

Article

Electron Impact Ionization of Metastable States of Diatomic Molecules

Annarita Laricchiuta ^{1,*}, Roberto Celiberto ^{1,2} and Gianpiero Colonna ¹

¹ CNR ISTP (Istituto per la Scienza e Tecnologia dei Plasmi) Bari Section, 70126 Bari, Italy; roberto.celiberto@poliba.it (R.C.); gianpiero.colonna@cnr.it (G.C.)

² Dipartimento di Ingegneria Civile, Ambientale, del Territorio, Edile e di Chimica (DICATECh), Politecnico di Bari, 70125 Bari, Italy

* Correspondence: annarita.laricchiuta@cnr.it

Abstract: The Binary-Encounter Bethe approach was applied to the estimation of total ionization induced by electron impact in metastable states of diatomic molecules. The cross sections recently obtained for N₂ and CO are reviewed and the new results for H₂ are presented, discussing their reliability through the comparison with other theoretical methods.

Keywords: metastable states; electron-impact ionization; BEB approach; elementary processes in plasmas

1. Introduction

The kinetics of nonequilibrium, low-temperature plasmas is driven by the presence of radicals and excited species that can be regarded as reactivity enhancers, activating channels otherwise inaccessible and modifying the route to products. Mechanisms activated by excited species can significantly affect the efficiency of plasma technologies impacting different fields of applications, i.e., CO₂ plasma reduction for environment [1], plasma-assisted combustion [2], plasma medicine, and agriculture [3,4].

The assessed theoretical framework for the description of transient and stationary conditions of such plasmas is the state-to-state approach [5,6], where the quantum states of chemical species are treated independently in the master equations for the time evolution and characterized dynamically with state-specific cross sections and rate coefficients. The chemistry is coupled to the kinetics of free electrons and the internal and electron energy distributions are mutually affected. In this complex scenario, the metastable states, due to their considerably longer lifetimes with respect to radiating excited states, can play a role, acting also as a energy reservoir in the post-discharge relaxation phase and thus sustaining the plasma through the secondary collisions. This is the case of N₂(A³Σ_u⁺) state in the nitrogen afterglow [7,8] and also in high-enthalpy hypersonic flows [9], of CO(a³Π) in CO₂ discharges [10] and the *odd* oxygen, i.e., O₂(a¹Δ_g), O¹S excited states, key in the control of ignition delay time in combustion [11]. The metastable c³Π_u and quasi-metastable a³Σ_g⁺ states of H₂ are relevant to the collisional radiative models for the simulation of negative ion sources for fusion [12].

Despite efforts to compile complete databases for state-resolved cross sections [13], the knowledge of data for electron scattering processes of metastables is still very scarce, thus requiring novel efforts of the quantum chemistry community. From the experimental point of view it poses difficulties entailing the preparation of the molecule in the excited state.

Focussing on the ionization process, the role of vibrational excitation of the molecular target in enhancing the ionization was investigated in the framework of classical approaches, i.e., the Gryzinski [14–19] and the universal function method [20–22], finding in general a small dependence on the vibrational quantum number. On the contrary a significant impact in the chemistry is expected for processes initiated from metastable excited states, characterized by a considerable reduction of the ionization threshold. The total ionization



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cross section of N_2 metastable was obtained within the formalism of Complex Scattering Potential [23] and also partial ionization cross sections for $N_2(A^3\Sigma_u^+)$ and $O_2(a^1\Delta_g)$ and $O_2(b^1\Sigma_g^+)$ to specific final molecular ion states were estimated in Refs. [20–22]. The H_2 ionization from metastable and excited states was comprehensively investigated with the Gryzinski approach [14] and with the quantum convergent close-coupling calculations (MCCC) [24], demonstrating the predictive character of the classical approach that well reproduces the most accurate theoretical results.

In this paper, the total ionization cross sections for metastable states of diatomic molecules derived in the framework of the Binary-Encounter Bethe (BEB) approach developed by Kim&Rudd [25,26] are discussed. The method, attractively combining simplicity and accuracy, was successfully and extensively applied to many atomic and molecular systems in the ground state, including fusion-relevant species containing heavy elements as beryllium/tungsten oxides, hydrides and nitrides [27], and also to the ionization of low-lying excited states of carbon, nitrogen and oxygen atoms [28]. Here, the results recently obtained for to the metastable states of N_2^* and CO^* [29] are reviewed and new results for H_2 molecule are presented, discussing the comparison with other theoretical methods.

2. BEB Approach

The BEB approach [25,26,30] is a derivation of the binary-encounter dipole model for electron-impact ionization of atoms and molecules, allowing the cross section estimation in those cases where the continuum dipole oscillator strength is not available.

The total ionization cross section is expressed as the sum of contributions from the electron shells

$$\sigma_{\text{BEB}}^{\text{ion}}(E) = \sum_i \frac{4\pi a_0^2 N R y^2}{B^2(t+u+1)} \left[\frac{\ln t}{2} \left(1 - \frac{1}{t^2}\right) + \left(1 - \frac{1}{t} - \frac{\ln t}{t+1}\right) \right] \quad (1)$$

with Ry the Rydberg constant, B the electron binding energy in the i -th orbital participating in the ionization process, N its occupation number. $t = E/B$ and $u = U/B$, $U = \langle \mathbf{p}^2/2m \rangle$ being the average kinetic energy in the orbital.

Equation (1) is obtained assuming for the the continuum dipole oscillator strength, $\frac{df(w)}{dw}$, an analytical inverse power form

$$\frac{df(w)}{dw} = \frac{N}{(w+1)^2} \quad (2)$$

where $w = W/B$, with $W = E - B$ the energy of the ejected electron.

3. Results and Discussion

The BEB cross sections requires the estimation of orbital parameters entering Equation (1). Electronic structure calculations were performed with the GAMESS package [31,32].

In Ref. [29] ab initio unrestricted Hartree Fock (UHF) calculations were performed, with the aug-cc-pVTZ basis set, in the $D2h$ symmetry point group at the equilibrium geometry of the metastable $N_2(A^3\Sigma_u^+)$ ($R_{eq} = 1.2866 \text{ \AA}$). The dominant configuration at R_{eq} is $(1\sigma_g^2 1\sigma_u^2 2\sigma_g^2 2\sigma_u^2 1\pi_u^3 3\sigma_g^2 1\pi_g^1)$. Following the procedure recommended in Ref. [26] the α and β orbital values for the binding energy and the kinetic energy were averaged. The threshold in the BEB approach depends on the B value for the highest occupied molecular orbital (HOMO) $1\pi_g$, and was obtained subtracting the values for the corresponding orbital in the α and β sets. This is the most critical aspect and determines the accuracy of the results. The UHF value of 8.47 eV [29] is in fact lower than the expected value of 10.47 eV, which corresponds to the first allowed, one-electron process of ionization connecting the metastable state of the N_2 molecule to the first excited state of the molecular ion, i.e., $N_2(A^3\Sigma_u^+(1\pi_u^3 3\sigma_g^2 1\pi_g^1)) - e(1\pi_g) \rightarrow N_2^+(A^2\Pi_u(1\pi_u^3 3\sigma_g^2))$. This process is highly favored

with respect to the transition to the ground state of $N_2^+(X^2\Sigma_g^+(1\pi_u^4 3\sigma_g^1))$, with a threshold of 9.41 eV, that would require a two electron transition.

The total ionization cross section is displayed in Figure 1, also plotting the results obtained artificially modifying the binding energy of the $1\pi_g$ orbital, B^{HOMO} , to reproduce the experimental ionization threshold, as suggested in Ref. [26], the cross section being shifted in energy and slightly lowered in its maximum value. It is interesting to compare the BEB cross sections with the results obtained in the framework of the Complex Scattering Potential-ionization contribution (CSP-ic) formalism [23]. Actually, in this theoretical treatment the ionization threshold is an external parameter and two model were proposed, model A based on the theoretical value for the formation of the $N_2^+(X^2\Sigma_g^+)$ state, 9.41 eV, and model B where the value 10.1 eV, corresponding to the appearance potential in Ref. [33], is chosen. The two methods agree quite well and both predict an ionization cross section that, regardless the threshold, is greater than the experiments, also reported in Figure 1. In fact, the $N_2(A^3\Sigma_u^+)$ state is the only molecular metastable state investigated experimentally [33,34], the molecular beam prepared by quasi-resonant asymmetric charge transfer neutralization and with subsequent ionization by electron beam. The two measures were done with a different charge-transfer gas and the existence of the metastable in the neutralized beam was postulated on the basis of the observed lowering of the ionization threshold with respect to the ground state. As mentioned the apparent threshold in Ref. [33] is lower than the theoretical value predicted for the $N_2(A) \rightarrow N_2^+(A)$ process and it was attributed to presence of vibrationally excited $N_2(A)$ molecules in the beam. The reasons for discrepancies between theory and experiments, could be attributed to the fact that all channels are accounted for in the total ionization cross section, while experiments focus on the nondissociative ionization process. Furthermore, the procedure for the separation of ground and metastable contributions to the ion signal is critical and could be a source of uncertainty in the measure.

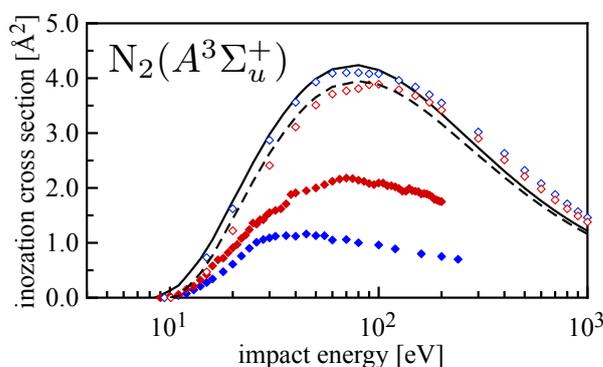


Figure 1. BEB cross sections for total ionization (solid lines) of $N_2(A^3\Sigma_u^+)$ metastable [29], (dashed line) BEB cross section with $B^{HOMO} = 10.47$ eV. CSP-ic results [23] for model A (blue open diamonds) and model B (red open diamonds). Experiments: (close blue diamonds) [33], (close red diamonds) [34].

The total ionization for the metastable state of CO molecule (Figure 2) was derived in the BEB approach [29], performing multiconfiguration self-consistent field (MCSCF) calculations not only at $R_{eq} = 1.20574$ Å, but also varying the molecular geometry, confirming the dominant role of the configuration $(3\sigma^2 4\sigma^2 5\sigma 1\pi^4 2\pi)$. The orbital parameters were again obtained with unrestricted Hartree Fock approach, finding the ionization threshold at 9.50 eV. This value is greater than the one estimated from the energy spectrum, 7.97 eV, corresponding to the one-electron ionization $CO(a^3\Pi) - e(2\pi) \rightarrow CO^+(^2\Sigma^+)$ as lowest-threshold channel.

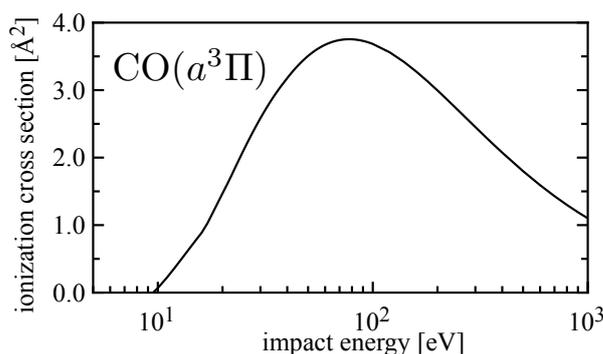


Figure 2. BEB cross sections for total ionization of CO($a^3\Pi$) metastable [29].

In the case of H₂, the ionization cross sections of the metastable $c^3\Pi_u$, of the other bound triplet $a^3\Sigma_g^+$ and of the three lowest singlet excited states were calculated firstly with the classical Gryzinski approach [35], deriving vibrationally-resolved datasets relevant to collisional-radiative models, and recently re-evaluated with the accurate MCCC approach [24] for the fundamental vibrational level $v = 0$ of the excited states. The total ionization for the c state was also estimated within the complex potential (CSP-ic) formalism [36], considering two models based on a different choice of the lowest threshold energy for the ionization. In model A, the energy limit was set to 2.82 eV, derived from the theoretical energy of the excited $c^3\Pi_u$ state estimated within the R-matrix approach [37], while in model B, the ionization was fixed at the experimental value 3.66 eV [38]. The significance of this choice is evident in the threshold behavior of the cross section, affecting the low-temperature rate of the process, however at high collision energies the two models converge.

Here, the two triplets $c^3\Pi_u$ and $a^3\Sigma_g^+$ are considered. In the ab initio step, MCSCF electronic structure calculations were preliminary performed, with the aug-cc-pV5Z basis set, at the equilibrium internuclear distance of each electronic state, confirming that a single determinant representation is accurate, being the configuration coefficient close to unity for both states. The excited configurations, ($1\sigma_g 1\pi_u$) for ($c^3\Pi_u$) and ($1\sigma_g 2\sigma_g$) for $a^3\Sigma_g^+$, were then treated in the EKT (extended Koopmans' theorem) method [39], available in the GAMESS code, obtaining a quite accurate estimation of the ionization potential values. In fact, in the case of the a state the binding energy of the excited orbital, 3.6245 eV, is very close to the experimental threshold value 3.639 eV, while for the c state the EKT value, 3.31702 eV, is lower than the accurate threshold at 3.66 eV. The orbital parameters for the two states are reported in Table 1.

Table 1. Orbital binding energy, occupation number, orbital symmetry, and kinetic energy for H₂($c^3\Pi_u$) ($1\sigma_g 1\pi_u$) and H₂($a^3\Sigma_g^+$) ($1\sigma_g 2\sigma_g$) states at the corresponding equilibrium internuclear distance, i.e., $R_{eq}^c = 1.0376$ Å and $R_{eq}^a = 0.98879$ Å.

	B [eV]	N		U [eV]
$c^3\Pi_u$	22.59329	1	Ag	15.53490
	3.31702	1	B2u	5.66877
$a^3\Sigma_g^+$	25.5348	1	Ag	17.2390
	3.6245	1	Ag	2.7310

In Figure 3, the total ionization cross sections for the $c^3\Pi_u$ and $a^3\Sigma_g^+$ states of H₂ are displayed as a function of collision energy and compared with the other theoretical results. For the metastable, the Gryzinski and CSP-ic approaches both give values in good agreement with the quantum MCCC cross section, this last representing the reference, while the BEB model is about 10% lower at the maximum, the error reducing at higher energies. Differently, for the a state the BEB cross section compares significantly better with the accurate MCCC values, where the Gryzinski approach overestimates the maximum.

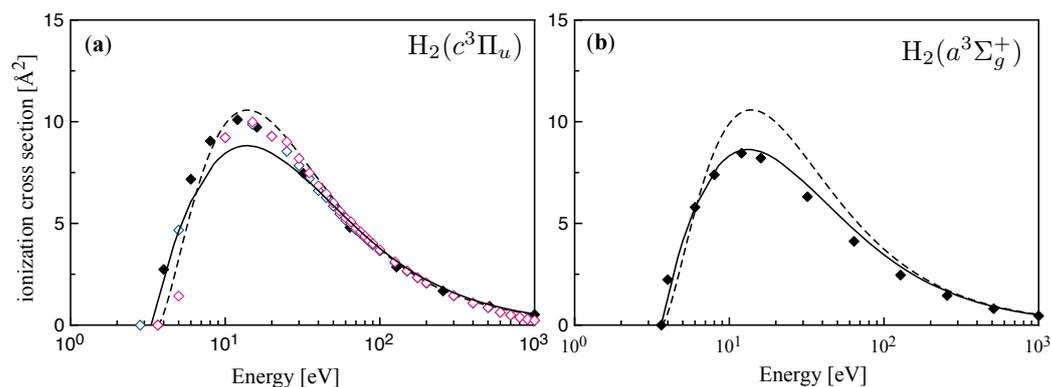


Figure 3. Total ionization cross section of H₂ (a) $c^3\Pi_u$ metastable and (b) $a^3\Sigma_g^+$ states. (solid line) BEB model; (dashed line) classical Gryzinski approach [14]; (close diamonds) MCCC method [24,40]; CSP-ic method [36] models A (blue open diamonds) and B (red open diamonds).

The ionization from excited states is characterized not only by the lowering of the energy threshold for the process, but also by a significant enhancement of the absolute value of the cross section with respect to the ground state. In fact, the peak value increases of a factor from 1.5 for N₂ and 1.7 for CO to 10 for H₂. In the hydrogen case, the enhancement factor is that large because of the significant difference in the binding energy of the ejected electron in the excited configurations with respect to the ground closed-shell configuration, that, in turn, favors the ionization process. The BEB model accuracy is acceptable also in the case of excited metastable states and related to the accuracy of the ab initio binding energy of the highest occupied orbital.

4. Conclusions

The derivation of cross sections for electron-impact-induced processes in metastable states of molecular species is a requirement for the creation of a complete kinetic scheme of nonequilibrium technological plasmas. Among electron-scattering processes, the ionization is key in the onset of electron density and the reduction of the threshold energy, when initiated from excited states, largely enhances the effect. The BEB model for ionization was widely used in the literature to estimate the cross sections for atoms and molecules in their ground states, due to the noticeable accuracy of results despite the simplicity of the formulation, free of external parameters, being the orbital values entering the working equation obtainable by standard electronic structure calculations. In this paper, the total ionization cross sections for metastables of diatomic molecules estimated within the framework of the BEB approach are discussed, comparing the results with those obtained with other more sophisticated theoretical methods, confirming discrepancies within 10% also characterizing the ground state calculations.

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