



Article **Progress of Spark Plasma Sintering (SPS) Method, Systems, Ceramics Applications and Industrialization**

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Abstract: The spark plasma sintering (SPS) method is of great interest to the powder and powder metallurgy industry and material researchers of academia for both product manufacturing and advanced material research and development. Today in Japan, a number of SPS products for different industries have already been realized. Today's fifth-generation SPS systems are capable of producing parts of increasing size, offering improved functionality, reproducibility, productivity, and cost. For instance, pure nano-Tungsten Carbide WC powder (no additives) is fully densified with a nanograin-sized structure for glass lens application in the optics industry. The SPS is now moving from scientific academia and/or R&D proto-type materials level usage to practical industry use product stage utilizing in the field of electronics, automotive, mold and die, cutting tools, fine ceramics, clean energy, biomaterials industries, and others. This paper reviews and introduces the peculiar phenomenon of SPS and the progress of SPS technology, method, development of SPS systems, and its industrial product applications.

Keywords: spark plasma sintering; SPS; nanoceramics; functionally graded materials; FGMs; ceramics matrix composite materials; production system; industrialization

1. Introduction

During the past three decades, the spark plasma sintering (SPS) method has been expanding remarkably in the field of R&D on ceramics materials, in both scientific academia and industry. Especially, for the fabrication of advanced ceramics materials such as nanostructural ceramics, functionally graded materials (FGMs), and ceramic matrix composite materials, and so on, SPS ensures to attain homogenous highly dense sintered compacts faster and lower temperature with finer microstructure than conventional sintering methods. It should be noted that the progress of SPS technology was dependent on various improvements of hardware systems of SPS apparatus, DC pulse generators, materials processing know-how, and applications, along with market demands. In addition, the progress of measuring and analyzing equipment and methods has also assisted and accelerated to expand effective usage of SPS technologies. Figure 1a photo shows the 1st generation of SPS apparatus, which was manufactured more than 50 years ago having an open-air system, and the 1(b) photo is typical current 5th generation SPS system apparatus for R&D use, incorporating a water-cooled vacuum chamber system and advanced DC pulse generator, AC servo sintering pressure mechanism, and temperature control systems in one-box structure as its standard specification.

Pulsed electric current applied sintering of SPS method was originally invented in Japan and its use has been spreading around the world. Figure 2a indicates the progress of SPS technology in materials-based keyword and (b) Industrialization, SPS apparatus manufacturers, hardware/applications/objectives and features. As of January 2020, in Japan, more than 600 units of SPS apparatus have been working among universities, technical colleges, national institutes, and private companies in both the R&D and manufacturing sectors. Using the 5th generation SPS systems indicated in Figure 2, cost-effective SPSed products in different industries have already been commercializing. It is estimated that

approx. 1100–1300 SPS machine systems are now working around the world, including in Europe, the USA, Russia, China, Korea, Asian countries, and others. Therefore, SPS processing technology is a promising technology for innovative processing in the field of advanced new materials fabrication in the 21st century [1–4].

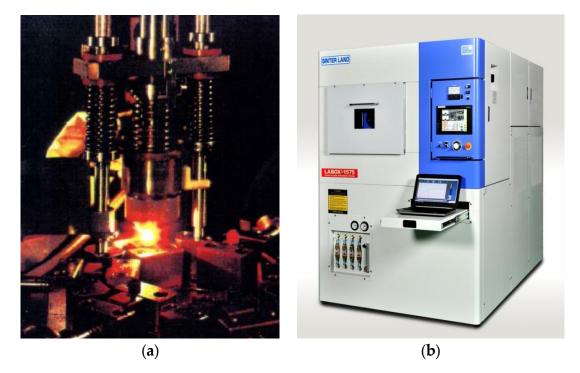


Figure 1. (a) The 1st generation SPS (open-air system) and (b) 5th generation SPS (vacuum system).

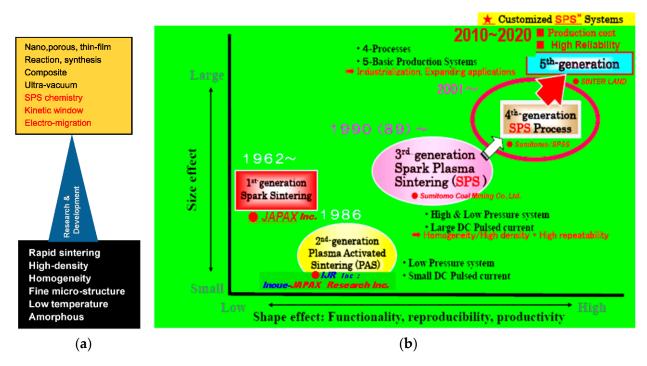


Figure 2. Historical progress on Spark Plasma Sintering (SPS) method and technology; (**a**) Materials based technological Keywords-beginning to today; (**b**) Industrialization, SPS apparatus manufacturers and hardware/applications/ objectives features.

SPS method is sometime called the pressure-assisted pulse energizing process, the electric current activated/assisted sintering (ECAS), pulsed electric current sintering (PECS) or field-assisted sintering technology (FAST). ECAS involves a broad range of Electric current applied processing. SPS is a part of this group, however, SPS or SPS process is currently used the most popular technical term worldwide, so we commonly use "SPS" in this paper.

2. Historical Background and Increase of SPS Market

The SPS was originally born in Japan as spark sintering (SS) in early 1960 by Dr. Kiyoshi Inoue of JAPAX Inc., Kawasaki city, Kanagawa pref., Japan, and patented in Japan and the USA [5,6]. This was the 1st introduction of a pulsed current applied sintering method in the world. A similar method category to SPS was firstly studied in Germany around 1910, which was an electric energizing applied technique to consolidate a powder material. In the USA, G.F.Tayler patented the first resistance sintering method for sheet metals in 1933 [7], and G.D.Cremer in 1944 [8]. They were considered as an origin of a usual hot pressing (HP) technique conventionally applying a high-frequency induction heating method. Thereafter, in 1989, the present SPS was introduced by Sumitomo Coal Mining Co., Ltd. (Tokyo, Japan) [9,10], and was developed as the third generation of a large DC pulse applied sintering technique to advance the first generation of spark sintering (SS) and the second generation of plasma-activated sintering (PAS) from Inoue-JAPAX Research Inc. (Yokohama, Japan). Shown in Figure 2, the historical progress of SPS technology is indicated by the relationship between size effect and shape effect containing functionally, reproducibility and productivity. R&D for the implementation of advanced SPS methods and systems was initiated to design practical hardware and software for industrial applications. Following to the development of third generation of a small to medium-sized box-type experimental use of SPS systems for new material preparation and a single head open-type production systems, from 2001 to 2009 as the 4th generation technology to accommodate for the product manufacturing field, five basic styles of SPS production systems to suit with medium to mass-production scale were developed [11,12]. The systems performed to replace existing traditional sintering processes and to divide SPS technology into four kinds of SPS processing, namely the confirmed availability was not only sintering, but also a solid phase diffusion bonding and joining [13], a surface modification (treatment) [14–16], and a synthesis technique for an example of a single crystal fabrication and orientation [17] using SPS technology. After 2010, the progress of SPS technology is now getting into the 5th generation, advanced SPS, with customized SPS apparatus and more practical manufacturing applications era. In 2009, Grasso, et al. investigated the historical progress and relationship of electric current activated/assisted sintering (ECAS) technology and patents precisely [3].

Figure 3 shows the estimated total number of SPS machine system units produced and installed in the world from 1989 to early 2020. In the past 30 years, the graph shows a rapid increase in SPS machine systems demand, particularly between 2010 and 2020. In addition, the appearance of the SPS afterwards, another emerging brand-new sintering technology such as current flash sintering (FS) and/or flash-SPS in the category of electric current activated/assisted sintering field were introduced [3,18–20]. The FS sintering mechanism is still uncertain, as well as SPS, however, it is a well-known, innovative future technology, as one of the extraordinary rapid sintering methods amongst sintering and materials researchers. Along with these circumstances, SPS machine manufacturers internationally increased in recent years. At least over 20 manufacturers for SPS-type pulse energizing sintering machines exist in the world.

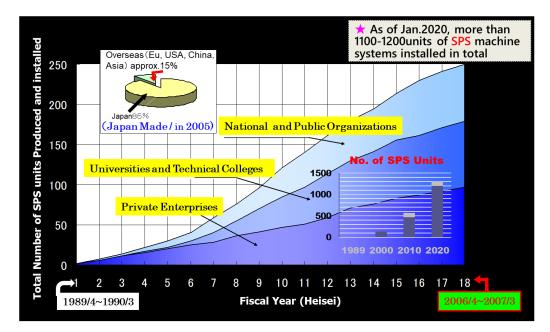


Figure 3. Increasing use of the SPS machines in the world: the graph of right corner: estimated SPS units produced and installed during October 1989–January 2020; upper left corner: situation of made in Japan SPS apparatus in 2005.

3. Spark Plasma Sintering (SPS)

It is generally well known that SPS is an advanced processing technology to produce homogenous, highly dense, nano-structural sintered compact, functionally graded materials (FGMs), fine ceramics, composite materials, new wear-resistant materials, thermoelectric semiconductors, and biomaterials.

Figure 4 shows an SPS job-shop center facility in Japan, and examples of various functionally graded materials (FGMs) and FGMs screw components for extruding machine manufactured SPS.



SPS Job-shop in Japan (left/3MN right/200KN)



Various Functionally Graded Materials (FGMs) and FGMs Screw component for Extruding machine

Figure 4. Example of SPS job-shop center in Japan and SPSed compact.

SPS is a synthesis and processing technique that makes sintering and sinter-bonding at low temperatures and in short periods possible by discharging between the power particles surface, and/or secondary in gas discharge and Joule heating. Applying continuous ON-OFF DC pulsed high electric current in a low voltage, with sparking case, it effectively performs a high temperature spark plasma generated at an initial stage of energizing momentarily, and an electromagnetic field by DC pulsed current. As shown in Figure 5, the method is a solid compressive and a large pulsed electric current energizing sintering technique that has lately drawn considerable attention as one of the newest rapid sintering methods with accurate energy density control. This is a novel sintering process featuring energy-saving and highspeed consolidation and has a low power consumption of between 1/5 and 1/3 than that of conventional sintering techniques such as pressureless sintering (PLS), hot press sintering (HP), and hot isostatic pressing (HIP). The system outlook is similar to conventional hot press apparatus without the outer heating element. However, the SPS has demonstrated different superior sintering results, for instance, a structural tailoring effect, minimized grain growth, enhancement of electro-migration, and strong preferential orientation effect in SPS processing.

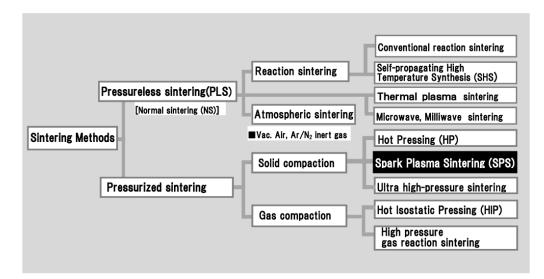


Figure 5. Typical classification of sintering methods.

3.1. Materials for SPS

Table 1 represents an example of suitable materials for SPS processing. Figure 6 indicates a typical example of the SPS sintering effect on MA powder used pure nano-SiC ceramic material in grain growth suppression and Al_2O_3 in terms of Hv Vickers hardness prepared by different sintering methods. Table 2 shows a comparison of characteristics of SPS methods and conventional hot press (HP) sintering. The SPS process features very high thermal efficiency because of the direct heating of the sintering graphite mold and compressed powder materials by a large DC pulsed current. It can easily consolidate a homogeneous, high-dense high-quality sintered compact because of the uniform heating, surface purification, and activation made possible by dispersing the spark points when it occurred with limited early-stage and/or joule heat points during sintering.

| Classification Metals | | Materials for SPS Processing | | |
|--------------------------|-----------|---|--|--|
| | | Fe, Cu, Al, Au, Ag, Ni, | | |
| | Oxides | Al ₂ O ₃ , Mulite, ZrO ₂ , MgO, SiO ₂ , TiO ₂ , HfO ₂ | | |
| | Carbides | SiC, B ₄ C, TaC, TiC, WC, ZrC, VC | | |
| Ceramics | Nitrides | Si ₃ N ₄ , TaN, TiN, AlN, ZrN, VN | | |
| | Borides | TiB ₂ , HfB ₂ , LaB ₆ , ZrB ₂ , VB ₂ , MgB ₂ | | |
| | Fluorides | LiF, CaF ₂ , MgF ₂ | | |
| Cermets | | Si ₃ N ₄ +Ni, Al ₂ O ₃ +Ni, ZrO ₂ +Ni | | |
| | | Al ₂ O ₃ +Ti, ZrO ₂ +SUS, Al ₂ O ₃ +SUS | | |
| | | WC+Co, WC+Ni, TiC+TiN+Ni, BN+Fe, | | |
| Intermetalic compounds | | TiAl, MoSi ₂ , Si ₃ Zr ₅ , NiAl | | |
| | | NbCo, Nb ₃ Al, LaBaCuSO ₄ , Sm ₂ Co ₁₇ | | |
| Other materials | | Organic materials (polymide, etc.), FRM, FRC, CNT composite materials | | |

Table 1. Suitable materials for the SPS process.



Pure nano-SiC: powder particle size 30 nm R.D : 99.4% mHV : 2380 ϕ 20 mm Grain size : after SPSed 100 nm

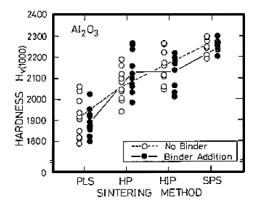


Figure 6. Nano-SiC compact by SPS and Al_2O_3 Hv hardness distribution behavior, sintered by various sintering methods.

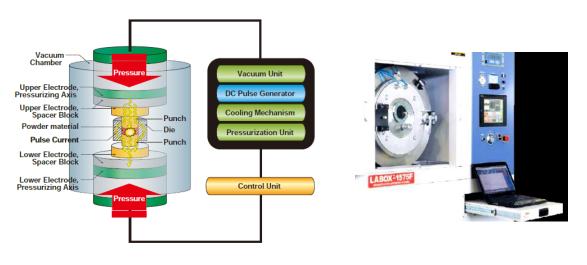
| | | SPS Sintering | HP Sintering |
|---|-----------------------|---------------|------------------|
| Temperature gradient sintering | | ۲ | × |
| Grain boundary controlled sintering | | 0 | × |
| Fine crystalline structure controlled sintering | | 0 | × |
| Temperature rise rate | | 0 | × |
| Sintering time | Temperature rise time | Fast | Slow |
| | Holding time | Short | Long |
| Homogen | eous sintering | 0 | 0 |
| Expandability | | 0 | \bigtriangleup |
| Proc | luctivity | 0 | \bigtriangleup |
| Investmen | t in equipment | 0 | \bigtriangleup |
| Runi | ning cost | 0 | \bigtriangleup |

Table 2. Comparison of SPS and HP sintering characteristics.

(Symbol Remarks \odot : excellent; \bigcirc : good; \triangle : fair; \times : difficult).

3.2. Basic Configuration of SPS System

Figure 7a shows a schematic diagram of the basic configuration of a typical SPS system. The system consists of a SPS sintering press machine with a vertical single-axis pressurization mechanism, specially designed punch electrodes incorporating a water cooler, a water-cooled vacuum chamber, a vacuum/air/argon-gas atmosphere control mechanism, a special DC-pulsed power generator, a cooling-water control unit, Z-axis position measuring and control unit, temperature measuring and control units, applied pressure display unit, data analyzing unit, and various safety interlock devices. Figure 7b,c photos are a front view of the water-cooled vacuum chamber and inside of the chamber during SPS sintering temperature at 1273 K.



(a) Basic SPS System configuration



(b) Chamber door and front view of SPS

(c) Sintering stage and graphite mold in SPS water cooling vacuum chamber at 1273 K

Figure 7. Basic SPS System configuration: (**a**) System configuration; (**b**) Chamber door and front view of SPS apparatus, (**c**) Sintering stage and graphite mold in SPS water cooling vacuum chamber at 1273 K.

3.3. Basic Mechanism of SPS Process

The SPS process is dynamic non-equilibrium processing whose phenomenon varies from early-stage, mid-stage to late-stage of sintering mechanism, along with reacted material characteristics. Although long years of research work concerning SPS mechanism, by many material researchers, the SPS effect, in other words, the effect of pulsed high current on the generation of spark plasma, peculiar properties in consolidated materials remains unclear [10,21–30]. There are two major theories on SPS mechanism in discussion. One is with effect of spark and plasma at initial stage, the other is no appearance of spark and plasma during entire SPS process. And, in both theories, it should be noted that metals and ceramics materials show different phenomena. However, based on the theory of with spark example, following is one of the most basic proposed ideas as a mechanism of SPS processing. The ON-OFF DC pulse energizing method generates; (1) spark plasma, (2) spark impact pressure, (3) Joule heating, and (4) an electrical field diffusion effect. In the SPS process, the powder particle surfaces are more easily purified and activated than in conventional electrical sintering processes, and material transfers at both the micro and macro levels are promoted, so a high-quality sintered compact is obtained at a lower temperature and in a shorter time than with conventional processes. Figure 8 illustrates a typical ON-OFF DC current path and how pulse current flows through powder particles inside the SPS sintering using conductive powder material, die, and punch assembly made of graphite material. Conventional electrical hot press processes use DC or commercial AC power, and the main factors promoting sintering in these processes are the Joule heat generated by the power supply (I²R) or high-frequency induction heating elements and the plastic flow of materials caused by the hydraulically or mechanically driving pressure. The SPS process is an electrical sintering technique that applies an ON-OFF DC pulse voltage and high current from a special pulse generator to a powder of particles, and in addition to the factors prompting sintering described above, also effectively discharges between particles of powder to powder and/or graphite die wall surface occurring at the initial stage of the pulse energizing for sintering. When sparking occurred, even one or two sparks, a high-temperature field with sputtering phenomenon generated by spark plasma and spark impact pressure occurs. They work to eliminate adsorptive gases and oxide films and impurities existing on the surface of the powder particles. The action of the electro-magnetic field enhances high-speed diffusion due to the electro-migration effects of ions. The application of the pulse voltage induces various phenomena as shown in Figure 9 in terms of the effects of the ON-OFF DC pulse energizing method. In the case without sparking due to non-conductive material used or the pulse energizing conditions, it is considered that there is still the effect of ON-OFF DC pulse current energizing which provides enhancement of sinterability and densification rate on the material. The large pulsed energy generates an electro-magnetic field-effect such as an electro-migration and preferential orientation of crystalline [31,32].

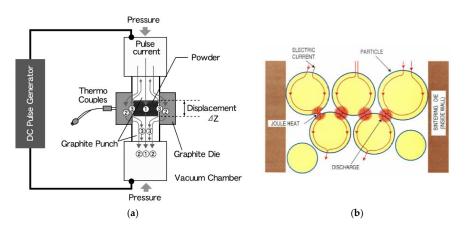


Figure 8. (a) ON-OFF DC pulsed current path and (b) pulsed current flow through powder particles.

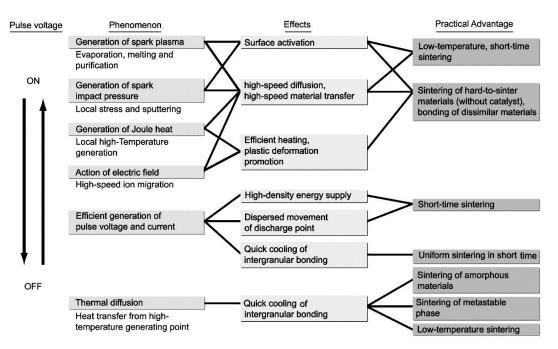


Figure 9. Effects of ON-OFF DC pulse energizing.

3.4. Neck Formation and Densification by SPS

When a spark discharge appears in a gap or at the contact point between the particles of a material at an early stage of sintering, a local high temperature-state (discharge column) of several thousands to ten thousands of degrees centigrade is generated momentarily. This causes evaporation and melting on the surface of powder particles in the SPS process, and "necks" are formed around the area of the contact point between particles. Figure 10 shows a basic mechanism of neck formation by spark plasma sintering. Figure 11 is an SEM micrograph showing the result of SPS experiments performed at normal atmospheric pressure (no-load) and 29 MPa applied in a vacuum, with a sintering die and punches made of graphite and a spherical bronze alloy powder (Cu90/Sn10 wt%, particle size $45 \,\mu\text{m}$ under). Figure 11a shows the behavior in the initial stage of neck formation due to sparks in the plasma. The heat is transferred immediately from the center of the spark discharge column to the sphere surface and diffused so that the intergranular bonding portion is quickly cooled. As seen in Figure 11b, which shows several necks, the pulse energizing method causes spark discharge one after another between particles. Even with a single particle, the number of portions where necks are formed between adjacent particles increases as the discharges and/or Joule heating is repeated. The Figure 11c shows the condition of an SPS sintered grain boundary which is plastic-deformed after the sintering has progressed further. This state is the result of processing conditions in which the applied pressure was 29 MPa, the SPS sintering temperature was 773 K (measured in the wall of graphite mold), the holding time was 120 s, the SPS current 850 A, and the voltage was 3.9 V. Figures 12a-c and 13 are locally indicating ultra-high temperature field existence between particles as collateral evidence examples of SPS effects on metallic and ceramic materials. It is suggested that the rippled surfaces observed in Figure 12a were caused by the high-temperature state resulting from thermal and sputtering effects of spark plasma and impact pressure by SPS on atomized cast iron at 973 K. Figure 12b shows sintering by pressureless normal sintering at the same temperature affecting only thermal effect. However, as shown in Figures 12c and 13, the local high-temperature state can be observed as a bridging, evaporation, solidification, and/or recrystallization phenomena at SPS sintering temperatures of Fe/973 K, Ni/673 K, Al₂O₃/1173 K, SiC/1973 K, respectively. These temperatures are 1/2-1/3 lower temperatures than each material's melting point temperature. Thus, the existence of a local, high-temperature field is suggested [33–37]. It is noticeable that a design of sintering die and punch assembly made of graphite is an

extremely important subject to Joule heating according to the sintering progress, interaction of powder material, system resistivity, and the function as direct heating elements in order to assume the role of maintaining the homogeneity of sintering temperature [36–39].

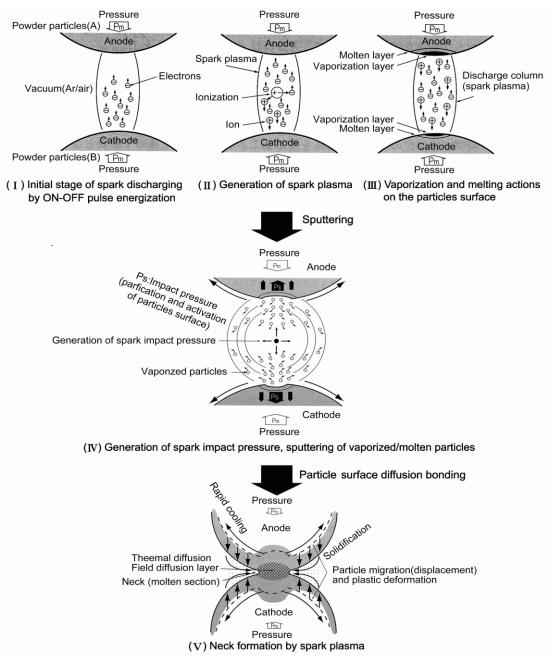


Figure 10. The basic mechanism of neck formation by spark plasma sintering.

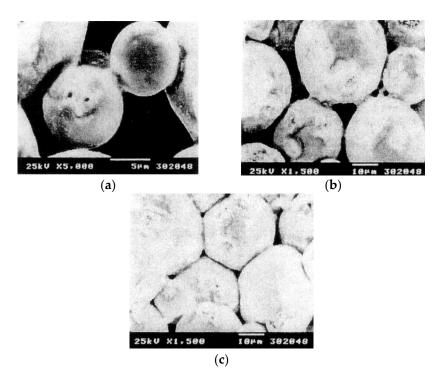
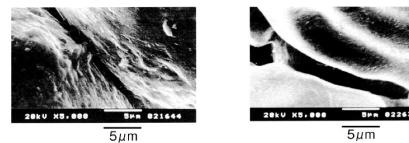


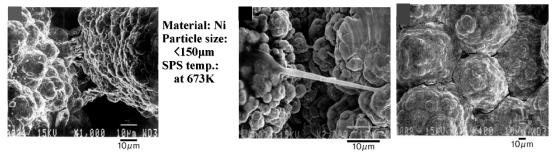
Figure 11. Neck formation example of a spherical bronze alloy powder by SPS: (**a**) The initial stage of neck formation; (**b**) Expansion of neck area; (**c**) Start of plastic deformation and flow (Cu/Sn90/10 wt% SPS conditions/pressure:29 MPa, temp: 773 K, holding time: 2 min. current: 850 A, voltage: 3.9 V).



(a) Neck surface of atomized

cast iron at 973K by SPS

(b) Neck surface of atomized cast iron by normal sintering in atmospheric condition



(c) Neck formation of nickel powder by SPS (left) / Bridging (center) / Densification at 773K (right)

Figure 12. Collateral evidence examples of SPS effects on metallic materials [33,35].

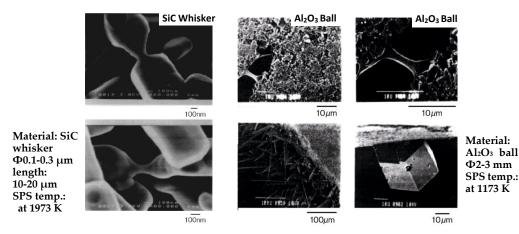


Figure 13. Collateral evidence examples of SPS effects on ceramic materials [34,36].

3.5. DC Pulse Generator for SPS System

Presently, there are two basic types of DC pulse generators for SPS apparatus which are the thyristor-type and invertor-type power supply. The waveform, max/min, ONtime/OFF-time pulse width, peak current, frequency, duty factor settings, control system, energy consumption are different, however, each system has different advantages individually. Therefore, the pulse generator should be chosen to meet the estimated purpose and usage of SPS processing. The majority of SPS systems working in universities, national institutes, and private companies are employed the thyristor-type pulse generator due to its rich reference database on SPS and higher reliability of power supply hardware. The invertor-type with pulse width modulation (PWM) control provides a low power consumption and compact space-saving advantages so that it can be used as an economical low-cost method of production. Dong et al. investigated the effect of a pulsed current waveform on sample temperature and sintering behavior on alumina [40]. However, the detailed development of pulse generators is still in progress. Both thyristor and invertortypes, ON-OFF pulse widths, duty factors, and frequencies are designed to be selectable. Figures 14 and 15 show examples of typical ON-OFF pulse waveforms and different pulse widths and ratios. In thyristor-type generator, pulse ratio of 12:2 is a standard pulse usually.

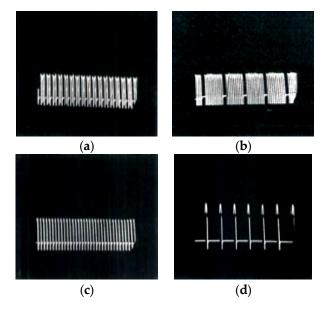


Figure 14. Typical wave form of thyristor-type SPS pulse generators (ON-OFF ratio in 50 Hz). (**a**) 3:1 (9.9 ms:3.3 ms); (**b**) 12:2 (39.6 ms:6.6 ms); (**c**) 1:1 (3.3 ms:3.3 ms); (**d**) 1:9 (3.3 ms:29.7 ms)).

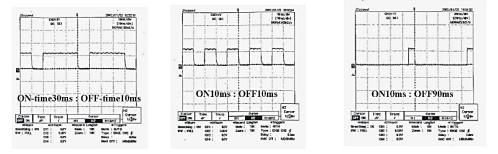


Figure 15. Typical waveform of invertor-type SPS pulse generator (ON-OFF ratio in 50 Hz).

3.6. Vacuum Exhausting System

SPS processing generally uses a vacuum sintering condition. The system allows operation with an inert gas such as argon gas or nitrogen gas and atmospheric air conditions. By using a rotary vacuum pump with or without a mechanical booster pump, from 1×10^5 Pa (air pressure) to 5–6 Pa within 15 min will normally be applied. Depending on the sintering purpose and usage, SPS vacuum exhausting system can also employ a diffusion pump for a 5–10 × 10⁻³ Pa high vacuum or a dry pump against a sticky gas.

3.7. SPS Sintering Temperature Measurement Difference

As shown in Figure 8 example, in the SPS process, the sintering temperature is generally measured inside of the graphite die wall by thermo-couple or surface by pyrometer, not in the powder directly. Thus, temperature differences exist between the measured value and an actual filled powder temperature. Figure 16 is an experimental example of nickel powder material indicating 110–120 K difference at 300 s. The heating rate was 2.4 K/s and the open circle in the graph shows sliding surfaces of the graphite punch and die. In accordance with various experiments, it was found that the measured sintering temperatures in both metals and ceramics by SPS were about 50–250 K lower than inside of filled powder material. As with non-equilibrium rapid processing, therefore, SPS sintering temperature in SPS data means this measured temperature [34,36].

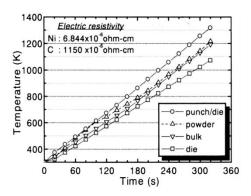


Figure 16. The temperature difference between specimen and graphite die.

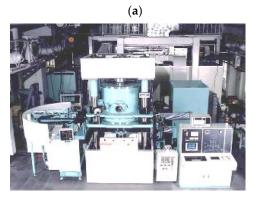
3.8. SPS Production Systems

The development of production type SPS apparatus involves various considerations regarding production strategies which are cycle time, cost and development of optimum systems, and technologies to support scale expansion, automation, mass-production, numerical control (NC) systems, development of process technologies for high functionality, reproducibility, uniformity and structure control, and development of 3D near-net-shape forming techniques so on. Besides, pre and post-process for manufacturing must be considered. As shown in Figure 17, in order to verify SPS productivity, the five basic styles of production-type SPS machine systems have been developed: (1) multi-head SPS systems, (2) batch-type SPS systems, (3) continuous tunnel-type SPS systems, (4) rotary-type SPS

systems, and (5) shuttle- type SPS systems. In addition to those, the scaling-up process, automatic handling, powder stacking equipment for materials, and processing optimizers were also developed [12,41–45]. Continuous type of 6 MN SPS press machine incorporated a pallet-type automatic conveyor system manufactured by Sinter land Inc. (Nagaoka, Niigata, Japan) and a single head type of 8 MN SPS with a 150,000 A power supply manufactured by Thermal Technology LLC (Santa Rosa, California, USA) are largest SPS apparatus in the world at present.



Batch-type Large-size SPS System (Max. pressure 3 MN/pulse current 30,000 A)



Batch-type SPS production system with automatic materials handling robot

(c)

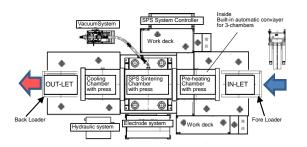


Multi-head SPS System (two multi-type)





(d) Tunnel-type automatic SPS production system



(e) 3-chamber tunnel system concept of continuous SPS apparatus



(f) Inside of SPS chamber and pallet-type automatic conveyer

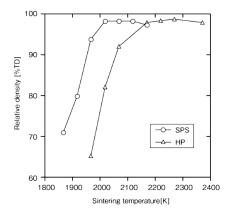
Figure 17. Typical examples of automated production type SPS systems: (**a**) Batch-type large-size SPS System (max. pressure 3 MN/pulse current 30,000 A); (**b**) Multi-head SPS System (two multi-type); (**c**) Batch-type SPS production System with automatic materials handling robot; (**d**) Overview of the world's largest semi-tunnel-type automatic SPS production systems: 5th generation large 3-chamber continuous type SPS production system (max. pressure 6 MN SPS machine incorporated a pallet-type special conveyer with invertor type max. pulse current 40,000 A DC pulse generator)/ manufacturer: Sinter Land Inc./Japan); (**e**) 3-chamber tunnel system concept of continuous SPS apparatus; (**f**) Inside of SPS chamber and pallet-type automatic conveyer.

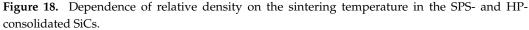
4. Typical Example of SPS Phenomena on Ceramics Materials

In past research on SPS, nanophase sintering [46], preferential orientation on TiO_2 anatase [32], and single crystal fabrication, etc., [23,47] are typical unique SPS effects. The following are basic SPS effects examples.

4.1. SiC with Al₂O₃/Yb₂O₃ Consolidated by SPS and Its Mechanical Properties

Rapid sintering is one of the remarkable futures of SPS. In order to clarify the advantages and mechanism of the SPS method, it was examined on a monolithic ceramic material containing additives. As starting powder materials, 0.28 μ m β -SiC with 5 mass%Al₂O₃/3 mass%Yb₂O₃ powder was used. Densification behavior and mechanical properties of SPSconsolidated SiC were compared with those of hot-pressed SiC. Figure 18 shows that nearly full densification was attained at about 2023 K for SPS-consolidated SiC and about 2173 K for hot-pressed SiC. In Figures 19-21, the optimal bending strength, Vickers hardness, and fracture toughness (not shown) of SPS-consolidated SiC were 720 MPa, 25 GPa, and 4.0 MPa·m^{1/2}, respectively, and these values were higher than those (640 MPa, 23.5 GPa and 3.5 MPa·m^{1/2}) of hot-pressed SiC, respectively, indicating that SPS consolidation can improve the bending strength without degradation of fracture toughness. Pressurization shows a positive effect on the densification enhancement as shown in Figure 21. From XRD and SEM (Figures 22 and 23) and Raman scattering analysis (not shown), it is suggested that a 3C-type disordered structure is preserved in the SPS-consolidated SiC and the improvement of the bending strength without degradation of fracture toughness is attained by the preservation of the 3C-type disordered structure as SPS effect, even enhancement of grain growth of SPSed SiC was larger [48]. When different additives of Al₂O₃/Y₂O₃ were used, the SPSed SiC compact had shown a similar tendency as the SPS effect [49].





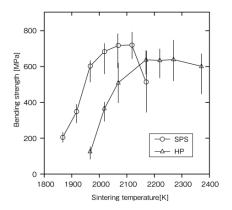


Figure 19. Dependence of bending strength on the sintering temperature in the SPS- and HP-consolidated SiCs.

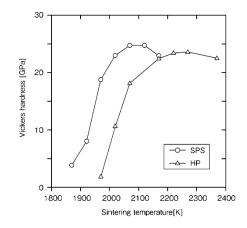


Figure 20. Dependence of Vickers hardness on the sintering temperature in the SPS- and HP-consolidated SiCs.

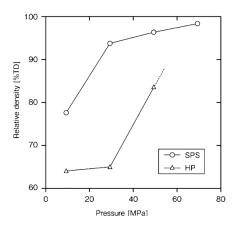


Figure 21. Dependence of relative density on applied pressure in the SPS-and HP-consolidated SiCs.

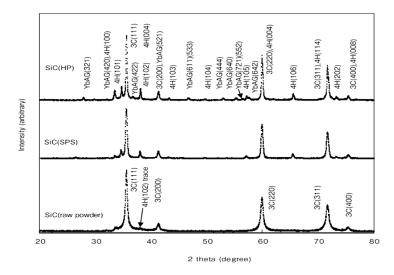


Figure 22. XRD patterns obtained from raw powder, SPS-and HP-SiCs.

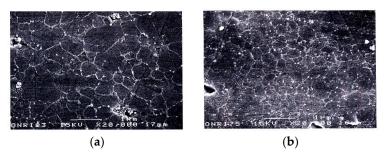


Figure 23. SEM photos of etched surfaces: (a) SPS-consolidated SiC (2073 K, 30 MPa); (b) HP-consolidated SiC (2273 K, 30 MPa).

4.2. Consolidation of Nano-Al₂O₃, Phase Transformation, and Grain Growth

It is known that the SPS future is to provide microstructure-controlled sintering. The structural tailoring effect in SPS processing was verified in the consolidation of alumina. The capability of SPS processing for the generation of dense nanostructures was investigated by consolidating γ -alumina nano-powder and γ -alumina powders. Up to the present time, SPS consolidation of γ -alumina has been performed for various purposes such as the attainment of high bending strength [50] and the estimation of microstructure inhomogeneity [51], and the relation between process factors and densification behavior [24]. Further, generation of nano-structure due to suppression of grain growth has been indicated in γ -alumina SPS-consolidated at very rapid heating conditions [52,53]. Here, it is shown that the formation of nano-structured dense alumina is possible in conventional SPS conditions by using nano γ -alumina powder. In this study, firstly, the γ -Al₂O₃ powder particle size of 37 nm, γ -alumina of 0.2 and 0.5 μ m were consolidated by SPS method at a heating rate of 160–197 K/min under the pressure conditions of 49 MPa or 690 MPa. Relative density and powder grain size against the consolidating temperature are shown in Table 3. In Figure 24, the γ -alumina was fully densified at 1673 K under the pressurizing condition of 49 MPa, while γ -alumina full densification was attained at 1773 K. When the pressure increased to 690 MPa (Figure 25), nano-structured 98% dense alumina with a grain size less than 500 nm was obtained at the conditions of 1173 K. Figure 26 shows the phase transformation of γ -Al₂O₃ prepared at 1173–1473 K under 49 MPa.

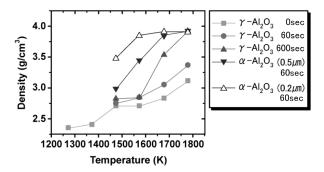


Figure 24. Change of density as a function of temperature under 49 MPa.

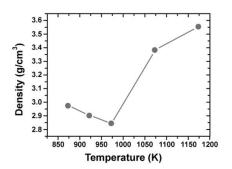


Figure 25. Change of density as a function of temperature at 690 MPa.

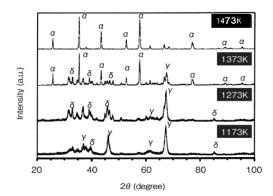


Figure 26. XRD patterns of γ -Al₂O₃ prepared at 1173–1473 K under 49 MPa.

| Table 3. | SPSs | entering | conditions | of | Alumina. |
|----------|------|----------|------------|----|----------|
|----------|------|----------|------------|----|----------|

| Al ₂ O ₃ Starting Powder Materials | SPS Sintering Pressure (MPa) | SPS Sintering Temperature (K) | Sintering Holding Time (s) | Sintering Heating-Up Time (s) |
|---|---------------------------------------|--|----------------------------------|--|
| | | 1173 | 0 | 60 |
| | | 1273 | 0 | 60 |
| | | 1373 | 0 | 60 |
| | | | 0 | 60 |
| | | 1473 | 60 | 540 |
| | | | 600 | 540 |
| | 49 | | 0 | 60 |
| | | 1573 | 60 | 540 |
| | | | 600 | 540 |
| γ -Al ₂ O ₃ | | | 0 | 60 |
| | | 1673 | 60 | 540 |
| | | | 600 | 540 |
| | | 1773 | 0 | 60 |
| | | | 60 | 540 |
| | | | 600 | 540 |
| | | 873 | 180 | 600 |
| | | 923 | 180 | 600 |
| | 690 | 973 | 180 | 600 |
| | | 1073 | 180 | 600 |
| | | 1173 | 180 | 600 |
| | | 1473 | 60 | 540 |
| α -Al ₂ O ₃ | 10 | 1573 | 60 | 540 |
| (0.5 μm) (0.2 μm) | 49 | 1673 | 60 | 540 |
| · · · / | | 1773 | 60 | 540 |

As shown in Figures 25 and 27, it was found that density decreased at 973 K due to phase change to δ and θ -phase. Under the high pressure of 690 MPa, the sintering underwent nearly full densification of γ -alumina at 600 K, a lower temperature [54]. Secondarily, when α -alumina powder with a grain size of 100 nm used, a consolidation by SPS method performed at the heating rate of 123 K/min under the pressurizing conditions

of 30 MPa or 100 MPa shown in Figure 28. Nano-structured dense alumina with a grain size less than 300 nm, 99.18%TD was synthesized under the conditions of 1423 K and 100 MPa. At the same, 1423 K with 30 MPa, the sintered one reached 86.9% TD. To obtain a 98.3% TD-dense alumina compact with 30 MPa, a higher temperature of 1473 K was required. In Figure 28, fractured surfaces of FE-SEM, it is indicated that the difference in the applied pressure at the consolidation temperature of 1423 K drastically changes the microstructure in the SPS-consolidated α -Al₂O₃. That is, full densification and nanostructure can be attained by SPS consolidation when the preferable pressurizing condition is selected without selecting such a high heating rate. The heating rate is more important for the synthesis of a dense nano-structure and highly transparent alumina, though applied pressure is also correlated with the generation of the structural tailoring. The findings suggest that it is important to select an optimum combination between SPS parameters and heating rate that is delicately effective for generating new micro/nano-structures in the SPS-consolidated ceramics [55]. High-temperature short-period SPS sintering is expected to provide almost all ceramic materials with new characteristics and sintered effects that are different from those obtained by the HP and HIP processes. On Al₂O₃, Nygren, et al. investigated and reported detailed SPS effects including grain growth and SPS sintering conditions [24].

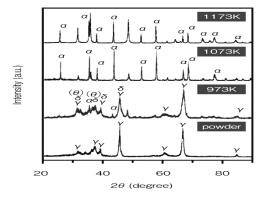


Figure 27. XRD patterns of γ -Al₂O₃ prepared at 973–1173 K, under 690 MPa.

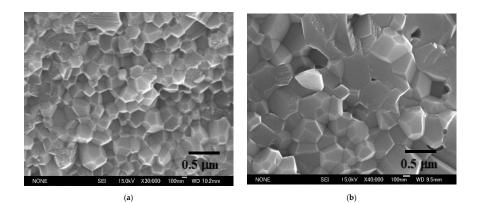


Figure 28. FE-SEM micrograph of α-Al₂O₃ prepared at 1423/1473 K, under 30 and 100 MPa: (a) 100 MPa, 1423 K, 99.18% TD; (b) 30 MPa, 1473 K, 98.3% TD.

4.3. Examples of Nano Structural Ceramic Materials

BaTiO₃ ceramics are a well-known ferroelectric material with a high permittivity at room temperature and providing various industrial applications, for instance, the thermistor, multilayer capacitor, and optic devices, etc.

Takeuchi et al. prepared dense $BaTiO_3$ ceramics consisting of sub-micrometer grains using the SPS process [56]. As starting powder, a material of 100–500 nm size was used, under 39 MPa pressure, 1000–1200 °C of SPS sintering temperature with 5 min holding

time, more than 95% of theoretical density was obtained. The average grain size of the SPSed pellet was less than 800 nm. Compared with the conventional hot press process on the same starting powder, due to minimized grain growth and finer than HPed BaTiO₃, SPSed BaTiO₃ achieved a much better permittivity of 7000 at 1 kHz at room temperature (Figure 29).

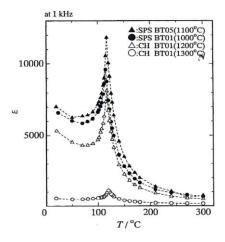


Figure 29. Temperature dependence of permittivity at 1 kHz for SPS and conventional hot pressing (CH) on BaTiO₃ pellets.

Anselmi-Tamburini, Garay and, Munir prepared and reported nano oxide ceramics of dense ZrO₂ and CeO₂ with grain sizes approaching 10 nm, applying a high-pressure method up to 1 GPa using a special SPS sintering die and punches assembly as shown in Figure 30. A sample 5 mm in diameter and 1–3 mm thick, starting powder grain size 7–8 nm, under a vacuum condition, up to 1 GPa and holding pressure 530–610 MPa at 625–850 °C, attained over 98% relative density with minimized grain growth of 11–18 nm grain size sintered compacts [57].

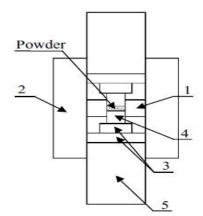


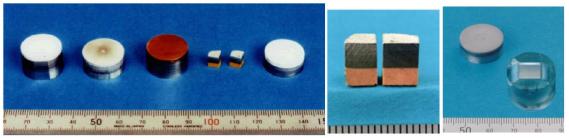
Figure 30. Schematic of high pressure die & punches assembly (1. internal smaller graphite die, 2. outer graphite die, 3. two binderless WC dies, 4. SiC punch plunger, 5. outer graphite punches).

Ohyanagi, et al. also researched pure (no additives) nano SiC consolidation using MA powder. A starting powder size of 30 nm was used, applying 40 MPa, SPS sintering temperature 1700 °C, and heating rate 180 °C/min, holding time from 0 to 30 min, specimen size of 19 mm diameter, 5 mm thick, R.D 98% of dense SiC with an approximate grain size of 100 nm was obtained [58]. Comparing to commercially available CVD 30 nm SiC powder material, this result was extremely lower sintering temperature. They found a disorder-order transformation effect on MA-SiC powder material. Presently, it is possible to prepare pure SiC compact R.D 99.4% with minimized grain growth and obtained Hv2380 hardness (refer to Figure 6).

A nano-microstructure controlled sintering method is a major key advantage of the SPS sintering technique. Many nanophase ceramics, ceramics composite material research work has demonstrated this during past ten to fifteen years. Further, performing easy solid-phase sintering effects is one of the SPS features that allow pure nano-WC powder to be sintered at 99–100% relative density and has successfully been commercializing in optical equipment industry for the various glass lens mold and die applications to date.

4.4. Examples of Synthesized Functionally Graded Materials (FGMs) by SPS

SPS has been used successfully to synthesize a wide range of bulk FGM materials with three to eight (or up to 19) intermediate mixed layers utilizing unique a SPS temperature gradient sintering method. FGMs are widely recognized as "dream-like advanced new materials". For instance, multi-layered ceramic-metal system FGMs represent one material having different characteristics. This concept originated in Japan in 1984. Figure 31 shows typical examples of bulk FGMs compacts synthesized by SPS. From the left, a $ZrO_2(3Y)/SUS$ stainless steel compact with 6 interlayers, a $ZrO_2(3Y)/nickel$ compact with 7 interlayers, a copper/stainless steel (SUS) compact with 5 interlayers, an aluminum/polyimide compact with 3 interlayers, and on the right, an Al₂O₃/titanium compact with 3 interlayers. Full density and no micro-cracks were detected in the sintered compacts obtained by the SPS process. All were sintered in ten to twenty-five minutes heating up and keeping time, and a diameter of 20-50 mm after the start of the process. Various combinations of FGMs systems of ZrO₂/SUS (stainless steel), ZrO₂/TiAl, ZrO₂/Ni, Al₂O₃/SUS, Al₂O₃/Ti, Al₂O₃/Ti-6Al-4V, HAP/Ti, TiN/HAP, WC/SUS, WC/Co, WC/Ni, Cu/SUS, SiO₂glass/SUS, Al/polyimide resin, Cu/phenol resin, and Cu/polyimide resin materials, etc., were prepared [59–65]. Figure 32 shows a schematic illustration of SPS temperature gradient die assembly and an example of multi-layered $ZrO_2(3Y)/SUS$ stainless steel FGMs compact which contains a 3 mol% yttrium partially stabilized zirconia (PSZ) powder, SUS410L stainless steel (SUS) powder, and mixed powders of the two as intermediate layers with different volume fractions. The FGM powder was stacked in a graphite temperature-gradient die of 20 mm internal diameter. The SUS powder has an average particle size of 9 µm while the PSZ powder consists of granulated particles with an average particle size of 50 μ m (its crystalline size is 350 Å). Sintering pressures used were 20 to 40 MPa, SPS temperatures of 1243–1293 K, with a temperature rise rate of 50 K/min. measured near the SUS layer [60].



From the left: ZrO2/SUS, ZrO2/Ni Cu/SUS, Al/Polyimide and Al2O3/Ti

Enlarged cross-section ZrO2(3Y)/SUS410L FGMs of Al/Polyimide FGMs

6 lavers (left) and 21 layered specimen

Figure 31. Typical examples of bulk FGMs.

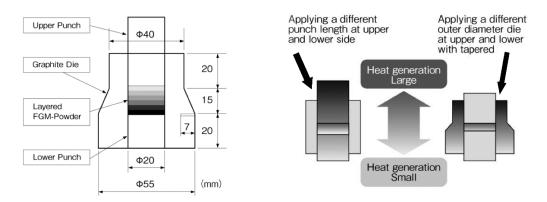


Figure 32. Die and punch assembly for SPS temperature gradient sintering.

4.5. 3D Near-Net Shape/Net Shape Forming

Three-dimensional (3D) near-net shape and/or net shape forming is another SPS advantage as an industrial manufacturing process for production cost reduction. Because of a cavity or through-hole die used SPS method, depending on appropriate die material selection and die design, it is possible to provide 3D near-net/net shape sintering. As shown in Figure 33, a healthy dense ceramics-metal system of $ZrO_2/Ti/Ti-6Al-4V$ FGMs can be developed by a combination of 3D and FGMs techniques. This SPSed compact 80 mm in diameter has 5 layers consisting of 100% of ZrO_2 top layer, ZrO_2 -Ti, Ti, Ti-Al alloy 3 interlayers, and 100% of Al alloy layer at the bottom. The obtained relative density of ZrO_2 100% top layer was 99–100% without any residual pores, cracks, and delaminations.

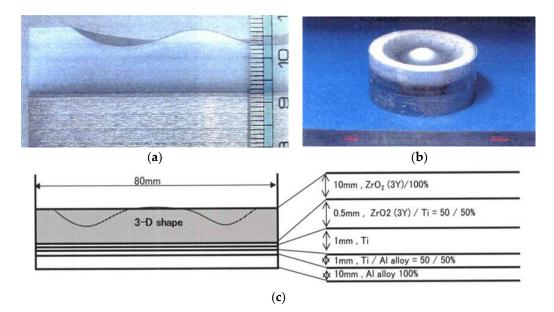


Figure 33. Example of Φ 80 mm large size ZrO₂(3Y)/Ti/Al alloy system FGMs: (a) Cross section of SPSed 3D shaped FGMs specimen; (b) Outside view of Φ 80 mm ZrO₂(3Y)/Ti/Al alloy 3D FGMs; (c) Dimensions and profile of 3D FGMs.

5. Ceramics Applications by SPS

5.1. Nanoparticle of Pure WC (Tungsten Carbide) Aspheric Glass Lens Mold

The necessity of in-vehicle camera systems, camera devices for monitoring roads, and surveillance cameras are sharply increasing due to upcoming demands under today's social safety circumstances. The establishment of a cost-effective fabrication method of a high-performance aspheric glass lens for digital cameras is an essential key technology to comply with these recent industrial requirements. Figure 34 is an example of an SPSed component in actual commercial use in the optic industry, which is an aspheric glass lens

mold made of a binder-less pure-tungsten carbide (WC single-phase, Hv2600) material without any additives except inevitable impurities. As the starting powder materials, an average particle size of less than 200 nm or 80 nm are used. They were homogeneously consolidated in nano-structured fine grain size. Post-processing using an ultra-precision grinding machine, the superfinishing of mirror surface roughness of Ra 2–6 nm can be obtained to be used in digital camera lens application. The aspheric glass lens mold is consisting of three pieces that of upper punch, lower punch and sleeve die part. Advantages of SPSed pure WC material are no additives solid-phase sintering, finer grain size, and higher oxidation resistance compared to conventionally produced other binder-less WC materials indicated in Figure 34. By running the 10 h oxidization test in an atmospheric furnace at 973 K, it was demonstrated 30–60% better oxidization rate per volume (g/cm²).

Oxidation resistance test on Binder-less WC sintered materials

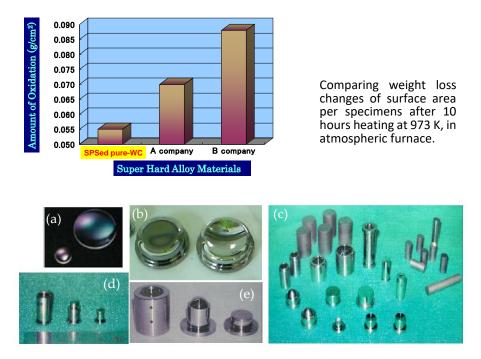


Figure 34. Examples of pure WC Aspheric glass lens mold materials s: (**a**) Formed small and large Aspheric glass lens; (**b**) Large-size aspheric glass lens molding die; (**c**) various SPSed compacts for glass lens die material and finished parts; (**d**) Finished glass lens sleeve die and punches for mobile phone applications; and (**e**) Finished glass lens sleeve die and punches for digital camera applications.

5.2. 3-Dimensional Complex Net- Shape Forming of Al₂O₃ Blasting Nozzle

Figure 35 shows an example of an Al_2O_3 ceramic nozzle for a sand-blasting machine. The actual lifetime was investigated under the same blast operating conditions. The SPSed Al_2O_3 nozzle achieved a ten times longer life span than the conventionally sintered one made by an atmospheric pressureless sintering furnace. The photos show sintered nozzle shapes made by SPS without any post-processing such as mechanical grinding and polishing, producing a Vickers hardness of Hv2100–2200, and the 3-D "net-shape" accuracy with Ra 0.64 μ m surface roughness. To eliminate the usual mechanical grinding post-process for the nozzle parts finishing, the new production method of nozzle net-shape forming has been developed by SPS.

| • | | General Commercial Products | SPS Sintered Nozzle | Advantage of SPS sintered Nozzle |
|---|-----------------------------|-----------------------------------|---------------------------|---|
| | Vickers Hardness (Hv) | 900-1100 | 2100-2200 | High-Hardness |
| | Relative Density (%) | 91 | 100 | High-Density |
| | Surface | Rough | Mirrored surface | Mirror finished surface by Near Net Shape |
| adaan adaa adaa adaa adaa adaa adaa ada | Lifetime | 1 day | 10 days | 10 times longer Lifetime |
| (a) | (b) | | | |

Figure 35. Comparison of SPSed blasting nozzle and other commercial nozzle products: (**a**) assintered SPSed nozzle products: material: Al_2O_3 , Length: 60 mm, Outer dia. (Tapered): 30/15 mm, Inner dia. (Straight): 6 mm; (**b**) Performance comparison table of SPSed Al_2O_3 nozzle and other commercial products

5.3. Near-Net Shape Formed Si_3N_4/Al_2O_3 Composite Compacts for Homogenizer Component

Figure 36 is an example of a fine ceramic sintered compact for homogenizer's wearresistant parts. Studying its thermal expansion ratio for the usage, the composition of mixed starting powder was 50% Si₃N₄ and 50% of Al₂O₃. SPS rapid sintering process could achieve shorter production time and minimized grain growth resulting in a harder sintered compact of over Hv20 GPa hardness with a high relative density of 99% to 100%. Pressurized sintering allows for the direct sintering from powders to near-net-shape dimensions of ring or cylindrical shape and eliminating the green body compaction process of normal pressureless sintering. The sintering conditions were SPS temperature 1673 to 1873 K with heating-up and holding time of approx. 15–20 min, and applied pressure 30 to 50 MPa. The tolerances of as-sintered parts ensure within 0.2 to 0.3 mm accuracy so that it is easy to obtain a final finishing accuracy by conventional mechanical grinding as its post process.



Figure 36. Si₃N₄/Al₂O₃ composite parts for Homogenizing device.

5.4. Sputtering Target Material and Fabrication of Large-Sized Ceramics and Metals Compact

With the SPS process, highly dense sintered products can be fabricated at a much lower temperature and higher purities, finer microstructures with shorter heating up and holding times compared with conventional hot pressing and HIPing. Thus, sputtering target material fields are one of the very effective suitable industrial applications for SPS. Several companies have already been manufacturing their target material products using several sets of large SPS machine systems simultaneously in their production plant. Figure 37 shows typical examples of large-sized SPSed ceramics materials. Large-sized oxide ceramics (Al₂O₃, ZrO₂, SiO₂, etc.), carbide (WC, SiC, B₄C), nitride (Si₃N4), and boride (TiB₂) ceramic materials were well fabricated homogenously with finer grain size and almost full density. As seen in Figure 37, dense Φ 300 mm Al₂O₃ is available, however, due to limited present SPS machine heating capability and operational know-how, in case of high-temperature materials of carbide and nitride ceramics, for full densification, up to 150–200 mm diameter can be manufactured that of smaller size than oxide ceramics. As a practical industrial application, further improvement will be required. The SPSed target materials are investigated by SEM observation that nearly no residual micropores and no cracks were detected in the large-size disk-shaped sintered compacts. Ruthenium sputtering target material in diameters of Φ 350 mm also produced by production-type large-size SPS apparatus. The productivity is approximately 7 to 8 times higher than conventional sintering of HP and HIP processes. Because the obtained finer grain size of SPSed sputtering target materials, it can provide superior sputtering performances such as no splashing phenomenon in the coating process. The samples shown in Figure 38, were typical examples of metallic sputtering target materials.

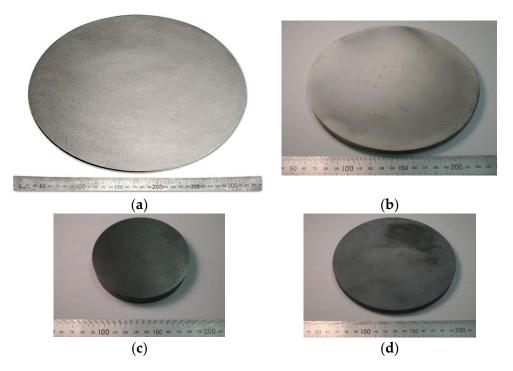


Figure 37. Example1 of large-size ceramics by SPS: (a) Material: Al₂O₃, R.D: 98–99%, Dimensions: Φ 300 × 10 mm; (b) Material: Al₂O₃, R.D: 99–100%, Dimensions: Φ 200 × 10 mm; (c) Material: pure WC (no additives), R.D: 99–100%, Dimensions: Φ 100 × 16 mm; (d) Material: SiC (with additives), R.D: 99–100%, Dimensions: Φ 150 × 12 mm.

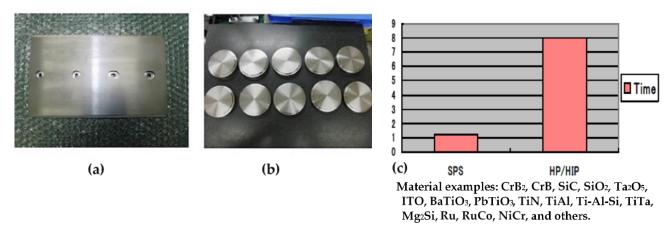


Figure 38. Example of commercialized large-size sputtering target materials: (**a**) 250×150 mm square-shaped metallic sputtering target; (**b**) $\Phi 100$ mm targets after mechanical finishing; and (**c**) typical working time comparison of SPS vs. HP/HIP.

5.5. Development of Al₂O₃/Ti System FGMs and ZrO₂/Ti/Ti-6Al-4V Alloy FGMs Horn Tip Tool for Ultra-Sonic Homogenizer

The 100% ceramic layer was composed of an α -Al₂O₃ powder with an average particle size of 0.22 μ m purity 99.99% and the ceramic-metal interlayers were composed of α -Al₂O₃ and pure titanium powder with an average particle size 10 µm 99% purity, and 100% metal layer was used Ti-6Al-4V powder with an average particle size 100 µm as substrate material. These powders were combined into the mixtures with wet mixing by a planetary ball milling. The graded layer having 10 layers of Al₂O₃ 100%, Al₂O₃/Ti composition of 95/5, 95/10, 85/15, 80/20, 70/30, 60/40, 50/50, 30/70 vol%, and Ti-6Al-4V 100% were prepared. Each of the FGM interlayers was of 0.5 mm thickness after sintering. DC pulse voltages from 12 to 2 volts were applied. And it was held at 1223 K-1573 K for holding time 0–10 min, under the sintering pressure of 20–70 MPa in vacuum condition, then the pulse energizing was stopped and the specimen was cooled. To evaluate the microstructure of the sintered FGMs, a cross-section of a mirror-polished specimen was observed using optical microscopy and EDS/EPMA. As shown in Figure 39, observing the healthy dense disk-shaped 8-interlayers FGM sintered compact with a total thickness of 10.5 mm, no micro-cracks, no pores, and no de-laminations were detected. The Vickers hardness of the Al₂O₃ 100% layer was 19.5 GPa.

Industrial applications of Al₂O₃-Ti-Ti alloy system FGMs and hydroxyapatite (HAP)-Ti system FGMs are mainly investigated for biocompatible materials such as artificial bones, hip bone joints, and dental implants [61,66]. As a practical industrial application based on the optimized sintering conditions of oxide ceramics of Al₂O₃/Ti-Ti alloy FGMs, 5 layered ZrO₂/Ti/Ti-6Al-4V alloy system FGMs was developed and presently commercialized for horn tip parts of ultra-sonic homogenizer equipment shown in Figure 40.

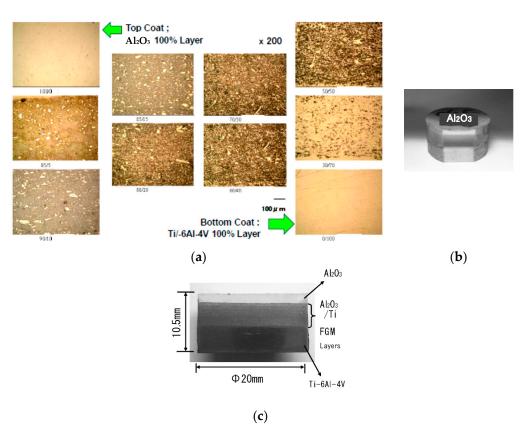


Figure 39. (a) Optical micrographs of 10 layered SPSed specimen of $Al_2O_3/Ti/Ti$ -6Al-4V system FGMs; (b) Outside view of partially grinded SPSed FGMs specimen (the 1st upper layer: 100% dense Al_2O_3 layer); (c) Cross section of $Al_2O_3/Ti/Ti$ -6Al-4V system FGMs.



Figure 40. Ultra-sonic homogenizer (manufactured by Mitsui Electric Co., Ltd./Noda city, Chiba pref., Japan).

The FGMs profile was studied and finally reached at 5 layers incorporating ZrO_2/Ti mixed powder of three interlayers. Figure 41 shows ZrO_2/Ti alloy system FGMs profile and optimized sintering conditions on topcoat behavior with SEM image. SPS sintering temperature of 1573 K at sintering pressure 30 MPa attained the lowest 17.0 mg wear amounts after the homogenizing test.

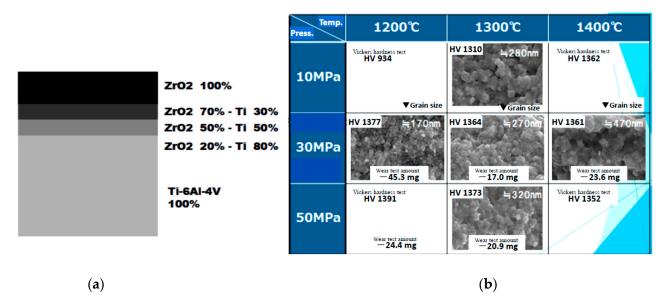


Figure 41. (a) ZrO_2/Ti alloy system FGMs profile and (b) Relationship between sintering pressure and temperature on $100\% ZrO_2$ topcoat behavior to determine optimized SPS sintering conditions.

Various types of FGMs horn tip tools for ultrasonic homogenizer were manufactured as shown in Figure 42. Due to a dense ceramic material with a high hardness on the surface, it clearly improved the cavitation corrosion resistance in homogenizing. The lifetime of the horn tip made of ZrO₂/Ti/Ti-6Al-4V alloy FGMs achieved more than 8–10 times longer life span than conventional one without cracking, peeling off, and breaking down. The conventional horn tip tool made of titanium is chemically stable and mechanically easy to perform the desired shape, however, its low wear resistance generates needless contamination and a short lifetime. A Brazed ZrO₂ plate on the Ti body horn tip also indicates weakness at the brazed layer. The monolithic ceramic horn tip has good hardness, however, brittle and breakable and short lifetime against a high oscillating frequency of 19.5 kHz. On the other hand, the ceramic-metal system FGMs horn tip provided a good hardness of Hv1364, higher toughness, and long life compared to a conventional Ti horn tip. It is expected that fewer contamination effects will present a highly reliable production process for the electronics industry and available large-sized horn tips encourage higher out-put power oscillation with much better productivity [67].



Figure 42. Various types of ZrO₂/Ti system FGMs horn tip tools for ultrasonic homogenizer.

5.6. WC Matrix Diamond Dicing Blade for Cutting Tool Industry

As shown in Figure 43, a typical example of the SPSed mass products has already been realized in the practical use in cutting tools and wear-resistant materials industry. The 2-shifts or 3-shifts per day and continuous night working time in the production factory, simultaneous multi fabrication of 15–20 plates per batch are being carried out. The Co metal bonded WC dicing blade (cutting tool) of 100/150 mm diameter and 0.35/0.4 mm thickness

were produced providing the flatness within $\pm 20 \ \mu m$ with minimal residual stresses. Sintered WC/Co plate of relative density 99–100% and Young's moduli of 500–580 GPa were attained under SPS temperatures of 1473–1523 K. As sintered plates obtained such flatness by SPS, the grinding process was eliminated and the production cost was greatly reduced. This example is an effective SPS near-net/net shape forming process. A Cu-based very thin dicing blade of less than 0.3 mm width is also manufactured by SPS. Several companies have been using multiple units of SPS apparatus for their actual production.



Figure 43. WC/Co sintered very thin plate with a diamond dicing blade.

5.7. Fine WC/Co Hard Alloy FGMs for Die & Mold and Wear Resistant Materials Industries

As a typical high wear-resistant material, WC/Co or WC/Ni system cemented carbides are now widely used in various press-stamping dies and cutting tools for industrial applications. Although fabrication of WC/Co system hard alloys usually takes many hours by conventional sintering processes, with the development of a new automated SPS production system, it is now possible to sinter such materials in a significantly shorter period taking advantage of the characteristics inherent in SPS rapid sintering technology. Table 4 is the typical mechanical properties of SPSed fine WC/Co system hard alloy and binder-less nano grain WC products. Figure 44 shows the production machine system configuration and outside view of the fully automated 5-stage chamber type SPS production system (continuous furnace) incorporating pallet-type automatic conveyor. Utilizing this full tunnel-type automated SPS system and optimized SPS conditions, square-shaped largesize WC/Co cemented carbide hard-alloy with dimensions of 70 mm (width) \times 100 mm (length) \times 5–20 mm (thickness) were homogeneously fabricated in a shorter sintering time and with a finer grain size than with conventional sintering methods while maintaining high quality and repeatability every 10 min or less per piece as shown in Figure 44 (right photo of (c)). The fine-WC/Co hard alloys (product code name TC05/10/20), obtained by SPS, provide higher hardness, transverse rupture strength, and fracture toughness than those obtained by conventional sintering methods. The usage of SPSed WC/Co super-hard alloy materials are mainly wear-resistant drawing die, press stamping die, IC lead frame die, cutting tools, and various kinds of wear-resistant industrial components.

Table 4. Typical mechanical properties of Fine WC/Co hard alloys sintered by SPS.

| Product Code Name | Co Content wt% | WC pdr. Grain Size μm | Density g/cm ³ | Hardness mHv | Transverse Rupture Strength MPa | Fracture Toughness K1C |
|----------------------|-------------------|-----------------------------|------------------------------|-----------------|--|------------------------------|
| TC-05 | <2 | <0.5 | 15.2 | 2350 | 2300 | 6.2 |
| TC-10 | <4 | < 0.5 | 15.0 | 2150 | 2640 | 6.5 |
| TC-20 | <6 | < 0.5 | 14.8 | 2050 | 2940 | 7.3 |
| M78 | 0 | < 0.2 | 15.4 | 2600 | 1500 | 5.1 |
| WC100 | 0 | < 0.08 | 15.6 | 2700 | 1470 | 5.6 |
| NC100 | 0 | < 0.5 | 15.4 | 2570 | 1180 | 5.4 |

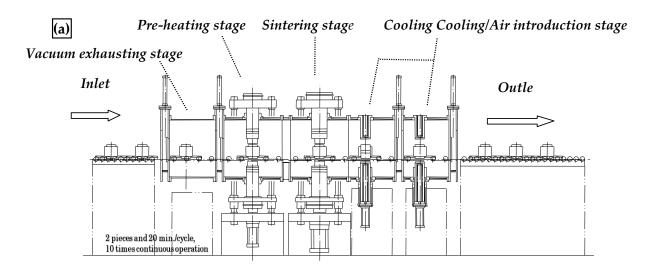




Figure 44. System configuration and outside view of Tunnel-type SPS production system: (a) Automatic continuous SPS System configuration; (b) Outside view of full-Tunnel-type SPS production system; (c) Large-sized square shape $100 \times 70 \text{ mm WC/Co}$ hard-alloy SPSed compacts fabricated in 10 times continuous operation; (d) example of WC/Co SPSed material used drawing die for aluminum can manufacturing (finished component by mechanical cutting, milling and grinding).

5.8. Examples of WC/Co and WC/Co/Ni FGMs for Industrial Applications

Near-net shape forming and scaling-up process on square-shaped FGMs were studied. The cobalt content compositionally graded square-shaped large-size WC/Co FGMs with dimensions of 100 mm (width) \times 100 mm (length) \times 40–60 mm (thickness) was successfully synthesized within an hour shown in Figure 45. The sintered bulk FGMs was machined by a numerically controlled wire-cut electrical discharge machine (EDM) to cut off from the large bulk material to the small pieces of FGMs. As indicated in Figure 46, the small piece of WC/Co FGMs is ground to form the specified profiles with necessary accuracies and tolerances as press stamping dies and punches by grinding machine. The SPSed fine WC/Co FGMs hard alloy had high hardness on the topcoat layer and higher strength and fracture toughness on the bottom side than the monolithic WC/Co hard alloy material. When assembled into press stamping progressive die set, it achieved an approximately 3.5 to 10 times longer lifetime compared with conventional commercial WC/Co cemented

carbide. The 3-layered weldable WC/Ni FGMs screw for the extruding machine was also developed as shown in Figures 47 and 48. Square-shaped WC/Ni FGM tiles were manufactured in four simultaneous pieces by a 4-multiple sintering method. The assembled screw lifetime was over three times longer (3000 h against 800 h) than a conventional screw. In Japan, this FGMs screw has been working well for many years at the Hokkaido Electric Power company [68].

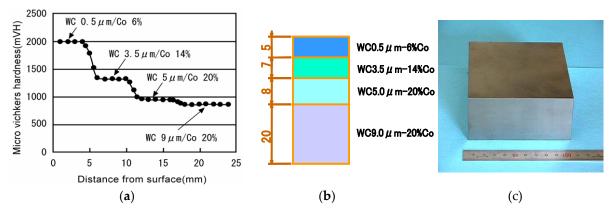
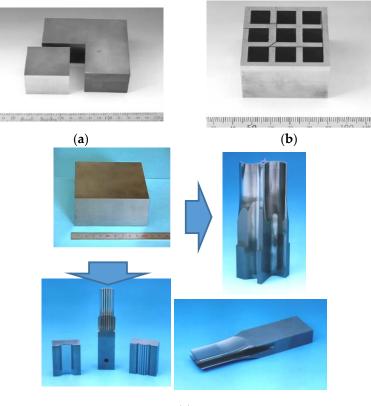


Figure 45. (a) Hardness gradient behavior of Large-size WC/Co FGMs fabricated by SPS (100 mm \times 100 mm \times 40 mm), (b) Profiles of 4 layered Co content graded WC/Co FGMs, and (c) Outside appearance of the SPSed WC/Co FGMs-



(c)

Figure 46. Example of press stamping die and punch made of WC/Co FGMs for electronic components: (**a**) single cut example by wire-cut EDM; (**b**) multi cut example by wire-cut EDM; (**c**) Examples of finished press stamping die and punches from large sized WC/Co FGMs material.

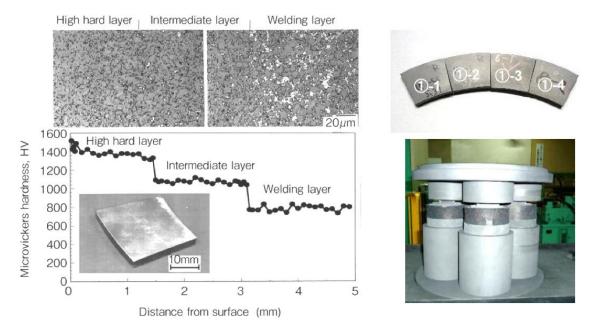


Figure 47. Optical microphotograph and Vickers hardness distribution behavior of weldable WC/Ni FGM tile and simultaneous 4-multiple sintering method.

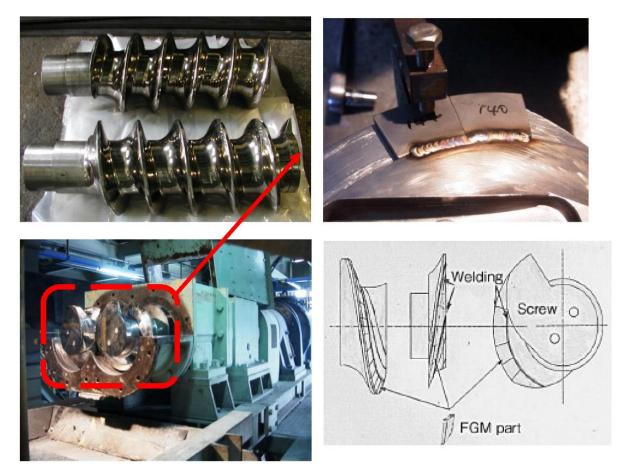
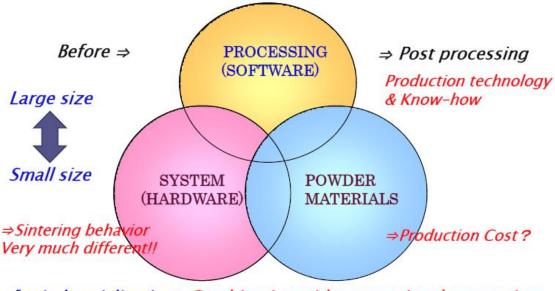


Figure 48. Weldable WC/Ni FGMs tile and the FGMs screw product for the extruding machine.

5.9. Other Industrial Applications by SPS and Recent Demands from Industries

Using the SPS process, research work on nanostructured materials and composite materials is very attractive and active to date. Many investigations on ceramics materials have been conducted [69–73]. Considering the effective SPS industrialization, as introduced in Figures 33, 35, 36 and 43, it is expected that the SPS 3D near-net/net shape forming method will be applied for parts production from R&D prototype level to practical mass production use in the near future [74]. Besides, in order to accomplish successful industrialization, the appropriate combination of post-processing techniques is important in the actual product manufacturing process. Conventional mechanical cutting, grinding, electrical discharge machining (EDM), laser machining, and surface treatment techniques are essential production elements. Due to the rapid sintering process, SPS sintering behavior and conditions usually vary between 20–30 mm diameter of small size and 100–300 mm diameter of large size. To comply with the SPS size effect and shape effect, it requires enlargement management know-how to attain dense and homogeneous SPS sintered materials. Although SPS research and development on other categories of materials both of ceramics and metals were not discussed in this paper, such as transparent ceramics [75–78], MMC/FRC/FRM [79–82], CNT/CNF various composite materials [83–93], thermo-electric semiconductors of SiGe, Bi₂Te₃, FeSi₂, CoSb₃, MnSi₂, Mg₂Si, MgFe₂O₄ systems for clean energy generation [94–98], nuclear materials [99], Nd-Fe-B, Sm₂Co₁₇ and ferrite for magnetic materials [100,101], MgB₂ superconducting materials [102], PbTiO₃ dielectric materials [103], lithium battery [104], shape memory alloys, solid cell materials, glassy metals, and optically functional materials, nevertheless, they are promising candidates for industrial SPS applications. Ceramicceramic solid-phase SPS bonding processing is also attractive for industries. In order to pursue the optimum manufacturing method by SPS technology, it should be considered the trinity of hardware strategies, software, and powder material technologies as combined R&D factors mentioned in Figure 49. New approaches have been demonstrated to clarify the characteristics of pulsed current sintering of SPS and flash sintering method [105–111]. Recent demands on SPS technologies from industries are briefly suggested in Figure 50.



for Industrialization

Combination with conventional processing

Figure 49. Trinity concept for SPS industrialization.

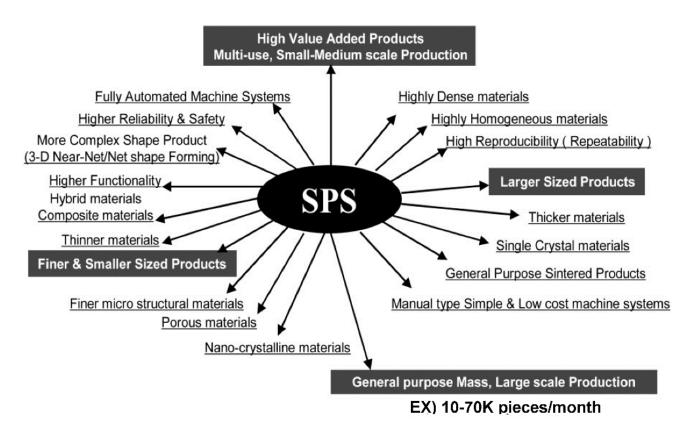


Figure 50. Recent demands on SPS technology from industries.

6. Conclusions

In this paper, SPS history, fundamentals, and the wider availability of SPS in ceramics materials and its related industrial applications were introduced. Especially, typical advantages of SPS processing were indicated in the synthesis of nanocrystalline materials, FGMs, and wear-resistant hard materials. Because of the versatility of SPS, it should be noted that the remarkable rapid growth in the number of presented papers and patents in the last decade resulted from the worldwide spread of the SPS technology in both the scientific community and the industrial sector. SPS features an electrical energy concentration at areas where current flows easily. In terms of the high energy density of dynamical sintering, the study on these characteristics will lead us to the successful advancement and expanded SPS applications for reasonable commercial production. The SPS technology has a high potential to become a major product manufacturing tool in the automotive, electronics, mold and die, tooling, clean energy, and aerospace industries, therefore, is now focusing on both high-value-added, small scale, and mass-production.

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