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Microstructures of the Activated Si-Containing AB₂ Metal Hydride Alloy Surface by Transmission Electron Microscope

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Abstract: The surface microstructure of an activated Si-containing AB₂ metal hydride (MH) alloy was investigated by transmission electron microscopy (TEM) and X-ray energy dispersive spectroscopy (EDS). Regions of the main AB₂ and the secondary TiNi (B2 structure) phases directly underneath the surface Zr oxide/hydroxide layers are considered electrochemically inactive. The surface of AB₂ is covered, on the atomic scale, by sheets of Ni₂O₃ with direct access to electrolyte and voids, without the buffer oxide commonly seen in Si-free AB₂ alloys. This clean oxide/bulk metal alloy interface is believed to be the main source of the improvements in the low-temperature performance of Si-containing AB₂ alloys. Sporadic metallic-Ni clusters can be found in the surface Ni₂O₃ region. However, the density of these clusters is much lower than the Ni-inclusions found in most typical metal hydride surface oxides. A high density of nano-sized metallic Ni-inclusions (1–3 nm) is found in regions associated with the TiNi secondary phase, *i.e.*, in the surface oxide layer and in the grain boundary, which can also contribute to enhancement of the electrochemical performance.

Keywords: intermetallic; electrochemistry; microstructure; transmission electron microscopy (TEM)

1. Introduction

Sales of hybrid electrical vehicles (HEV), which are mainly based on the nickel/metal hydride (Ni/MH) battery technology, passed 10 million in July 2015 [1]. With a fast charge/discharge capability [2,3], a long cycle stability [4], high efficiency under a large charge current [5], superb abuse tolerance [6,7], and a wide operation temperature range [8,9], Ni/MH batteries are expected to power HEV for another decade. Therefore, efforts to improve the performance of Ni/MH batteries have never stopped. Ni/MH batteries have a catalytic oxide layer on the anode surface that facilitates the electrochemical reaction with the electrolyte, which is similar to the solid-electrolyte-interface (SEI) formation on the anode surface of a Li-ion battery [10-16]. In a conventional AB₂ metal hydride (MH) alloy, the catalytic surface oxide is composed of (1) a buffer oxide layer (approximately 100 nm and 50 nm for AB₂ and AB₅, respectively) on top of the metal bulk, followed by (2) a porous oxide layer (approximately 200 nm and 100 nm for AB₂ and AB₅, respectively), and finally (3) a surface oxide layer that is electrochemical inactive [17]. The porous surface oxide and metallic inclusions (mainly Ni) of several common AB₂ and AB₅ MH alloys have been studied by transmission electron microscopy (TEM) [13–26], electron energy loss spectroscopy (EELS) [17,27], X-ray diffraction (XRD) [28], X-ray photoemission spectroscopy (XPS) [29], and magnetic susceptibility measurements [26,30–33]. The general conclusion is that the metallic Ni inclusions embedded in the supportive oxides are the key catalyst for the electrochemical reaction in Ni/MH batteries.

Si-addition in a typical Laves phase based MH alloy demonstrated a large decrease in charge-transfer resistance at both room temperature and -40 °C [34], which was attributed to increased catalytic ability in the main C14 phase. Although both the abundances of the C15 and TiNi (B2 structure) secondary phases are higher in the Si-containing AB₂ alloy, their content remains relatively small (≤ 5 wt%). AC impedance results showed that both the surface area and surface catalytic ability increases with the incorporation of Si. The increase in the former was attributed to the higher solubility of Si oxide, whereas the origin of the increase in the latter remains unclear [34]. A similar enhancement in electrochemical reactivity with Si and AB₂ MH alloys was also previously reported [35], but the mechanism of the improvement is also not available. TEM has been used extensively to study the multi-phase nature of AB₂ MH alloys [36–40], as well as the surface oxides of conventional AB₂ and AB₅ MH alloys [17,26]. Therefore, in this study, we applied TEM techniques to reveal the detailed microstructure of the activated Si-containing AB₂ MH alloys surface.

2. Experimental Section

Arc melting of the designed alloy samples was performed with a non-consumable tungsten electrode and water-cooled copper tray, under a continuous argon flow. A piece of sacrificial titanium underwent several melting-cooling cycles before each run to reduce residual systemic oxygen levels. Each 12-g ingot sample was re-melted and turned over several times to ensure a uniform chemical composition. A JEOL-JSM6320F scanning electron microscope (SEM, JEOL, Tokyo, Japan) with X-ray energy dispersive spectroscopy (EDS) capabilities was used to study the phase distribution and composition of the as-cast ingots [34]. Ingots were hydrided, de-hydrided, crushed, and ground to powder and then sieved through a 200-mesh sieve. The final powder was etched in 100 °C 30% KOH for 4 h (h) to emulate a lightly cycled electrode [17,26,30]. TEM examination was performed with a CM200/FEG microscope (Philips, Amsterdam, The Netherlands). The operating voltage was 200 keV. Magnetic susceptibility was measured using a Digital Measurement Systems Model 880 vibrating sample magnetometer (MicroSense, Lowell, MA, USA).

TEM sample preparation included the following steps:

- Mixing of the powders with M-Bond 610 glue, followed by placement of the mixture into a 3 mm diameter copper pipe. This was then cured for 8 h at 150 °C;
- (2) Slicing the copper pipe containing the glued powders into 0.5 mm thick disks using a low-speed diamond saw;
- Polishing of the 3-mm-diameter disk down to approximately 100 μm using SiC media from 320 grit down to 1200 grit, then dimpling grinding;
- (4) Finishing of thinning with 5 kV ion milling (on a liquid N_2 cooled stage);
- (5) Finally, insertion of the sample into the microscope to examine for electron beam transparency areas. If none are detected, additional ion milling time, followed by TEM examination, is required. This step was repeated as many times as necessary to provide high quality thin areas for detailed TEM studies.

3. Results and Discussion

3.1. Summary of Alloy Properties

While the surface microstructure of the Si-free AB₂ MH alloy has been fully investigated in our previous paper [17], a Si4 alloy with a designed composition of $Ti_{12}Zr_{21.5}V_{10}Cr_{7.5}Mn_{8.1}Co_{8.0}Ni_{28.2}Si_{4.0}Sn_{0.3}Al_{0.4}$ from a previous report on the effects of Si-incorporation in the Laves phase based AB₂ MH alloy [34] was selected for this TEM/EDS study. Based on the full-phase analysis of the XRD data, this alloy (Si4) contained a major C14 phase (92.0 wt%), a C15 secondary phase (5.0 wt%), and a TiNi—like B2 secondary phase (3.0 wt%). EDS analysis showed that the Si-contents in C14, C15, and B2 (TiNi) phase were 3.2–5.5 at%, 1.6–1.9 at%, and 0.2 at%, respectively. Compared to the Si-free base alloy Si0, the Si4 alloy has a larger unit cell, higher C15 and B2 secondary phase abundances, a lower pressure-concentration-temperature (PCT) plateau pressure, a larger PCT hysteresis, a smaller

gaseous phase and electrochemical storage capacities, a higher hydrogen diffusion coefficient, a higher surface exchange current, a lower charge transfer resistance and a higher surface reactive area as measured at -40 °C, and a higher surface catalytic ability [34].

3.2. Scanning Electron Microscope and X-Ray Energy Dispersive Spectroscopy Analyses on the Activated Alloy Surface

Both the pristine and activated alloy powder surfaces were examined by SEM and the resulting secondary electron images are shown in Figure 1a,b, respectively. Some fine particles seen on the surface of the pristine alloy are believed to be dust from hydrogenation and grinding operations. Interestingly, additional fine needle structures are observed on the activated alloy surface (Figure 1b). TEM studies indicated that these needles are mainly Zr oxide/hydroxide. The composition of the activated alloy surface was measured by EDS, although only semi-quantitative, and is in agreement with that of design (Table 1). The similar EDS results between design and etched samples indicate that the alloy surface affected by the activation procedure is much shallower than the X-ray escape depth of the EDS technique (typically 1–2 μ m).



Figure 1. Scanning electron microscope (SEM) micrographs showing the surfaces of (**a**) pristine and (**b**) activated Si4 alloy. While dust particles on the pristine surface (a few examples indicated by red arrows) are from the pulverization/grinding operation, smaller sized precipitations of Zr oxide/hydroxide can be seen on the activated surface (representative examples indicated by yellow arrow).

Table 1. Design and surface composition of activated alloy Si4 determined by SEM-X-ray energy dispersive spectroscopy (EDS). All numbers are in at%.

| Origin | Al | Si | Ti | V | Cr | Mn | Со | Ni | Zr | Sn |
|---------|--------------|-----|------|------|-----|-----|-----|------|------|-----|
| Design | $0.4 \\ 0.7$ | 4.0 | 12.0 | 10.0 | 7.5 | 8.1 | 8.0 | 28.2 | 21.5 | 0.3 |
| Surface | | 4.2 | 11.2 | 10.1 | 7.5 | 8.4 | 8.2 | 29.3 | 20.3 | 0.1 |

3.3. Regions under the Zr Oxide/Hydroxide Layer

Figure 2a is a TEM bright field (BF) micrograph from a region containing two typical AB₂ crystals under the Zr oxide/hydroxide layer. Because of variations in sample thicknesses and weak EDS spectrum signal, resulting from a very small volume, composition calculations based on the TEM/EDS are much more challenging (if even possible) than those in SEM/EDS. Therefore, in this paper, semi-quantitative analyses were only carried out on several representative EDS spectra and most of the EDS spectra were qualitatively compared without delving into inaccessible quantitative details. EDS spectra recorded from the five numbered red box regions in Figure 2a are displayed in Figure 2b–f. The EDS spectra of Regions 1 (Figure 2b) and 3 (Figure 2d), which exhibit all expected elements of design with no detectable O signal, are assigned to the metallic AB₂ bulk phase. The EDS spectrum of Region 2 showed a similar metal content to the AB₂ bulk (Figure 2b,d) with an additional miniscule amount of oxygen signal. The observed Ca signal is due to artifacts from contamination during sample preparation. Figure 2e represents an EDS spectrum recorded from Region 4, the surface

of the AB₂ phase, specifically heavily oxidized Zr, Ti, and Ni, some K from the etching solution, and very small amounts of V, Cr, and Mn. The spectrum is qualitatively similar to the buffer oxide in the previously reported activated Si-free AB₂ MH alloy surface [17]. Metallic Ni-inclusions were not visible in this region. The EDS spectrum (Figure 2f) of the top most surface represents mostly Zr and O, with smaller amounts of Ti, K, and Ni. The O/Zr atomic ratio in this region was estimated to be approximately 2.7, which is between the ratios of ZrO_2 (2.0) and $ZrO_2 \cdot 2H_2O$ or $Zr(OH)_4$ (4.0), and is closer to the former. This is the reason why this region was designated as a Zr oxide/hydroxide. With a surface oxide/hydroxide covering the entire surface and no metallic Ni embedded in the supporting oxide, the AB₂ region directly beneath the surface oxide is considered electrochemically inactive and cannot be the source of the improved low-temperature performance.



Figure 2. (a) A transmission electron microscopy (TEM) bright field (BF) micrograph showing the region representative of the AB_2 phase underneath the surface Zr oxide/hydroxide and (b)–(f) the corresponding EDS spectra from Areas 1–5.

A TEM BF micrograph taken from a different region shows three bright contrast areas in Figure 3a. Two are marked with A and one is marked with B. In addition to a dark contrast area on the left side of B, a crack clearly separates Areas A and B. Small cracks and fissures are normally generated during the hydrogenation and grinding processes. The EDS spectra from Areas A and B are shown in Figure 3b,c, respectively. Both spectra show no trace of O and a full compositional analysis (in at%) are presented in Table 2. According to the composition measurements, Areas A and B were identified as AB₂ and B2 phases, respectively. In summary: the AB₂ phase contains all the elements in the composition; the B2 phase consists mainly of Ti, Zr, Ni, and Co. Low solubilities of V, Cr, and Mn in the B2 phase are in agreement with a previous report [33] and almost all the Si resides in the AB₂ phase.

Table 2. EDS results from two phases identified by TEM BF micrography in Figure 3a. All numbers are in at%. ND denotes not detectable.

| Location | Al | Si | Ti | V | Cr | Mn | Со | Ni | Zr |
|--------------------------------|------|------|-------|-------|-------|------|------|-------|-------|
| Area A (AB ₂ phase) | 1.25 | 5.23 | 9.77 | 13.06 | 12.47 | 9.86 | 8.60 | 23.59 | 16.12 |
| Area B (B2 phase) | ND | 0.19 | 24.08 | 1.08 | ND | 0.78 | 6.93 | 47.40 | 19.52 |



Figure 3. (**a**) A TEM BF micrograph and the corresponding EDS spectra from (**b**) Area A (AB₂ phase) and (**c**) Area B (B2 phase).

Further EDS spectra from the B2 phase and the dark contrast area will be discussed below in Figure 4. Figure 4a is a high-magnification TEM BF micrograph focusing on the bottom left corner of the B2 crystal and its surrounding areas. EDS examination was carried out in the following six areas: Region 1 represents the surface oxide/hydroxide, Region 2 shows the B2 bulk crystal (the same B2 crystal shown in Figure 3a), and Regions 3–6 are in the regions between B2 and surface oxide/hydroxide and the boundary areas surrounding the B2 phase. The corresponding EDS spectra are shown in Figure 4b–g. The EDS spectrum of Region 1 (Figure 4b) is very similar to that shown in Figure 2f representing a typical Zr oxide/hydroxide feature. The O/Zr ratios in the Zr oxide/hydroxide surface for both the AB₂ and B2 phases are very similar. Meanwhile, the EDS spectrum of Region 2—very similar to the one displayed in Figure 3c—is considered to represent the bulk of metallic B2 phase, and those from Regions 3–5 are hypothesized to be buffer oxide with high Ni-content. Region 6, a region next to an activated grain boundary, also shows a similar spectrum, but with an even higher Ni-content. No metallic Ni-inclusions were found in the region of the B2 phase under the surface oxide. This is similar to the AB₂ phase under the Zr oxide/hydroxide. Judging from the high packing density of the surface Zr oxide/hydroxide, the region of the B2 phase under the same oxide is not electrochemically active.



Figure 4. (a) A higher magnification TEM BF micrograph of Figure 3a, showing the B2 phase underneath the surface Zr oxide/hydroxide and (b)–(g) the corresponding EDS spectra from Areas 1–6.

3.4. Electrochemically Active Surfaces on the AB₂ Phase

Figure 5a is a TEM BF micrograph showing open space areas filled with fibrous-like microstructures near the middle portion of the view. A red rectangular region marked at the bottom edge of an open area (surface oxide) was closely examined by TEM BF micrography in higher magnification, shown in Figure 5b. The EDS spectra recorded from Areas 1–3 are present in Figure 5c–e, respectively. Area 1 contains mainly Ni oxide/hydroxide with small amounts of Cr, Zr, Ti, Mn, Si, and K (from the etching solution). Both Areas 2 and 3 are composed of partial oxides from all the metallic components and the oxygen signal intensity in Area 2 is higher than that in Area 3. In both areas, while the overall compositions are similar to that of the AB₂ phase (Figure 2b), the Ni-contents are significantly higher. This area can be considered as the surface oxide region of AB₂ phase, under the Ni oxide/hydroxide layer.



Figure 5. (a) A TEM BF micrograph showing the interface between oxide/ AB_2 phases; (b) a higher magnification image from the red box region shown in (a); and (c)–(e) the associative EDS spectra from areas identified in (b).

The fine microstructure of the Ni oxide/hydroxide-AB₂ phase interface was further investigated with high resolution (HR) TEM. A representative HR-TEM micrograph in Figure 6a shows an oxide region sandwiched between two AB₂ metal alloy grains. The central portion is filled with a high density of fibrous-like features, similar to the oxide formation found in La-addition AB₂ metal alloys [26]. A higher magnification micrograph of the same region clearly shows layered structures associated with oxide formation (Figure 6b). Finally, the atomic fringes of the AB₂ crystal are clearly visible in Figure 6c. These atomic fringes have an average inter-planar distance of 0.24 nm, which is close to the (200) plane of a C14 structure. The interface between the oxide and AB₂ metal alloy bulk crystal (green line) is very clean and free of any amorphous phase, as is the buffer oxide layer reported earlier [17].

A selective area electron diffraction pattern (SAD) in the oxide region is shown in Figure 6f. The lattice spacing, calibrated with a Pd foil, is 0.325 nm, which is very close to the (101) inter-planar distance of 0.323 nm for a Ni₂O₃ crystal [41]. The compositions of the oxide and AB₂ phases were studied by EDS and the resulting spectra are shown in Figure 6e,f. The oxide area has an O/Ni ratio slightly higher than the stoichiometric 1.5 in Ni₂O₃, contains some Cr, possibly traces of Co, Ti, and no detectable Si. Therefore, this region is mainly Ni oxide with a small amount of Ni(OH)₂. The composition of the AB₂ alloy crystal is very close to the one found in the bulk (Figure 3b) with a small amount of oxygen, which suggests that a small portion of the AB₂ phase was oxidized. In summary, the region of AB₂ directly underneath the Ni₂O₃ sheets, which contain voids, is slightly oxidized and has direct access to

the electrolyte and, therefore, is considered electrochemically active.



Figure 6. (a) A TEM BF micrograph showing the oxide between two AB_2 crystals; (b) a higher magnification image of one interface; (c) a clean interface image showing lattice fringes of 0.24 nm inter-planar spacing for the AB_2 crystal (200) plane and the oxide; (d) a SAD pattern from the oxide region showing an inter-planar distance of 0.325 nm and EDS spectra recorded from (e) the corresponding oxide and (f) AB_2 phase areas.

The surface Ni oxide region examined more thoroughly by TEM is shown in a regular TEM BF micrograph (Figure 7a), where some bright particles, marked by red arrows 1, 2, and 4, are present in the surface Ni oxide/hydroxide region. The composition of the four red arrow points shown in Figure 7a were examined by EDS and the resulting spectra are displayed in Figure 7b–e. Point 1 indicates a mixture of Ni and AB₂ phase with some degree of oxidation (Figure 7b). Points 2 and 4 are pure metallic Ni clusters. Point 3 exhibits a typical AB₂ composition. The Ni metallic inclusions are known to facilitate electrochemical reactions when they are imbedded in the surface porous oxide [30]. We believe the metallic Ni-clusters (10–20 nm) found here may not play an important role in the electrochemical reaction because of their relatively low density, when compared to the typical Ni inclusion in the supportive oxide on the conventional MH alloys.



Figure 7. (**a**) A TEM BF micrograph showing surface oxide and imbedded Ni-clusters and (**b**)–(**e**) the corresponding EDS spectra from the areas identified in (**a**).

The Ni-inclusions were studied further using TEM micrography combined with EDS mappings. There are three clusters, each with a diameter of approximately 10 nm, denoted by red arrows in Figure 8a. The corresponding Ni- and O-mappings in the same area indicate that these three particles are made of Ni without any O and are therefore metallic Ni. Besides the Ni-inclusions in the region, the Ni-mapping coincides with O-mapping very well in the remaining areas, which signify that the surface of AB₂ phase in this region is covered mostly by Ni oxide/hydroxide.



Figure 8. (a) A TEM BF micrograph showing three metallic Ni-clusters, indicated by arrows, in the oxide on the AB₂ phase surface, and EDS mappings of (b) Ni and (c) O.

Magnetic susceptibility measurements were used to quantify the total amount of metallic Ni on the surface, using the saturated magnetic susceptibility (M_S) and the average magnetic domain size, which is reversely proportional to the magnetic field strength at one-half of the M_S value ($H_{1/2}$). Details of the measurement protocol can be found in our earlier publications [30,33]. According to the results shown in Table 3, the Si-containing Si5 AB₂ MH alloy has a slightly lower total amount of metallic Ni, with a similar average Ni cluster size to those in the Si-free Si0 alloy. Therefore, the enhancement in the low-temperature performance with the addition of Si in the formula cannot be linked to metallic Ni-clusters. When compared to other AB₅ and A₂B₇ in Table 3, the AB₂ alloys have an insufficient amount of surface metallic Ni-that too small in size, which, therefore, increases in the surface catalytic ability by other means is extremely critical for improving the electrochemical performance of AB₂ MH alloys.

Table 3. Comparison of saturated magnetic susceptibility (M_S) and applied magnetic field, corresponding to half of M_S ($H_{1/2}$), for Si-free Si0 and Si-containing Si5 AB₂, AB₅ and some A₂B₇ MH alloys. Mm stands for Ce-free misch metal.

| Properties | Si5-AB ₂ | Si0-AB ₂ | AB ₅ | La-A ₂ B ₇ | Nd-A ₂ B ₇ | Mm-A ₂ B ₇ |
|--|---------------------|---------------------|-----------------|----------------------------------|----------------------------------|----------------------------------|
| M _S in emu∙ g ^{−1} | 0.0324 | 0.0372 | 0.434 | 0.369 | 0.679 | 0.314 |
| H _{1/2} in kOe | 0.451 | 0.493 | 0.173 | 0.125 | 0.102 | 0.128 |
| References | This work | [33] | [30] | [30] | Nd-AB5 | [31] |

3.5. Electrochemically Active Surfaces on the B2 Phase

The B2 phase, only 3.0 wt% of Si4, can also be an electrochemical active component in the AB₂ MH alloy [42,43]. A TEM BF micrograph in Figure 9a shows that the same surface of B2 phase was not entirely covered by the thick Zr oxide/hydroxide, as addressed in Section 3.3. The EDS spectra taken from the areas marked as 1, 2, 3, and 4 are shown in Figure 9b–e, respectively. The spectrum of Area 1 shows a typical element-distribution of a B2 phase (refer to Figure 3c). Area 2 contains mainly Ni and O with an O/Ni ratio of roughly 0.7, which suggest a mixture of metallic Ni and Ni oxide. The composition in Area 3 approaches a fully oxidized Ni oxide, while Area 4 may be a mix of metal (Zr, Ti, and Ni) oxides. From the structure, Region 2 is proposed to be the catalyst for the electrochemical reaction.



Figure 9. (a) A TEM BF micrograph showing the oxide on the B2 phase surface and (b)–(e) the corresponding EDS spectra from the areas identified in (a).

grains (Areas 1 and 2) are assigned to the B2 phase, but with different compositions; while Square 1 shows a typical bulk B2 composition (Figure 3c), Square 2 has higher Zr and Sn content and a smaller Ti content. The dark spot in the grain boundary between two B2 crystals shows a high-Ni level and some degree of oxidation of Zr and Ti (Figure 10d). An HR-TEM BF image with an inserted SAD pattern is shown in Figure 10e. The micrograph is filled by the nano-scaled crystallites (~2 nm in diameter), attributing to the continuous halo-like rings in the SAD pattern. The ring patterns represent the face-centered cubic structure of the material. Among them, the most intense pattern corresponds to a lattice spacing of 0.21 nm, which results from the metallic Ni (111) plane. Figure 10f is a slightly lower magnification TEM dark field (DF) micrograph from the same area. The observed bright spots are the Ni nano-crystallites that are the dominating component in this area.



Figure 10. (a) A TEM BF micrograph showing the oxide between two B2 phases; (b)–(d) the corresponding EDS spectra from the areas identified in (a); (e) a high magnification TEM BF image with a SAD in the insert (e); and (f) a TEM DF micrograph from the same region as (e) showing the dominant Ni nano-crystallites.

Another HR-TEM BF micrograph demonstrating the presence of oxide in the B2 phase grain boundary is shown in Figure 11a with the corresponding EDS mappings of Ni (Figure 11b) and O (Figure 11c). Different from what is shown in Figure 8, the Ni-mapping in Figure 11a–c complements the O-mapping, suggesting a high density of metallic-Ni on the B2 phase surface.



Figure 11. (a) A TEM BF micrograph showing oxide on the B2 phase surface, and EDS mappings of (b) Ni and (c) O. Different from Figure 8, the Ni-mapping here is complementary to the O-mapping, suggesting a high density of metallic-Ni on the B2 phase surface.

4. Conclusions

With a detailed TEM/EDS study, the surfaces of the main AB₂ phases not covered by Zr oxide/hydroxide are identified as the main source of improvement in low-temperature electrochemical performance. The electrolyte interface is a porous open space filled with fibrous Ni oxide sheets. The metal/oxide interface is free from amorphous buffer oxide, which can substantially reduce charge-transfer resistance. The surface of the B2 phase not directly under the Zr oxide/hydroxide is also electrochemically active, but the significance to the improvement is considered of secondary order because of the small percentage (3.0 wt%) and lack of Si, which is very common in Si-free AB₂ MH alloys. In contrast with the conventional MH alloys, the surface embedded metallic Ni nano-particles in the AB₂ phase in the Si-containing alloys, with a relatively low density, do not contribute directly to the improvement in the low-temperature performance of the MH alloys.

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