km, 7.5 km, 10 km, 15 km, 17.5 km and 20 km, when calculating the FLC.

#### 3. Results

## 3.1. Land Cover Change between 2015 and 2020

It is obvious that the area of forest landscape has expanded from 2015 to 2020 (Figure 3). In 2015, the area of forest landscape was 2639.07 km², occupying 45.5% of the total study area. In 2020, the area of forest landscape had expanded to 3119.86 km², occupying 53.8% of the total area. During this five-year period, 142.64 km² of rainfed cropland has been transferred into forests, while a larger area of rainfed cropland has been transformed into irrigated cropland. These changes followed the local policies. The RFFP promoted rainfed cropland to be turned into forests, and the vigorous development of water-saving agriculture has turned rainfed cropland into irrigated cropland. It is worth noting that 334.31 km² of grassland has been transformed into forests; the converted area is more than twice that of rainfed cropland (Table 1). Grassland here was mainly an area for tending young and newly afforested forests. Hence, the five-year changes clearly show the results of FLR in the Yi River basin.



(a)

Land 2021, 10, 904 6 of 11

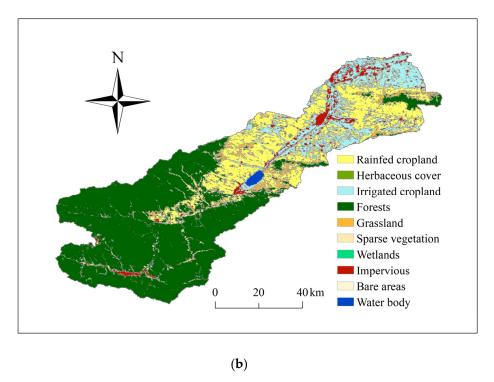


Figure 3. (a) Land cover of the Yi River basin in 2015; (b) Land cover of the Yi River basin in 2020.

**Table 1.** Land cover area (km<sup>2</sup>) transfer matrix in the Yi River basin.

2020	Rainfed Cropland	Irrigated Cropland	Forests	Grassland
Rainfed cropland	_	266.26	142.64	69.18
Irrigated cropland	101.72	_	6.19	3.08
Forests	10.31	1.23	_	5.03
Grassland	60.06	1.29	334.31	

#### 3.2. Variation of FLC between 2015 and 2020

Results show that NC values both in hills and low mountains were generally decreased as the distance thresholds increased, and then declined to 1. IIC values increased as the distance threshold increased. Within the same distance thresholds, IIC values in hills decreased from 2015 to 2020, whereas those in low mountains rose in this period (Tables 2 and 3). During the research period, the FLC in low mountain areas exhibited a rising trend, whereas in hills, it exhibited a downward trend.

## 3.3. Selection of an Appropriate Distance Threshold for Landscape Connectivity

Results of the FLC indices show, that in hilly areas, when the distance threshold was greater than 2500 m, the number of components was stably reduced to 1, and the numbers of patches in the largest components increased to 761 and 740, respectively (Table 2), which meant that the forest landscape had achieved full connection. Therefore, 2500 m was the greatest distance threshold forests in the hills; an appropriate distance threshold is less than 2500 m. In the low mountains, when the distance threshold was greater than 1000 m, the number of components was stably reduced to 1, and the numbers of patches in the largest components increased to 396 and 367, respectively. Hence, 1000 m is the greatest distance threshold for forests in the low mountains.

Apart from the diffusion distances of species, the enforceability of landscape connectivity and the objective demands for different levels should also be considered when selecting the appropriate distance threshold [22]. The trends of NC and the number of patches in the largest component under different distance thresholds intersects; this inter-

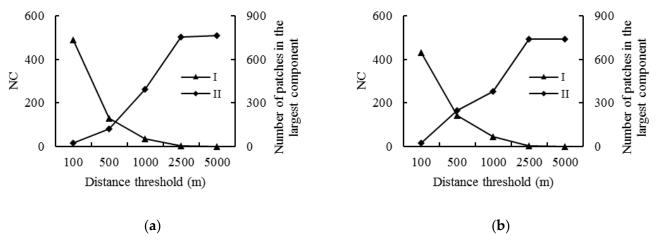
Land 2021, 10, 904 7 of 11

section shows the appropriate distance threshold. The results show that the appropriate distance threshold of hills is 500 m (Figure 4), and the appropriate distance threshold of low mountains is 100 m (Figure 5). A lower distance threshold can better reflect the subtle relationships among landscape patches.

			2015		2020					
Distance			The Largest	t Component			The Largest Component			
Threshold (m)	NC	IIC	Patch Amount (Proportion/%)	Patch Area (km²) (Proportion/%)	NC	IIC	Patch Amount (Proportion/%)	Patch Area (km²) (Proportion/%)		
100	490	0.0032	26 (3.4)	30.06 (10.9)	432	0.0028	22 (3.0)	21.88 (8.7)		
500	129	0.0046	111 (15.0)	62.25 (22.5)	143	0.0046	248 (33.5)	99.08 (39.5)		
1000	34	0.0069	394 (51.8)	140.55 (50.8)	45	0.0059	380 (51.4)	129.52 (51.6)		
2500	4	0.0098	756 (99.3)	274.81 (99.2)	2	0.0088	737 (99.6)	250.15 (99.6)		
5000	1	0.0119	761 (100)	276.92 (100)	1	0.0108	740 (100)	251.08 (100)		

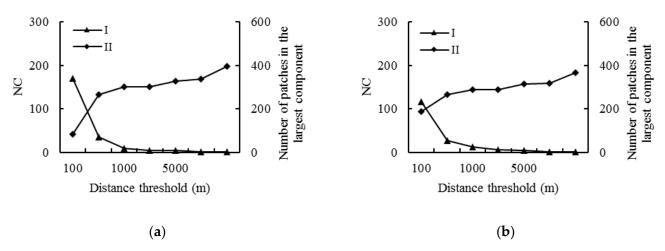
**Table 3.** FLC indices of low mountains under different distance thresholds.

			2015		2020				
Distance			The Largest	t Component			The Largest Component		
Threshold (m)	NC	IIC	Patch Amount (Proportion/%)	Patch Area (km²) (Proportion/%)	NC	IIC	Patch Amount (Proportion/%)	Patch Area (km <sup>2</sup> ) (Proportion/%)	
100	170	0.076	83 (21.0)	1198.42 (77.6)	117	0.100	189 (51.5)	1399.77 (87.7)	
500	36	0.084	267 (67.4)	1402.65 (90.8)	28	0.103	266 (72.5)	1447.46 (90.7)	
1000	10	0.085	300 (75.8)	1411.08 (91.4)	12	0.104	287 (78.2)	1450.76 (90.9)	
2500	5	0.088	302 (76.3)	1411.31 (91.4)	6	0.105	289 (78.8)	1450.93 (91.0)	
5000	4	0.093	328 (82.8)	1443.62 (93.5)	4	0.107	315 (85.8)	1485.43 (93.1)	
15,000	2	0.100	337 (85.1)	1445.67 (93.6)	2	0.111	319 (86.9)	1493.01 (93.6)	
17,500	1	0.103	396 (100)	1544.37 (100)	1	0.115	367 (100)	1595.31 (100)	



**Figure 4.** NC (I) and the number of patches in the largest component (II) of forest in hills under different distance thresholds in 2015 (a) and 2020 (b).

Land 2021, 10, 904 8 of 11



**Figure 5.** NC (I) and the number of patches in the largest component (II) of forest in low mountains under different distance thresholds in 2015 (a) and 2020 (b).

# 3.4. Analysis of dIIC of Rainfed Cropland Patches

With the increasing distance thresholds, the overall trend in the maximum values of dIIC (dIIC $_{max}$ ) for rainfed cropland patches in hill was downward, and when the distance threshold was larger than 7500 m, the variation range was narrow. In low mountains, there was a floating change in dIIC $_{max}$  under different distance thresholds (Table 4). However, when the distance threshold was larger than 5000 m, the variation range became narrow.

**Table 4.** Values of  $dIIC_{max}$  for rainfed cropland patches under different distance thresholds.

	100	500	1000	2500	5000	7500	10,000	15,000	17,500	20,000
Hills	25.96	23.70	18.75	15.23	12.39	10.17	10.21	10.47	10.21	9.93
Low Mountains	13.33	28.31	28.84	12.57	8.07	6.24	5.65	5.48	8.37	8.61

According to the calculation results above, we chose 500 m as the distance threshold for hills, and 100 m as the distance threshold for low mountains. The levels of contributions for each rainfed cropland patch are visualized in Figure 6.

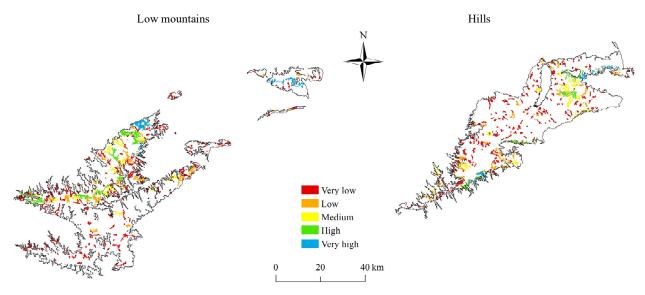


Figure 6. Contribution of reforested patches to the improvement of FLC.

Land **2021**, 10, 904 9 of 11

In hills, eight reforested patches made "very high" and "high" contributions to improving the FLC, which occupied 15.6% of the total reforested area. Fifteen reforested patches in low mountains made "very high" and "high" contributions to improving the FLC, and the proportion was 25.5% (Table 5). By visualizing the importance of each patch that had been reforested from rainfed cropland, it can clearly be seen that their contributions to improve the FLC are different. Some patches with small areas could still contribute considerably.

		Patches	in Hills	Patches in Low Mountains			
	Amount	Area (km²)	Proportion of Total Area	Amount	Area (km²)	Proportion of Total Area	
Very low	214	24.29	43.8%	212	27.97	38.8%	
Low	61	13.28	23.9%	51	15.06	20.9%	
Medium	25	9.20	16.6%	17	10.75	14.9%	
High	6	4.46	8.0%	9	10.57	14.7%	
Very high	2	4.22	7.6%	6	7.76	10.8%	

Table 5. Information of reforested patches in hills and low mountains at different levels.

#### 4. Discussion

The Yi River basin is located in a major area of national forest reserves in Henan province. This region is an important ecological shelter. According to the local 13th Five-Year Plan, it is known that the most important task was to fully stop commercial felling during 2015 and 2020, and then actively implementing a new round of the RFFP scheme. Through the analysis of land cover changes between 2015 and 2020, it is clear that some rainfed cropland has been converted into forest. There are also certain areas of grassland which have been converted to forest during this period. According to field investigations, these grasslands were planned to tend young, newly afforested forests. It is obvious that under the RFFP, areas of artificial forest are continuously increasing. For the first few years, the species composition of these artificial forests was not comparable with natural forests, but the ecological value of FLR in the study area will evolve over time. Hence, long-term observations should be part of future work.

Landscape connectivity is codetermined by the structural characteristics of landscapes and the behavioral characteristics of organisms. Hence, the degree of connection of the same landscape is not necessarily the same from different perspectives. Species with high diffusivity can easily migrate from one patch to another, whereas species with low diffusivity need some stepping-stones between patches during their migration. Especially in this study, forest areas were small and scattered in hills. Reforested patches with greater importance to the FLC are vital to conserve biodiversity. In many studies, the distance thresholds are relatively small; most of them are less than 500 m [14,22]. In this study, the dIIC<sub>max</sub> value of reforested patches in hills was the greatest when the distance threshold was less than 500 m. This distance slightly overlaps with the main diffusion distances of small rodents, reptiles and amphibians. These animals are low on the food chain; therefore, ensuring their species connectivity can benefit the maintenance of ecosystem stability.

In other words, to evaluate the importance of potential reforested patches on improving FLC before FLR is of great significance. Analysis of the landscape connectivity index based on spatial graph theory can provide applicable, practical methods, especially for species with different diffusivities in FLR. Through the analysis in our study, it is obvious that the importance of patches reforested from rainfed cropland is significant. Considering the most important patches before FLR proceeds can effectively improve the FLC, thus promoting the effective conservation of biodiversity.

However, there are still some shortcomings in this research. The calculation of land-scape connectivity and division of patch components depends on the geometric distance between two random patches; we did not take landscape heterogeneity and differences in land cover resistance into account. In addition, the biological characteristics of regional species and their dispersal capacity also need to be further considered and analyzed. Furthermore, discovering how to analyze FLC for certain species in larger areas based on the

Land 2021, 10, 904 10 of 11

landscape connectivity method would be important to develop understandings of forest ecosystem functions.

#### 5. Conclusions

This study provides an applicable and practical way to select important patches during FLR through the analysis of FLC. Combined with simply describing the status of landscape patterns by landscape indices, this method could clearly identify the contribution degree of each afforested patch of rainfed cropland for improvements in FLC. Accordingly, this could be utilized to select important patches and decide the restoring order at the beginning of FLR. In theory, this method could be applied to other territorial contexts. This study has referential significance on the conservation of regional biodiversity and improvements of forest ecosystem functions.

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Land 2021, 10, 904 11 of 11

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