



Improvement in Carbonization Efficiency of Cellulosic Fibres Using Silylated Acetylene and Alkoxysilanes

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Abstract: Comparative studies of the structure and thermal behavior of cellulose and composite precursors with additives of silyl-substituted acetylene and alkoxysilanes were carried out. It is shown that the introduction of silicon-containing additives into the cellulose matrix influenced the thermal behavior of the composite fibers and the carbon yield after carbonization. Comparison of the activation energies of the thermal decomposition reaction renders it possible to determine the type of additive and its concentration, which reduces the energy necessary for pyrolysis. It is shown that the C/O ratio in the additive and the presence of the Si–C bond affected the activation energy and the temperature of the beginning and the end of the pyrolysis reaction.

Keywords: Cellulose fiber; Lyocell; N-methylmorpholine-N-oxide; silicon-containing additives; thermal properties

1. Introduction

By means of the solid phase dissolution of cellulose in N-methylmorpholine-N-oxide (NMMO), a direct solvent for cellulose, it is possible to obtain highly concentrated solutions and to spin the Lyocell-type fibers [1]. Recently, these fibers have attracted a lot of attention, not only as an alternative to rayon textile material, but also as precursors of carbon fibers [2].

The main problems of thermal transformation of cellulose fibers into carbon fibers are the increase of the carbon yield and formation of the necessary structures that provide good mechanical properties [3]. The theoretically possible value of the carbon yield in the carbonization process of cellulose is 44.4% [4]. In order to get as close as possible to this value, it is recommended to use active substances (the catalysts) that direct the process of pyrolysis towards the formation of carbon structures and prevent the byproducts (e.g., levoglucosanes) caused by the removal of carbon in gas form [5,6].

Catalysts of pyrolysis and flame retardants can be applied by impregnation of the precursor fibers with solutions of active substances followed by their drying [7,8]. The most widely used pyrolysis catalysts for cellulose are ammonium chloride and ammonium sulfate. Recently, silicon-containing catalysts have been proposed as an alternative to these active agents. Their use allows carbon fibers with a mechanical strength of more than 1 Gpa to be obtained. In [9–14], predominant data were given on the use of silicon-containing compounds for obtaining carbon fiber, in particular, in [10], the application of Tetraethoxysilane (TEOS) makes it possible to obtain carbon fibers with a diameter of $5.5 \,\mu$ m, a tensile strength of 930 Mpa, and an elastic modulus of 38 GPa, with a carbon yield of ~14.5%.

The introduction of the pyrolysis catalysts and flame retardants into the polymer matrix can be considered as an alternative method of their application, which achieves a better distribution



of the active substance in the volume of the fiber and reduces the number of stages of processing (Figure 1) [15,16].



Figure 1. Basic stages of cellulose composite precursors and carbon fibers production. TEOS, Tetraethoxysilane; VTEOS, Vinyltriethoxysilane; BTMSA, Bistrimethylsilyl acetylene; NMMO, N-methylmorpholine-N-oxide.

Silicon-containing compounds as additives allow not only an increase in the carbon yield during heat treatment of fibers, but also to obtain carbon-silicon carbide fibers [17], and it is exactly this class of fibers which is the most interesting for obtaining heat-resistant materials [18].

Thermogravimetry Analysis (TGA) and Differential Scanning Calorimetry (DSC) methods are widely used to study the properties of carbon fiber precursors and to represent the conditions for their production [19]. However, the results of experiments cannot always be interpreted definitely. To obtain more accurate information, it is recommended to process the results mathematically. Thus, to determine the differences in thermal behavior, process staging, thermostability of synthetic polymers, polysaccharides, cellulose fibers, and so on, it is reasonable to evaluate the kinetic parameters of the decomposition using the Broido method [20–23].

The Broido formula allows for estimation of the activation energy of the thermal decomposition of cellulose [24]

$$\ln[\ln(1/y)] = A - E_a/(RT),$$
(1)

where $y = (M_t - M_e)/M_0$ -M_e, M₀ is the mass of the initial sample, M_t is the sample mass at temperature T in the TG experiment, M_e is the final mass of the sample, E_a is the activation energy, T is the temperature, and R is the universal gas constant.

The purpose of this study was to compare the structure and thermal behavior of cellulose and composite precursors containing silyl-substituted acetylene and alkoxysilanes additives, by the TGA and DSC methods.

In this research, we report that the addition of silyl-substituted acetylene and alkoxysilanes into the cellulose matrix led to a decrease in the activation energy. Valuable information was obtained from the TGA; it is shown that 5% of the additive is sufficient to significantly increase the carbon yield compared to cellulose during heat treatment of fibers to 1000 °C.

2. Materials and Methods

Concentrated cellulose dopes were prepared according to the method described in [25] from cellulose of the Baikal Cellulose and Paper Mill (Russia) (degree of polymerization was 600, H₂O content ~8%, and α -cellulose content not less than 94%). As a solvent, N-methylmorpholine-N-oxide with a T_m = 120–160 °C (H₂O < 10%) (Demochem, China) was used. The silicon-containing additives are shown in Table 1 (Sigma-Aldrich, USA).

For inhibition of the of thermo-oxidative degradation, 0.5% of propylgallate (Sigma-Aldrich, USA) was introduced to solution.

The Compound	Structural Formula	T _m , °C	T _{boil} , °C	Density, g/cm ³ (T = 25 °C)	Viscosity, η, mPa·s (T = 20 °C)
Tetraethoxysilane (TEOS) (ID 24848042)		-82.5	170	0.933	0.75
Vinyltriethoxysilane (VTEOS) (ID 24850510)	H ₃ C O Si H ₃ C O CH ₂ CH ₂ CH ₂	<0	160	0.910	0.7
Bistrimethylsilyl acetylene (BTMSA) (ID 24851275)	$\begin{array}{ccc} CH_3 & CH_3\\ H_3C\text{-}{S}\mathrm{i} & & {=} & {S}\mathrm{i}\text{-}CH_3\\ {C}H_3 & {C}H_3 \end{array}$	22	136	0.752	-

Table 1. The main characteristics of organosilicon additives.

As the main objects under consideration, the fibers obtained from the filled cellulose solutions in the NMMO with a weight ratio of 95/5 and 90/10 (cellulose/organosilicone additive) were studied (Table 2).

Table 2. Designation of the composite fibers.

Sample	Ratio of Components					
r	0	95/5	95/10			
Cellulose/TEOS	L	Т-5	T-10			
Cellulose/VTEOS	L	VT-5	VT-10			
Cellulose/BTMSA	L	B-5	B-10			

The cellulose and composite precursors were spun on a capillary viscometer equipped with the fiber winding system Rheoscope 1000 (CEAST, Italy) (d = 1 mm, l/d = 40) by a dry-jet wet method with a spinning speed of ~100 m/min.

The thermal behavior of the fibers was examined on a TGA/DSC combined thermal analysis instrument, Mettler Toledo (Switzerland). The measurements were carried out in the temperature range of 30–1000 °C at a heating rate of 10 °C/min. The flow rate of the argon was 10 cm³/min. Alumina crucibles of 70 μ L were used.

The morphology of the fiber's surfaces and cross-sections was studied by the scanning electron microscope JSM U-3 (JEOL, Japan).

The structure of the fibers was studied by the X-ray diffraction method on a 12-kW generator Rigaku (Japan) with a rotating copper anode mode at room temperature. The CuK α radiation with a wavelength $\lambda = 0.1542$ nm was used. Equatorial diffractograms of the fibers were obtained from parallel bundles of fibers (~100 pieces, average diameter of monofilament 12 µm).

Interplanar distances were calculated using the Bragg formula:

$$d = \lambda / (2\sin(\theta)) \tag{2}$$

where λ is the wavelength of the X-ray radiation, and θ is the diffraction angle.

3. Results and Discussion

Cellulose and composite fibers were obtained by a dry-jet wet method. The SEM images of spun fibers with different contents of silicon-containing additives are illustrated in Figure 2.





Figure 2. Microphotographs of surface and cross-section of cellulose (a), composite precursors 95% cellulose + 5% BTMSA (b), 90% cellulose + 10% VTEOS (c), 95% cellulose + 5% BTMSA (d).

As shown, the introduction of silicon-containing compounds into the cellulose matrix did not lead to a significant change in the morphology of the fibers. The surface and cross section of both cellulosic and composite fibers did not contain obvious defects. The cross-section was circular. The average diameter of the obtained fibers was 15 to 17 microns.

The structure of precursor fibers was one of the factors determining their properties, including mechanical and thermal behavior [26]. That is why before comparing the thermal properties of cellulose and composite fibers, their structure was studied with X-ray diffraction (Figure 3).



Figure 3. Cont.



Figure 3. Equatorial diffractograms of cellulose (1) and composite fibers: (**a**) T-5 (2) and T-10 (3); (**b**) VT-5 (2) and VT-10 (3); (**c**) B-5 (2) and B-10 (3).

For all the samples studied, the main peaks are observed in regions $2\theta \sim 12.3^{\circ}$ and $2\theta \sim 20.6^{\circ}$ with interplanar distances of 3.61 and 2.19 Å, respectively. The positions of these peaks refer to the polymorphic form cellulose II [27]. The intensities of the peaks decrease with an increase in concentration of the additive, which indicates a partial disordering in the basal planes of the cellulose structure.

Unlike cellulose fibers, in which the carbon yield tends to be the minimum value with linear heating up to 1000 °C, for almost all composite precursors the increase of the carbon yield was observed, which can be seen from the thermogravimetric curves in Figure 4 obtained at various temperature intervals.



Figure 4. Thermogravimetry Analysis (TGA) curves of cellulose and composite fibers: complete curves and parts of the curves in the temperature ranges 30-260 °C and 260-315 °C. Atmosphere: argon 10 cm^3 /min. Linear heating at a rate of 10 °C/min.

When the samples were heated up to 200 °C, the fibers lost 8–9% of their mass, which was stipulated with the adsorbed water removal. The highest rate of weight loss for cellulose fibers was observed up to 100 °C. At further heating, VT-10 precursors lose water the most actively and this process continues up to 160 °C. The process of removing water from T-10 fibers proceeds at the lowest rate and ends at around 200 °C. In the next stage of sample heating (in the temperature range 200–340 °C), two competing reactions occured–dehydration and depolymerization [28]. These reactions correspond to the extremes on the differential curves of the mass loss rate (DTG) (Figure 5).



Figure 5. Mass loss rate (DTG) curves for cellulose and composite fibers.

Although the course of the DTG curves is the same, the positions of the temperatures at the beginning and the end of the decomposition reaction, as well as the maximum of the mass loss rate, differ. The temperatures corresponding to the extremum points and DTG_{max} values for all the testing samples are given in Table 3.

Table 3. Parameters of the thermodestruction rate of cellulose and composite fibers: the temperatures of minimums and the DTG values.

Tmax, °C	DTGmax, %/min
331	-1.74
332	-2.02
335	-2.49
337	-2.35
344	-2.38
328	-2.03
332	-2.14
	Tmax, °C 331 332 335 337 344 328 332

The temperature range of pyrolysis, determined from the first derivative of the TGA curves, was used for estimation of the activation energy of thermal decomposition. According to [29], these values allow us not only to estimate the energy necessary for the reaction, but also the possible nature of the product obtained. For more accurate determination of the pyrolysis starting temperature, TGA derivatives of a higher order could be used. The corresponding temperature dependences of the weight loss rate is presented in Figure 6, and calculated activation energy, as well as intrinsic points of the decomposition process, is shown in Table 4.



Figure 6. Dependence $\ln(\ln(1/y))$ VS 1000/T for determining the activation energy of the thermal decomposition.

Table 4. DTG data for cellulose and composite fibers.	
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Sample	L (1)	T-5 (2)	T-10 (3)	B-5 (6)	B-10 (7)	VT-5 (4)	VT-10 (5)
Slope	-26.14	-19.47	-25.08	-19.4	-20.6	-19.6	-17.1
Tonset, °C	281	282	292	279	279	282	287
T _{range} , °C	281-306	282-307	292–317	279-304	279-304	282-307	287-312
E_a , kJ/mol	234	162	209	162	172	164	143
Weight loss, %	5.6	4.7	7	5.3	3.4	3.5	4.8
Carbon yield at 1000 °C, %	3.5	14.4	12.3	14.8	13.1	12.6	5.7

Thus, the lowest point of the pyrolysis starting (279 $^{\circ}$ C) was for the precursors B-5 and B-10, and the highest was for the sample T-10 (292 °C). All other formulations were located inside this temperature interval. This means that depending on the nature of the additive, the thermal stability of the samples was slightly varied. Reducing the structural order in the system did not lead to a decrease in thermal stability. Hence, it can be assumed that the chemical interaction between the silicon-containing additive and the cellulose matrix, and the amount of the additive, plays a more important role in the decomposition process than the crystalline structure. It is known that in the presence of water, organosilicon compounds are extremely unstable compounds, prone to hydrolysis reactions, and subsequent condensation and polycondensation with the formation of oligomers and polymers with siloxane bonds of a linear or cyclic structure [30,31]. In the studied cellulose solutions in NMMO, water was present in an amount of ~10% to 13%. The introduction of tetraethoxysilane (TEOS) to cellulose solutions in NMMO led to the formation of a discrete phase of polysiloxanes. The resulting polysiloxane particles were uniformly distributed in the cellulose matrix. The vinyltriethoxysilane (VTEOS) molecule contains double bonds that can open and integrate into cellulose chains during thermolysis, ensuring the formation of Si–C bonds (as evidenced by IR spectroscopy). Bistrimethylsilyl acetylene (BTMSA) is chemically inert and does not chemically interact with the matrix when forming the fiber.

Based on the Broido method, the values of activation energy (E_a) of the thermal decomposition for the spun fibers were calculated. The activation energy for Lyocell fibers was 234 kJ/mol, which is closer to the values for the cellulose samples discussed in [32–34]. The activation energy of the thermal decomposition of T-5, VT-5, and B-5 practically coincided. Further increases in the content of these additives in the system led to a multidirectional change in the activation energy. The value of E_a increased for silyl-substituted additives and decreased for BTMSA. The maximum value of 209 kJ/mol was achieved for T-10 samples. It should be noted that this value of E_a is lower than that obtained for cellulose fibers.

Along with the highest activation energy for T-10 fibers, the highest mass loss rate was also recorded (Table 4). Lyocell fibers, on the contrary, were characterized by the lowest rate of mass loss. As a result, in the temperature range for which the activation energy was calculated, the largest mass loss of 7% was observed for the T-10 sample, while the BT-5 and B-10 fibers lost about 3.5% of the initial mass only (Table 4). At T = 1000 °C, the carbon yield of the Lyocell fibers tended towards minimum values. The composite precursor T-5 samples had a carbon yield of 14.4% at the same temperature, which is the maximum value among all the samples studied. The minimum value (5.7%) was observed for VT-10 fibers. It is important to note that despite the chemical nature of the additives introduced into the cellulose matrix, the carbon yield initially increased with the addition of 5% silicon-containing compounds, while a further increase in the additive to 10% led to a decrease in its value.



The DSC curves are shown in Figure 7.

Figure 7. DSC curves for cellulose (1) and composite fibers: (**a**) T-5 (2), T-10 (3); (**b**) VT-5 (2), VT-10 (3); (**c**) B-5 (2) and B-10 (3). Atmosphere: argon 10 cm³/min. Linear heating at a rate of 10 °C/min.

Table 5 summarizes the most important quantitative indicators of DSC data.

Two temperature ranges can be distinguished for all samples (Table 5). The first one (30–178 °C) characterizes an endothermic effect, connected to the removal of water. The second one is the thermal decomposition of cellulose (the temperature range of 286–376 °C), accompanied by endo- and exo-effects. The described ranges are well correlated with the TGA data.

Sample	Trange, °C	Tmin Endotherma, °C	Endothermic Effect, J∙g ⁻¹	Trange, °C	Tmin Endotherma, °C	Endothermic Effect, J∙g ⁻¹	Trange, °C	Tmax Exotherma, °C	Exothermic Effect, J∙g ⁻¹	Trange, °C	Tmin Endotherma, °C	Endothermic Effect, J∙g ⁻¹
L	30-172	77	-162	288-345	326	-119				345-372	350	-25
T-5	30-178	112	-155	295-319	311	-22	319-355	347	56			
T-10	30-177	111	-157	277-341	317	-66	342-351	348	8	351-376	354	-11
VT-5	30-174	106	-178	286-333	318	-74	333-352	348	18	352-370	354	-8
VT-10	30-177	99	-224	289-326	323	-95	326-345	341	62	345-376	351	-14
B-5	30-164	101	-139	281-312	305	-10	312-357	337	92			
B-10	30-177	112	-166	289-325	312	-10	339–355	347	19			

Table 5. The main parameters of fibers obtained by DSC studies at a heating rate of 10 K/min in an inert atmosphere.

The adsorbed water is the most quickly removed from the cellulose fibers, a minimum of the endotherm is observed at 77 °C. The introduction of additives into the cellulose matrix leads to a change in the kinetics of the water removal and the shift of the endothermal minimum to higher temperatures.

The dehydration and depolymerization reactions are accompanied by an endo-effect, which, depending on the type of additive and its concentration, starts at temperatures of 277–295 °C. The endo-effect decreases dramatically for T-5, B-5, and B-10 fibers, while for silyl-substituted additives it increases with increasing additive concentration, and for composite fibers of series B it remains unchanged.

At higher temperatures, processes of gas formation (CO, CO₂, etc.) [35] and their interaction with the transformed sample under study are observed, which are expressed as endo- and exo- peaks on the DSC curves.

With an increase in the VTEOS content up to 10%, the thermal behavior of the system changes. On the DSC curve (Figure 7, curve 3), there are also three peaks, but the maximums of the peaks are shifted. Thus, the position of the endopeak corresponding to dehydration processes shifts to 323 °C, while the position of the maximum of the exopeak shifts toward lower temperatures to 341 °C. The character of the curve also changes. The increased content of the additive leads to a significant acceleration of the processes of structural rearrangements, as evidenced by the heat of the exo-effect that has increased almost 4-fold. The results obtained, at first glance, are somewhat different from the TGA data, according to which an increase in the VTEOS content in cellulose to 10% leads to a decrease in the carbon yield. Apparently, the processes occurring in the heat treatment process are more complex. It can be assumed that high heat release in the bulk of the sample initiates the flow of deeper destruction processes leading to the mass loss.

4. Conclusions

Thus, the introduction of silicon-containing additives into the cellulose matrix leads to a decrease in structure ordering and affects the thermal behavior of the resulting composite precursors. For all composite fibers, the activation energy of pyrolysis is less than that for cellulose fibers. At the same time, the reaction itself starts first for samples B-5 and B-10, and later on for T-10 fibers, i.e., the first type of additive acts as a pyrolysis catalyst, and the second one as a flame retardant. The type of observed peaks on the DSC curves for composite fibers cardinally changes from the endopeaks inherent for cellulose fibers by the combination of endo- and exo- peaks. This may indicate the occurrence of a number of complex chemical processes leading to an increase in the mass of the carbon yield at temperatures up to 1000 °C. Regardless of the type of silicon-containing agent, the maximum values of the carbon yield are achieved with the introduction of 5% of the composite additive into the cellulose. For further improvement of the values of fibers' carbon yield, it is necessary to optimize the temperature profiles and residence times. Additional impregnation of the discussed composite precursors with ammonium chloride, diammonium phosphate, or other silicon-containing compounds also contributes to an increase in carbon yield values and is the subject of further research. The resulting carbon fibers from composite precursors based on cellulose in the future can be used to create composite materials with unique engineering properties such as high thermal stability and mechanical values.

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