



Supplementary Materials and Data for the Article:

Borek, A.J.; Wanat, M.; Sallis, A.; Ashiru-Oredope, D.; Atkins, L.; Beech, E.; Hopkins, S.; Jones, L.; McNulty, C.; Shaw, K.; Taborn, E.; Butler, C.; Chadborn, T.; Tonkin-Crine S. How can national antimicrobial stewardship interventions in primary care be improved? A stakeholder consultation. *Antibiotics, 8,* 207. Doi: 10.3390/antibiotics8040207

Contents

Box S1. Summary findings from stakeholder consultation: relevant to all settings 2
Box S2. Summary findings from stakeholder consultation: relevant to general practice 3
Box S3. Summary findings from stakeholder consultation: relevant to out-of-hours4
Box S4. Summary findings from stakeholder consultation: relevant to community pharmacy
Table S1. All identified suggestions for interventions 1
Table S2. Stakeholder ratings of interventions for general practice
Table S3. Stakeholder ratings of interventions for out-of-hours 17
Table S4. Stakeholder ratings of interventions for walk-in/urgent-care centers
Table S5. Stakeholder ratings of interventions for community pharmacy 23

Box S1. Summary findings from stakeholder consultation: relevant to all settings

Facilitating/helping to change antibiotic prescribing and implement interventions:

- Availability of many good interventions.
- Sufficient basic knowledge and awareness of guidelines (i.e., everyone knows what they should be doing).
- Consistency of messages and advice given across settings (pharmacies, general practices, OOH, hospitals etc.).
- Seeing a value in an intervention (otherwise it can be viewed as a 'tick box exercise').
- Prioritizing AMS in everything healthcare professionals do, rather than seeing it as a separate issue.
- Credibility and respectability of people/organizations promoting and providing AMS training and resources (e.g., TARGET toolkit being underwritten by the Royal College of General Practitioners).
- Feedback on individual prescribing rates.
- Feedback on local resistance patterns.
- Online training, especially if it is mandatory and people see value in it (otherwise there is no time to complete it).
- Easy access to patient leaflets (e.g., incorporated into clinical systems).
- Case studies to see how point-of-care CRP tests can work.

Barriers to changing antibiotic prescribing and implementing interventions:

- Differences between local prescribing guidelines causing confusion about appropriate prescribing.
- Guideline 'overload' and lack of time to read prescribing guidelines.
- As many AMS interventions are available and can be accessed in different places/ways, it is unclear which interventions should be used.
- Individual personalities and attitudes to managing risk in healthcare (i.e., low tolerance of risk can increase antibiotic prescribing).
- Difficulty addressing inappropriate prescribing of more senior prescribers by more junior/inexperienced professionals.
- Limited access to healthcare services increasing likelihood of prescribing 'just in case' (e.g., Friday afternoon prescribing).
- Decision-making fatigue linked to workload and time when the prescribing decisions are made.
- Insufficient connectedness and work between networks and organizations ('working in silos'; e.g., commissioners tend to communicate with GPs but not (so much) with OOH and pharmacies).
- Prescribers (e.g., in OOH, locums, dentists) not using their unique prescriber codes, limiting monitoring and accountability for prescribing.
- Insufficient understanding of the importance and consequences of AMR.
- Patient expectations for antibiotics, which may be fueled by other healthcare professionals (e.g., community pharmacist or nurse) or past prescribing. The stakeholders noted that this is slowly improving with due to national campaigns.
- Concern about risks of not prescribing and sepsis.

Suggestions for intervention improvements or new interventions:

- Offering financial incentives for intended change, e.g., for completing AMS training and adherence to guidelines (e.g., as part of professional development, appraisal, contracts).
- Making AMS training mandatary (e.g., as part of clinical professional development or appraisal).

- Tailoring education / training to patient-facing and not patient-facing roles.
- Identifying high prescribers and providing interventions and support tailored to them.
- Providing point-of-care diagnostics, such as CRP tests. (Although the stakeholders had ambivalent views whether they would help or not.)
- Making professional networks more inclusive and multi-professional. For example, including pharmacists and OOH staff in primary care networks when disseminating information or providing AMS training; inviting practice pharmacists to CCG / locality meetings so that they are aware of the local AMS agendas and actions.
- Organizing multi-professional small group-based learning sets.
- Making the TARGET toolkit into an app.
- Providing (better) access to data on local antimicrobial resistance. Linking antibiotic prescribing data with AMR data.
- Addressing concerns about sepsis (e.g., a checklist of red-flag symptoms targeted at general practice, OOH or pharmacy (currently such information is targeted at hospitals)).
- Incorporating tools / interventions into the clinical systems nationally to make them easy to use (e.g., links to guidelines, clinical scores, leaflets, etc.).

Box S2. Summary findings from stakeholder consultation: relevant to general practice

Facilitating/helping to change antibiotic prescribing and implement interventions:

- Offering financial incentives with targets.
- Point-of-care CRP tests used to confirm diagnoses and educate patients. (Mixed views.)

Barriers to changing antibiotic prescribing and implementing interventions:

- Hospital consultants asking GPs to prescribe antibiotics, even if not necessary.
- Timing of consultations and limited access to healthcare (e.g., Friday afternoon prescribing).
- Time pressure and workload (prescribing seen as quicker).
- Decision-making fatigue.
- Recording signs and symptoms as indicating the need for antibiotics if a prescriber wants to prescribe (eve if it may not be appropriate/necessary).
- Prescribers (e.g., locums) using other GPs' codes so it is unclear who issued prescriptions.
- Cost, doubts about accuracy and unintended consequences as barriers/disadvantaged of point-of-care CRP testing.

Suggestions for intervention improvements or new interventions:

- Audit of prescribing in all practices; providing feedback and identifying and addressing the underlying reasons for high prescribing.
- Audit of individual prescribing; providing feedback and working with individual high prescribers to address reasons for high/inappropriate prescribing.
- Making audit of prescribing in practices mandatory.
- Providing training to the 'front-of-the-house' practice staff (including receptionists and community pharmacy assistants) in giving self-care advice and signposting patients.
- Peer review of prescribing between GPs (e.g., as part of an appraisal process).
- Incorporate guidelines into clinical system and decision support tools.

Box S3. Summary findings from stakeholder consultation: relevant to out-of-hours

In addition to similar influences on prescribing as in general practice (as above), the following were identified as specific to OOH:

Facilitating/helping to change antibiotic prescribing and implement interventions:

• Manual audit of individual prescribing decisions and specific feedback to prescribers (e.g., links to guidelines).

Barriers to changing antibiotic prescribing and implementing interventions:

- If the patient is re-consulting and the 'story' they present (e.g., how many times were already seen by a healthcare professional).
- Lack of awareness of local guidelines as in OOH there are often prescribers from various places and their inductions are very quick.
- Lack of communication from the CCG (e.g., about guidelines updates, training opportunities).
- Audit of prescribing in OOH is difficult as OOH providers don't have specific geographical areas or population.
- Not using unique prescriber codes, making automated prescribing audits not possible.
- Lack of accountability for prescribing as prescribing is not monitored by the CCG.
- Lack of incentives or training offered to OOH (such as those offered to general practices).
- Lack of follow-up of patients to see if the treatment worked stifling learning and confidence in decision making.
- Difficult access to patients' notes due to different computer systems.
- Lack of access to lab results.
- Lack of accountability for using broad-spectrum antibiotics.

Suggestions for intervention improvements or new interventions:

- Developing or improving tools/system/software to audit prescribing and give personalized advice (e.g., pointing out to specific guidelines).
- Audit of individual prescribing to identify inappropriate prescribing and provide personalized advice and/or training.
- Improving dissemination of prescribing guidelines and guideline updates to OOH providers and prescribers.
- Making AMS training (e.g., provided by CCGs, NHS) available to OOH staff.
- Improving dissemination of information about AMS training opportunities to OOH.
- Improving induction of new prescribers in OOH.

Box S4. Summary findings from stakeholder consultation: relevant to community pharmacy

Barriers to changing antibiotic prescribing and implementing AMS interventions:

- Lack of access to point-of-care diagnostics to check whether illness is minor or serious.
- Differences in which services are commissioned by the NHS to those offered in private pharmacies (e.g., point-of-care diagnostics, such as throat swabs offered to patients for a fee in private pharmacies but not commissioned by the NHS).
- Concern about the use of point-of-care diagnostics increasing prescribing for financial benefit.
- Limited influence of the NHS/PHE over private services.
- Many different providers and computer systems that are not always compatible.
- Lack of incentives to change, e.g., to use patient leaflets.

• Low use of patient records in pharmacies (unclear why); easier to access patient records if the pharmacy is on the practice premises and they have a practice computer with access to patient records which helps with collaborative working.

Influences on antibiotic prescribing (may be barriers or facilitators):

- Availability of professionals with varying degrees of training and experience in pharmacy teams (e.g., pharmacist prescribers, dispensers, assistants, pharmacy technicians).
- Degree of confidence and skills of pharmacy staff in asking the right questions and making a decision about what to advise the patient (e.g., to self-care, see a GP or go to a hospital).
- Confidence to give self-care advice is often low leading to over safety-netting and telling too many patients to see a GP.
- How patients present their 'story' and their expectations of treatment:
 - How patients describe their symptoms influences the perception of illness and what to advise.
 - Patients may know who in the pharmacy can prescribe and ask them specifically for antibiotics.
 - Patients may expect free-of-charge medicines.
 - Community pharmacists advising patients to see a GP creates a patient expectation for antibiotics (then harder for GPs to say no).

Suggestions for intervention improvements or new interventions:

- Providing training in giving self-care advice to the whole pharmacy teams in order to:
 - ensure a good level and mix of skills within pharmacy teams (considering varying roles and levels of experience/training);
 - develop confidence to give self-care advice and not automatically prescribe antibiotics or direct patients to a GP;
 - ensure consistent messages about self-care and antibiotics;
 - manage patient expectations for antibiotics.
- Providing training to pharmacy staff that includes:
 - structured way(s) of asking patients the right questions and identifying red-flags;
 - o support/encouragement to pharmacy staff to provide self-care advice;
 - consistent ways of providing self-care advice;
 - o promoting the use of patient leaflets on symptom duration;
 - o promoting the signposting patients to self-help advice online.
- Promoting the use of patient records to review whether antibiotics were prescribed appropriately and encouraging pharmacists to identify and challenge inappropriate decisions.
- Providing access to point-of-care diagnostics to address the lack of confidence in identifying whether illness is minor or serious; this would need to be used together in training on how to use the tests and guidelines.





Table S1. All identified suggestions for interventions

Barriers (B) / facilitators (F)	Source of the	Suggested interventions	Included in the survey /
addressed ¹	suggestions	(intervention modifications or new components)	excluded with reasons
RELEVANT TO ALL SETTINGS			
F: Learning from peers on whether	Stakeholders	1. Multi-disciplinary small group learning (e.g. including GPs,	Included
they can improve and how and about		nurses, pharmacists, CCG staff) to identify ways to improve	
alternative prescribing techniques		implementation of AMS initiatives and share examples of good	
		practice and actions taken by others as part of AMS.	
B: Clinical uncertainty; fear of missing	Stakeholders;	2. Provide diagnostic CRP testing, including training in using it and	Included
a serious illness as a threat to	research evidence	interpreting the results.	
professional expertise; lack of access			
to POC diagnostic testing ; F: access			
to POC diagnostics testing			
F: Knowledge of and access to case	Stakeholders	3. Provide case studies on implementation of CRP testing to	Excluded:
studies on how CRP testing works*		better understand how CRP testing can work in different settings	Not specific enough;
		and to provide role models.	healthcare organizations
			operate differently and it
			would be difficult to
			transfer learning;
			implementation studies
			are currently underway to
			provide some examples of
			implementation.

B: Disintegrated services & communication structures*; F: multi- disciplinary networks*	Stakeholders	4. Co-organize AMS events together with different professional networks (e.g. GPs, nurses, pharmacists, OOH staff) to facilitate multi-disciplinary work and improve dissemination of information about AMS events and training to all professional networks.	Included
F: Advice from / influence of relevant experts, providing / underwriting the intervention by relevant people / organizations, e.g. RCGP, BMA*	Stakeholders; research evidence	5. Use relevant and credible sources to promote AMS and engagement with under-used AMS interventions.	Included
F: Ability to educate patients in consultations; access to and use of patient leaflets helping to explain no- prescribing decisions & providing advice	Stakeholders, research evidence	6. Promote higher uptake and interactive use of patient leaflets (e.g. through training, role models, credible sources and peers promoting them) by all healthcare professionals in general practice, OOH and community pharmacy.	Excluded: Included in the community pharmacy setting only; patient leaflets are already being used in general practice and OOH settings.
B: Inconsistent approaches to antibiotic prescribing; F: adopting guidelines or evidence as a standard practice (with intention to follow them)	Researchers, research evidence	7. Agree on a consistent approach to antibiotic prescribing within an organization (e.g. make an action plan, agree a practice protocol on treating certain infections).	Included
F: Advice from and influence of relevant experts	Researchers	8. Increase and standardize the role of CCG medicines optimization teams and their responsibilities across all CCGs (e.g. all CCGs to have adequate number of prescribing advisors and/or pharmacists to work more closely with practices, OOH and	Included

B: Variation in the skills and experience among staff (in particular reported for pharmacy teams)*	Stakeholders	 community pharmacies (e.g. in auditing prescribing, disseminating information, providing training and advice). 9. Provide AMS-related training to all patient-facing staff within an organization to improve and minimize variation in skills and to ensure a consistent approach to providing advice to patients and antibiotic prescribing. 	Included
F: Ability to elicit and address patient's concerns and expectations; ability to prepare a patient for, and justify, a decision whether to prescribe or not; ability to educate patients in consultations; B: lack of such abilities	Stakeholders; research evidence	 10. Promote higher uptake of training (e.g. STAR) including evidence to prescribers that patients expect reassurance and addressing their concerns, not necessarily a prescription, and development of skills, including: eliciting and addressing patients' concerns & expectations, preparing patients for a decision whether to prescribe antibiotics or not, educating patients about self-limiting illness and antibiotics, providing self-care advice & sign-posting patients to sources of self-care information, maintaining good relationship with patient and patient satisfaction (in ways other than by giving a prescription when inappropriate), ability to do that in a time-efficient way. 	Excluded: Overlap with existing online trainings; not specific enough in terms of delivery.
B: Poor dissemination of guidelines (in particular to OOH)*	Stakeholders; research evidence	11. Improve dissemination and implementation of (new) guidelines (e.g. CCGs / NHS England improve dissemination of	Excluded: Not specific enough, especially in terms of how

			, ,
		information, including to OOH providers, offer update training	dissemination can be
		and meetings).	improved (CCG already
			disseminate guidelines so
			it remains unclear how
			else it can be improved.)
B: Lack of communication from CCGs	Stakeholders	12. Improve dissemination and uptake of AMS-related training	Excluded:
to OOH about AMS*; Lack of training		opportunities, in particular to OOH providers (together with	Similar to other
(or invitations to training) on AMS		opening existing AMS training to OOH providers).	suggestions; not specific
offered to OOH by CCGs*			enough, especially in
			terms of how
			dissemination and uptake
			can be improved.
RELEVANT TO GENERAL PRACTICE			
F: Advice from colleagues when	Stakeholders	1. Prescribing advisors or practice prescribing leads to encourage	Included
uncertain or to reinforce appropriate		GPs to peer review each other's antibiotic prescribing, review	
prescribing decisions, perceptions of		uncertain cases regularly and get advice from peers on what	
own prescribing compared to others		could/should have been done (e.g. as part of an appraisal process	
		or a task within a practice meeting).	
F: Having prescribing monitored and	Stakeholders;	2. Audit prescribing in <u>all</u> practices, to be done by CCG prescribing	Included
audited, receiving feedback on	research evidence	advisors or practice prescribing leads, and identify underlying	
prescribing; B: lack of accountability		reasons for high/inappropriate prescribing, provide tailored	
for prescribing		advice to practice prescribers and agree practice action plans.	
F: Having prescribing monitored and	Stakeholders	3. Audit prescribing of individual prescribers in practices, to be	Included
audited, receiving feedback on	Statenolders	done by CCG prescribing advisors or practice prescribing leads,	

prescribing; B: lack of accountability for prescribing; individual personalities and attitudes to managing risk in healthcare* B: Lack of consistency in auditing prescribing*	Stakeholders	 provide individual feedback on prescribing, identify individual high prescribers (or those not following guidelines), identify underlying reasons for high/inappropriate prescribing, provide tailored advice and agree individual action plans. 4. Make prescribing audit mandatory in all practices nationally (e.g. as part of CQC or other CCG or national targets for 	Excluded: Seen as unrealistic; audit
B: (Belief about) limited access to GP / medical services lowering threshold for prescribing	Stakeholders	 practices). 5. Provide information on the exact (amount of) time that there is no access to healthcare available locally to patients and encourage all prescribers to know the exact times and locations of available healthcare services to provide to patients (e.g. as a leaflet). 	is already part of the CQC. Included
F: Awareness/knowledge of evidence & guidelines; easy access to patient leaflets*; B: lack of time to engage with interventions*; clinical uncertainty	Stakeholders	6. Clinical system providers to incorporate interventions (e.g. guidelines, leaflets, clinical decision support tools) into all clinical systems nationally.	Included
F: Use of financial incentives to change antibiotic prescribing; B: lack of incentives to change or engage with interventions*	Stakeholders	7. All CCGs to provide financial incentives to general practices for intended behaviors (e.g. to engage with interventions, adhere to guidelines, do prescribing audits) or outcomes (e.g. reduce antibiotic prescribing).	Excluded: Questioned the evidence on whether financial incentives facilitate sustainable change; long- term financial incentives seen as unrealistic; some

			have already been
			implemented.
	Challed a laboration		
B: Lack of incentives to engage with	Stakeholders	8. Make AMS training mandatory (e.g. evidence-based STAR	Included
interventions*		training as part of CQC, contracts, targets) if practice prescribing	
		rates reach a certain threshold (e.g. top 25% nationally or locally).	
B: Lack of understanding of and	Stakeholders;	9. Improve dissemination and awareness of data on local	Included
awareness of AMR*; doubt about	research evidence	antimicrobial resistance patterns and evidence that links it with	
impact of GPs' prescribing on AMR; F:		prescribing rates, describing the relation between antibiotic	
belief that GPs' prescribing		prescribing and antimicrobial resistance (e.g. by CCG prescribing	
meaningfully contributes to AMR		advisors communicating this information to practices, together	
		with feedback on antibiotic prescribing rates as part of regular	
		reviews, audits or training).	
B: Prescribers not using unique codes	Stakeholders	10. Promote or regulate the use of unique prescriber codes in	Included
making it difficult to automate		order to be able to provide individual prescribing feedback.	
prescribing audit*			
F: Ability to preserve a good	Researchers	11. Improve dissemination of evidence to general practice	Excluded:
relationship and patient satisfaction		prescribers (by peers or other credible sources) that patients	Already part of existing,
in other ways than prescribing		most often want reassurance, and addressing their concerns in	nationally implemented
		consultations is sufficient, without necessarily giving an antibiotic	online STAR training.
		prescription (e.g. as part of existing training delivered to	
		prescribers).	
B: Responding to immediate	Research evidence	12. Emphasize the benefits to prescribers of not prescribing when	Excluded:
pressures (e.g. patient in front) over		inappropriate and of taking time to educate patients and provide	Already available as part
long-term consequences of AMR;		self-care advice (e.g. lower re-consultations, future consultations	of the TARGET resources.
wanting to prevent re-consultations		and patient expectation of antibiotics.	

			1
by giving a prescription; F: Wanting to			
save time and prevent future			
consultations by investing time in			
educating patients about self-care of			
self-limiting illnesses			
(no specific barriers / facilitators	Research evidence	13. Implement computer prompts into clinical systems nationally	Excluded:
identified in literature or by		and provide training in how to use the prompts to optimize	Already being developed
stakeholders)		antibiotic prescribing (e.g. reminders to reduce broad-spectrum	as part of the TARGET
		antibiotics, to use delayed prescriptions, patient leaflets).	resources; lacking
			specificity on what
			prompts would need to
			be implemented.
			Incorporated as part of
			suggestion #7 for general
			practice (i.e.
			incorporating
			interventions into clinical
			systems).
(no specific barriers / facilitators	Research evidence	14. Appoint AMS lead GPs in all practices to lead on AMS-related	Included
identified in literature or by		issues, for example, by organizing practice meetings about AMS,	
stakeholders)		disseminating information about new guidelines, encouraging	
		peers to implement interventions.	
(no specific barriers / facilitators	Research evidence	15. CCG prescribing advisors to provide encouragement and	Excluded:
identified in literature or by		verbal persuasion to promote AMS-related behavior change (e.g.	
stakeholders)			

RELEVANT TO OUT-OF-HOURS	Stakeholders	encouraging /persuading GP directly in what they could do to reduce antibiotic prescribing).	Already part of an existing TARGET intervention/ resources.
F: Having prescribing monitored and audited, receiving feedback on prescribing; B: lack of accountability for prescribing; auditing and benchmarking prescribing in OOH impossible or difficult due to not being linked to population or area*; B: Lack of understanding of & accountability for prescribing broad- spectrum antibiotics in OOH*	Stakeholders	1. Manual audit of (sample of) individual prescriptions (prescribing decisions) in OOH (especially the use of broad- spectrum antibiotics), identify underlying reasons for high/inappropriate prescribing, followed by provision of personalized feedback and advice/training to prescribers, and agreed action plans.	Excluded: Software exist that does it; developing tools / system to enable audit is already included in another suggestion.
B: Auditing and benchmarking prescribing in OOH impossible or difficult due to not being linked to population or area*	Stakeholders	2. Develop tools/system to enable audit of prescribing in OOH and provision of personalized feedback and advice.	Included
B: Lack of consistency in auditing prescribing*	Stakeholders	3. Make prescribing audit in OOH mandatory.	Included
B: Lack of awareness/ knowledge of local guidelines by new/locum GPs in OOH*	Stakeholders	4. Improve induction for new prescribers in OOH to ensure knowledge of local AMS-relevant guidelines, specifically indications for antibiotic prescribing, information on first-line antibiotics, practice agreed action plans / approaches to prescribing antibiotics.	Included

B: (Belief about) limited access to GP / medical services lowering threshold for prescribing	Stakeholders	5. Provide information on the exact (amount of) time that there is no access to healthcare available locally to patients and encourage all prescribers to know the exact times and locations of available healthcare services to provide to patients (e.g. as a leaflet).	Excluded: Not relevant to OOH. Included in general practice setting.
F: Awareness/knowledge of evidence & guidelines; easy access to patient leaflets*; B: lack of time to engage with interventions*; clinical uncertainty	Stakeholders	6. Clinical system providers to incorporate interventions (e.g. guidelines, leaflets, clinical decision support tools) into all clinical systems nationally.	Included
B: Lack of understanding of and awareness of AMR*; doubt about impact of GPs' prescribing on AMR; F: belief that GPs' prescribing meaningfully contributes to AMR	Stakeholders, research evidence	7. Improve dissemination and awareness of data on local antimicrobial resistance patterns and evidence that links it with prescribing rates describing the relation between antibiotic prescribing and antimicrobial resistance (e.g. by CCG prescribing advisors communicating this information to OOH, together with feedback on antibiotic prescribing rates as part of regular reviews, audits or training).	Included
B: Prescribers not using unique codes making it difficult to automate prescribing audit*	Stakeholders	8. Promote or regulate the use of unique prescriber codes in order to be able to provide individual prescribing feedback.	Included
F: Belief that delayed prescriptions can be helpful; B: Belief that delayed prescribing is not an effective strategy for appropriate/ prudent antibiotic prescribing; perception that patients	Research evidence	9. Promote increased use of delayed prescriptions (instead of immediate prescriptions) by providing evidence to prescribers on why delayed prescribing is beneficial to them and their patients and on how patients typically use delayed prescriptions.	Included

use delayed prescriptions inappropriately (lack of feedback on how patients use delayed prescriptions)			
F: Ability to preserve a good relationship and patient satisfaction in other ways than prescribing	Researchers	10. Improve dissemination of evidence to prescribers in OOH (by peers or other credible sources) that patients most often want reassurance, and addressing their concerns in consultations is sufficient, not necessarily a prescription (e.g. as part of existing training delivered to prescribers).	Excluded: Important to all settings and, to some extent, already happening; unspecific in terms of how to improve dissemination.
B: Responding to immediate pressures (e.g. patient in front) over long-term consequences of AMR; wanting to prevent re-consultations by giving a prescription; F: Wanting to save time and prevent future consultations by investing time in educating patients about self-care of self-limiting illnesses	Research evidence	11. Emphasize the benefits to prescribers of not prescribing when inappropriate and of taking time to educate patients and provide self-care advice (e.g. lower re-consultations, future consultations and patient expectation of antibiotics).	Excluded: Already available as part of the TARGET resources.
(no specific barriers / facilitators identified in literature or by stakeholders)	Research evidence	12. Appoint AMS lead GPs in all OOH to lead on AMS-related issues, for example, by organizing practice meetings about AMS, disseminating information about new guidelines, encouraging peers to implement interventions.	Included

			i
(no specific barriers / facilitators	Research evidence	13. CCG prescribing advisors to provide encouragement and	Excluded:
identified in literature or by		verbal persuasion to promote AMS-related behavior change (e.g.	Already covered by a
stakeholders)		encouraging /persuading GP directly in what they could do to	suggestion for all settings
		reduce antibiotic prescribing).	(i.e. use respected and
			trusted, national and local
			experts to promote
			AMS).
B: Lack of follow-up of patients	Researchers	14. Make patient information and history available on OOH IT	Included
between general practice and OOH		system, and the OOH information available on GP IT system for a	
		GP to be able to follow-up afterwards.	
RELEVANT TO COMMUNITY PHARMAC	<u>Y</u>	-	
B: Low use of patient records in	Stakeholders	1. Promote the use of patient records by pharmacists to review	Included (spilt into two
community pharmacy to review		whether antibiotics were prescribed appropriately and	suggestions)
prescribing*		encourage pharmacists to flag GP prescribing decisions if	
		antibiotics were prescribed inappropriately (not according to	
		guidelines).	
B: Lack of skills of community	Stakeholders	2. Provide training in structured way(s) of asking patients the	Included
pharmacy staff to ask questions and		right questions and identifying red-flags to help decide what to	
make decisions what to advise		advise patients (e.g. whether to give them self-help advice or	
patients*; lack of confidence of		suggest seeing a GP).	
community pharmacy staff on what			
to advise and over-advising to see a			
GP*; patient's concern about illness*			

B: Low use of patient records in community pharmacy to review prescribing*; lack of review of COPD back up antibiotics (suggested by	Stakeholders, researchers	3. Pharmacy staff to prompt GPs to review long-term and repeat antibiotic prescriptions (e.g. for COPD patients).	Included
research team)			
F: Access to and use of patient	Stakeholders	4. Promote routine interactive use of patient leaflets with	Included
leaflets (helping to explain no-	(suggestion wasn't	patients with self-limiting infections (e.g. through training, role	
antibiotic prescribing decisions &	specific to setting),	models, respected and trusted experts promoting use of leaflets).	
providing advice)	researchers		
	(narrowed down to		
	this setting as		
	leaflets already		
	available in GP, OOH		
	settings), research		
	evidence		

Note:

¹ Barriers and facilitators were derived from, and defined on the basis of, a qualitative literature review (reported in: Borek et al. *Exploring the implementation of interventions to reduce antibiotic use (ENACT study): report. 2019. Public Health England)* and may not match exactly with the intervention suggestions. Barriers suggested by the stakeholders are indicated with an asterisk (*). Barriers and facilitators for community pharmacy were only reported by stakeholders due to lack of research studies identified in this setting.

Abbreviations used in the table: AMR – antimicrobial stewardship, B – barrier, F – facilitator, GP – general practitioner, OOH – out of hours.

Table S2. Stakeholder ratings of interventions for general practice

Intervention		Number of respondents considering the intervention							
(in order of percentage APEASE score)	Relevant to	А	Р	E	А	S	E	Total	%
	this setting	Affordable	Practical	Effective	Acceptable	Safe	Equitable		maximum
									score
Prescribing advisors or practice prescribing/AMS	11/11	8	8	10	10	10	10	56/66	84.9%
leads to carry out standardized quality									
improvement (e.g. supported by IT system									
functionality) and use prescribing data to identify									
underlying reasons for high / inappropriate									
antibiotic prescribing, provide tailored advice to									
prescribers and agree practice action plans (e.g.									
practice plan to reduce immediate antibiotic									
prescribing for acute cough).									
Multi-disciplinary small group learning (e.g.	13/14	10	11	13	12	13	12	71/84	84.5%
including local GPs, nurses, pharmacists, CCG staff)									
to identify ways to improve implementation of									
antimicrobial stewardship (AMS) initiatives and									
share local examples of good practice and actions									
taken by others as part of AMS.									
Appoint AMS lead GPs in all practices to lead on	11/11	9	8	9	8	11	10	55/66	83.3%
AMS-related issues, e.g., by organizing practice									
meetings about AMS, disseminating information									
about new guidelines, encouraging peers to									
implement interventions.									

Audit prescribing of individual prescribers in general	10/11	8	9	10	8	10	10	55/66	83.3%
practices, to be done by local (CCG) prescribing	-,			-		-	_	,	
advisors, practice prescribing/AMS leads or practice									
pharmacists, and provide individual feedback on									
prescribing, identify underlying reasons for high /									
inappropriate antibiotic prescribing, provide									
tailored advice and agree individual action plans									
(e.g. individual prescriber's plan to reduce									
immediate antibiotic prescribing for acute cough).									
Promote or regulate the use of unique prescriber	11/11	9	8	9	8	10	9	53/66	80.3%
codes to be able to provide individual prescribing		5	Ũ	5	0	10	5	33,00	00.570
feedback.									
Clinical system providers to incorporate	10/11	6	9	8	9	10	9	51/66	77.3%
interventions (e.g. guidelines, leaflets, clinical	10/11	0	5	0	5	10	5	51/00	77.570
decision support tools, computer prompts to use									
delayed/back-up prescriptions, computer prompts									
to reduce broad-spectrum antibiotics) into all									
clinical systems nationally and commissioners to									
ensure that practices activate and are aware of									
these functions on their clinical system.	0/11	0	7	<u> </u>	9	0	0	49/66	72 70/
Improve dissemination and awareness of data on	9/11	8	7	6	9	9	9	48/66	72.7%
local antimicrobial resistance patterns and evidence									
that links it with prescribing rates, describing the									
relation between antibiotic prescribing and									
antimicrobial resistance (e.g. by prescribing									

advisors communicating this information to									
practices, together with feedback on AMR and									
antibiotic prescribing rates as part of regular									
reviews, audits or training).									
Promote increased use of delayed / back-up	9/11	8	7	8	8	9	8	48/66	72.7%
prescriptions (instead of immediate prescriptions)									
by providing online skills training to prescribers.									
Training to include information on why									
delayed/back-up prescribing is beneficial, how									
patients use delayed/back-up prescriptions, and by									
a practice agreeing on a process to provide									
delayed/back-up prescriptions.									
Use respected and trusted, national and local	12/14	7	7	9	12	12	9	56/84	66.7%
experts with relevant professional backgrounds to									
promote AMS and engagement with under-used									
AMS interventions by giving talks and endorsing									
AMS approaches.									
Agree on a consistent local approach to antibiotic	10/14	10	9	9	9	10	9	56/84	66.6%
prescribing within an organisation, such as a general									
practice, out-of-hours, walk-in centre or community									
pharmacy, for example, by agreeing an AMS-related									
action plan, a practice protocol on treating certain									
infections and/or following national or local									
guidelines.									

Increase staff time available to work on AMS within	11/14	6	7	10	10	11	10	54/84	64.3%
commissioning teams and standardise the AMS-									
related roles; for example, all commissioners to									
have adequate number of prescribing advisors									
and/or pharmacists to work more closely with									
practices, OOH and community pharmacies (e.g. by									
auditing prescribing, disseminating information,									
providing training and advice).									
Provide online AMS training to all patient-facing	11/14	11	8	6	8	11	9	53/84	63.1%
staff within an organization to improve (and									
minimize variation in) skills to ensure a consistent									
approach to providing advice to patients and									
antibiotic prescribing for respiratory tract									
infections.									
Local prescribing advisors or practice	10/11	7	5	6	6	8	7	39/66	59.1%
prescribing/AMS leads to encourage GPs to peer									
review each other's antibiotic prescribing, review									
uncertain cases regularly and promote discussion									
on alternative approaches to immediate prescribing									
(e.g. as a task within a practice meeting).									
Make AMS training mandatory (e.g. evidence-based	9/11	6	6	6	3	8	9	38/66	57.6%
training as part of CQC, contracts, targets) if									
practice prescribing rates reach a certain threshold									
(e.g. top 25% nationally or locally).									

Co-organise national AMS events together with different professional networks (e.g. GPs, nurses, pharmacists, OOH staff) to facilitate multi- disciplinary work and improve dissemination of information about AMS and training to all relevant professional networks.	10/14	5	7	7	8	10	8	45/84	53.6%
Provide diagnostic point-of-care CRP testing, including training in using it, interpreting the results and maintaining the equipment.	11/14	2	4	7	6	11	6	37/84	44.0%
Provide information on opening hours of all local healthcare services for prescribers and patients to know what care is available to patients outside GP hours (e.g. as a leaflet, on a practice website) to prevent higher prescribing on Fridays.	5/11	5	6	2	6	5	5	21/66	31.8%

Table S3. Stakeholder ratings of interventions for out-of-hours

	Number of respondents considering the intervention								SE score
Intervention	Relevant to	Α	Р	E	Α	S	E	Total	%
	this setting	Affordable	Practical	Effective	Acceptable	Safe	Equitable		maximum
									score
Appoint AMS lead prescriber in all OOH sites to lead	6/6	5	5	5	6	6	6	33/36	91.7%
on AMS-related issues, e.g., by organising meetings									
about AMS, disseminating information about new									

guidelines, encouraging peers to implement									
interventions.									
Develop tools / system to enable audit of	5/6	4	4	5	5	5	5	28/36	77.8%
prescribing in OOH and provision of personalised									
feedback and advice.									
Improve induction for new prescribers in OOH to	5/6	4	4	5	5	5	5	28/36	77.8%
ensure knowledge of local AMS-relevant guidelines									
(e.g. indications for antibiotic prescribing, first-line									
antibiotics) and organisation-agreed approaches to									
prescribing antibiotics.									
Promote increased use of delayed / back up	5/6	4	4	4	4	5	5	26/36	72.2%
prescriptions (instead of immediate prescriptions)									
by providing online skills training to prescribers.									
Training to include information on why									
delayed/back-up prescribing is beneficial, how									
patients use delayed/back up prescriptions, how to									
discuss delayed/back up prescriptions with									
patients, and how it can be used in OOH.									
Promote or regulate the use of unique prescriber	5/6	4	3	4	4	5	5	25/36	69.4%
codes in order to provide individual prescribing									
feedback.									
Clinical system providers to incorporate	5/6	3	4	4	4	5	5	25/36	69.4%
interventions (e.g. guidelines, leaflets, clinical									
decision support tools, computer prompts to use									
delayed/back-up prescriptions, computer prompts									

to reduce broad-spectrum antibiotics) into all clinical systems nationally and commissioners/providers to ensure these are activated and OOH staff are aware of these									
functions on their clinical system. Make patient information and history available on	5/6	5	1	4	5	5	5	25/36	69.4%
OOH IT system, and the OOH information available	5/0	5	T	4	5	J	5	23/30	09.4%
on GP IT system for a GP to be able to follow-up									
afterwards.									
Multi-disciplinary small group learning (e.g.	13/14	7	6	10	8	11	12	54/84	64.3%
including local GPs, nurses, pharmacists, CCG staff)									
to identify ways to improve implementation of									
antimicrobial stewardship (AMS) initiatives and									
share local examples of good practice and actions									
taken by others as part of AMS.									
Provide online AMS training to all patient-facing	12/14	12	8	7	8	11	8	54/84	64.3%
staff within an organisation to improve (and									
minimise variation in) skills to ensure a consistent									
approach to providing advice to patients and									
antibiotic prescribing for respiratory tract									
infections.									
Agree on a consistent local approach to antibiotic	10/14	10	8	9	8	9	10	54/84	64.3%
prescribing within an organisation, such as a general									
practice, out-of-hours, walk-in centre or community									
pharmacy, for example, by agreeing an AMS-related									

		1	1	1				
10/14	5	7	8	11	12	10	53/84	63.1%
10/14	7	7	9	9	10	10	52/84	61.9%
4/6	3	4	3	4	4	4	22/36	61.1%
	10/14	10/14 7	10/14 7 7	10/14 7 7 9	10/14 7 7 9 9 	Image: Normal state Image: Normal state	Image: Normal state Image: Normal state Image: Normal state 10/14 7 7 9 9 10 10/14 7 7 9 9 10	10/14 7 7 9 9 10 10 52/84

21 of 31

Make antibiotic prescribing / infection audit in OOH mandatory.	5/6	3	3	2	3	4	4	22/36	61.1%
Provide diagnostic point-of-care CRP testing, including training in using it, interpreting the results and maintaining the equipment.	11/14	4	6	8	5	11	8	42/84	50.0%
Co-organise national AMS events together with different professional networks (e.g. GPs, nurses, pharmacists, OOH staff) to facilitate multi- disciplinary work and improve dissemination of information about AMS and training to all relevant professional networks.	8/14	3	6	6	5	7	7	34/84	40.5%

Table S4. Stakeholder ratings of interventions for walk-in/urgent-care centers

	Number of respondents considering the intervention								
Intervention	Relevant to	Α	Р	E	Α	S	E	Total	%
	this setting	Affordable	Practical	Effective	Acceptable	Safe	Equitable		maximum
									score
Agree on a consistent local approach to antibiotic	9/13	9	8	8	8	9	9	51/78	65.4%
prescribing within an organisation, such as a									
general practice, out-of-hours, walk-in centre or									
community pharmacy, for example, by agreeing an									
AMS-related action plan, a practice protocol on									
treating certain infections and/or following									
national or local guidelines.									

Provide online AMS training to all patient-facing	11/13	11	7	7	8	9	7	49/78	62.8%
staff within an organisation to improve (and									
minimise variation in) skills to ensure a consistent									
approach to providing advice to patients and									
antibiotic prescribing for respiratory tract									
infections.									
Multi-disciplinary small group learning (e.g.	12/13	7	6	8	7	10	10	48/78	61.5%
including local GPs, nurses, pharmacists, CCG staff)									
to identify ways to improve implementation of									
antimicrobial stewardship (AMS) initiatives and									
share local examples of good practice and actions									
taken by others as part of AMS.									
Increase staff time available to work on AMS within	9/13	7	7	7	9	9	9	48/78	61.5%
commissioning teams and standardise the AMS-									
related roles; for example, all commissioners to									
have adequate number of prescribing advisors									
and/or pharmacists to work more closely with									
practices, OOH and community pharmacies (e.g. by									
auditing prescribing, disseminating information,									
providing training and advice).									
Use respected and trusted, national and local	8/13	3	6	7	9	10	8	43/78	55.1%
experts with relevant professional backgrounds to									
promote AMS and engagement with under-used									
AMS interventions by giving talks and endorsing									
AMS approaches.									

Provide diagnostic point-of-care CRP testing,	9/13	3	5	7	6	11	6	38/78	48.7%
including training in using it, interpreting the results									
and maintaining the equipment.									
Co-organise national AMS events together with	6/13	4	7	4	3	6	6	30/78	38.5%
different professional networks (e.g. GPs, nurses,									
pharmacists, OOH staff) to facilitate multi-									
disciplinary work and improve dissemination of									
information about AMS and training to all relevant									
professional networks.									

Table S5. Stakeholder ratings of interventions for community pharmacy

	Number of respondents considering the intervention								SE score
Intervention	Relevant to	Α	Р	E	А	S	E	Total	%
	this setting	Affordable	Practical	Effective	Acceptable	Safe	Equitable		maximum
									score
Provide online AMS training to all patient-facing	8/11	8	5	6	6	7	7	39/66	59.1%
staff within an organisation to improve (and									
minimise variation in) skills to ensure a consistent									
approach to providing advice to patients and									
antibiotic prescribing for respiratory tract									
infections.									
Agree on a consistent local approach to antibiotic	8/11	6	6	6	6	7	8	39/66	59.1%
prescribing within an organisation, such as a									
general practice, out-of-hours, walk-in centre or									

community pharmacy, for example, by agreeing an									
AMS-related action plan, a practice protocol on									
treating certain infections and/or following									
national or local guidelines.									
Multi-disciplinary small group learning (e.g.	9/11	6	5	5	5	8	8	37/66	56.1%
including local GPs, nurses, pharmacists, CCG staff)									
to identify ways to improve implementation of									
antimicrobial stewardship (AMS) initiatives and									
share local examples of good practice and actions									
taken by others as part of AMS.									
Promote routine interactive use of patient leaflets	2/3	2	2	1	2	2	1	10/18	55.6%
with patients with self-limiting infections (e.g.									
through training, role models, respected and									
trusted experts promoting use of leaflets).									
Use respected and trusted, national and local	8/11	4	5	6	7	8	6	36/66	54.5%
experts with relevant professional backgrounds to									
promote AMS and engagement with under-used									
AMS interventions by giving talks and endorsing									
AMS approaches.									
Increase staff time available to work on AMS within	7/11	5	5	5	6	7	7	35/66	53.0%
commissioning teams and standardise the AMS-									
related roles; for example, all commissioners to									
have adequate number of prescribing advisors									
and/or pharmacists to work more closely with									
practices, OOH and community pharmacies (e.g. by									

auditing prescribing, disseminating information, providing training and advice).									
Pharmacy staff to prompt GPs to review long-term and repeat antibiotic prescriptions (e.g. for COPD patients).	2/3	2	1	1	1	2	2	9/18	50.0%
Co-organise national AMS events together with different professional networks (e.g. GPs, nurses, pharmacists, OOH staff) to facilitate multi- disciplinary work and improve dissemination of information about AMS and training to all relevant professional networks.	7/11	3	6	5	4	7	7	32/66	48.5%
Encourage pharmacists to feedback to GPs where antibiotics were not prescribed according to guidelines (e.g. through a checklist for clinical screening, dispensing and handing out antibiotics).	2/3	1	0	2	1	2	2	8/18	44.4%
Promote the use of patient records by pharmacists (e.g. by digital prompts) to review whether antibiotics were prescribed appropriately.	2/3	0	1	1	1	1	2	6/18	33.3%
Provide training and resources to structure the way(s) of asking patients the right questions about self-limiting infections and identifying red flags to help decide what to advise patients (e.g. whether to give self-help advice or suggest seeing a GP).	2/3	1	1	1	1	1	1	6/18	33.3%

Provide diagnostic point-of-care CRP testing,	5/11	0	2	3	2	5	3	15/66	22.7%
including training in using it, interpreting the results									
and maintaining the equipment.									

Note to the Tables S2-S5:

The number of respondents that assessed intervention suggestions for different settings varied. Therefore, in the column 'relevant to this setting' the number of respondents who indicated that the suggestion is relevant to that setting is compared to the total number of participants that answered questions in each section (e.g., 5 stakeholders assessed the intervention as relevant to the setting out of 11 who assessed this intervention for this setting according to the APEASE criteria). Most participants assessed interventions in the section for 'all settings' (i.e. 14 for general practice, 14 for OOH, 13 for walk-in centres, and 11 for community pharmacy). Fewer completed the sections specific to each setting (i.e. 11 for general practice, 6 for OOH, and 3 for community pharmacy). The total APEASE scores were compared to the maximum score possible for the number of respondents assessing each intervention for each setting.



© 2019 by the authors. Submitted for possible open access publication under the terms and conditions of the Creative Commons Attribution (CC BY) license (http://creativecommons.org/licenses/by/4.0/).