



# Article Modelling Soil Temperature by Tree-Based Machine Learning Methods in Different Climatic Regions of China

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Abstract: Accurate estimation of soil temperature  $(T_s)$  at a national scale under different climatic conditions is important for soil-plant-atmosphere interactions. This study estimated daily Ts at the 0 cm depth for 689 meteorological stations in seven different climate zones of China for the period 1966–2015 with the M5P model tree (M5P), random forests (RF), and the extreme gradient boosting (XGBoost). The results showed that the XGBoost model (averaged coefficient of determination  $(\mathbb{R}^2) = 0.964$  and root mean square error (RMSE) = 2.066 °C) overall performed better than the RF (averaged  $R^2 = 0.959$  and RMSE = 2.130 °C) and M5P (averaged  $R^2 = 0.954$  and RMSE = 2.280 °C) models for estimating Ts with higher computational efficiency. With the combination of mean air temperature ( $T_{mean}$ ) and global solar radiation ( $R_s$ ) as inputs, the estimating accuracy of the models was considerably high (averaged  $R^2 = 0.96-0.97$  and RMSE = 1.73-1.99 °C). On the basis of T<sub>mean</sub>, adding Rs to the model input had a greater degree of influence on model estimating accuracy than adding other climatic factors to the input. Principal component analysis indicated that soil organic matter, soil water content, Tmean, relative humidity (RH), Rs, and wind speed (U2) are the main factors that cause errors in estimating T<sub>s</sub>, and the total error interpretation rate was 97.9%. Overall, XGBoost would be a suitable algorithm for estimating  $T_s$  in different climate zones of China, and the combination of T<sub>mean</sub> and R<sub>s</sub> as model inputs would be more practical than other input combinations.

**Keywords:** soil temperature; machine learning models; climatic zones; extreme gradient boosting; principal components analysis

## 1. Introduction

Soil temperature ( $T_s$ ), as a consequence of the combined effect of hydrothermal circulation in both the atmosphere and the land surface, is an important factor in atmospheric– ecological–environmental systems [1–3].  $T_s$  has significant impacts on the energy balance of global atmospheric–ecological–environmental systems [4,5], thereby directly affecting the growth and development of plants [6]. Under natural conditions,  $T_s$  is influenced by other



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**Copyright:** © 2022 by the authors. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (https:// creativecommons.org/licenses/by/ 4.0/). environmental factors such as atmospheric temperature, vegetation type, soil moisture, and topography, which together cause the spatial and temporal variations in  $T_s$  [7]. Given its importance and complexity, accurate prediction of  $T_s$  is of substantial value in both scientific research and practical applications [8,9]. However, the current approaches for measuring  $T_s$  are generally complicated, costly, and time consuming [1], which make the wide range monitoring of  $T_s$  inaccessible in many countries, especially for undeveloped countries. The incomplete  $T_s$  data caused by this predicament presents an obstacle to the estimation and analysis of  $T_s$  conditions and poses a significant challenge for accurate  $T_s$  prediction on a large scale [10].

The prevailing approaches for  $T_s$  measurements can be divided into three major categories, each of which has its own advantages and disadvantages. The first category is the in situ monitoring of T<sub>s</sub> with equipment installed in meteorological stations, which allows the actual  $T_s$  to be recorded in real-time [11,12]. However, considering the geographical limitation and the economic cost of constructing meteorological stations and the potential data deficiencies due to equipment problems, this approach is not suitable for studies covering various regions [13]. The second category is the estimation of  $T_s$ , which consists of the interpolation method, the Newhorl model estimation, and direct estimation. The interpolation method estimates  $T_s$  at any depth based on known  $T_s$  at similar depths through certain formulas [14]. The Newhorl model estimation method uses air temperature plus a fixed value to roughly estimate  $T_s$  at a certain depth. For instance, Deboer [15] obtained the  $T_s$  values at a depth of 50 cm indirectly by adding 2.5 °C to the mean yearly temperature. The direct estimation method simply uses the T<sub>s</sub> from known depths to represent  $T_s$  at unknown depths [16]. Although approaches in the second category can solve certain problems in T<sub>s</sub> measurements to some extent, differences in several factors such as soil depth, elevation, and environment are not considered during estimation, which certainly have impacts on T<sub>s</sub>. Therefore, these methods may be suitable for the local scale, but results obtained from them are not universally applicable and cannot be generalized [17]. Therefore, it is necessary to find methods that are applicable to a large-scale range. The third category is to establish a regression equation for T<sub>s</sub> calculation. Research has verified similar patterns in the variations between  $T_s$  and air temperature [18,19], and therefore relationships between the two temperatures can be used for T<sub>s</sub> calculation at various depths through regression equations [20]. Some studies even consider the impacts of geographical factors (e.g., longitude, latitude, and elevation) on T<sub>s</sub> and build a multiple linear regression equation to quantify the impact of each geographical factor on  $T_s$  [21]. Although approaches in this category overcome the deficiencies of the methods in the other two categories, there are still disadvantages in these approaches as T<sub>s</sub> are not necessarily linearly related to geographical factors [22], which might lead to significant biases during calculation [23]. Therefore, it is necessary to explore a more efficient method to perform the calculation.

In recent years, machine learning algorithms have been widely used in studies for estimating hydrological and meteorological indicators, such as air temperature [24], dew point temperature [25], precipitation [26], solar radiation [27], diffuse solar radiation [28], and evapotranspiration [29,30], among which an artificial neural network (ANN) is probably the most common machine learning algorithm used for modeling. For  $T_s$  estimation, ANN has been applied in different areas of the world by scholars. The first study on record for estimating  $T_s$  with machine learning algorithms was carried out by Yang et al. [31], in which  $T_s$  at three depths (i.e., 10, 50, and 150 cm) were estimated with ANN models. Mihalakakou [32] evaluated the potential of ANN in daily and yearly  $T_s$  estimation in Athens and Dublin, and the research concluded that the ANN model had an adequate performance in estimating  $T_s$ . Bilgili [33] applied an ANN model to estimate monthly  $T_s$  at multiple depths in Adana, Turkey, and the result showed that ANN was a suitable model for estimating  $T_s$ . Tabari et al. [34] utilized ANN and multiple linear regression model to estimate daily  $T_s$  at six soil depths, in which they found that temperature and relative humidity (RH) were the most influential parameters affecting  $T_s$  estimation among mete-

orological factors. Although the ANN model is widely used by everyone for estimating  $T_s$ , as scholars continue their research, it was found that the ANN model is not necessarily better than other machine learning algorithms in estimating  $T_s$ . Therefore, it becomes more meaningful to keep investigating new models to improve the estimation performance.

Recent advances of newly developed machine learning algorithms have enabled scholars to evaluate and compare the capabilities of various algorithms in estimating T<sub>s</sub>, e.g., M5 model tree (M5 Tree), random forests (RF), M5P model tree (M5P), multiple linear regression (MLR) model, support vector machine (SVM) model, and extreme learning machine (ELM) model, etc. Sanikhani et al. [2] compared the capabilities of ELM, ANN, and M5 Tree in modeling monthly  $T_s$  at 5, 50, and 100 cm depths, and they found that the ELM model would be a more desirable tool for estimating  $T_s$  at a wide range of depths. Mehdizadeh et al. [35] reported that the adaptive neuro-fuzzy inference system (ANFIS) provided superior results in monthly T<sub>s</sub> estimation than ANN and gene expression programming (GEP) with data from 31 stations in Iran. Bilgili et al. [36] estimated monthly T<sub>s</sub> at different soil depths (i.e., 5, 10, 20, 50, and 100 cm) using nonlinear regression and MLR models, and obtained the most accurate results at the depth of 5 cm. Feng et al. [37] evaluated the capabilities of ELM and RF models in estimating half-hourly T<sub>s</sub> for maize fields, and they concluded that the ELM model had better estimated performance. Sihag et al. [38] utilized M5P, MLP, and RF models to estimate daily  $T_s$  in arid regions, the results of which showed that MLP outperformed other models with better performance metrics. Kisi et al. [39] also compared the modeling capabilities of MLP, MLR, and radial basis neural networks (RBNN) for monthly T<sub>s</sub> estimation at different soil depths, with inconsistent results obtained depending on depth. At depths of 5 and 10 cm, the RBNN model had the best estimating accuracy among all models used, while at other soil depths (50 and 100 cm), MLR performed better. Mehdizadeh et al. [40] applied SVM and multivariate adaptive regression splines (MARS) to estimate month-by-month  $T_s$  for 30 stations in Iran, and it was found that MARS outperformed the SVM model.

Overall, according to the literature, machine learning algorithms have been extensively used in the research of  $T_s$  estimation, with the scopes of such studies generally covering various time scales, study regions, and/or soil depths. However, to our best knowledge, the performance of machine learning algorithms on T<sub>s</sub> estimation has rarely been reported in the different climatic zones of China, especially for studies with large spatial scales (such as the whole country). Accurate estimation of  $T_s$  in different climatic zones would be beneficial to studies of crop modeling, hydrological patterns, and soil properties under large-scale conditions, and may also provide theoretical support for better crop production in different regions [2,41,42]. Recently, extreme gradient boosting (XGBoost) is considered as a promising machine learning algorithm, and has been widely applied in many fields such as meteorology [28,43], hydrology [44,45], and agronomy [46,47]. However, to date no study has been conducted on a national wide estimation of T<sub>s</sub> with XGBoost. Therefore, in this study we utilize six different combinations of meteorological variables as inputs to develop XGBoost models for estimating daily  $T_s$  at the 0 cm depth, with records from th eperiod 1966–2015 from 689 meteorological stations that covers seven different climatic zones in China. To better evaluate the estimating performance of XGBoost, the M5P and RF algorithms that have applied for T<sub>s</sub> estimation in previous studies are added for comparison. The primary purpose of this research is to evaluate the performance of tree-based models to estimate daily T<sub>s</sub> in seven different climatic zones (large-scale) of China and its applicability. The effects of different climatic and environmental factors on the performance of each model for estimating  $T_s$  are then explored.

#### 2. Materials and Methods

## 2.1. Study Area

According to previous studies, there are seven different climatic zones in China based on geographical and meteorological data [48,49], which are the arid desert of north-west China (NWC), the semi-arid steppe of Inner Mongolia (IM), the (semi-) humid cold-

temperate northeast China (NEC), the semi-humid warm-temperate north China (NC), the humid subtropical central China (CC), the humid tropical south China (SC), and the Qinghai-Tibetan Plateau (QTP) [50] (Figure 1). Among these climatic zones, the QTP has the highest average elevation (up to 4000 m), with considerably high UV intensity and relatively low vegetation coverage. The region is mainly composed of mountains, plateaus, river valleys, and basins. The geology is mainly schist, millstone, sandstone, shale, and volcanic rocks, The QTP region has a variety of ecosystem types and mainly grows barley. The NWC generally has longer daylight hours than other climatic zones. The landscape is dominated by mountains, basins, and deserts. The area is sparsely vegetated, and the desert covers a large area. The crops and fruits are of high yield with excellent quality in places where water is relatively abundant. The IM region receives less rainfalls than other regions. The altitude is generally between 1000 and 1200 m. The terrain is high in the south and low in the north, with 80% of the plateau covered by grassland, which is mainly clumped with grasses. The thickness of the grass layer is between 10 and 60 cm. There are seven main lake areas in the region, including the Hetao and Hulunbuir Lakes. The NEC region has long winters and short summers, with a significant amount of snow and a wet climate. The region is dominated by plains and mountains. There are many marshes and thick mounds of earth. The proximity to the Bohai Sea leads to a long rainy season in the NEC region. The region has large areas of coniferous and mixed coniferous forests and rich black soils. Forestry accounts for 14.7% of the country's land. The main food crop is wheat. The NC is a relatively small region of China with less than 1000 m<sup>3</sup> of water resources per capita. Summers are hot and rainy. Winters are cold and dry. Rainfall varies between 400 and 800 mm. The land type is dominated by the great plains and plateaus, with the terrain decreasing from west to east. The soil type is mainly brown loam. The CC and SC regions generally receive heavy rainfalls in spring, where flooding tend to occur during that time period. The CC region has red loamy soils of low fertility. The hills, plains, basins, rivers and lakes dominate. The main crops grown are rice and oilseed rape. The topography of the SC region is mainly hilly and plain. The average annual temperature is 18–24 °C. Moreover, the area has a large variety of plants, mainly tropical scrub, grassy slopes, and secondary forests. The soil types are mainly brick red loam and russet red loam.

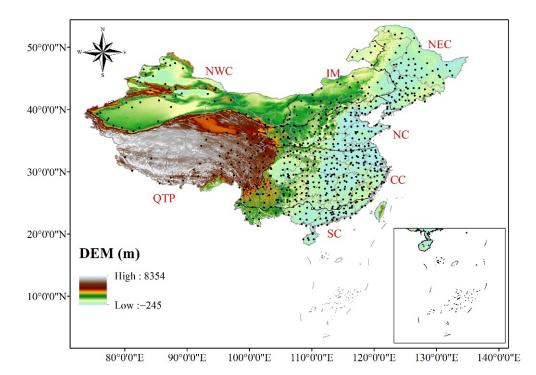


Figure 1. The geographical distribution of meteorological stations used in this study.

#### 2.2. Case Study and Data

In this study, daily meteorological data from 1966 to 2015 from 689 meteorological stations located in the seven climatic zones of China were collected. Meteorological data consisted of mean air temperature (T<sub>mean</sub>), maximum air temperature (T<sub>max</sub>), minimum air temperature ( $T_{min}$ ), relative humidity (RH), global solar radiation ( $R_s$ ), and wind speed (U<sub>2</sub>). The selected data were quality controlled. All weather-related variables were measured at a 2 m height. However, it should be noted that  $R_s$  was not recorded in all the 689 stations. To fix this problem, the extraterrestrial solar radiation  $(R_a)$  was used for interpolation through modelling, if the  $R_s$  data were absent.  $T_s$  at a depth of 0 cm were measured through probes on the soil surface. Six input combinations were utilized for training the M5P, RF, and XGBoost models. Table 1 showed the types of input combinations. Raw meteorological data used in the study were provided and quality inspected by the National Meteorological Information Center (NMIC) of the China Meteorological Administration (CMA). A further examination was applied to datasets before computing. A number of data were deleted from the original data because they were missing or the ratio between measured  $T_s$  and theoretical  $T_s$  was greater than one.  $T_{mean}$  was converted from  $T_{max}$  and  $T_{min}$ . The M5P, RF, and XGBoost programs were written in R software (version 3.2.3; The R Project for Statistical Computing). All the simulations were performed in a computer with a single Intel Core i7-6700 at 3.4–4.0 GHz and 16 GB of random-access memory (RAM).

Table 1. The input combinations of meteorological variables for various machine learning models.

	Models		In mut Combinations				
M5P	RF	XGBoost	<ul> <li>Input Combinations</li> </ul>				
M5P1	RF1	XGBoost1	T <sub>mean</sub> , RH, R <sub>s</sub> , U <sub>2</sub>				
M5P2	RF2	XGBoost2	T <sub>mean</sub>				
M5P3	RF3	XGBoost3	T <sub>mean</sub> , RH				
M5P4	RF4	XGBoost4	$T_{mean}$ , $R_s$				
M5P5	RF5	XGBoost5	$T_{max}$ , $T_{min}$				
M5P6	RF6	XGBoost6	$T_{mean}, U_2$				

## 2.3. Methodology

2.3.1. M5P Model Tree (M5P)

The M5P model tree (M5P) is a regression tree algorithm that develops conventional decision trees with the addition of linear regression functions to the nodes [51]. This technique has been successful in predicting continuous values, which can be achieved by employing the conversion of the classification problem into a functional optimization problem [52]. The M5 Tree denotes a segmented linear function, testing the value of a specific property at each internal node and predicting the class value at each leaf node. To predict the class value of a new sample, the tree is interpreted starting from the root node. At each internal node, the left or the right branch is selected based on the value of a particular attribute of the sample. The advantage of model trees over regression trees is that regression trees' computational load increases rapidly as the dimensionality increases, while model trees are significantly less than conventional models. Therefore, model trees are more efficient when handling with high-dimensional data as leaf nodes use linear functions rather than constants, leading to more accurate predictions. All enumerated attributes in the M5P algorithm are converted into binary variables before the tree is constructed. This algorithm can efficiently handle missing values. There are three main steps in the M5P tree algorithm, which are tree construction, tree pruning, and tree smoothing [53]. The basic tree is constructed with the splitting criterion, which takes the standard deviation of the class values reaching a node as the error of that node. It computes the expected error reduction resulting from testing each property at that node. The property that maximizes the expected error reduction is then selected.

#### 2.3.2. Random Forests (RF)

The random forests (RF) algorithm, proposed by Breiman [54], was developed using classification and regression trees (CART) and the concept of "bagging". As a machine learning algorithm that can effectively solve high-dimensional regression problems, RF has been used extensively in research of regression and estimation, which uses subsets of data through bootstrap to process random binary trees. By repeatedly selecting random T (T < N) sample sets, a new training sample set is generated from the N original training samples. In the whole process of selecting samples, the same part of the samples may be collected repeatedly. A random subset of the training dataset needs to be randomly extracted from the original dataset for the development and training of the model (see the flowchart in Figure 2). Datasets that are not used in the model training are often called out-of-bag (OOB) data. These OOB datasets will not be used for model fitting, but in turn will be used for testing the estimation ability of the model [25].

The RF algorithm is a feature selection based on the Gini coefficient. The criterion for selecting the Gini coefficient is that each child node needs to achieve the highest purity. The smaller the Gini coefficient, the higher the stability of the model and the higher the purity. CART is a binary tree, which means that each non-leaf node can only produce two branches. If multiple (taller than two) discrete variables are generated on a non-leaf node, the variable may be reused multiple times. Each feature selected from the RF tree is randomly produced from all the features, which reduces the risk of overfitting. In contrast to other decision trees, each RF tree is part of the selected feature [25]. Among the selected features in this part, the best feature is picked to partition the left and right subtrees of the decision tree, thereby providing more randomness and further improving the model's inductive power [25]. In short, the final estimation of the RF algorithm is the average of all factors. Breiman's research has more details about the RF algorithm [54].

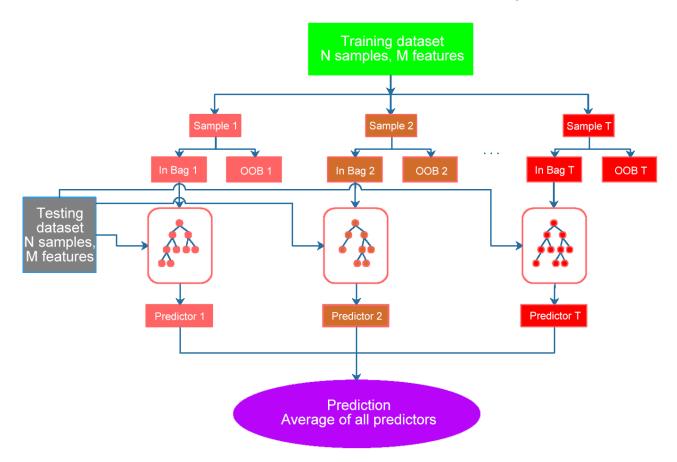


Figure 2. The flow chart of the RF algorithm.

#### 7 of 26

#### 2.3.3. Extreme Gradient Boosting (XGBoost)

Extreme gradient boosting (XGBoost) is a new paradigm for Gradient Boosting Machines (GBMs), which improves the processing of databases through the optimization of decision tree algorithms [55]. The XGBoost algorithm solves the overfitting problem through regularization and built-in cross-validation, which improve the computational accuracy and allow for optimum speed. Furthermore, functions in the XGBoost algorithm are operated and computed automatically, and therefore XGBoost is extensively applied in applications such as classification [56] and estimation [57]. The XGBoost algorithm is derived from the concept of "boosting", which combines the forecasts of all "weak" learners with special training to foster "strong" learners. The expressions are as follows:

$$f_i^{(t)} = \sum_{k=1}^t f_k(x_i) = f_i^{t-1} + f_t(x_i)$$
(1)

where  $f_k(x_i)$  and  $f_t(x_i)$  are the predicted values for the *k*-th and *t*-th iterations of the XGBoost model, respectively;  $f_i^{(t)}$  and  $f_i^{t-1}$  are the predicted values for the *t* and *t*-1 iterations of the *i*-th sample;  $x_i$  is the input variable; k = [1, 2, ..., t], i = [1, 2, ..., n].

To prevent overfitting problems without compromising the speed of the algorithm, the XGBoost algorithm can be derived as follows:

$$Obj^{(t)} = \sum_{i=1}^{n} l\left(\overline{f_i^{(t)}}, f_i^{(t)}\right) + \sum_{i=1}^{n} \Omega(f_i)$$
(2)

where  $Obj^{(t)}$  is the objective function; *l* is the loss function;  $f_i^{(t)}$  is the true value of the *t*-th iteration of the *i*-th sample; and  $\Omega(f_i)$  is the canonical term of the objective function, which is given by:

$$\Omega(f) = \beta T + \frac{1}{2}\lambda \|\omega\|^2$$
(3)

where  $\beta$  and  $\lambda$  are regularization parameters and *T* is the number of leaf nodes.

#### 2.3.4. Model Evaluation

In this study, three statistical indicators, including coefficient of determination ( $R^2$ ), root mean square error (RMSE), and mean absolute error (MAE), were chosen to analyze and compare the accuracy and stability of different models for estimating T<sub>s</sub> [50,58]. The corresponding formulas are:

$$R^{2} = \frac{\left[\sum_{i=1}^{n} (Y_{i,m} - \overline{Y}_{i,m})(Y_{i,e} - \overline{Y}_{i,e})\right]^{2}}{\sum_{i=1}^{n} (Y_{i,m} - \overline{Y}_{i,m})^{2} \sum_{i=1}^{n} (Y_{i,e} - \overline{Y}_{i,e})^{2}}$$
(4)

RMSE = 
$$\sqrt{\frac{1}{n} \sum_{i=1}^{n} (Y_{i,m} - Y_{i,e})^2}$$
 (5)

$$MAE = \frac{1}{n} \sum_{i=1}^{n} |Y_{i,m} - Y_{i,e}|$$
(6)

where  $Y_{i,m}$ ,  $Y_{i,e}$ ,  $\overline{Y}_{i,m}$ ,  $\overline{Y}_{i,e}$ , and *n* are the measured daily  $T_s$ , the estimated daily  $T_s$ , the measured average value of daily  $T_s$ , the estimated average value of daily  $T_s$ , and the number of observations, respectively. Higher  $R^2$  values (closer to 1) and lower RMSE and MAE values indicate better estimation performance of the model.

## 3. Results and Discussion

#### 3.1. Comparison of the Accuracy of Various Machine Learning Models

The overall and average performance of each data-driven model in the seven different climatic zones is shown in Tables 2 and 3, respectively. As listed in Table 2, averaged across the six input combinations and the seven climatic zones, the XGBoost model (on average  $R^2 = 0.964$ , RMSE = 2.066 °C, MAE = 1.597 °C) overall performed better in T<sub>s</sub> estimation than the RF (on average  $R^2 = 0.959$ , RMSE = 2.130 °C, MAE = 1.647 °C) and M5P models (on average  $R^2 = 0.954$ , RMSE = 2.280 °C, MAE = 1.742 °C). Among the six input combinations, models with the complete combination of meteorological variables as inputs (i.e., the input combination 1) had the greatest estimating accuracy in each of the seven climatic zones (Table 3). Under this input combination, averaged across the meteorological stations in each climatic zone, the ranges of the mean statistical indicator values for M5P1, RF1, and XGBoost1 at different climatic zones were 1.722-2.875 °C, 1.354-2.257 °C, and 1.342-2.208 °C for RMSE, 0.928–0.975, 0.956–0.984, and 0.959–0.985 for R<sup>2</sup>, and 1.245–2.106 °C, 1.028–1.702 °C, and 0.992-1.651 °C for MAE, respectively. The second best estimating accuracy among input combinations was observed in models with the combination of  $T_{mean}$  and  $R_s$  (i.e., the input combination 4) as inputs, which were M5P4, RF4, and XGBoost4 (with corresponding ranges of 1.658–2.830 °C, 1.506–2.556 °C, and 1.446–2.435 °C for RMSE, 0.929–0.975, 0.941–0.980, and 0.9494–0.983 for R<sup>2</sup>, and 1.214–2.093 °C, 1.119–1.915 °C, and 1.084–1.840 °C for MAE, respectively). Although input combination 4 yielded slightly worse model accuracy than the complete combination, it required less input variables and a much smaller dataset. It was noted that models with T<sub>mean</sub> as inputs all achieved relatively good performance in estimation, including models with the complete input combination (M5P1, RF1, and XGBoost1) and the combinations of T<sub>mean</sub> and RH (M5P3, RF3, and XGBoost3), T<sub>mean</sub> and  $R_s$  (M5P4, RF4, and XGBoost4) and  $T_{mean}$  and  $U_2$  (M5P6, RF6, and XGBoost6). These results confirm the argument that temperature is the most fundamental meteorological factor for data-driven models in estimating  $T_s$ . Among the other three meteorological factors, R<sub>s</sub> had the highest degree of influence on estimating accuracy, followed by RH, and then U<sub>2</sub>. Therefore, considering the model estimating accuracy and the size of input data jointly, models using the input combination of T<sub>mean</sub> and R<sub>s</sub> would have a higher potential in T<sub>s</sub> estimation across different climatic zones in China than models with other input combinations. For the three algorithms in this study, the overall model performance was generally ranked as XGBoost > RF > M5P with any of the input combinations in any of the climatic zones, with the exception that M5P2 was always better than RF2 in all climatic zones and even better than XGBoost2 in some climatic zones (e.g., NWC, IM, and QTP).

Different		M5P			RF		XGBoost			
Zone	RMSE	R <sup>2</sup>	MAE	RMSE	<b>R</b> <sup>2</sup>	MAE	RMSE	<b>R</b> <sup>2</sup>	MAE	
NWC	2.358	0.971	1.800	2.213	0.974	1.709	2.175	0.976	1.694	
IM	2.679	0.967	2.071	2.486	0.971	1.944	2.417	0.974	1.907	
NEC	2.912	0.957	2.211	2.700	0.963	2.075	2.584	0.967	1.988	
NC	2.197	0.966	1.688	2.042	0.970	1.588	1.984	0.973	1.539	
CC	1.748	0.961	1.302	1.643	0.965	1.239	1.608	0.970	1.202	
SC	1.735	0.925	1.330	1.645	0.931	1.274	1.604	0.941	1.226	
QTP	2.330	0.930	1.794	2.181	0.938	1.697	2.090	0.945	1.625	
Mean	2.280	0.954	1.742	2.130	0.959	1.647	2.066	0.964	1.597	

**Table 2.** Summary of the performance of data-driven models for soil temperature in different climate zones of China.

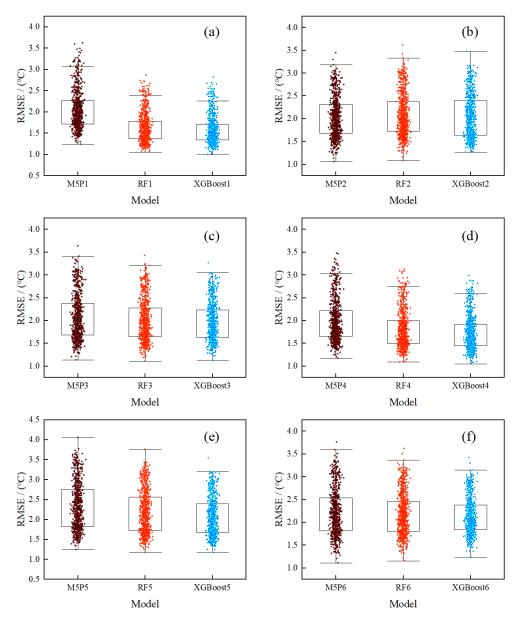
Note: RMSE and MAE are in °C.

Model -	NWC		IM		NEC		NC		CC			SC			QTP						
	RMSE	<b>R</b> <sup>2</sup>	MAE	RMSE	<b>R</b> <sup>2</sup>	MAE	RMSE	R <sup>2</sup>	MAE	RMSE	R <sup>2</sup>	MAE	RMSE	<b>R</b> <sup>2</sup>	MAE	RMSE	<b>R</b> <sup>2</sup>	MAE	RMSE	<b>R</b> <sup>2</sup>	MAE
M5P1	2.155	0.975	1.601	2.515	0.971	1.878	2.875	0.958	2.106	2.077	0.969	1.552	1.722	0.962	1.245	1.728	0.928	1.283	2.239	0.934	1.688
RF1	1.738	0.984	1.319	1.996	0.981	1.533	2.257	0.974	1.702	1.642	0.981	1.257	1.374	0.975	1.028	1.354	0.956	1.035	1.760	0.959	1.352
XGBoost1	1.656	0.985	1.258	1.904	0.985	1.453	2.208	0.976	1.651	1.580	0.983	1.200	1.342	0.979	0.992	1.367	0.959	1.036	1.671	0.963	1.274
M5P2	2.212	0.974	1.723	2.506	0.972	1.994	2.737	0.962	2.146	2.103	0.969	1.673	1.709	0.963	1.321	1.713	0.925	1.365	2.219	0.936	1.748
RF2	2.301	0.972	1.795	2.607	0.969	2.070	2.851	0.959	2.228	2.162	0.967	1.719	1.745	0.962	1.349	1.736	0.923	1.384	2.271	0.933	1.788
XGBoost2	2.474	0.970	1.937	2.710	0.968	2.154	2.719	0.964	2.114	2.166	0.969	1.684	1.673	0.968	1.267	1.626	0.940	1.250	2.304	0.935	1.806
M5P3	2.364	0.971	1.810	2.656	0.968	2.054	2.877	0.958	2.191	2.183	0.966	1.668	1.698	0.963	1.254	1.695	0.927	1.290	2.261	0.935	1.735
RF3	2.273	0.973	1.756	2.515	0.971	1.962	2.729	0.962	2.099	2.073	0.969	1.601	1.655	0.965	1.233	1.694	0.927	1.296	2.187	0.938	1.697
XGBoost3	2.212	0.975	1.735	2.455	0.974	1.952	2.651	0.966	2.057	2.039	0.972	1.597	1.639	0.969	1.223	1.664	0.937	1.274	2.111	0.945	1.647
M5P4	2.153	0.975	1.623	2.438	0.973	1.847	2.830	0.960	2.093	2.006	0.972	1.520	1.658	0.965	1.214	1.678	0.929	1.262	2.234	0.935	1.707
RF4	1.951	0.980	1.489	2.203	0.978	1.684	2.556	0.967	1.915	1.811	0.977	1.389	1.506	0.971	1.119	1.532	0.941	1.168	2.035	0.946	1.571
XGBoost4	1.870	0.983	1.440	2.118	0.980	1.640	2.435	0.971	1.840	1.759	0.978	1.356	1.448	0.974	1.084	1.446	0.949	1.105	1.896	0.954	1.465
M5P5	2.849	0.958	2.185	3.176	0.955	2.474	3.154	0.950	2.414	2.490	0.957	1.906	1.858	0.957	1.375	1.774	0.921	1.351	2.632	0.913	2.025
RF5	2.658	0.963	2.057	2.926	0.961	2.304	2.921	0.957	2.258	2.303	0.963	1.780	1.746	0.962	1.302	1.716	0.925	1.312	2.458	0.923	1.913
XGBoost5	2.520	0.968	1.973	2.737	0.967	2.176	2.730	0.963	2.124	2.166	0.968	1.681	1.697	0.967	1.277	1.645	0.938	1.259	2.302	0.934	1.797
M5P6	2.416	0.969	1.855	2.781	0.965	2.182	2.999	0.955	2.316	2.322	0.962	1.812	1.844	0.957	1.401	1.821	0.916	1.430	2.397	0.927	1.860
RF6	2.360	0.971	1.834	2.671	0.967	2.114	2.884	0.958	2.249	2.261	0.964	1.780	1.834	0.957	1.405	1.838	0.914	1.448	2.377	0.927	1.864
XGBoost6	2.317	0.974	1.822	2.580	0.972	2.067	2.758	0.963	2.145	2.196	0.969	1.715	1.849	0.963	1.369	1.875	0.927	1.432	2.255	0.938	1.758

Table 3. Performance of data-driven models for the estimation of soil temperature in the different climatic zones of China.

**Note:** RMSE and MAE are in °C. The bolded numbers are the best values for model estimation performance.

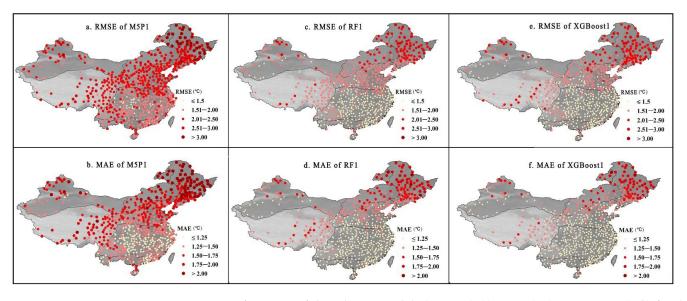
The box plots of the RMSE values of the three data-driven models (i.e., M5P, RF, and XGBoost models) for estimating T<sub>s</sub> with the six input combinations at the 689 stations of China are shown in Figure 3. The RMSE values of the XGBoost model under the six input combinations were 1.001–2.823 °C, 1.272–3.480 °C, 1.121–3.259 °C, 1.050–2.981 °C, 1.173–3.540 °C, and 1.231–3.422 °C, respectively, which overall were lower than the corresponding values of the M5P model (1.241–3.618 °C, 1.056–3.447 °C, 1.133–3.638 °C, 1.174–3.478 °C, 1.250–4.059 °C, and 1.107–3.758 °C, respectively) or the RF model (1.051–2.873 °C, 1.082–3.611 °C, 1.111–3.431 °C, 1.090–3.123 °C, 1.170–3.761 °C, and 1.153–3.619 °C, respectively). Averaged across all stations, the mean RMSE values for the XGBoost model under the six input combinations decreased by 23.1%, –1.4%, 5.5%, 13.2%, 11.2%, and 3.4% when compared with the M5P model. The median RMSE values of the three models showed a similar pattern to the mean RMSE values. These results together indicated that, among the three models in this study, the XGBoost model had the highest overall performance, followed by the RF model and then the M5P model.



**Figure 3.** Box plots of the RMSEs of the three models for estimating T<sub>s</sub> under six input combinations (**a**–**f**).

## 3.2. Comparison of the Spatial Distribution of Errors in Estimating Soil Temperature

Spatial distributions of the performance in estimating  $T_s$  for the data-driven models with all climatic variables as inputs (M5P1, RF1, and XGBoost1 models) are shown in Figure 4. Generally, the models all performed well in estimating  $T_s$  at the majority of stations, with the values of RMSE less than 2.0 °C and the values of MAE less than 1.50 °C at 76.8% of all stations studied. Overall, there was some spatial variation in model performance between climatic zones. The accuracy of the model was relatively better in the CC and SC regions than in other regions, while the model performance was relatively poor in the NEC region, especially in the northeast provinces of China. Among the three models, M5P1 had the worst performance in estimation, with RMSE values greater than 2.01 °C and MAE values greater than 1.50 °C in up to 47.7% of the stations in all regions, except for the CC region where only a small fraction of stations had similar poor performance. On the contrary, the XGBoost1 model had the most satisfactory in estimating  $T_s$ , with RMSE values less than 1.51 °C and MAE values less than 1.25 °C in most stations of all regions, except for the NEC region.



**Figure 4.** Performance of data-driven models (M5P1: (**a**,**b**); RF1: (**c**,**d**); XGBoost1: (**e**,**f**)) for the estimation of  $T_s$  with  $T_{mean}$ , RH,  $R_s$ , and  $U_2$  as inputs.

The performance of the M5P2, RF2, and XGBoost2 models for estimating  $T_s$  are illustrated in Figure S1. The three models were trained using  $T_{mean}$  as the only input available. The three models generally had a positive performance in estimating  $T_s$  at the majority of stations, with RMSE values greater than 2.01 °C and MAE values greater than 1.50 °C at 48.8% of the stations. Although there was still relatively good estimating accuracy in some stations of the CC and SC regions, the overall performance of the three models was poor in other regions of this study. In particular, the IM and NEC regions had up to 71.9% of the stations with model RMSE values greater than 2.51 °C and MAE values greater than 2.00 °C. Overall, the estimation accuracy of the XGBoost2 model was slightly greater than both other models. These results suggest that there is space for improvement in the estimation accuracy of the three models when using  $T_{mean}$  as the only input. Our findings also confirm the conclusion of Talaee's study that the appropriate addition of other meteorological factors to  $T_{mean}$  could effectively improve model performance in estimating  $T_s$  [59].

The spatial distribution of the performance for the data-driven models (M5P3, RF3, and XGBoost3 models) on  $T_s$  estimation with  $T_{mean}$  and RH as inputs is illustrated in Figure S2. The models generally had positive performance in estimating  $T_s$  at the majority of stations, with RMSE values less than 2.0 °C and MAE values less than 1.50 °C at

56.2% of the stations. Among the climatic zones, the three models performed relatively better in the CC region than other regions, while the poorest model performance was observed in the NEC region, where the RMSE and MAE values in 78.5% of the stations were greater than 2.51 °C and 2.00 °C, respectively. Models showed very similar estimating performance between the NWC and the QTP regions. Compared with the M5P1, RF1, and XGBoost1 models, the accuracy of models with only  $T_{mean}$  and RH as inputs was slightly worse. Therefore, reducing the number of meteorological factors as inputs would lower the estimation performance of each model, which is consistent with findings obtained by Feng et al. [60]. This result suggests that using a complete set of relevant meteorological factors as inputs in studies of  $T_s$  estimation could effectively improve the estimating performance of models, which confirms the findings from previous studies [61].

The spatial distribution of estimating performance for M5P4, RF4, and XGBoost4 models that were trained with  $T_{mean}$  and  $R_s$  as inputs are illustrated in Figure S3. The models all showed positive performance in modeling  $T_s$  for a large proportion of stations, with RMSE values less than 2.0 °C and MAE value less than 1.50 °C at up to 71.6% of the stations. Among the climatic zones, the estimating accuracy of the three models was relatively excellent in the CC and SC regions, with RMSE values less than 1.50 °C and MAE values less than 1.25 °C at 45.5% of the stations, while in contact the model performance in the NEC region was relatively poor, with up to 64.9% of the stations showing values of RMSE and MAE greater than 2.51 °C and 1.75 °C, respectively. Among the three models, the estimating performance of XGBoost4 was slighter better than RF4, but both of them obviously outperformed M5P4. Overall, patterns of the spatial variability in model performance for this input combination (i.e., T<sub>mean</sub> and R<sub>s</sub>) were very similar to patterns shown in models with the complete combination of meteorological variables as inputs, indicating that the removal of RH and U2 from the inputs did not noticeably decrease the estimation accuracy of models in this study. Moreover, the input combination of  $T_{mean}$  and R<sub>s</sub> required far less meteorological data than the complete input combination. Therefore, it can be concluded that  $T_{mean}$  and  $R_s$  are key input variables for estimating  $T_s$  in different climate zones, consistent with findings obtained by Talaee [59] and Bilgili [21].

For the data-driven models with  $T_{max}$  and  $T_{min}$  as inputs (i.e., M5P5, RF5, and XGBoost5), the spatial distribution of their estimating performance is demonstrated in Figure S4. Overall, the three models performed poorly in estimating  $T_s$  at most stations, with 55.8% of the stations showing the values of RMSE and MAE above 2.01 °C and 1.50 °C, respectively. Models with  $T_{max}$  and  $T_{min}$  as input variables performed worse than the M5P1, RF1, and XGBoost1 models, indicating that using temperature as the only input climatic variable would not be sufficient enough to obtain satisfactory model performance.

The spatial distributions of the estimating performance for the M5P6, RF6, and XG-Boost6 models that were trained using  $T_{mean}$  and  $U_2$  as inputs are shown in Figure S5. The three models showed poor performance in modeling  $T_s$  at most stations, with RMSE values greater than 2.01 °C and MAE values greater than 1.50 °C at 58.7% of the stations. Although the estimating accuracy of the three models was relatively good in the CC region, their estimating performance was poor in other regions. For instance, in the IM and NEC regions, up to 78.4% of the stations showed values of RMSE and MAE greater than 2.51 °C and 2.00 °C, respectively.

A combined comparison of Figures S2–S5 indicates that the models showed an overall trend of decreasing accuracy from south to north. This would lead us to think that it is related to latitude, whereas latitude could affect  $T_s$  due to the angle of incidence by solar radiation [62]. Regarding the effect of latitude on  $T_s$ , scholars have reached similar conclusions in studies from other countries. In a study conducted by Fitton and Brooks [63] in the USA, it was concluded that  $T_s$  decreased with increasing latitude. The model with inputs  $T_{mean}$  and  $R_s$  would be slightly more accurate in estimation than under several other combinations of inputs, which was very close to the performance of each model at full input of the parameter variables. Based on  $T_{mean}$ , the factor  $R_s$  had a greater influence on the accuracy of each model than several other meteorological factors, followed by RH

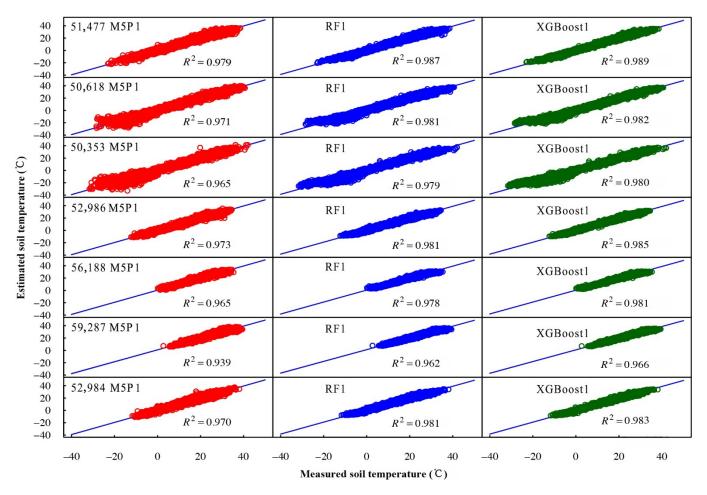
and U<sub>2</sub>. Because this research estimated T<sub>s</sub> at 0 cm depth, the air temperature was most closely related to T<sub>s</sub> [64]. However, the greater degree of influence of R<sub>s</sub> might be due to the source of soil heat. T<sub>s</sub> is one of the components of soil heat. However, soil heat is mainly formed by solar radiation, heat emitted from the Earth's interior, and heat generated by the decomposition of microorganisms in the soil [65]. Of these, solar radiation dominates. Therefore, R<sub>s</sub> had a greater degree of influence in estimating T<sub>s</sub>. Nahvi et al. [66] and Huang et al. [67] also concluded that T<sub>mean</sub> was significantly relevant in estimating T<sub>s</sub>. It suggested that the input combination of T<sub>mean</sub> and R<sub>s</sub> was the most generalizable for studies estimating T<sub>s</sub> in the different climatic zones of China.

#### 3.3. Analysis of Factors Affecting the Model's Estimation of Soil Temperature

To compare the level of linearity between the estimated and the measured values of  $T_s$  for each model, we randomly selected one meteorological station per region (including stations 51,477, 50,618, 50,353, 52,986, 56,188, 59,287, and 52,984, respectively) out of the 689 stations in the seven different climatic zones across the country as examples for description. Outputs from models with input combinations 1, 5, and 6 were plotted for comparison (Figure 5, Figures S6 and S7). It is clear from Figure 5, Figures S6 and S7 that the three types of models (i.e., the M5P, RF, and XGBoost models) all performed very well in estimating  $T_s$ . For any of the models constructed with the selected input combinations in the randomly selected stations, there was a significant positive correlation between the estimated and the measured  $T_s$  values, with  $R^2$  values at least higher than 0.92.

For models with the complete combination of climatic variables (i.e., combination 1) as inputs, XGBoost outperformed both M5P and RF at each of the randomly selected stations (Figure 5). Across the stations, the average R<sup>2</sup> values of XGBoost models were 0.981, higher than RF (averaged  $R^2 = 0.978$ ) and M5P (averaged  $R^2 = 0.966$ ) models. For each type of model, the estimating accuracy differed among stations, suggesting that variations in geographical and environmental factors among different climatic zones could affect the model performance in  $T_s$  estimation to some extent, as suggested by Kassaye et al. [68]. Taking XGBoost as an example, except for station 59,287 ( $R^2 = 0.966$ ), the model accuracy was considerably high at all stations (all  $R^2$  values no less than 0.980; averaged  $R^2 = 0.983$ ), with the highest accuracy observed at station 51,477 (R<sup>2</sup> = 0.989). The significant difference in model estimating accuracy between station 51,477 and station 59,287 could be attributed to the differences in climatic factors (e.g., temperature) between climatic zones. Station 51,477 is located in the NWC region, with sufficient light, low soil water contents, and low variation of T<sub>s</sub>. In contrast, station 59,287 is within the SC region, where the soil moisture is sufficient and the variation in T<sub>s</sub> is high. First of all, we selected stations that are all weather stations. The terrain of the station measurement points is short grass and flat, so the slope and some human factors are not considered to influence T<sub>s</sub>. However, soil characteristics differ between climatic zones. For example, the soil in the CC area is mainly red loam. The main characteristic of red loam soil is strong clay and little organic matter. Therefore, the soil heat transfer is faster, which means that  $T_s$  does not change much. Thus, the model estimated T<sub>s</sub> with high accuracy. However, the soil in the NEC area is mainly black soil. It is characterized by fertile land and high organic matter content. However, as we know, high organic matter content means higher heat requirements for microbial decomposition. Therefore, the variation in T<sub>s</sub> varied widely, leading to a decrease in the accuracy of model estimation. Zhang et al. [69] concluded that the variation of  $T_s$  in different climatic zones was influenced by a combination of air temperature and precipitation. More precipitation occurs in humid areas and less in arid regions. These findings are consistent with the conclusion made by Knight et al. [70], where they suggested that  $T_s$  could be directly affected by air temperature and indirectly impacted by soil moisture. In addition, other factors such as soil depth, soil characteristics, irrigation levels, and precipitation could also affect the estimation of  $T_s$  to some extent, as described in the literature [9,37,71–73]. For example, the variability of  $T_s$  at different soil depths generally vary, with greater variability between measured and estimated values observed in deeper soils. The literature

indicates that the thermal conductivity of soil decreases with increasing soil porosity but increases with increasing soil water content [74]. Therefore, both enhanced rainfall and reduced porosity could improve the thermal conductivity of the soil, which could make  $T_s$  less variable [75]. In addition, the increase in the organic matter content of the soil also reduces the thermal conductivity [76]. The degree of irrigation, similar to precipitation, can indirectly affect the soil water content. The increase in soil water content increases the heat capacity in the soil, which results in a smaller range of variation in  $T_s$  [74].



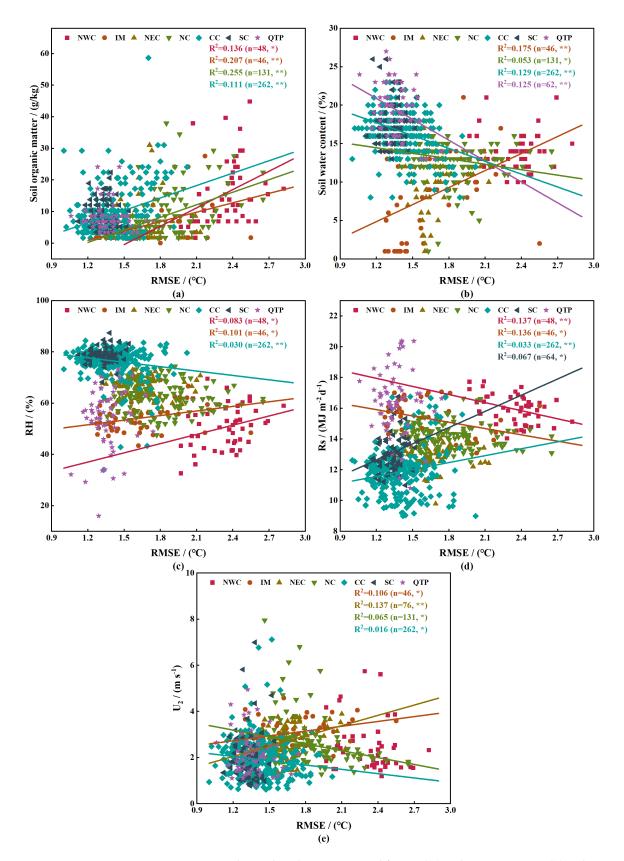
**Figure 5.** Scatter plot of estimated  $T_s$  versus measured  $T_s$  from the three machine learning models with  $T_{mean}$ , RH, R<sub>s</sub>, and U<sub>2</sub> as inputs.

With combination 5 (i.e., including  $T_{max}$  and  $T_{min}$ ) as inputs (Figure S6), the accuracy of each model at each station was lower than the accuracy of its corresponding model with the complete input combination, indirectly suggesting that adding more relevant meteorological factors to the inputs would improve the model performance in estimating  $T_s$ . This result is consistent with the conclusion made by Sanikhani et al. [2] and Kim and Singh [6]. Among the selected stations, the best-performing models were observed at station 56,188, where the R<sup>2</sup> values of the M5P, RF, and XGBoost models were 0.969, 0.971, and 0.976, respectively (Figure S6). For station 56,188 (within the CC region) and station 59,287 (within the SC region), the range of variations in  $T_s$  was smaller than other stations within other climatic zones, resulting in the scatters of the regression at the two stations being denser than at other stations. This outcome might be due to the fact that these two stations are located at regions where the climatic difference between them is similar, but they are distinct from other stations in terms of climate. Similar patterns were found by other studies, in which the estimation of reference crop evapotranspiration (ET<sub>0</sub>) in different climatic zones was conducted [50].

For combination 6 (i.e., including T<sub>mean</sub> and U<sub>2</sub> as inputs), XGBoost models outperformed both RF and M5P models in terms of estimating accuracy, similar to other input combinations (Figure S7). Across the selected stations, the R<sup>2</sup> values of XGBoost models averaged 0.966, slightly higher than the RF and M5P models, which had the same estimating accuracy on average (both averaged  $R^2 = 0.960$ ). Under this input combination, the accuracies of the M5P and RF models were very close at each of the stations. At some of the stations (e.g., stations 56,188 and 59,287), the M5P model even slightly outperformed the RF model. Among these stations, the best model performance in estimating  $T_s$  was observed in station 51,744, where the XGBoost model had the highest accuracy ( $R^2 = 0.976$ ). Compared to models with input combination 5, there were improvements to some extent in the estimating accuracy of models with input combination 6 at all selected stations, except for station 59,287. The estimating accuracies of the M5P, RF, and XGBoost models at station 59,287 decreased by 2.0%, 2.5%, and 2.1%, respectively, when comparing the input combinations. This result might be due to the high variability of wind speeds in the region where station 59,287 was located, which could impair the model accuracy in estimation. A previous study by Kim and Singh [6] had similar findings, which confirmed that U<sub>2</sub> could significantly affect the performance of estimated T<sub>s</sub>.

To quantify the effects of soil water content, soil organic matter content, and other meteorological factors on  $T_s$  estimation by models in different climatic zones, the relationships between these factors and the RMSE values of XGBoost1 were analyzed (Figure 6). The spatial distribution of soil organic matter content and soil water content for the selected stations are plotted in Figure 7. Data from different climatic zones were fitted separately. For each of the soil texture factors or the meteorological factors, its correlation with the RMSE of  $T_s$  differed between climatic zones, suggesting that the model accuracy in estimating  $T_s$  was related to the soil texture and the climate of the region where the meteorological stations were located. Different soil textures have different permeability and nutrient contents, which partially leads to differences of  $T_s$  variability and consequently affects the model accuracy for  $T_s$  estimation. This pattern is consistent with results described in the previous section.

As shown in Figure 6a, the most significant correlation between soil organic matter content and model estimating accuracy was found in the NC region ( $R^2 = 0.255$ ), followed in order by the IM, the NWC, and the CC regions. In other regions, including NEC, SC, and QTP, no significant correlation was found. In regions where the correlation was significant, the model accuracy for estimating  $T_s$  decreased with the increasing soil organic matter content. This is due to the fact that the specific heat capacity of soil organic matter is less than the soil specific heat capacity. Under certain conditions, the higher the organic matter content is, the more heat absorption should be required for decomposition, leading to more variable and unstable  $T_s$ . Wang et al. [77] also concluded that soil organic matter could significantly affect the variation of  $T_s$ . According to the results, the NEC, SC, and QTP regions had relatively high soil organic matter contents, indicating that too much soil organic matter may lead to other types of reactions within the soil and make the model estimation of  $T_s$  less stable [78,79].



**Figure 6.** Fitting relationships between several factors ((**a**) soil organic matter; (**b**) soil water content; (**c**) RH; (**d**)  $R_s$ ; (**e**)  $U_2$ ) and model performance in estimating  $T_s$  (\* indicates significant correlation. \*\* indicates highly significant correlation.).

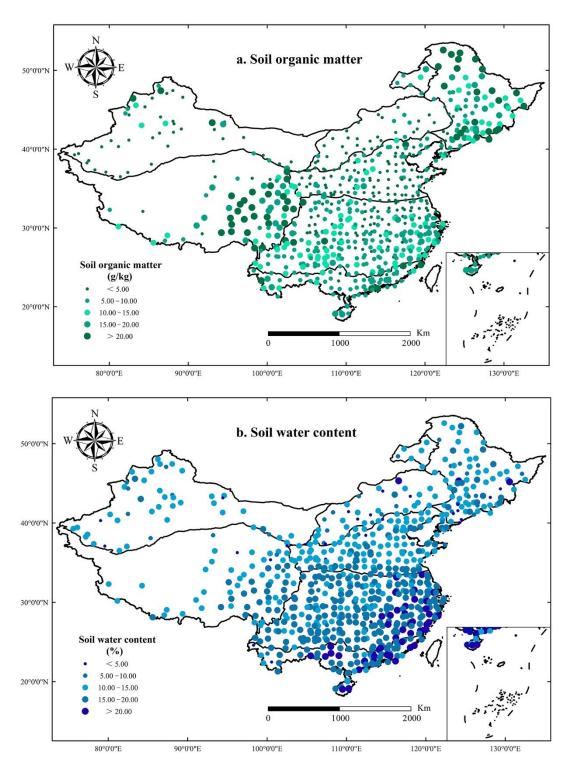


Figure 7. Spatial distribution of soil organic matter content (a) and soil water content (b).

The correlation between soil water content and model estimating accuracy was most significant in the IM region ( $R^2 = 0.175$ ; Figure 6b), followed by the CC region, and then the QTP and the NC regions. Soil water content was not significantly correlated with the accuracy of estimating  $T_s$  in the NWC, NEC, and SC regions. In most regions where the correlation was significant, the model estimating accuracy increased with the increasing soil water content. This might be attributed to the fact that the specific heat capacity of water is about four times higher than that of soil. The temperature change of the soil water is much smaller than the soil itself when equally heated or cooled, suggesting that soil water

can indirectly regulate  $T_s$  and reduce the range of  $T_s$  variation. Yuan [80] also concluded that soil water content had an important influence on soil thermal properties and could effectively regulate  $T_s$ . Therefore, under certain conditions, the higher the soil water content is, the smaller is the expected range of  $T_s$  variation, leading to higher model accuracy in  $T_s$  estimation. This finding is consistent with conclusions from previous studies [81,82]. It should be noted that a contrasting pattern was observed in the IM region, where the model estimating accuracy decreased with the increasing soil water content. This phenomenon might be attributed to the relatively low soil water content in this region. For most stations in the IM region, their soil water contents were lower than 10%, which was distinct from the other six regions in the study. Therefore, soil water content might not play an important role in determining the pattern of model estimating accuracy in the IM region, in which other factors such as soil organic matter might be more influential.

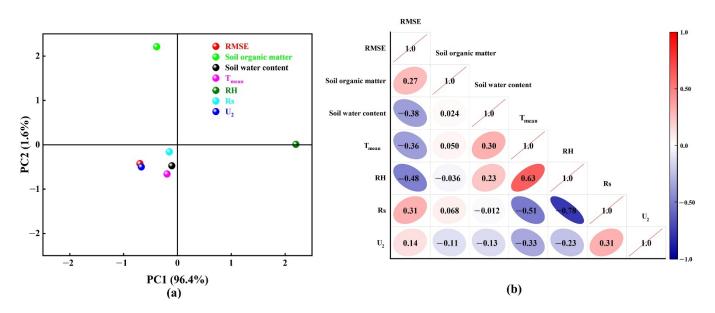
RH was highly significantly correlated with the accuracy of model-estimated  $T_s$  in the CC region, which might be due to the fact that the region is in a humid area with high perennial air humidity (Figure 6c). When the relative humidity is high, there is considerable moisture in the air. Given the fact that the specific heat capacity of water is larger than air, the heat transferred by the air temperature and solar radiation to soils is partially absorbed by the moisture in the air, thus reaching the amount of heat to the surface of the soil. Sawan [83] also suggested that RH had a strong influence on air temperature variation and indirectly affected the heat absorbed by the soil.

Solar radiation is one of the main sources of soil heat. In our study,  $R_s$  showed significant correlation with model accuracy for estimating  $T_s$  in the NWC and CC regions, which might be caused by the longer insolation time in the NWC region and the higher insolation intensity in the low-latitude CC region (Figure 6d). Solar radiation can be directed to the surface, allowing the surface to absorb heat and thus increase the  $T_s$ . High latitudes have a high tilt of solar irradiation, so that less solar radiation energy is absorbed on the soil per unit area. This results in lower  $T_s$ . In contrast, the  $T_s$  is higher at low latitudes because the solar radiation absorbed per unit area of soil is higher due to direct sunlight to the ground [62]. Olchev et al. [84] also confirmed the important role of solar radiation in the conversion of  $T_s$ .

Wind speed affects the flow of air. As shown Figure 6e,  $U_2$  was highly significantly correlated with the accuracy of model-estimated  $T_s$  in the NEC region, which might be due to the fact that the NEC is in a cold-temperate region with frequent cold air and high wind speed all year round. When the  $U_2$  is low, heat exchange between soil and air is reduced, thus reducing the variation in  $T_s$ . Conversely, the heat loss from air is generally fast when  $U_2$  is high, resulting in significant variation in  $T_s$ . Kong et al. [85] studied the effect of seasonal variation in  $U_2$  on  $T_s$  and suggested that  $U_2$  affects the heat uptake by soils to some extent, thus causing changes in  $T_s$ .

We also explored the extent of the contribution of these factors to the estimating error of the model and the relationship between them through principal component analysis (PCA) and correlation coefficients (Figure 8). PCA was used to explain the degree of influence of the factors on the model error. As shown in Figure 8a, the total model error explained by each factor was 97.9%. Among them, PC1 accounted for 96.2% and PC2 accounted for 1.7%. Soil water content, T<sub>mean</sub>, R<sub>s</sub>, and U<sub>2</sub> were all located in the same quadrant. These factors, illustrated in Figure 8a, are shown to be the main factors contributing to the error in estimating  $T_s$ . Zhang [86], when he studied the effect of snow cover on  $T_s$ , also proposed that air temperature alone does not fully explain the variation in  $T_s$ . The  $R_s$ , soil water content, and RH can also combine to influence the variation of  $T_s$ , whereas soil organic matter content and  $U_2$  were additionally proposed to explain the  $T_s$  variation in this study. For each of the factors, there was a significant relationship between it and the estimated RMSE values of  $T_s$  (Figure 8b). Among these factors,  $U_2$  had the lowest correlation (R = 0.14), whereas RH had the highest correlation (R = -0.48) and soil water content had the second highest correlation (R = 0.38), indicating that the heat absorption capacity of water had a more obvious effect on  $T_s$  than other factors such as  $T_{mean}$ ,  $R_s$ , and

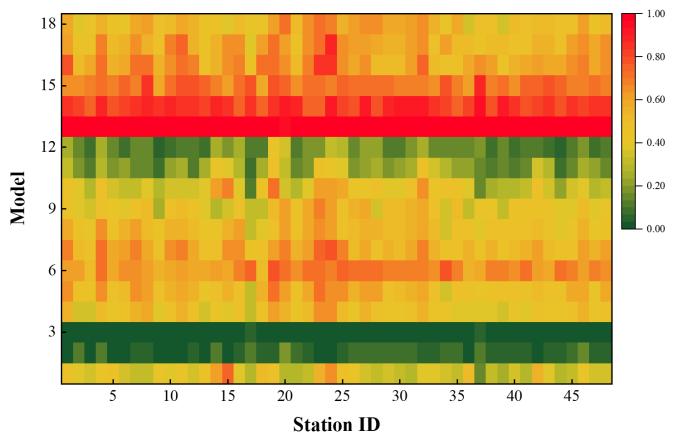
soil organic matter content. To summarize, soil organic matter, soil water content,  $T_{mean}$ , RH,  $R_s$ , and  $U_2$  are the main factors that cause errors in estimating  $T_s$ , all of which would have, to some extent, a critical impact on the model estimation of  $T_s$ .



**Figure 8.** Principal component analysis (**a**) and correlation analysis (**b**) between several factors and model estimation  $T_s$  errors.

## 3.4. Comparison of Estimation Performance in Different Climate Zones

To compare the estimating performance for each of the 18 models (3 machine learning algorithms  $\times$  6 input combinations) at each of the stations within each of the climatic zones in this study, a combined metric based on the RMSE and MAE values of each model was calculated. The value of the combined metric ranged from 0 to 1, in which the closer the value to 0, the better the model performance was, while on the contrary the closer the value to 1, the worse the model performance was. Values of the combined metric at different stations for each climate zone are illustrated with heatmaps (see Figure 9 and Figures S8–S13). Overall, under the same input combination, the estimation performance of the XGBoost model was generally better than that of the RF and M5P models at most stations (Figure 9 and Figures S8–S13). Among all the 18 models with different input combinations, the XGBoost1 and RF1 models generally performed best at most stations in the seven climate zones, of which XGBoost1 had better performance than RF1. Among the incomplete input combinations, models with combination 4 (i.e., including  $T_{mean}$  and  $R_s$ ) generally performed better than models with other combinations, of which the models could be ranked as XGBoost4 > RF4 > M5P4 in terms of estimation performance. It is noted that the performance of the XGBoost4 model was relatively close to that of the XGBoost1 model, while the former required fewer input datasets than the latter. Therefore, the XGBoost4 model would be more generalizable than the XGBoost1 model for estimating  $T_s$  across different climatic zones. Overall, the model performance differed between different climatic zones. For example, the M5P5 and RF5 models had relatively poor estimating performance in the NWC, IM, NEC, NC, and QTP regions, while the estimation performance of the M5P6, RF6, and XGBoost6 models was poor in the SC and CC regions. These results confirmed that the model estimating performance would be impacted by variations among different climatic zones.

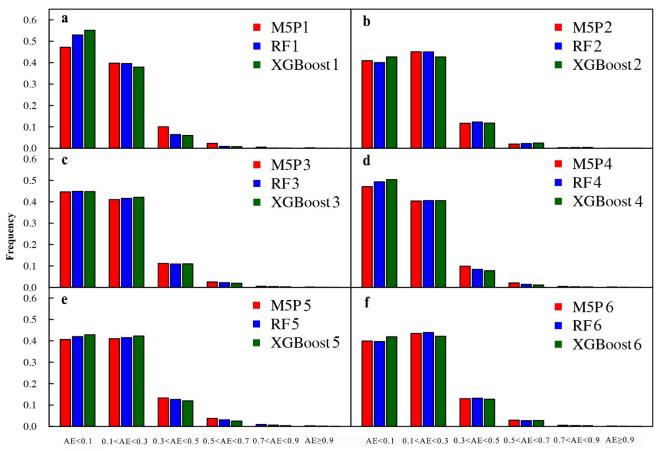


NWC

**Figure 9.** Combined indicator values for each model at all stations in the NEC region. (Model 1–18 in the *Y* axis represents M5P1, RF1, XGBoost1, M5P2, RF2, XGBoost2, M5P3, RF3, XGBoost3, M5P4, RF4, XGBoost4, M5P5, RF5, XGBoost5, M5P6, RF6, and XGBoost6, respectively, which also applies for Figures S8–S13).

## 3.5. Comparison of Stability of Various Machine Learning Models

To further compare the performance of different machine learning models, the absolute errors (AE) for each model was also adopted as a statistical metric. The frequency distribution of AE between measured and estimated daily Ts derived from the three machine learning models under various input combinations during the testing stage was plotted (Figure 10). As shown in the histograms, the AE of the three models mainly distributed at the ranks of <0.3  $^{\circ}$ C, while the proportions of AE greater than 0.5  $^{\circ}$ C for the models only ranged from 1.0% to 3.9%, which together suggested that the three types of models all performed well in  $T_s$  estimation. The proportions of AE less than 0.3 °C differed among model types and input combinations. For the input combination of T<sub>mean</sub> and R<sub>s</sub> (i.e., combination 4), the proportions of M5P and XGBoost models with AE less than 0.3 °C ranged from 87.4% to 90.9%, higher than the proportions of their corresponding counterparts with the input combination of T<sub>max</sub> and T<sub>min</sub> (ranging from 81.6% to 85.1%). Kisi et al. [39] also concluded that the input combination of T<sub>mean</sub> and R<sub>s</sub> provided a more effective estimation of T<sub>s</sub> in the Turkish region. For the complete input combination, the proportions of M5P and XGBoost models with AE less than 0.3 °C ranged from 87.0% to 93.1%. Moreover, the XGBoost model had the highest proportion of AE less than 0.1 (up to 55.2%) with this input combination among all models, indicating that the XGBoost model had stronger overall estimating performance than both other models. In addition, the XGBoost model outperformed the RF and M5P models in data processing speed, with less computing time required, consistent with results obtained by former research [29,87,88].



Ranks of Absolute Errors(AE°C)

**Figure 10.** Frequency distribution of absolute errors (AE) between measured and estimated daily  $T_s$  obtained by three machine learning models under various input combinations (**a**-**f**) during testing.

Taking all the previous results together, it can be concluded that, among the different climate zones of China in this study, the best-performed  $T_s$  estimations were observed in the CC region, followed by the SC region. In contrast, models constructed in the study generally showed poor performance in the NEC and IM regions. Models with the combination of  $T_{mean}$  and  $R_s$  as inputs could archive relatively high performance in estimation, approaching the performance of models in the fully parametric input pattern. Moreover, the former required fewer datasets and was more generalizable. In terms of different types of models, the XGBoost model would outperform the other two models. Moreover, soil organic matter content, soil water content,  $T_{mean}$ , RH,  $R_s$ , and  $U_2$  were significantly related to the accuracy of the model estimation of  $T_s$  and were the main factors that caused errors in the model estimation of  $T_s$ .

## 4. Conclusions

Soil temperature ( $T_s$ ) has an important influence on the energy conversion between the atmosphere and the soil. In this study, three data-driven algorithms (i.e., M5P, RF, and XGBoost) were selected to model  $T_s$  at a 0 cm depth using different combinations of meteorological factors (including  $T_{max}$ ,  $T_{min}$ ,  $T_{mean}$ , RH,  $R_s$ , and  $U_2$ ) as inputs. The three types of tree-based models were evaluated and compared at 689 meteorological stations in seven different climate zones of China. The results showed that the XGBoost model performed better and was more computationally efficient for estimating  $T_s$  compared to the M5P and RF models in different climate zones. With the inputs of  $T_{mean}$  and  $R_s$ , the models exhibited similar performance to that of models with the complete combination of meteorological factors as inputs, both of which had good estimation performance, but the former required fewer meteorological factors and datasets. On the basis of  $T_{mean}$ , adding R<sub>s</sub> to the model input had more significant influence and importance on model estimating accuracy than adding other climatic factors such as RH and  $U_2$  to the input. There was diversity in the estimating performance of the models across climate zones for the same combination of inputs. Comparing different climatic zones, the lower variability of temperature and radiation in the CC and SC regions resulted in a better model estimating accuracy, while the larger variability of radiation in the IM and NEC regions led to poorer model performance for estimating T<sub>s</sub>. Soil organic matter content, soil water content, T<sub>mean</sub>, RH,  $R_s$ , and  $U_2$  were significantly related to model accuracy for estimating  $T_s$ , suggesting that these factors all contributed to model errors during the estimation of T<sub>s</sub>. Overall, for estimating  $T_s$  at the 0 cm depth, the XGBoost model is highly recommended, and if the study area covers different climatic zones of China, the XGBoost model with the combination of  $T_{mean}$  and  $R_s$  as inputs would obtain satisfactory results for  $T_s$  estimation across different regions. However, it is to be noted that there is variability in  $T_s$  at different soil depths, and other meteorological factors (e.g., precipitation) would also impact T<sub>s</sub>. Therefore, for further studies of  $T_s$  estimation, the use of different soil depths as well as additional meteorological and environmental factors should be considered.

Supplementary Materials: The following supporting information can be downloaded at: https://www.action.com/actionals //www.mdpi.com/article/10.3390/app12105088/s1. Figure S1. Performance of data-driven models (M5P2: a, b; RF2: c, d; XGBoost2: e, f) for the estimation of  $T_s$  with  $T_{mean}$  as inputs. Figure S2. Performance of data-driven models (M5P3: a, b; RF3: c, d; XGBoost3: e, f) for the estimation of  $T_s$ with T<sub>mean</sub> and RH as inputs. Figure S3. Performance of data-driven models (M5P4: a, b; RF4: c, d; XGBoost4: e, f) for the estimation of  $T_s$  with  $T_{mean}$  and  $R_s$  as inputs. Figure S4. Performance of data-driven models (M5P5: a, b; RF5: c, d; XGBoost5: e, f) for the estimation of T<sub>s</sub> with T<sub>max</sub> and T<sub>min</sub> as inputs. Figure S5. Performance of data-driven models (M5P6: a, b; RF6: c, d; XGBoost6: e, f) for the estimation of  $T_s$  with  $T_{mean}$  and  $U_2$  as inputs. Figure S6. Scatter plot of estimated  $T_s$  versus measured  $T_s$  from the three machine learning models with  $T_{max}$  and  $T_{min}$  as inputs. Figure S7. Scatter plot of estimated  $T_s$  versus measured  $T_s$  from the three machine learning models with  $T_{mean}$  and U<sub>2</sub> as inputs. Figure S8. Combined indicator values for each model at all stations in the IM region. Figure S9. Combined indicator values for each model at all stations in the NEC region. Figure S10. Combined indicator values for each model at all stations in the NC region. Figure S11. Combined indicator values for each model at all stations in the CC region. Figure S12. Combined indicator values for each model at all stations in the SC region. Figure S13. Combined indicator values for each model at all stations in the QTP region.

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