

## Article

# Mapping Tropical Forest Biomass by Combining ALOS-2, Landsat 8, and Field Plots Data

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**Abstract:** This research was carried out in a dense tropical forest region with the objective of improving the biomass estimates by a combination of ALOS-2 SAR, Landsat 8 optical, and field plots data. Using forest inventory based biomass data, the performance of different parameters from the two sensors was evaluated. The regression analysis with the biomass data showed that the backscatter from forest object ( $\sigma^{\circ}_{\text{forest}}$ ) obtained from the SAR data was more sensitive to the biomass than HV polarization, SAR textures, and maximum NDVI parameters. However, the combination of the maximum NDVI from optical data, SAR textures from HV polarization, and  $\sigma^{\circ}_{\text{forest}}$  improved estimates of the biomass. The best model derived by the combination of multiple parameters from ALOS-2 SAR and Landsat 8 data was validated with inventory data. Then, the best validated model was used to produce an up-to-date biomass map for 2015 in Yok Don National Park, which is an important conservation area in Vietnam. The validation results showed that 74% of the variation of in biomass could be explained by our model.

**Keywords:** forest biomass; SAR; L-band ALOS-2; backscatter; texture; Landsat 8; NDVI; accuracy; Vietnam

## 1. Introduction

The role of forests in mitigating global climate change was again recognized in the Paris Agreement, with “key components of landmark climate deal agreed as well as an instrument to contribute to reducing emissions and enhancing carbon sinks” (COP 21, 2015). According to the latest FAO report on global forest resource assessment, forest area reduced by 3% from 4128 M ha (1990) to 3999 M ha (2015) globally [1]. The rate of forest loss is higher in the tropics, whereas the temperate forest area has increased. The forest loss is higher in low income countries [2], and deforestation is a serious issue in many places in the world [3]. Information on forest biomass is essential for increasing our understanding of the terrestrial carbon cycle and promoting conservation and sustainable management of the forest resources. In recent years, remote sensing has become a major source of data to estimate biomass, carbon storage, and CO<sub>2</sub> sequestration [4–6].

Satellite data from optical sensor have been used widely for the estimation of forest structural characteristics [7–11]. However, the application of optical data to estimate forest biomass in the

tropical regions is very challenging while dense and multi-storied canopies are present in the tropics, and the optical data are usually contaminated by frequent cloud cover present in the tropics [4,12–14]. However, radar pulses as long-wavelength microwaves with the capability of working both night and day in any atmospheric conditions can penetrate through the structure of trees (e.g., trunks and branches) [13,15–17]. Nevertheless, the radar backscattering obtained from forests is complex because of the different types of scattering such as double bounce scattering from the ground, surface scattering from the soil surface, volume scattering from canopy, helix scattering from the tree stem structure, and wire scattering from the sharp edges of the canopy layer [18–21]. Previous studies have reported that the scattering is affected by different factors such as terrain [22–26], temperature [27,28], dielectric constant and moisture contents [29–32], and characteristics of the vegetation structure, coverage, and species [23,33,34].

Though a large number of studies have used SAR data for the estimation of biomass [16,26,27,35–45], other studies have found promising results from a combination of SAR data with optical data [46–50]. Most recent studies have concluded that HV polarization provides better correlation with the biomass than HH polarization [16,39,40,51]. Moreover, the dry season HV backscattering intensity was highly sensitive to the biomass compared to the rainy season backscattering intensity [51]. Studies have also used texture values from the SAR images to estimate forest structure and biomass [41,52,53]. Recently, the P-band BIOMASS mission was proposed by the European Space Agency (ESA) to be launched in 2020; it aims to measure global forest biomass by both intensity and tomography techniques [54,55].

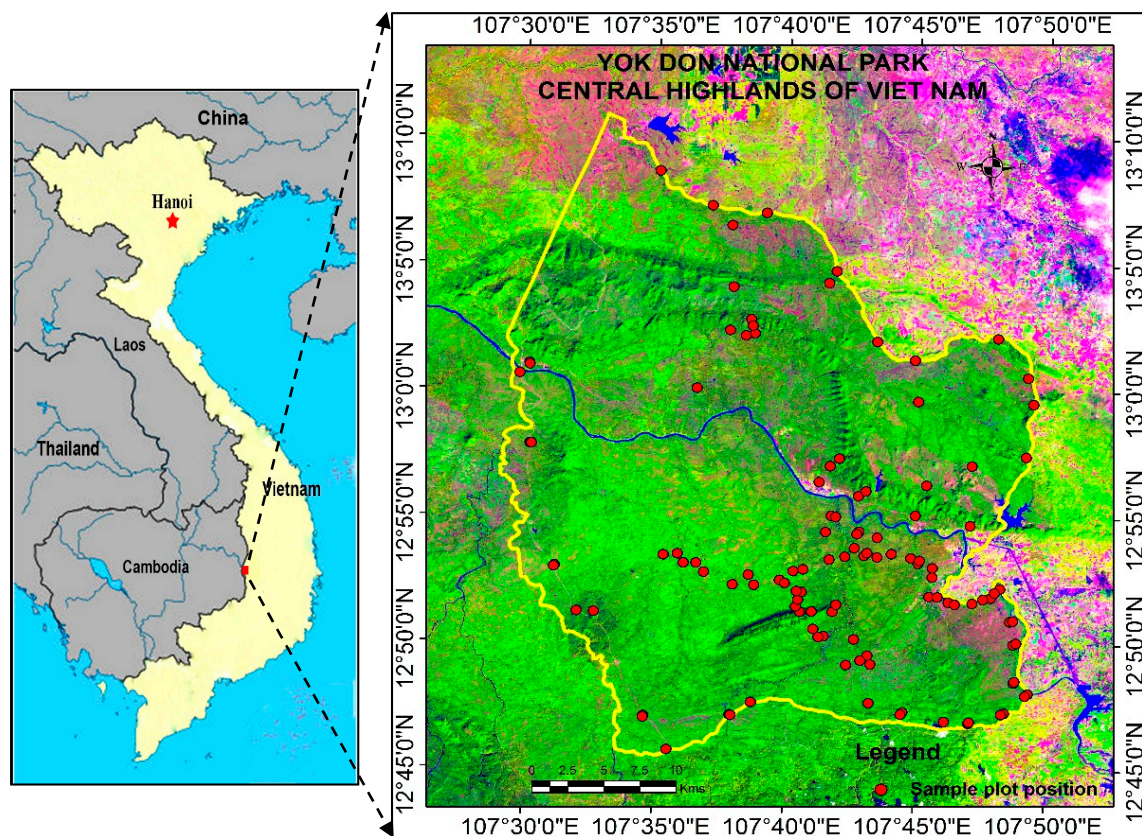
The main objective of this research is to improve estimates of biomass by combining ALOS-2 SAR, Landsat 8, and field plots data in a tropical forest with high biomass values. First, we evaluate the ability of each sensor separately, and then we develop a model by combining the parameters from both sensors for the estimation of biomass. The best model is further validated with the field plots data, and an up-to-date biomass map is produced based on the validated best model. This research was conducted in Vietnam which has more than 40% forest cover; it is also one of the nine countries chosen for the United Nations' program on Reducing Emissions from Deforestation and forest Degradation (REDD+).

## 2. Study Area and Dataset

### 2.1. Study Area

The research was conducted in Yok Don National Park in the Central Highlands of Vietnam. This park was chosen for this study because it is located in the tropical forest. The forest of Yok Don National Park is classified into two major types [56–58]: (a) dry, deciduous broadleaf forest, and (b) evergreen broadleaf forest. The dominant species of the dry deciduous broadleaf forest are *Dipterocarpus tuberculatus*, *Dipterocarpus obtusifolius*, *Dipterocarpus intricatus*, *Terminalia tomentosa*, *Shorea siamensis*, and *Shorea obtuse*; the dominant species of the evergreen broadleaf forest are *Michelia mediocris*, *Cinamomum iners*, *Syzygium zeylanicum*, *Garruga pierrei*, *Gonocaryum lobbianum*, *Schima superba*, *Lithocarpus fenestratus*, and *Syzygium wightianum*. The main soil types in Yok Don National Park are black, brown, and red-yellow [59,60]. The topography contains relatively plain topography with an average slope of about 10° and an altitude of 200–300 m above mean sea level [59,61]. The location map of the study is shown in Figure 1.

The climate of this region is tropical monsoon, which has a well-defined dry season between October and April. The mean annual rainfall is 1530 mm, the average annual evaporation is 1470 mm, and the mean monthly temperature is around 25 °C. The rainy season, which is between May and November, is when more than 93% of the annual rainfall occurs.



**Figure 1.** Location map of the study area with sample plot positions. The RGB color composite (right figure) is based on Landsat 8 OLI data, and the boundary of Yok Don National Park is shown in the yellow polygon.

## 2.2. Satellite Dataset

In this study, we used the Advanced Land Observing Satellite-2 Synthetic Aperture Radar (ALOS-2 SAR), provided by Japan Aerospace Exploration Agency (JAXA), a Japanese satellite launched in 2014, which operates in L-band radar and collects very high spatial resolution data. ALOS-2 SAR data with version 2.1, which has 6.25 m pixel resolution, was selected from February 2015 (dry season) to reduce the effects of moisture on the signal of radar data [51]. A cloud-free Landsat 8 scene of October 2015 (rainy season) was selected. The details on the ALOS-2 SAR and Landsat 8 data used in the research are shown in Tables 1 and 2, respectively.

**Table 1.** ALOS-2 SAR data used in this research.

No.	Scene ID	Observation Date	Observation Angle	Polarizations	Season
1	ALOS2040600240-150222-FBDR2.1GUA	22 February 2015	32.9°	HH, HV	Dry
2	ALOS2040600250-150222-FBDR2.1GUA	22 February 2015	32.9°	HH, HV	Dry

**Table 2.** Landsat 8 data used in this research.

No	ID	Observation Date	Path/ Row	Bands Used	Season
1	LC81240512015289LGN00	16 October 2015	124/051	B6, B5, B4, B8	Rainy

Precipitation data on the day and seven days prior to the acquisition of SAR and optical data are shown in Table 3 (MONRE, 2015) [62].

**Table 3.** Precipitation data on the day and seven days prior to the acquisition of SAR and optical data.

Month/Year	Daily Rainfall (mm)									Total Rainfall (mm)
	Days	15	16	17	18	19	20	21	22	
February 2015	Rainfall (mm)	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
October 2015	Days	9	10	11	12	13	14	15	16	40.5
	Rainfall (mm)	0.0	0.0	12.0	27.7	0.8	0.0	0.0	0.0	

### 3. Methodology

#### 3.1. Field Biomass Estimation

Field surveys are important for collecting in situ data for accuracy analysis of the satellite-based estimates. We organized an intensive field campaign during April 2015 to collect the ground truth data. In total, 110 sample plots were established in the study area. The size of a sample plot is 1 ha ( $100 \times 100$  m). We measured the diameter at breast height ( $D_{1.3\text{ m}}$ ) and tree height (H).

We used allometric equations for calculating above ground biomass (AGB). The allometric equations were developed by the UN-REDD Vietnam program for the Central Highlands region of Vietnam [63]. It provides separate equations for calculating the AGB of deciduous forest type (Equation (1)) and evergreen forest type (Equation (2)). The individual tree diameter data at breast height 1.3 m (D) and tree height (H) were used to calculate the above ground biomass.

$$\text{AGB} = 0.14 \times D^{2.31} \quad (1)$$

$$\text{AGB} = 0.098 \times \exp(2.08 \times \ln(D) + 0.71 \times \ln(H) + 1.12 \times \ln(WD)) \quad (2)$$

In Equations (1) and (2), AGB is the aboveground biomass of a tree in kilograms (kg), D is the diameter at breast height (1.3 m) in meters (m), H is the total tree height in meters (m), and WD is the wood density (tons dry matter/m<sup>3</sup> fresh volume) (IPCC, 2003) [64].

The summary of the inventory data is described in Tables 4 and 5 for the training and validation plots, respectively. The distribution of the sample plot positions is shown in Figure 1.

**Table 4.** Forest inventory parameters for the training data.

Parameter	Deciduous Forest				Evergreen Forest			
	Minimum	Maximum	Mean	Standard Deviation	Minimum	Maximum	Mean	Standard Deviation
Diameter (m)	8.14	38.52	18.29	6.73	16.87	48.74	19.39	13.33
Height (m)	6.33	38.52	10.97	2.93	10.01	18.23	12.18	3.14
Biomass (Mg·ha <sup>-1</sup> )	42.46	350.18	134.82	73.10	167.49	347.98	309.59	73.84

**Table 5.** Forest inventory parameters for the validation data.

Parameter	Deciduous Forest				Evergreen Forest			
	Minimum	Maximum	Mean	Standard Deviation	Minimum	Maximum	Mean	Standard Deviation
Diameter (m)	9.89	39.41	16.74	6.17	12.51	44.82	20	15.11
Height (m)	6.06	17.67	10.635	2.73	6.17	17.67	12.95	4.57
Biomass (Mg·ha <sup>-1</sup> )	63.45	324.75	137.99	69.8	56.19	347.21	308.34	135.07

#### 3.2. Processing of Satellite Data

The digital number (DN) values of the ALOS-2 SAR images in both the HH and HV polarizations were calibrated by calculating the backscattering intensity using Equation (3) [65]:



$$\sigma^{\circ} = 10 \times \log_{10} (\text{DN}^2) + C \quad (3)$$

In Equation (3),  $\sigma^{\circ}$  is the sigma naught backscattering intensity and CF is the calibration factor, which is currently set as  $-83$  (JAXA, 2014) [65].

A three-component scattering model was used to decompose polarimetric SAR images. The covariance matrix approach is used to deal with the non-reflection symmetric scattering case, which describes double bounce ( $\sigma^{\circ}_{\text{tree}}$ ), and surface ( $\sigma^{\circ}_{\text{ground}}$ ) and volume scattering ( $\sigma^{\circ}_{\text{vegetation}}$ ) [66,67].

The scattering matrix for the double-bounce scattering is given in Equation (4).

$$\sigma^{\circ}_{\text{tree}} = \begin{bmatrix} |\alpha|^2 & \alpha & 0 \\ \alpha^* & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix} \quad (4)$$

where  $\alpha = \frac{S_{HH} - S_{VV}}{S_{HH} + S_{VV}}$  and  $|\alpha| < 1$

The scattering matrix for the surface scattering is given in Equation (5).

$$\sigma^{\circ}_{\text{ground}} = \begin{bmatrix} 1 & \beta^* & 0 \\ \beta & |\beta|^2 & 0 \\ 0 & 0 & 0 \end{bmatrix} \quad (5)$$

where  $\beta = \frac{R_h - R_v}{R_h + R_v}$  gives the Fresnel reflection coefficients for horizontal and vertical polarizations.

The scattering matrix for the volume scattering is given in Equation (6).

$$\sigma^{\circ}_{\text{vegetation}} = \frac{1}{4} \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix} \quad (6)$$

The backscattering from vegetation and ground surface is shown in Equation (7) based on Poolla [22]:

$$\sigma^{\circ}_{\text{forest}} = \sigma^{\circ}_{\text{vegetation}} + \sigma^{\circ}_{\text{ground}} T_{\text{tree}} \quad (7)$$

In Equation (7),  $\sigma^{\circ}_{\text{forest}}$  is backscatter from forest objects,  $\sigma^{\circ}_{\text{vegetation}}$  is backscatter from vegetation objects,  $\sigma^{\circ}_{\text{ground}}$  is backscatter from the ground surface, and  $T_{\text{tree}}$  is tree transmissivity. Equation (7) can be rewritten as shown in Equation (8) for the ALOS-2 SAR data used in this study:

$$\sigma^{\circ}_{\text{forest}} = \sigma^{\circ}_{\text{HV}} + \sigma^{\circ}_{\text{HH}} * \frac{\sigma^{\circ}_{\text{HH}}}{\sigma^{\circ}_{\text{HV}}} \quad (8)$$

In Equation (8),  $\sigma^{\circ}_{\text{HV}}$  is the backscattering value from HV polarization and  $\sigma^{\circ}_{\text{HH}}$  is the backscattering value from HH polarization.

The Gray-Level Co-Occurrence Matrix was used to calculate the texture values, which is a function of both the angular relationship and distance between two neighboring pixels [68]. We used a window size of  $5 \times 5$ , and took the average of four directions: horizontal, vertical, and two diagonals. In this study, we used eight texture values from ALOS-2 SAR image including, Contrast, Correlation, Dissimilarity, Entropy, Homogeneity, Mean, Second Moment, and Variance [68,69]. The formulas for the texture measurements used in this study are shown in Equations (9)–(16):

$$\text{Contrast} = \sum_{n=0}^{N_g-1} n^2 \sum_{i=1}^{N_g} j \sum_{j=1}^{N_g} p(i, j) \quad (9)$$

$$|i - j| = n$$

$$\text{Correlation} = \frac{\sum_i j \sum_j (i, j) p(i, j) - \mu_x \mu_y}{\sigma_x \sigma_y} \quad (10)$$

$$Dissimilarity = \sum_{n=0}^{N_g-1} n \sum_{\substack{i=1 \\ |i-j|=n}}^{N_g} j \sum_{j=1}^{N_g} p(i, j)^2 \quad (11)$$

$$Entropy = \sum_i j \sum_j p(i, j) \log(p(i, j)) \quad (12)$$

$$Homogeneity = \sum_i \sum_j \frac{1}{1 + (i - j)^2} p(i, j) \quad (13)$$

$$Mean = \sum_{i,j=0}^{n-1} i p_{i,j} \quad (14)$$

$$SecondMoment = \sum_i j \sum_j \{p(i, j)\}^2 \quad (15)$$

$$Variance = \sum_i j \sum_j (i - u)^2 (p(i, j)) \quad (16)$$

In Equations (9)–(16),  $p(i, j)$  is the normalized co-occurrence matrix such that  $\sum (i, j = 0, n - 1, p(i, j)) = 1$  and  $\mu_x, \mu_y, \sigma_x, \sigma_y$  are the means and standard deviations of  $p_x, p_y$ .

From the Landsat 8 image, Normalized Difference Vegetation Index (NDVI) was calculated [70]. Previous studies have shown that the NDVI value has good correlation with the biomass [50,71–73]. In this forest site, plants start sprouting from April, reach their peak in October, and start to fall in November. So the NDVI value of October is taken as the maximum NDVI. The NDVI (Equation (17)) was calculated using the surface reflectance value of the near infrared ( $R_N$ ) and red ( $R_R$ ) bands:

$$NDVI = \frac{R_N - R_R}{R_N + R_R} \quad (17)$$

### 3.3. Accuracy Analysis

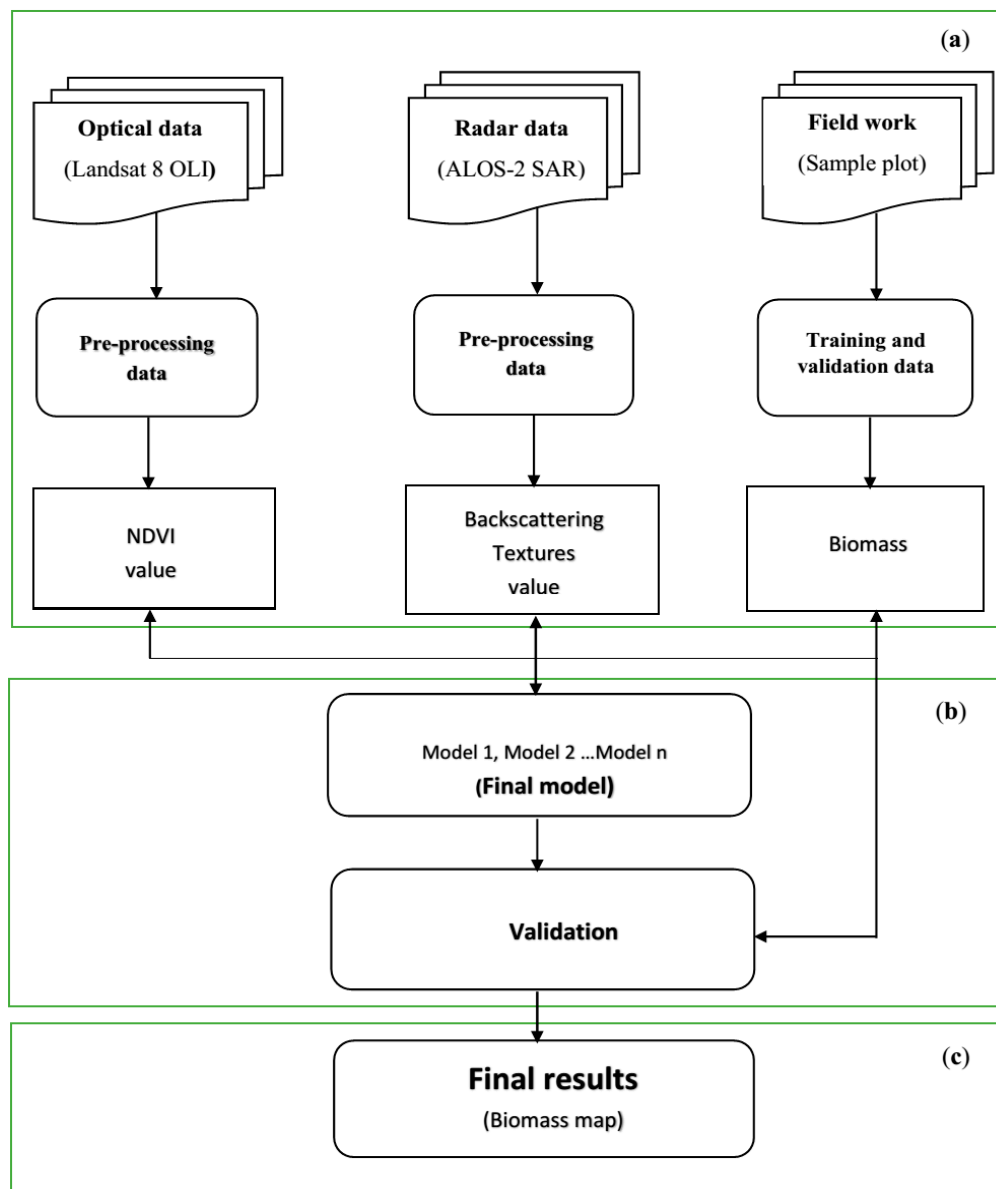
For each plot, the mean backscattering intensity of HV polarization from ALOS-2 SAR data, mean NDVI values from Landsat 8 data, and mean texture values from SAR and NDVI data were calculated. Out of 110 plots, 55 plots were randomly chosen as the training plots and another 55 plots as the validation plots. The sensitivity of the different parameters to the biomass was statistically analyzed by using simple linear regression and multiple linear regression analysis. The coefficient of determination ( $R^2$ ) in Equation (18) and root mean square error (RMSE) in Equation (19) were used as the metrics for evaluating the relationships. In addition, RMSE% was also calculated by dividing the RMSE value by the mean of the observed biomass values and multiplying by 100.

$$R^2 = 1 - \frac{\text{Explained Sum of Squares}}{\text{Total Sum of Squares}} \quad (18)$$

$$RMSE = \sqrt{\frac{1}{n} \sum_{j=1}^n (\text{Predicted biomass} - \text{Observed biomass})^2} \quad (19)$$

In Equations (18) and (19), predicted biomass is the biomass value obtained from the model, observed biomass is the biomass value from the inventory data, and N is the number of sample plots used.

The detailed methodology of this study is shown in the flow chart in Figure 2.

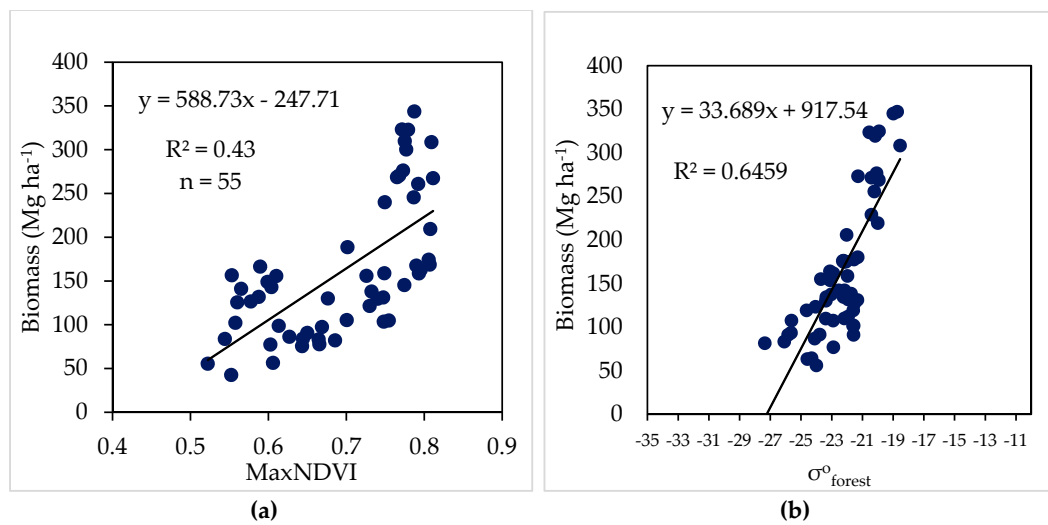


**Figure 2.** Flowchart describing the methodology of this study: (a) pre-processing; (b) modeling; and (c) mapping.

## 4. Results and Discussion

### 4.1. Estimates Using Single Sensor

The relationships between the field-estimated biomass and Landsat 8-based NDVI-maximum and ALOS-2 SAR-based  $\sigma^{\circ}_{\text{forest}}$  values are shown in Figure 3a,b, respectively. The  $\sigma^{\circ}_{\text{forest}}$  could estimate 64% of the variability in the biomass ( $R^2 = 0.64$ ,  $\text{RMSE} = 48.04 \text{ Mg}\cdot\text{ha}^{-1}$ ) better than NDVI-maximum ( $R^2 = 0.43$ ,  $\text{RMSE} = 60.45 \text{ Mg}\cdot\text{ha}^{-1}$ ). The multiple linear regression analysis between the SAR textures (eight types) and biomass could explain 36% of the variability in the biomass ( $R^2 = 0.36$ ,  $\text{RMSE} = 64.06 \text{ Mg}\cdot\text{ha}^{-1}$ ). We also analyzed multiple linear regression between the biomass and two independent variables of the SAR data (HV and HH polarization data); the result was  $R^2 = 0.57$ ,  $\text{RMSE} = 52.51 \text{ Mg}\cdot\text{ha}^{-1}$ .



**Figure 3.** (a) The relationship between biomass and max NDVI value; (b) the relationship between biomass and  $\sigma^{\circ}_{\text{forest}}$  value.

In our previous study [51], the dry season HV backscattering intensity was found to be highly sensitive ( $R^2 = 0.57$ ) to the biomass compared to the rainy season backscattering intensity ( $R^2 = 0.34$ ). The SAR data acquired in the rainy season with humid and wet canopies were not very sensitive to the in situ biomass data. Therefore, we only used the dry season SAR data in this research.

The performance of the single-sensor-based parameters for the estimation of biomass is shown in Table 6.

**Table 6.** Summary of the single-sensor-based estimates of the biomass in terms of coefficient of determination ( $R^2$ ) and root mean square error (RMSE).

Model	Parameter used	$R^2$	RMSE
Model 1	Max NDVI	0.43	60.45
Model 2	$\sigma^{\circ}_{\text{forest}}$	0.64	48.04
Model 3	SAR textures *	0.36	64.06
Model 4	HV, HH	0.57	52.51

\* Eight textures of HV: Contrast, correlation, dissimilarity, entropy, homogeneity, mean, second moment, and variance.

#### 4.2. Estimates Using Both Sensors

We used multiple linear regression to improve the biomass estimates by combining multiple parameters from ALOS-2 and Landsat 8 data. The performance of the different combinations of parameters using the training and validation data is shown in Table 7.

**Table 7.** The training and validation results using coefficient of determination ( $R^2$ ) and root mean square error (RMSE)

Model	Parameter Used	Training Model		Validation Model		Significance F
		$R^2$	RMSE	$R^2$	RMSE	
Model 5	Max NDVI and SAR textures *	0.62	49.36	0.60	55.13	$3 \times 10^{-7}$
Model 6	HV and SAR textures *	0.66	46.69	0.63	44.64	$3 \times 10^{-8}$
Model 7	Max NDVI, HV and SAR textures *	0.73	41.60	0.70	39.10	$7 \times 10^{-1}$
Model 8	Max NDVI, $\sigma^{\circ}_{\text{forest}}$ , and SAR textures *	0.75	35.18	0.74	35.88	$3 \times 10^{-1}$

\* Eight textures of HV: Contrast, correlation, dissimilarity, entropy, homogeneity, mean, second moment, and variance.

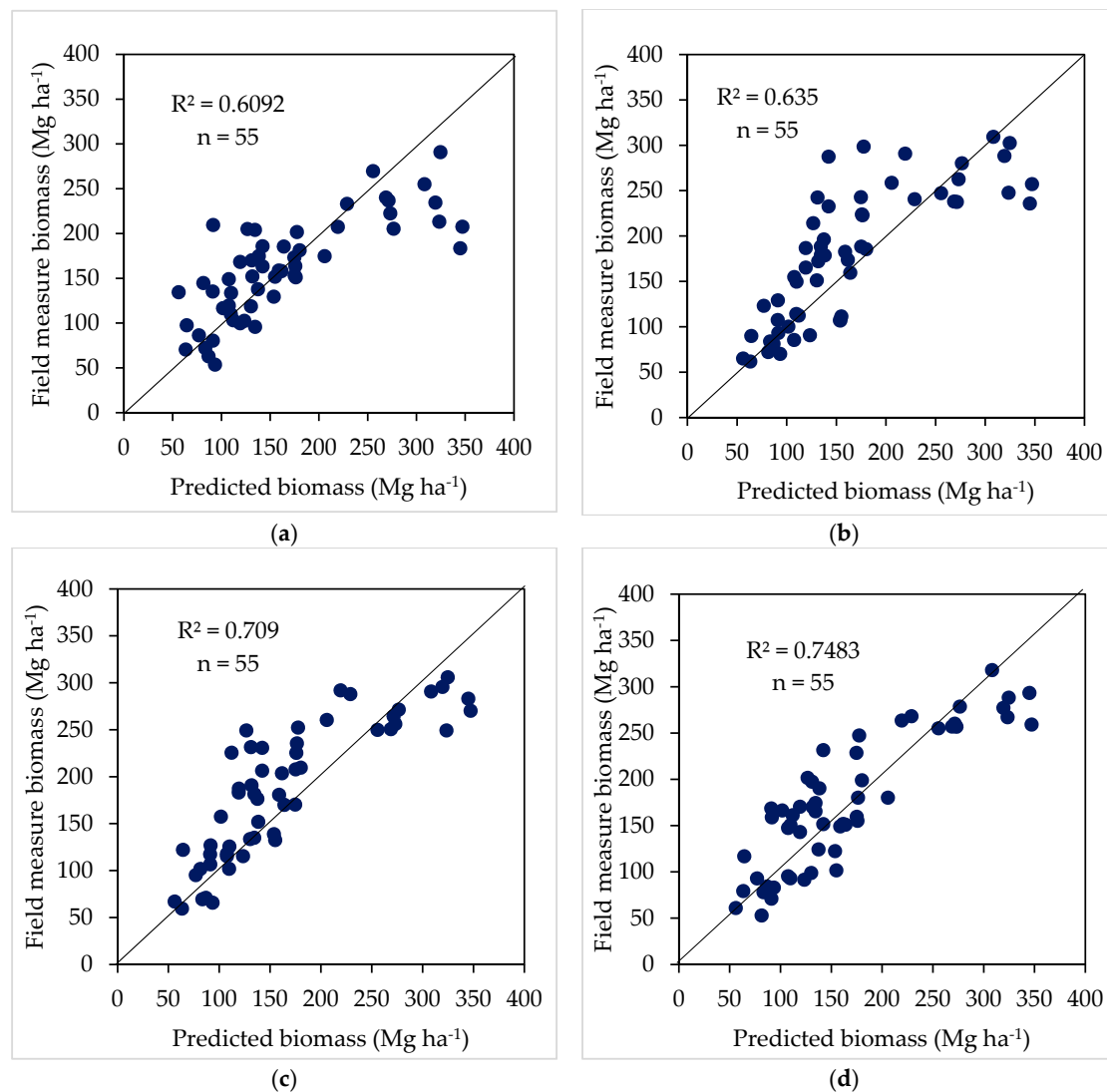


In Model 5 (Table 7), the combination of eight textures of SAR and maximum NDVI provided better performance ( $R^2 = 0.62$ ,  $RMSE = 49.36 \text{ Mg} \cdot \text{ha}^{-1}$ ) than the maximum NDVI alone (Model 1, Table 6). Though the SAR data only (HV and SAR textures in Model 6 in Table 7) could explain 66% of the variation in the biomass ( $R^2 = 0.66$ ,  $RMSE = 46.69 \text{ Mg} \cdot \text{ha}^{-1}$ ), further addition of the maximum NDVI improved the estimates in Model 7 ( $R^2 = 0.73$ ,  $RMSE = 41.60 \text{ Mg} \cdot \text{ha}^{-1}$ ). Ultimately, the replacement of the HV by the  $\sigma^0_{\text{forest}}$  in Model 8 provided the highest performance ( $R^2 = 0.75$ ,  $RMSE = 35.88 \text{ Mg} \cdot \text{ha}^{-1}$ ), as given by Model 8 in Table 7. Models 5–8 in Table 7 are statistically significant as the F values are less than 0.05.

#### 4.3. Validation of Biomass Models

We used 55 randomly selected sample plots for the validation of Models 5–8 that were not used for deriving the models. The  $R^2$  (RMSE) values for the Model 5, Model 6, Model 7, and Model 8 were 0.60 (55.13), 0.63 (44.64), 0.70 (39.10), and 0.74 (35.88) respectively. The validation results showed that Model 8 performed best ( $R^2 = 0.74$ ,  $RMSE = 35.88 \text{ Mg} \cdot \text{ha}^{-1}$ ), followed by Model 7 ( $R^2 = 0.70$ ,  $RMSE = 39.10 \text{ Mg} \cdot \text{ha}^{-1}$ ).

The validation results are shown in Figure 4, and summarized in Table 7.



**Figure 4.** The validation results for different models: (a) Model 5; (b) Model 6; (c) Model 7; and (d) Model 8. The 1:1 cross plots between the predicted and ground data are shown.

In our study, saturation was found at around  $300 \text{ Mg}\cdot\text{ha}^{-1}$ , which represents a significant improvement over the previous study. However, saturation of the SAR backscattering data may still have existed due to limited height information obtained from the backscattering data. Therefore, more direct measurement (e.g., from LiDAR) of tree height is expected to overcome the saturation problem.

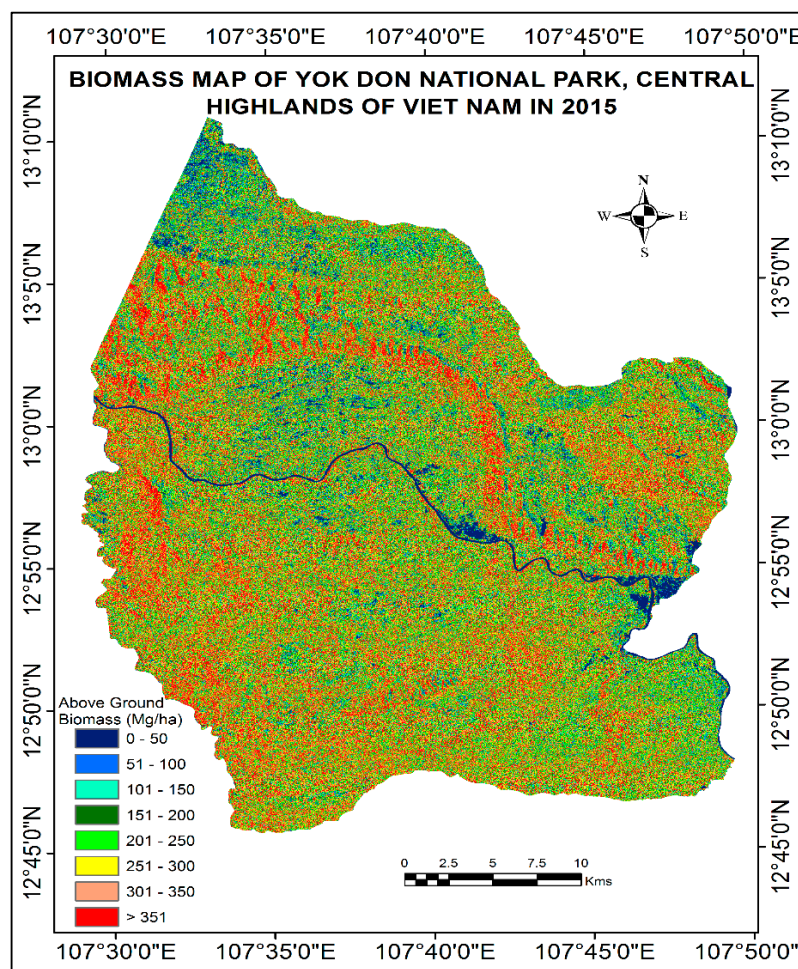
Only 43% of the variability ( $R^2 = 0.43$  and  $\text{RMSE} = 60.45 \text{ Mg}\cdot\text{ha}^{-1}$ ) in the biomass could be explained by the optical best parameter, Max NDVI, and the ALOS-2 SAR data showed that 64% of the variability ( $R^2 = 0.64$  and  $\text{RMSE} = 48.04 \text{ Mg}\cdot\text{ha}^{-1}$ ) in the biomass could be explained by the best single parameter,  $\sigma^\circ_{\text{forest}}$ . However, a combination of both optical and SAR parameters explained up to 74% of the variation in the biomass.

#### 4.4. Mapping of Aboveground Biomass

The model derived by the best combination of the ALOS-2 SAR and Landsat 8 data (Model 8) and validated independently with the field-based biomass data was used to produce the aboveground biomass map in Yok Don National Park with  $\text{RMSE}\% = 21.70\%$ . Model 8, used for producing this map, is shown below:

$$\begin{aligned} \text{AGB (Mg}\cdot\text{ha}^{-1}) = & 516.85 - 44.27\text{Construct} + 183.67\text{Correlation} + 569.15\text{Dissimilarity} \\ & - 128.45\text{Entropy} - 140.82\text{Homogeneity} - 42.06\text{Mean} + 52.26\text{SecondMoment} \\ & - 37.94\text{Variance} + 22.53\sigma^\circ_{\text{forest}} + 301.28\text{MaxNDVI}. \end{aligned} \quad (20)$$

An up-to-date biomass map of 2015 produced during the research is shown in Figure 5.



**Figure 5.** Biomass distribution map of Yok Don National Park, Central Highlands of Vietnam.

In response to an urgent request from developing countries, the building of biomass carbon maps is a requirement for the implementation of policies to cope with climate change under the REDD program [74]. In line with this, our map provides accurate information about the biomass at high spatial resolution (15 m).

The spectral characteristics of forest stands vary according to the horizontal and vertical structure of the forest. Similarly, the backscattering intensity of the SAR data is also sensitive to the horizontal and vertical structure of the stand. The spectral and back-scattering values measured from the optical and SAR sensor, respectively, are sensitive not only to the leaves or upper canopy of a forest stand, but also to the scattering of light and microwaves below the forest canopy, which determine the measured spectral reflectance and back-scattering intensity values. In sparse forests, NDVI is also sensitive to the forest structure due to the high penetrability of the near-infrared channel through the forest canopy. However, NDVI values saturate in dense canopies because the near-infrared channel can no longer penetrate into the canopy, and most of the red channel is absorbed by leaves. To overcome this problem, L-band SAR data, which can also penetrate through the leaves and boles of a canopy, is expected to be highly useful in retrieving forest structures. Moreover, the textural characteristics obtained from the optical and SAR data provide an additional measure of the canopy structure. Therefore, the combination of spectral, backscattering, and textural parameters provided improved estimates of the aboveground biomass—a combination of horizontal and vertical structures—of a forest.

## 5. Conclusions

The performance of the biomass estimates using a single parameter from ALOS-2 SAR and Landsat 8 optical data showed that 64% of the variability ( $R^2 = 0.64$  and  $RMSE = 48.04 \text{ Mg} \cdot \text{ha}^{-1}$ ) in the biomass could be explained by the best single parameter,  $\sigma^\circ_{\text{forest}}$ . However, the combination of the  $\sigma^\circ_{\text{forest}}$  with SAR textures and maximum NDVI could explain 74% of the variation in the biomass ( $R^2 = 0.74$ ,  $RMSE = 35.32 \text{ Mg} \cdot \text{ha}^{-1}$ ). Therefore, in our study we confirmed that the combination of ALOS-2 SAR and Landsat 8 optical data is important for improving biomass estimates. Although we did not find much difference between the HV polarization-based model (Model 7) and the  $\sigma^\circ_{\text{forest}}$ -based model (Model 8), because our study area is located in a relatively flat area, the  $\sigma^\circ_{\text{forest}}$ -based model is expected to improve biomass estimates in complex mountainous terrain. We derived the best performing model based on a combination of SAR and optical data. The validated model was used to produce an up-to-date biomass map of Yok Don National Park, which is an important conservation area in Vietnam.

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