

Article

# Phase-Separated Alumina–Silica Glass-Based Erbium-Doped Fibers for Optical Amplifier: Material and Optical Characterization along with Amplification Properties

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**Abstract:** In this paper, we present phase-separated alumina–silica glass-based  $Er^{3+}$ -doped optical fibers made by a modified chemical vapor deposition (MCVD) process in combination with a solution doping (SD) technique. The fibers exhibited better optical performance than other silica-based host glasses—both in terms of spectral broadening and flattening of the gain spectra in the C band (1530–1560 nm) region—as well as an improved lifetime. These phase-separated erbium-doped fibers (EDF) promoted longer Er–O bond lengths and also hexa- and penta-coordinated Al<sup>3+</sup> ions instead of the fourfold coordination found in non-phase-separated EDF. It was observed that the higher coordination numbers of  $Er^{3+}$  and Al<sup>3+</sup> ions in phase-separated glass hosts led to more homogeneous and inhomogeneous broadening, resulting in better flatness of the gain spectrum with 1.2 dB more gain compared to the non-phase-separated EDF.

**Keywords:** fiber fabrication; phase separation; X-ray absorption spectroscopy; erbium-doped fiber; optical amplifier

## 1. Introduction

Erbium-doped fibers (EDF) is at the heart of erbium-doped fiber amplifiers (EDFAs), which serve as an integral part of present day optical communication networks and form the scaffold for almost all wavelength division multiplexing (WDM) networks. They are essential to achieve large flatness and broadening of the gain spectrum, along with minimal interchannel cross-talk, for efficient EDFA modules with ideal performance for Cable TV (CATV) and dense wavelength division multiplexing (DWDM) optical communication networks [1]. EDFAs are the key component as they can simultaneously amplify many signals within the C (1530–1560 nm) band [2]. Development of new fiber compositions is one of the approaches for achieving flat gain with a wide amplification bandwidth [3,4] to avoid more and higher-cost components. IsoGain<sup>TM</sup> EDF has been introduced to obtain flat gain for use in a high-channel-count DWDM system [5]. In order to achieve uniform signal gain, one common approach is Al<sup>3+</sup> codoped with Er<sup>3+</sup> in EDFs [6–11]. In order to tune the spectral characteristics as well as the performance of EDFs, selection of proper glass compositions plays



a critical role. Tailoring of the doped host, based on material characterization of the core glass matrix within the optical fiber core region for incorporation of  $Er^{3+}$  ion, is a crucial factor for improvement of the spectroscopic properties of the fibers to obtain an intrinsic flat gain of EDFA. Interestingly, when Er<sub>2</sub>O<sub>3</sub> is doped into alumina–silica-based phase-separated glass hosts, it produces efficient spectral broadening, and it is thus possible to get large gain-bandwidth with improved flatness of the gain spectrum. Alteration of the  $Er^{3+}$  local environment, symmetry, and ligand field strength are key factors in achieving this spectral broadening of the  $Er^{3+}$  emission. As the host glass environment affects the spectroscopy of Er<sup>3+</sup> ions, a number of fiber host materials—including silica, fluorozirconate glasses, and chalcogenides—have been investigated in an attempt to identify a host that will provide a larger gain bandwidth for the  ${}^{4}I_{13/2} \rightarrow {}^{4}I_{15/2}$  transition of erbium [12–14]. Although the fluoride and telluride hosts doped with  $Er^{3+}$  offer high quantum efficiencies for 1.5 µm transitions, they are not suitable for practical device application due to their poor mechanical strength compared to their pure silica glass counterparts. It is extremely difficult to fabricate low-loss fluoride glass fibers as they are prone to crystallization and suffer from poor chemical durability. Telluride glasses, though stable, have a high index of refraction and high thermal expansion, both of which complicate splicing into an all-optical system. The normal alumina-silica glass-based EDF with high Al codoping shows better flatness compared to other silica EDFs, such as those based on germanium-silica and phosphosilicate glasses [6]. Accordingly, fabrication of high Al-doped silica-based optical fiber has gained significant attention in recent years, and various techniques such as modified chemical vapor deposition coupled with solution doping (MCVD-SD), direct nanoparticle deposition (DND), sol-gel methods etc. have been used to generate EDFs. However, fabrication of phase-separated core glass is especially attractive as it can create more nonuniformity surrounding the Er ions, leading to enhanced stark splitting. Although there are many reports related to fabrication of phase-separated rare-earth (RE)-doped glasses containing Er, Yb, Tm, etc. [15–18], our goal was to optimize the associated fabrication process parameters, including heat treatment of fabricated preforms, through different types of material characterization that are not well reported in the literature, especially for high alumina-containing silicate core glass-based fibers. Some reports are available on aluminophosphosilicate-based glass fibers fabricated through MCVD-solution doping technique followed by heat treatment [19,20] but not in pure aluminosilicate-based glass fiber doped with Er ions.

In this study—based on the above background and in order to obtain enhanced flatness of around  $\pm 0.60$  dB over the C band region— $Er^{3+}$  was incorporated in a modified alumina–silica glass host of suitable composition, which forms a phase-separated region within the glass matrix after optimized annealing conditions. A detailed investigation was performed to optimize different process parameters associated with fabrication of Er-doped alumina–silica-based phase-separated EDF, along with the material characterization necessary to understand the nature and performance of the fiber obtained from the phase-separated glass. The spectroscopic properties and the features of the gain spectra of EDFs fabricated from both phase-separated and non-phase-separated aluminosilicate-based  $Er_2O_3$ -doped preforms are explained on the basis of these experimental results.

#### 2. Basic Principle for Phase-Separation Phenomenon of Optical Fiber Preforms

The origin of alumina-rich phase-separated glass arises from the phenomenon of metastable glass-in-glass separation [21] on rapid quenching of  $Al_2O_3$ –SiO<sub>2</sub> melts comprising 4–50 mol%  $Al_2O_3$ , as indicated by the phase diagram in Figure 1. Such phase separation in the glass matrix through the solution-doping process was achieved by incorporating small amounts (<10 mol%) of codopant that lies within their miscibility gap. First, we identified suitable codopants that give rise to immiscibility in silica glass upon incorporation of small amounts of such codopants. Based on different thermodynamical data, such as entropy of mixing, enthalpy of mixing, activity coefficient and Gibbs-free energy change [22–24], the phase diagram of binary SiO<sub>2</sub>–Al<sub>2</sub>O<sub>3</sub> glass was derived by employing FactSage software, and a miscibility gap was found to exist in the range of 4–30 mol%  $Al_2O_3$ . The miscibility gap matched closely with the experimentally determined miscibility gap region

of binary SiO<sub>2</sub>–Al<sub>2</sub>O<sub>3</sub> system [24]. The shape of the metastable binary two-liquid region was found to be asymmetric, with a tail towards the Al<sub>2</sub>O<sub>3</sub>-rich side of the system in the temperature ranging between 1350–1650 °C (Figure 1).

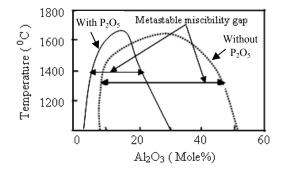


Figure 1. Metastable immiscibility zone of SiO<sub>2</sub>-Al<sub>2</sub>O<sub>3</sub> system with and without P<sub>2</sub>O<sub>5</sub>.

In presence of a small amount of phosphorous, the shape of the metastable binary two-liquid region was found to be much more asymmetric in nature, having a tail towards lower Al<sub>2</sub>O<sub>3</sub>content than that of the binary SiO<sub>2</sub>-Al<sub>2</sub>O<sub>3</sub> system in the temperature range of 1350-1650 °C (Figure 1). Accordingly, annealing of the glass in this temperature range induced phase separation without any crystallization of the glass. The prerequisite to achieve phase separation in multicomponent glasses is the presence of an immiscibility region in their phase diagram. It is possible to prepare a clear and transparent glass having composition within the immiscibility region when their melts are quenched rapidly below the glass transition temperature, Tg. It has been found that the glass viscosity is too high and the necessary rearrangements of structural groups for phase separation can be achieved below Tg. However, when these phase-separated glasses are further heated in the range between Tg and the upper critical temperature of the immiscibility region, formation of subliquidus phase separation occurs. Two principal mechanisms of phase separation-through nucleation and growth and by spinodal decomposition—are well known. Nevertheless, the type of mechanism depends on the glass composition as well as the temperature. The phase morphology also depends on the annealing condition of the samples. Opaqueness is a consequence of light scattering from phases with different compositions and refractive indices and depends on the size of the new phases, which in turn depends on the annealing condition. The addition of  $P^{5+}$  has an accelerating effect on this phase separation [25]. To induce phase separation, minor amounts of  $P_2O_5$ , around 0.15–0.20 mol% is incorporated into such alumina-silica glasses. Preventing nucleation and subsequent crystallization of mullite within the high alumina-dispersed glass phase is important during cooling from the heated melts and on reheating the preform within 1600–1900 °C to achieve the working temperature within 1350–1650 °C. The metastable binary two-liquid region is formed below the temperature around 1650 °C. The phase-separated alumina–silica glass containing at least 4 mol% of  $Al_2O_3$  appears by heating below 1650 °C.

#### 3. Sample Preparation

Three  $Er_2O_3$ -doped preform samples (A1, A2, and A3) based on alumina–silica host with different contents of  $Al_2O_3$  and a slight variation of  $Er_2O_3$  concentrations (Table 1) were prepared employing MCVD coupled with the SD technique (Figure 2).

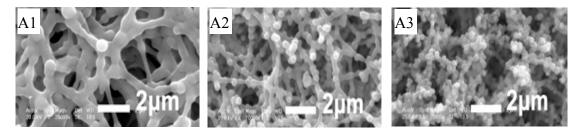
Fiber Numbers	Er <sub>2</sub> O <sub>3</sub> (mol%)	Al <sub>2</sub> O <sub>3</sub> (mol%)
A1 (Phase-separated)	0.075	4.5
A2 (Phase-separated)	0.073	4.0
A3 (Non-phase-separated)	0.074	3.5

Table 1. The composition of three  $Er_2O_3$ -doped fiber preforms (Measured by electron probe microanalysis).



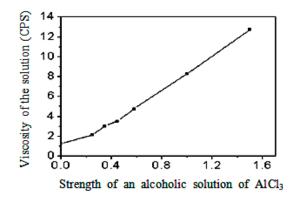
**Figure 2.** Modified chemical vapor deposition (MCVD) of porous silica layer within the silica tube and along the forward direction.

All process parameters were standardized, along with the thermal treatment, to get alumina-rich phase-separated glass hosts. The porous SiO<sub>2</sub> soot layer containing minor amounts of P<sub>2</sub>O<sub>5</sub> (<0.01 mol%) was deposited along the forward direction inside the substrate silica tube to promote the phase-separation phenomenon. In the forward deposition method, the deposition of porous silica soot layer was done at variable temperatures ranging from 1350 °C to 1450 °C and along the direction of the reactant flow containing halide precursors, such as SiCl<sub>4</sub> and POCl<sub>3</sub>. O<sub>2</sub> was used as the carrier gas to adjust the porosity of the deposited SiO<sub>2</sub> soot layer for incorporation of the desired amount of Al<sub>2</sub>O<sub>3</sub>, which varied from 3.5 mol% to 4.5 mol%, and  $Er_2O_3$ , which varied from 0.073 mol% to 0.075 mol%. The list of fabricated preforms/fibers is given in Table 1. The Al<sub>2</sub>O<sub>3</sub> content of fibers A1, A2, and A3 became 4.5, 4.0, and 3.5 mol% under the deposition of porous silica soot layers at 1350 °C, 1400 °C, and 1450 °C, respectively. The microscopic view of the porous layers with different porosity and containing minor amounts of P<sub>2</sub>O<sub>5</sub> for solution soaking using the same strength of AlCl<sub>3</sub> 6H<sub>2</sub>O for a fixed soaking period of one hour are shown in Figure 3.



**Figure 3.** Field emission gun scanning electron microscope (FEGSEM) image of the porous silica core layer deposited at different temperatures to make three preforms/fibers: (**A1**) 1350 °C, (**A2**) 1400 °C, and (**A3**) 1450 °C.

For incorporation of a desired quantity of  $Er^{3+}$  and  $Al^{3+}$  ions, an alcoholic solution of a mixture of suitable strength of the precursor salts was used. The strength of  $ErCl_3 6H_2O$  and  $AlCl_3 6H_2O$ was maintained around 0.01 M and 1.5 M, respectively. The dipping time was maintained around 1 h. The porosity of the deposited silica soot layer, which is related to the deposition temperature, is critical with respect to the viscosity of the solution when the strength of precursor of  $Al^{3+}$  ion becomes high (>1.25 M). The viscosity of an alcoholic solution measured by Brookfield Digital Viscometer (Model LV TDV) was found to increase gradually with increasing strength of  $AlCl_3 6H_2O$ , as shown in Figure 4. The strength of AlCl<sub>3</sub>  $6H_2O$  was maintained at 1.5 M to incorporate 3.5–4.5 mol% of  $Al_2O_3$  by adjusting the porosity of the deposited unsintered silica layer. The corresponding viscosity of the solution became around 12.75 centipoise (cP), and led to disturbances of the coated layer when the porous silica layer was deposited at relatively lower temperatures, for example, below 1250 °C, due to lack of proper thermal adhesion of the porous soot layer with the inner silica surface of the tube. The solution soaking period was maintained around one hour to allow sufficient time for diffusion through the porous soot layer, which took place due to capillary action. The viscosity, as well as the surface tension, of the solution increased considerably with increasing strength of the AlCl<sub>3</sub>  $6H_2O$  solution, from 0.05 to 1.5 M. As a result, the deposition temperature of the porous soot layer along the forward direction was adjusted carefully with respect to the strength of the solution used during the solution-doping process.



**Figure 4.** The viscosity of an alcoholic solution of a mixture of 0.01 M ErCl<sub>3</sub> 6H<sub>2</sub>O and variable strength of AlCl<sub>3</sub> 6H<sub>2</sub>O.

#### 4. Material and Optical Characterization

Electron probe microanalysis (EPMA) (JXA-8530F, JEOL, Peabody, MA, USA) was used to determine the incorporated dopant amount using two-side-polished preform sample of thickness 1-1.5 mm taken from the fabricated preform and by maintaining spatial resolution of 1 µm after applying thin graphite coating, as presented in Figure 5. The field emission gun scanning electron microscope (FEGSEM) (Carl Zeiss, Jena, Germany) images of small circular polished preform samples were obtained for identification of the phase-separated region and were followed by energy dispersive X-ray (EDX) (LEO S430 I, Berkshire, UK) and X-ray Diffraction (XRD) (PANalytical, Worcestershire, UK) analyses to detect the nature and composition of the phase-separated glass. To evaluate the nature of the phase-separated region, the electron beam was focused on and outside of the phase-separated region during the energy dispersive X-ray data acquisitions. To determine the coordination numbers of Al<sup>3+</sup> ion, <sup>27</sup>Al NMR spectroscopy of the core glass of preform samples A1 and A3 was done after complete etching of the surrounding silica glass, covering the open core end with a Teflon tape and using buffer HF solution, followed by powdering of the remaining 0.8 mm thickness of the preform sample.

High resolution optical microscopic views of two fabricated preforms are shown in Figure 6, and the corresponding refractive-index profiles (RIP) are presented in Figure 7. No central dip was observed in the RIP presented in Figure 7, which is a common feature of preforms fabricated using MCVD due to slow evaporation of the index-raising elements.



**Figure 5.** Cross-sectional view of  $Al_2O_3 + Er_2O_3$  doped fiber preform section for electron probe microanalysis (EPMA) where the central bright portion represents the doping region.

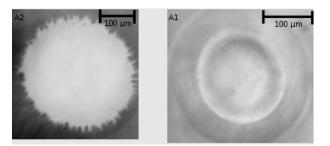


Figure 6. Microscopic view of core-clad interface of two fiber preform samples: A1 and A2.

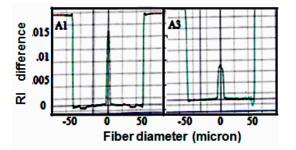


Figure 7. Refractive-index profiles of two erbium-doped fibers (EDFs): phase-separated (A1) and non-phase-separated (A3).

The extended X-ray absorption fine structure (EXAFS) measurement was carried out using Si (111) monochromating crystal and a focusing second mirror in order to obtain the smallest X-ray spot size ( $150 \times 800 \mu m$ ) available on the sample synchrotron radiation. Experiments were carried out in the fluorescence mode using a multielement energy-resolving detector. Liquid nitrogen temperature was used to enhance the signal from the highest coordination shells. EXAFS spectra of all the samples were taken and compared with those of  $Er_2O_3$  and  $Al_2O_3$ . From the EXAFS analyses, a clear structure was observed until 8 in k-space for the different  $Er_2O_3$ -doped fiber preform samples, which provided sufficient information to understand the nearest-neighbor atom structure.

Different spectroscopic properties, namely absorption coefficient, fluorescence spectra, and lifetime of the fabricated fibers drawn from the phase-separated (A1 and A2) and non-phase-separated glass (A3) based preforms were measured. The fluorescence spectra of the fabricated fiber samples were measured with pumping at 980nm under different pump powers (25 mW, 125 mW and 245 mW) in a lateral direction.

The optical gain spectra of the fabricated EDFs (A1, A2, and A3) were measured within C (1530–1565 nm) band employing a tunable distributed feedback (DFB) laser source under optimized conditions. The input signal level was tuned using an attenuator. The EDF was pumped using a Bragg grating stabilized semiconductor laser diode operating at 980 nm, coupled through 980/1550 nm

WDM couplers, as shown in Figure 8. The length of each fiber was optimized to get the minimum gain difference at constant pump power.

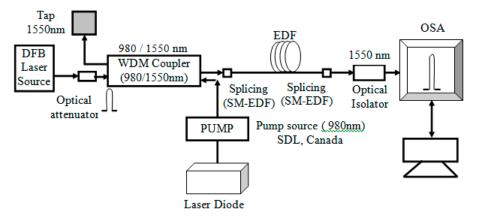


Figure 8. Experimental set-up for optical gain measurement of EDF.

#### 5. Results and Discussion

The phase-separated alumina–silica glass containing at least 4 mol% of Al<sub>2</sub>O<sub>3</sub> was achieved by thermal annealing of the fabricated preform below 1900 °C. To maintain the required doping level of Al<sub>2</sub>O<sub>3</sub> of at least 4 mol%, the porosity of the unsintered silica layer, along with the strength of the solution of AlCl<sub>3</sub> 6H<sub>2</sub>O, was optimized. During preform making, no phase separation occurred at the collapsing stage as the glass was heated at around 2300 °C, and no metastable immiscibility arose with rapid cooling to room temperature. To achieve the phase-separated alumina–silica glass, the following two conditions must be satisfied: appropriate doping level of Al<sub>2</sub>O<sub>3</sub> and thermal heating treatment in the proper temperature range. To get the phase separation, the minimal doping level of Al<sub>2</sub>O<sub>3</sub> should be around 4.0 mol% followed by heating of the preform within the temperature range of 1600–1900 °C. After fabrication, the preform sample was heated around 1600–1900 °C, where the glass formed a metastable immiscibility during fire polishing, resulting in phase separation. However under normal MCVD conditions, the heating period and cooling rate became very low, around 5–8 s and 25–30 °C/s, respectively. The FEGSEM micrographs of two phase-separated alumina–silica-based fiber preform samples (A1 and A2) and the non-phase-separated sample (A3) are given in Figure 9.

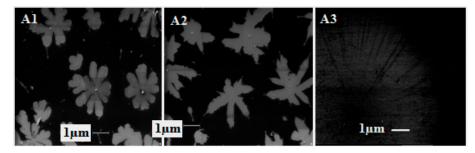
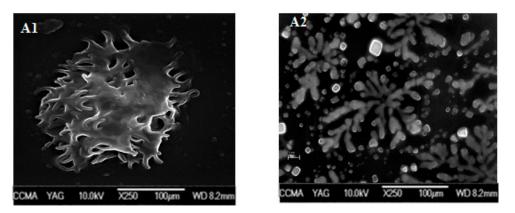


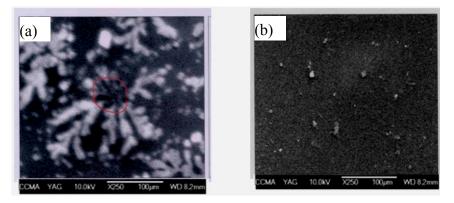
Figure 9. The FEGSEM pictures of three alumina–silica preform samples of EDF: phase-separated (A1 and A2) and non-phase-separated (A3).

Their microscopic views clearly showed the phase-separated regions. The preform sample A3—containing 3.5 mol% of  $Al_2O_3$  with and without heat treatment within 1600-1900 °C temperature range—did not show any type of phase separation as the glass did not enter into the immiscibility region under this doping level of  $Al_2O_3$  at any temperature. The microscopic picture of such a preform sample is shown in Figure 9. The fibers A1 and A2 were drawn from the phase-separated preforms melted below 1900 °C so that the doping host senses the temperature below 1700 °C to retain the phase separation within the core of the fiber. The FEGSEM micrograph (Figure 10) of two such large bare-fiber

sections clearly showed the retention of the phase-separation phenomenon in samples A1 and A2. On the other hand, the fiber drawn from the same preform A2 melted at 2100 °C did not produce phase-separated glass (see Figure 11) as the doped core glass matrix reached temperatures above the upper temperature limit of the immiscibility region. The fiber drawn from the non-phase-separated preform (A3), containing 3.5 mol% of  $Al_2O_3$  melted below 1900 °C, did not show any phase separation as the doping level was below the minimum required level of the immiscibility region.



**Figure 10.** The FEGSEM pictures of two alumina–silica bare-fiber samples of EDF: sample (**A1**) and sample (**A2**) drawn at around 1900 °C.



**Figure 11.** (a) The FEGSEM pictures of alumina–silica glass-based phase-separated and (b) non-phaseseparated Er-doped fiber preforms obtained on heating of the same preform around 1900 °C and above 2100 °C, respectively.

As a result, EDF drawn below 1900 °C from the phase-separated alumina–silica glass-based optical fiber preform containing at least 4.0 mol% doping level of  $Al_2O_3$  retained the phase-separation phenomenon within the core glass matrix. The core–clad boundary of the fabricated fiber made using the solution doping process generally exhibited some imperfection when the core–clad viscosities differed substantially from each other. This resulted in excess loss attributed to scattering at the core–cladding interface and reduced the pump efficiency of the fiber. Incorporation of high content of  $Al_2O_3$ , for increasing the solubility of  $Er^{3+}$  ions in germanium–silica glass, generated another problem of imperfection like a star pattern at the core–clad boundary from significant viscosity differences developed during the collapsing stage [26–28]. It is known that the viscosity of pure SiO<sub>2</sub>glass becomes higher than that of a GeO<sub>2</sub>–Al<sub>2</sub>O<sub>3</sub>–Er<sub>2</sub>O<sub>3</sub> mixture with SiO<sub>2</sub> content of 85–90 mol%. A large viscosity difference between the core and cladding glass produces high chemical potential differences and results in diffusion of the core glass into the cladding glass. These types of imperfections were found in some of the  $Er_2O_3$ -doped germanium–alumina–silica glass preform sections, as presented in Figure 12. On the other hand, such core–clad imperfections were substantially reduced in the case of  $Er_2O_3$ -doped alumina–silica optical fiber preform section shown in Figure 6. These imperfections, in the case of

Er<sub>2</sub>O<sub>3</sub>-doped germanium-alumina silica glass fiber preform, developed from the local change of viscosity in the vicinity of the core-clad interface, which arose during deposition of porous  $SiO_2$ -GeO<sub>2</sub> layer. However, in the case of Er<sub>2</sub>O<sub>3</sub>-doped alumina–silica glass fiber preform, the local viscosity change near the core-clad interface during deposition of pure porous silica layer became much less.

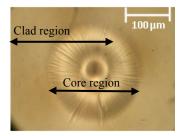


Figure 12. The microscopic picture of the core-clad interface of erbium-doped germanium-aluminasilica fiber preform.

The content of  $Al_2O_3$  in the three fiber preform samples (A1, A2, and A3) was found to be distributed uniformly along their whole diameter region, as shown in Figure 13. The nature of their RIPs, as shown in Figure 7, was found to be very sharp, without the formation of any central dip arising from evaporation of the index-raising elements as gaseous mono-oxide species during the collapsing stage. As the vapor pressure of  $AlO_x$  species is very low, even at high temperatures, no central dip will be generated. The optical absorption spectra of three EDFs based on phase-separated and non-phase-separated alumina-silica glass hosts are shown in Figure 14. The absorption coefficient of A1 became higher than that of other two EDFs (A2 and A3), which indicates the higher doping level of  $Er_2O_3$  of EDF A1 compared to the other ones.

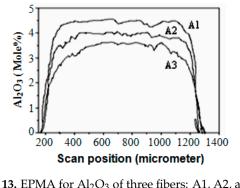


Figure 13. EPMA for Al<sub>2</sub>O<sub>3</sub> of three fibers: A1, A2, and A3.

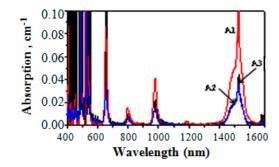
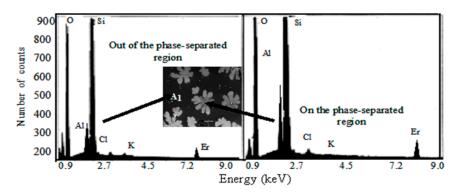


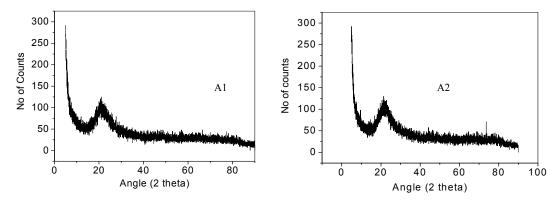
Figure 14. Absorption curves of three EDFs: A1, A2, and A3.

The  $Al_2O_3$  content of the three preform samples—A1, A2, and A3—was found to be 4.5, 4.0, and 3.5 mol%, respectively, with corresponding concentrations of 0.075, 0.073, and 0.074 mol%  $Er_2O_3$ . The nature, as well as the composition, of the phase-separated alumina-silica fiber preform samples was evaluated by EDX, followed by XRD analyses. A1, containing 4.5 mol% Al<sub>2</sub>O<sub>3</sub>, clearly showed the

phase-separated region, whereas sample A3 did not form any phase-separated region within the core glass matrix. Sample A2 also formed the phase-separated region. The EDX data taken in and outside of the phase-separated region of fiber preform A1, as shown in Figure 15, clearly revealed that the content of  $Al^{3+}$  and  $Er^{3+}$  in the phase-separated region became larger compared to the non-phase-separated region. The corresponding XRD data, shown in Figure 16, indicated that the phase-separated region did not contain any crystalline phases of  $Al_2O_3$  or the  $ErAl_3O_6$  complex.

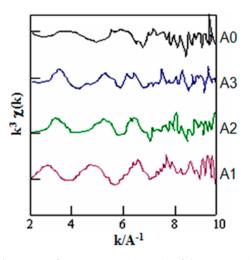


**Figure 15.** Energy dispersive X-ray (EDX) spectra from inside and outside the phase-separated region of aluminosilicate glass-based Er<sub>2</sub>O<sub>3</sub>-doped preform (A1).

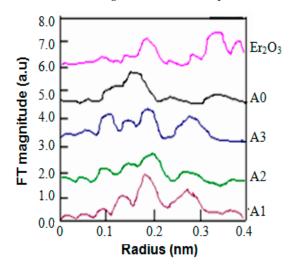


**Figure 16.** XRD curves of two aluminosilicate-based preform samples of EDF (A1) with Al<sub>2</sub>O<sub>3</sub> 4.5 mol% and (A2) with Al<sub>2</sub>O<sub>3</sub> 4 mol%.

The EXAFS data of EDFs containing different amounts of  $Al_2O_3$  are shown in Figure 17. From the EXAFS analyses, a clear oscillation structure was observed until approximately 8 in k-space for the different  $Er_2O_3$ -doped fiber preform samples, which provided sufficient information to achieve details regarding the nearest-neighbor atom structure. The radial distribution functions of three EDFs (A1, A2, and A3) containing different contents of  $Al_2O_3$  and  $Er_2O_3$ , along with one EDF sample without  $Al_2O_3$  (A0), are shown in Figure 18. From these analyses, it can be concluded that Er-O bonding existed at approximately 0.2 nm in samples A0, A1, A2, A3, and  $Er_2O_3$ . However, the Er-O distance in sample A0 was shorter compared to the other samples. The effect of doping of  $Al_2O_3$  on  $Er^{3+}$  ion coordination number is shown in Figure 19. The coordination number of  $Er^{3+}$  ions of EDF (A0) without doping of  $Al_2O_3$  was found to be around 3.75. The effect of  $Al_2O_3$  on Er-O bond distance is shown in Figure 20. The Er-O bond distance of EDF (A0) without doping of  $Al_2O_3$  was found to be around 3.75.



**Figure 17.** Extended X-ray absorption fine structure (EXAFS) of three EDFs (A1, A2, and A3) containing different contents of  $Al_2O_3$  and  $Er_2O_3$ , along with one EDF sample without  $Al_2O_3$  (A0).



**Figure 18.** Radial distribution function of three EDFs (A1, A2, and A3) containing different contents of  $Al_2O_3$  and  $Er_2O_3$ , along with one EDF sample without  $Al_2O_3$  (A0).

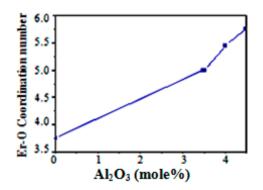


Figure 19. Effect of doping of  $Al_2O_3$  on Er–O coordination number.

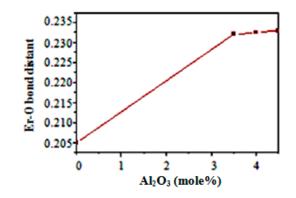
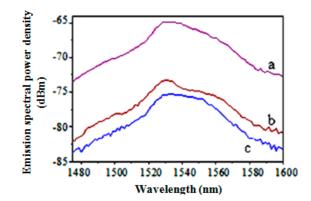


Figure 20. Effect of doping of Al<sub>2</sub>O<sub>3</sub> on variation of Er–O bond distance.

The phase-separated EDFs (A1 and A2) showed improved spectral broadening of the  ${}^{4}I_{13/2} - {}^{4}I_{15/2}$  transition versus that of the non-phase-separated EDF (A3), as shown in Figure 21. The transition lifetimes of EDFs A1 and A2 (10.87 ms and 10.71 ms) were found to be larger than that of the EDF A3 (10.3 ms), as shown in Figure 22. All three fibers showed very little change in their lifetime with increasing pump power, as shown in Figure 23. This indicated that the clustering of  $Er^{3+}$  ions was almost absent, even though all the fiber samples contained more than 0.07 mol% of  $Er_2O_3$  equivalent to 1400 ppm  $Er^{3+}$  ions.



**Figure 21.** The fluorescence spectra of the three EDFs: (a) A1, (b) A3, and (c) A2 under pump power of 25 mW.

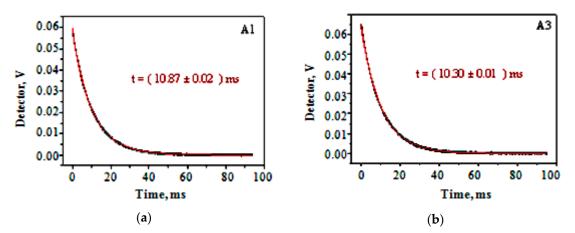


Figure 22. The lifetimes of two EDFs A1 and A3 at pump power of 25 mW.

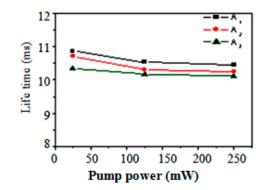
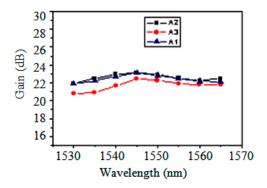


Figure 23. The effect of pump power on the lifetime of three EDFs (A1, A2, and A3).

The optical gain spectra of these three EDFs in the C band region are shown in Figure 24. The gain differences of the phase-separated EDFs (A1 and A2) were within 1.2 dB, whereas that for fiber A3 was 1.70 dB.

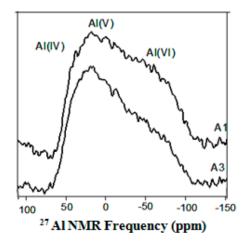


**Figure 24.** The optical gain curves of three EDFs (A1, A2, and A3) at C-band under 0 dBm signal power and under pump power of 400 mW.

In  $ErO_6$  complexes, each  $Er^{3+}$  ion is surrounded by six neighboring oxygen atoms forming an octahedral structure possessing  $C_{3v}$  point symmetry, which produces the strong Stark splitting, leading to the characteristic Er<sup>3+</sup> emission in the 1460–1610 nm range. The aluminum ions, when incorporated into the silica network, are incorporated either as a network former having a tetrahedral coordination or as a network modifier in higher coordination, for example in fivefold and six fold coordination with oxygen. These Al structures create solvation shells in the glass network and provide necessary valency for rare-earth ions. When Al functions as network former in fourfold coordination and requiring charge compensation, the  $Er^{3+}$  ions are preferentially found near these aluminum sites. When Al serves as a network modifier, the Al<sup>3+</sup> ions serve to charge-balance Al in fourfold coordination and may also break the silica structure producing non bridging Al–O groups which can coordinate with the Er<sup>3+</sup> ions. The increase of Al<sub>2</sub>O<sub>3</sub> content weakens the covalent character of Er–O and leads to formation of the Si–O–Al bond. It is well known that a glass composition with higher basicity prefers lower coordination number compared to the formation of six-coordinated  $Al^{3+}$  [29]. Moreover, the optical basicity of four-coordinated  $Al^{3+}$  in the network of SiO<sub>4</sub> is known to be smaller than that of six-coordinated Al<sup>3+</sup> [30]. Accordingly, Er<sup>3+</sup> ions prefer four-coordinated Al<sup>3+</sup> ions rather than six-coordinated  $Al^{3+}$  ions with an increase of  $Al_2O_3$ .

However in our case, <sup>27</sup>Al MAS NMR spectroscopic analyses of the  $Er_2O_3$  doped phase-separated alumina–silica fiber preform samples (shown in Figure 25) revealed that most of the Al<sup>3+</sup> ions were hexa- and penta-coordinated instead of four-coordinated. These results were found to be in good agreement with available literature [31,32].  $Er^{3+}$  ions surrounded by more hexa- and penta-coordinated Al<sup>3+</sup> ions will experience the larger site-to-site variation of the crystal field strength, which exhibits more homogeneous and inhomogeneous broadening in order to achieve large gain bandwidth with

better flatness of the gain spectrum, specifically in the C band region. The coordination numbers of  $Al^{3+}$  increases upon incorporation of a larger content of  $Al_2O_3$ , i.e., more than 3.0 mol%. The substitution of five- and six-coordinated  $Al^{3+}$  instead of four-coordinated  $Al^{3+}$  with increasing  $Al_2O_3$  content, can create more ionic environments surrounding the  $Er^{3+}$  ions. Therefore, high  $Al_2O_3$  doping is likely to increase the distortion of the ligand field of  $Er^{3+}$  site caused by the existence of six-coordinated  $Al^{3+}$ , which gives rise to the strong interaction effects on the Stark splitting of  ${}^4I_{15/2}$  and  ${}^4I_{13/2}$  manifolds. This results in broader gain spectra with the much better flatness in the C band region as well as in their fluorescence curves. The phase-separated EDFs (A1 and A2) possessed a slightly longer lifetime than that of non-phase-separated EDF (A3) due to most of the  $Er^{3+}$  ions being located in the alumina-rich phase-separated region, which possessed lower phonon energy than that of the silica matrix.



**Figure 25.** <sup>27</sup>Al magic-angle spinning (MAS) NMR study of the doping region of Al in two preform samples of EDF: A1 and A3.

#### 6. Conclusions

We have presented suitable phase-separated alumina–silica-based doping hosts for  $\mathrm{Er}^{3+}$  ions through a solution doping technique followed by MCVD to make EDFs that exhibit better optical performance in terms of broadening and flattening of the gain spectra in the C band region as well as longer lifetime compared to non-phase-separated silica-based glass hosts. In the phase-separated region, most of the  $\mathrm{Al}^{3+}$  ions were hexa- and penta-coordinated instead of four-coordinated, compared to non-phase-separated glass. In the phase-separated region, the coordination number of most of the  $\mathrm{Er}^{3+}$  ions was greater than four, compared to the non-phase-separated glass matrix, and the bond length of Er–O became larger. This in turn led, for the phase-separated region, to larger site-to-site variation of the crystal field strength, which exhibited more homogeneous and inhomogeneous broadening and thus larger gain bandwidth with better flatness of the gain spectrum. The lifetime of the  ${}^{4}\mathrm{I}_{13/2}$  level of  $\mathrm{Er}^{3+}$  ions also increased within the phase-separated alumina–silica-based glass matrix of optical fiber. The optical gain of the phase-separated EDFs (A1 and A2) showed considerable flatness with variation of  $\pm 0.60$  dB gain within the C band region compared to the gain flatness of the non-phase-separated EDF A3 having variation of  $\pm 0.85$  dB. EDFA modules based on this type of EDF will be useful in present metro networks.

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