



## Article

# Correlation Dimension in Sumatra Island Based on Active Fault, Earthquake Data, and Estimated Horizontal Crustal Strain to Evaluate Seismic Hazard Functions (SHF)

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**Abstract:** This study intends to evaluate the possible correlation between the correlation dimension ( $D_C$ ) and the seismic moment rate for different late Quaternary active fault data, shallow crustal earthquakes, and GPS on the island of Sumatra Probabilistic Seismic Hazard Analysis (PSHA). The seismicity smoothing was applied to estimate the  $D_C$  of active faults ( $D_F$ ) and earthquake data ( $D_E$ ) and then to correlate that with the b-value, which will be used to identify seismic hazard functions (SHF) along with the Sumatra Fault Zone (SFZ). The seismicity based on GPS data was calculated by the seismic moment rate that is estimated based on pre-seismic horizontal surface displacement data. The correlation between  $D_F$ ,  $D_E$ , and the b-value was analyzed, and a reasonable correlation between the two seismotectonic parameters,  $D_F$ -b, and  $D_E$ -b, respectively, could be found. The relatively high  $D_C$  coincides with the high seismic moment rate model derived from the pre-seismic GPS data. Furthermore, the SHF curve of total probability of exceedance versus the mean of each observation point's peak ground acceleration (PGA) shows that the relatively high correlation dimension coincides with the high SHF. The results of this study might be very beneficial for seismic mitigation in the future.

**Keywords:** correlation dimension; active fault; earthquake; b-value; GPS; seismic moment rate; seismic hazard function



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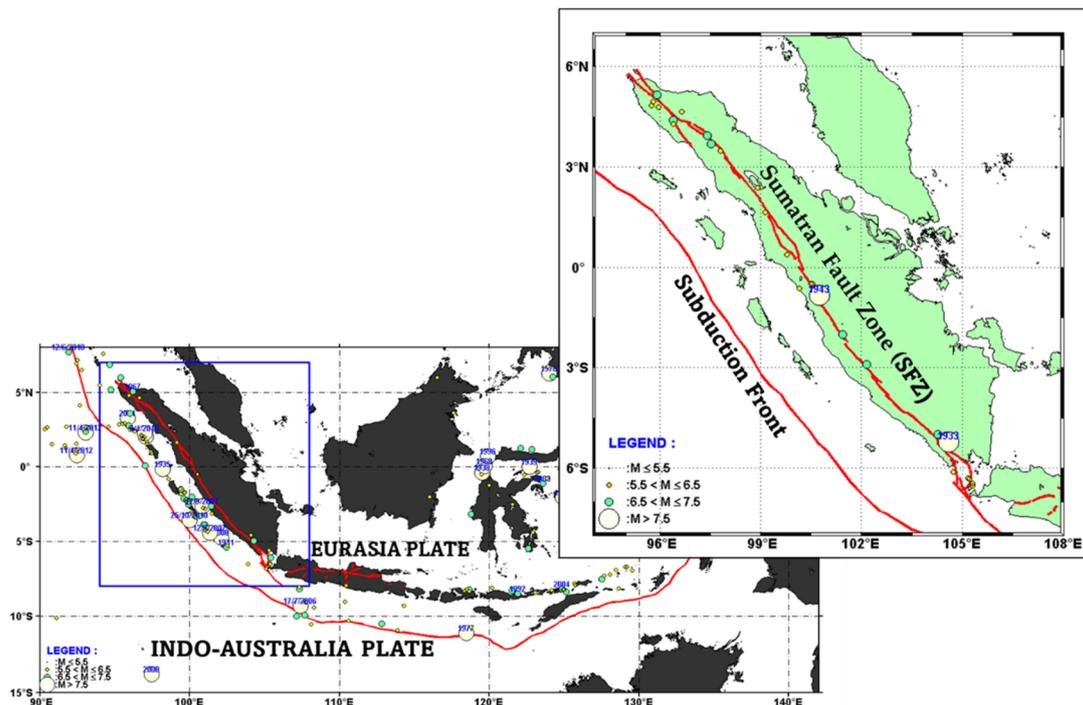
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## 1. Introduction

Sumatra Island, Indonesia, is located in the convergent plate zone. It accounts for the high concurrent rate and the oblique NE-ward geometry between the subduction of the Indian–Australian Plates and the overriding southeastern Eurasian Plate [1–3]. This high convergence rate causes Sumatra Island to have many earthquakes annually, implying a high-stress level. The five most significant earthquakes support the large historical catalog of shallow earthquakes along the Sumatran megathrust over the last 250 years,  $M_w \geq 8.0$ . As explained by references [3,4], the active fault on Sumatra Island has been termed the 1700 km long Sumatran Fault Zone (SFZ). The Sumatran seismotectonic map depicting the Sumatran Subduction Zone, SFZ, and plot of the historical shallow large earthquake data can be seen in Figure 1. Consistent with [1,5], the dominant right-lateral shear fault zone accommodates most of the parallel components of the convergence of the sloping plate between the Indian-Australian and the Sunda Plates and has an average slip rate of ~15–16 mm per annum along some of its length [6–8]. The Sumatra Fault Zone (SFZ) within the mainland of Sumatra suggests that the released megathrust strain directly influences it.



**Figure 1.** The Sumatran seismotectonic map depicting the Sumatran Subduction Zone and Sumatran Fault Zone (SFZ) overlays with the historical shallow large earthquake data of 1925–2014 with a magnitude ( $M_w$ ) larger than or equal to 6.0. Historical earthquake data are based on Ref. [9].

McCloskey et al. [10] pointed out the effect of change in stress due to the 2004 Sumatra-Andaman earthquake on the adjacent rupture zone in the Nias segment, which was eventually quaked in March 2005 northern part of the SFZ, which has not yet produced M7 onshore earthquake. Qiu et al. [11] and Cattin et al. [12] suggest that there exists the effect of megathrust earthquakes on the SFZ. Sumatra Island was chosen as a master model because of the large body of complete historical earthquake and active fault data of the Northwestern Sunda Arc that can be found there.

Based on the previous study [13], late quaternary active faults in seismic hazard assessments allowed us to capture the recurrence of large magnitude events and, therefore, increase the reliability of the Probabilistic Seismic Hazard Analysis (PSHA). From a seismic hazard point of view, the first step would be to identify a potentially active fault and then evaluate the earthquake rate that each fault might generate. Swan et al. [14] and others [15,16] proposed the various features of the potential factors controlling the location and length of failure (i.e., rules for segmentation). Meng et al. [17] found that the largest strike-slip and intraplate earthquake ever recorded offshore Sumatra has resulted from the combination of deep extent, high-stress drop, and rupture of multiple faults. Using geometrical constraints to identify persistent segment boundaries (where most or all of a propagating rupture is arrested event after event) provided an important framework for quantifying fault-based PSHA [18–20].

Sieh and Natawidjaja [3] and others [7,8,21] acknowledge that the Sumatran Fault is very segmented. The SFZ has often been divided into 12–19 segments separated by a ~3 to 12 km wide stepover [3], limiting the break area that will break in one event [22,23]. Burton and Hall [21] studied clustering by applying k-means analysis along the SFZ using shallow earthquake data with a strike-slip mechanism. Burton and Hall [21] suggested that about 16 clusters partition the seismicity, and eight significant segments dominate the SFZ. The results of Burton and Hall [21] may improve the previous seismic hazard study [7,24] from the viewpoint of the probabilistic method.

According to Mandelbrot [25], fractal analysis can be used to describe the geometry of objects naturally. Many shreds of evidence of phenomena in space-time, such as

seismicity, can be characterized and interpreted by fractal models using power laws (e.g., Refs. [26–35]).

Studies on the possible correlation between earthquake seismicity and the distribution of active faults are limited. Sukmono et al. [30,31] studied the fractal geometry of the Sumatran active fault system, the data used were active fault data, and the correlation with the earthquake seismicity was not discussed very clearly. Pailoplee and Choowong [34] studied the earthquake frequency-magnitude distribution and fractal dimension; however, they only focused on using the earthquake catalog data. In this study, we use integrated data of active fault, shallow earthquake catalog, and the GPS to understand better the possible correlation between an earthquake and active fault seismicity based on the correlation dimension ( $D_C$ ) and its correlation with the b-value to estimate the seismic hazard.

Based on previous study results, the b-value in time and space can be related to the phenomenon of stress levels before the occurrence of a large earthquake in a seismotectonic area [26,28,32,33]. Wyss et al. [36] acknowledge that the application of earthquake statistics, frequency-magnitude distribution (FMD) [37], and the correlation dimension ( $D_C$ ) may be a convenient approach for understanding local seismotectonic activities. Both the b-values of FMD and  $D_C$  values are significantly and directly associated with the stress and earthquake phenomena. Pailoplee and Choowong [34] studied the FMD and  $D_C$  in mainland Southeast Asia, and their results suggest that the northern part of Sumatra Island has a high-stress level.

Moreover, Bayrak and Ozturk [38] show that a low b-value is closely related to high stress and strain loading. Therefore, it implies that we can expect to find a low b-value area coinciding with a high seismic moment rate; thus, characterizing a correlation between the  $D_C$  values and the b-value could help better understand the possible seismic hazards by identifying earthquake hazard functions (SHF). Furthermore, it might be very beneficial for earthquake mitigation efforts, as these areas could be interpreted as having high-stress levels.

Triyoso et al. [39,40] applied the least-square prediction method (LSC) over the entire gridded area using pre-seismic GPS data. Their purpose was to estimate the horizontal surface displacement in each grid or cell of the coastal area of Sumatra Island. The horizontal crustal strain was calculated using the horizontal surface displacement estimated by LSC in the entire study area of each cell. Furthermore, the horizontal crustal strain was used as the input to calculate the seismic moment rate [41–44]. The stress level could then be characterized based on the seismic moment rate; thus, it is possible to better correlate the  $D_C$  values and the b-values with the seismic moment rate to understand the stress level [35,45].

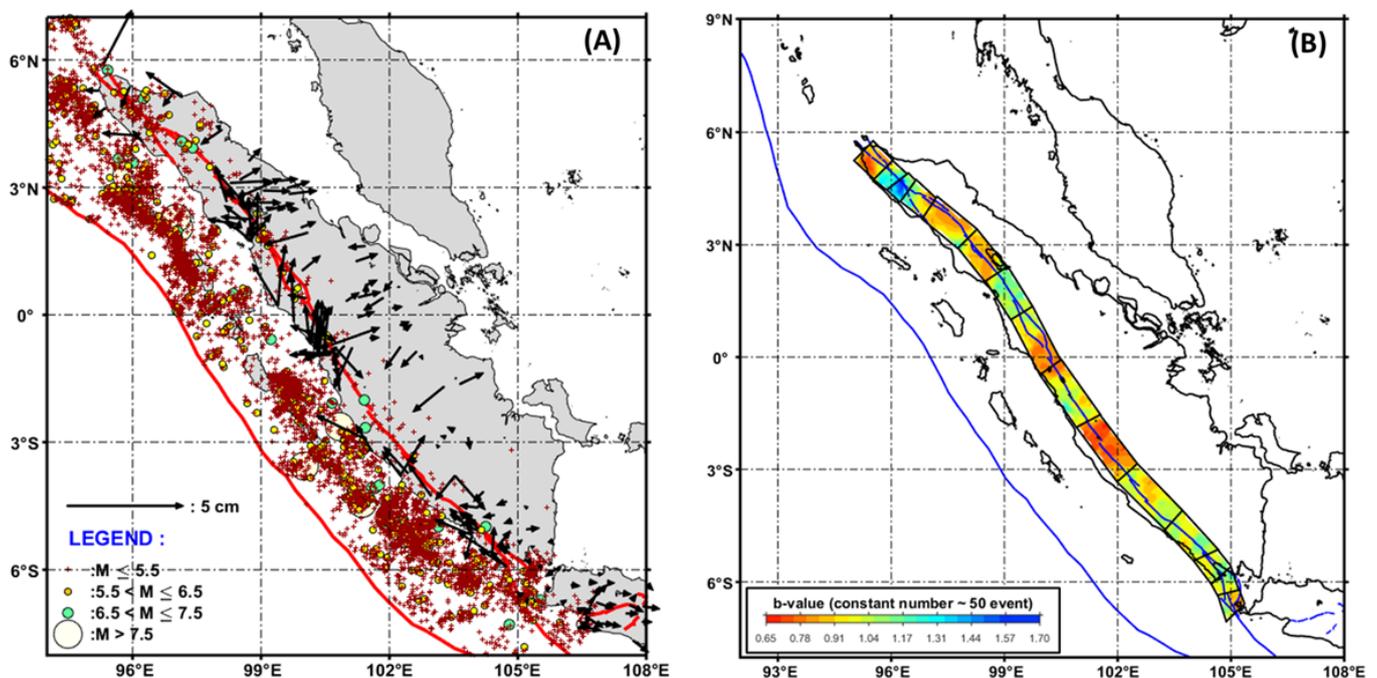
This study aims to find the relationship between seismic b-values and the correlation dimension ( $D_C$ ) based on Sumatran Island's earthquake and active fault data. Since relatively high  $D_C$  is often directly associated with the stress level and earthquake phenomena [34,35,45], finding seismic hazard function (SHF) with high  $D_C$  at several observation points will be interpreted as areas with high-stress levels; thus, characterizing a correlation between the  $D_C$  value and the b-value could help better understand the possible seismic hazard.

The SHF is calculated based on an integrated seismic model of the earthquake catalog, active fault data, and the estimated seismic moment rate. These are taken into consideration to understand better the possible hazard that might occur. The analysis of seismic moments around Sumatra Island refers to references [39,40], in which the approximation made by reference [41] and others, such as Refs. [42–44], was adopted.

This study evaluated the correlation dimension for data from the late Quaternary active fault and the shallow crustal earthquakes. First, the correlation between  $D_F$ ,  $D_E$ , and the b-value was analyzed using a cross-plot and then compared with the seismic moment rate to estimate the SHF. In addition, the algorithm of the seismic smoothing based on the previous study [39,46,47] is used to estimate the correlation dimension, as it is supposed to obtain a more robust result.

## 2. Data and Methods

The data utilized in this study are supported by Natawidjaja and Triyoso [7] and others [8,9,39,48]. Pre-seismic GPS data refer to Ref. [49] and others [2,6,50–54]. The earthquake seismic data used in this study are based on earthquake data with a magnitude of  $M_w \geq 4.7$  and a maximum depth of 50 km selected from 1963 to 2020 (Figure 2A). This study adopts the 5 km starting locking depth and 20 km of the seismogenic thickness or 25 km of the maximum seismogenic depth by referring to Ref. [39]; thus, the maximum depth of earthquake catalog of 50 km or twice the maximum seismogenic depth is used. Seismic zoning is based on the modified clustering of Burton and Hall [21]. The active fault data are based on the newly revised results of the PuSGeN Team [48] for the Updated Indonesia Seismic Hazard Map with new slip rates from recent geological and geodetical (GPS) studies [8,48]. Based on previous studies [47], the MATLAB subroutine is used to realize seismology and geological data modeling. The FORTRAN and MATLAB subroutine based on Refs. [39,40] is used in the case of the GPS data. Mapping and plotting tools are developed using MATLAB subroutine based on previous studies [39,40,47]. The summarizing data used in this study can be found in the Supplementary Materials. They are shallow earthquake catalog data, the boundary zone based on Ref. [20], the grid in MAT file format LSQR 152 GPS data, and active fault data of SFZ.



**Figure 2.** The shallow earthquake catalog data from 1963 to 2020 with the magnitude of  $M_w \geq 4.7$  and a maximum depth of 50 km [9] of 1963–2016 and the GCMT catalog of 2017–2020, the active fault, and pre-seismic GPS data (A). The b-value map overlays with the 15 zones area (B). The b-value is estimated based on the maximum likelihood (2) using a constant number of 50 events on each grid.

### 2.1. Earthquake Frequency-Magnitude Distribution (FMD)

Frequency-magnitude distribution (FMD) is usually parameterized by using the Gutenberg-Richter (G-R) power-law relationship [37]; such a frequency-magnitude relationship is as follows:

$$\log_{10} N(M) = a - b(M - M_c) \quad (1)$$

where  $N(M)$  is the number of earthquakes with a magnitude greater than or equal to  $M_c$  (magnitude completeness or minimum magnitude),  $a$  is a constant, and  $b$  describes the slope of the size distribution of events. It is proportional to the productivity of the seismic volume or the rate of earthquake production.

The b-value is an important statistical parameter and is correlated with the possible size of the scaling properties of seismicity. Generally, b-values are in the range of 0.3 to 2.0, depending on different regions. According to Ref. [55], the average b-value on a regional scale is usually equal to 1. Lower b-values are often interpreted as possible regions that are subjected to higher applied shear stress after the mainshock. In contrast, areas having higher b-values are areas that have experienced slip. Based on the previous study, high b-values are often found in areas with increased geological complexity, indicating multi-fracture areas. The critical findings of an earlier study [38] show that a low b-value is closely related to the low degree of heterogeneity of the cracked medium, enormous stress and strain, high deformation rates, large faults, and thus, seismic moment rates. The most robust method for calculating the b-value is maximum likelihood [56]. The formula can be written as follows:

$$b = \frac{\log_{10}(e)}{(\bar{M} - M_c + 0.05)} \quad (2)$$

where  $\bar{M}$  is the average magnitude value greater or equal to  $M_c$ , and  $M_c$  is the minimum magnitude or the magnitude completeness. The 0.05 in Equation (2) is a correction constant [38]. The standard deviation of the b-value with 95% of the confidence limit can be estimated based on the equation suggested by Ref. [56] as  $\approx (1.96b/\sqrt{n})$ , where n is the number of earthquakes used to estimate the b-value of each zone.

## 2.2. Correlation Dimension ( $D_c$ ) of Earthquake and Active Fault Data

In the chaos theory [57], the correlation dimension ( $D_c$ ) is a measure of the dimension of the space occupied by a set of random points. It is often referred to as a type of fractal dimension. Using a two-point correlation dimension ( $D_c$ ), the spatial and temporal distribution patterns of fault and earthquake seismicity were shown to be fractal [32–36]. Analysis of the correlation dimension is a powerful tool for quantifying a geometrical object of self-similarity, following Ref. [57], which defined  $D_c$  and correlation sum  $C(r)$ , as follows:

$$D_c = \lim_{r \rightarrow \infty} \left( \frac{\log C(r)}{\log(r)} \right) \quad (3)$$

in which  $C(r)$  is the correlation function, r is the distance between two epicenters, and supposing N is the number of pairs of events separated by distance  $R < r$ . If the epicenter distribution has a fractal structure, the following relationship would be obtained:

$$C(r) = \left( \frac{2N_{R < r}}{N(N-1)} \right) \quad (4)$$

$$C(r) \sim r^{D_c} \quad (5)$$

where  $D_c$  is the fractal dimension (more strictly, the correlation dimension). Distance r between two earthquakes could be calculated (in degrees) using:

$$r = \cos^{-1}(\cos \theta_i \cos \theta_j + \sin \theta_i \sin \theta_j \cos(\phi_i - \phi_j)) \quad (6)$$

where  $(\theta_i, \phi_i)$  and  $(\theta_j, \phi_j)$  are the latitudes and longitudes of the  $i$ th and  $j$ th events, respectively [26]. In this study, the algorithm of the box counting [58] is adopted to estimate  $D_c$ , in which the binary image of the object is successively divided into finer equivalent sub-regions (4, 16, 64, and more) by the ratio ( $r = 2, 4, 8$ , and so on) on both horizontal and vertical axis, respectively. Following the box counting algorithm, in which the object pixel value is represented by logical 1 and the background pixel value is represented by logical 0, then the Equation (3) could be written as follows,

$$D_c = \lim_{r \rightarrow \infty} \left( \frac{\log N(r)}{\log(r)} \right) \quad (7)$$

in which  $N_{(r)}$  is the number of the same size squared sub-regions containing one or more pixels of value 1.

By plotting  $\log r$  and  $\log N_{(r)}$ , the fractal dimension,  $D_C$ , could be obtained from the slope of the graph's line of least squares (LLS).

### 2.3. Seismicity Smoothing

In keeping with the previous study, the seismicity smoothing algorithm using the Gaussian function approach, for example, was implemented [23,39,46,47]. To realize the seismic smoothing algorithm, we first gridded the study area, then counted the number ( $n_i$ ) of earthquake events with a magnitude greater than or equal to the reference ( $M_{ref}$ ) in each cell or grid. The counting result of  $n_i$  represents the maximum likelihood estimate of  $10^a$  or A-value for earthquakes with a magnitude larger than or equal to  $M_{ref}$  in each cell [59]. The  $n_i$  values in each cell were then smoothed spatially by applying a Gaussian function. The correlation distance  $c$  was used during smoothing. The following equation obtained the smoothed value in each cell:

$$\tilde{n}_i = \frac{\sum_j n_j e^{-\frac{\Delta_{ij}^2}{c^2}}}{\sum_j e^{-\frac{\Delta_{ij}^2}{c^2}}} \quad (8)$$

in which  $\tilde{n}_i$  is normalized and addressed to preserve the total number of events,  $\Delta_{ij}$  is the distance between the  $i$ -th and  $j$ -th cells, and  $c$  is the correlation distance. In Equation (7), the sum is taken from cell  $j$  within a distance of  $3c$  from cell  $i$ . When applying seismicity smoothing in this study, a correlation distance of 50 km was used to estimate the A-value.

To derive the correlation dimension based on shallow earthquake data, denoted by  $D_E$ , in this study, we first apply the seismicity algorithm using a distance correlation of 25 km. The  $D_E$  is then estimated by application of the box-counting algorithm using (7).

### 2.4. Active Fault Modeling

To derive the correlation dimension based on active fault data, denoted by  $D_F$ , in this study, we first create the synthetic epicenter of an earthquake using fault distribution data. The synthetic catalog algorithm is based on Refs. [47,60]. First, the fault earthquake epicenter positions were distributed uniformly along with the active fault positions, with each interval at a distance range of about 5 to 10 km. Subsequent synthetic epicenter distribution data were smoothed with a distance correlation of 10 km. The  $D_F$  is then estimated by application of the box counting algorithm using (7).

Furthermore, fault seismicity, or the A-values for active fault data, were modeled by integrating shallow earthquake data from Ref. [9] of the ( $M_w \geq 4.7$ ,  $H \leq 50$  km from 1963 to 2016) and GCMT catalog from 2017 to 2020 around the active fault zone and the synthetic catalog data model. For shallow earthquake data around the active fault zone and the synthetic catalog data model, we followed Ref. [60] by applying the seismic smoothing algorithm based on [46] and using a correlation distance of 50 km and 25 km. The integration between the two models was done by weighting the A-value model from the earthquake catalog with normalized smoothed seismicity obtained from active fault data.

### 2.5. Geodetic Modeling

To obtain the geodetic modeling data, we assumed that the horizontal displacement field of each observation point over the entire seismogenic depth is homogeneous and isotropic. Furthermore, the horizontal displacement components of  $u$  and  $v$  are in E-W N-S directions. Therefore, an assumption is needed to determine which signals of  $u$  and  $v$  are not correlated [61,62]. The study area was gridded into 10 km  $\times$  10 km cell sizes to estimate the surface strain rate based on GPS data. Basing our procedures on previous studies [39,40], we calculated the horizontal crustal strain rate of each cell by applying the LSC method. In keeping with previous studies around the Sumatra Islands [39,40], we applied the least-square prediction method, which uses the horizontal surface displacement data to

estimate the horizontal surface displacement of each cell in the study area. Furthermore, the horizontal crustal strain was used as the input to estimate the seismic moments around Sumatra Island. The following equation to calculate the scalar moment was adopted using the formulation done by Refs. [41–44]:

$$\dot{M}_0 = 2\mu HA \max(|e_1|, |e_2|) \quad (9)$$

where  $\mu$  is the rigidity,  $H$  is the seismogenic thickness,  $A$  is the unit area, and  $e_1$  and  $e_2$  are the principal strain rates.

Finally, the annual seismicity rate model around the SFZ is estimated based on the integrated annual  $A$ -value of the earthquake and active fault data as described in Section 2.4 and is weighted by the normalized seismic moment rate based on GPS data. This annual seismicity rate model is then used to estimate seismic hazards.

### 2.6. Seismicity Rate Model: Earthquake Rate Formulation

In reference to Refs. [39,40,60], the rate of earthquake occurrence with a magnitude above or equal to magnitude completeness as the magnitude reference ( $M_{\text{ref}}$ ) could be expressed as:

$$v_i(\geq M_{\text{ref}}) \approx \frac{N_i}{T} \quad (10)$$

where  $N_i$  is the number of earthquakes with a magnitude greater than or equal to magnitude completeness ( $\geq M_c$ ),  $T$  is the period of observation, and  $v_i$ , based on Ref. [60]'s research, represents the likelihood of the  $A$ -value ( $10^a$ ) of the earthquake with a magnitude greater than or equal to the reference magnitude ( $M_{\text{ref}}$ ). The  $M_{\text{ref}}$  could be greater than or equal to  $M_c$ .

Furthermore, by substituting  $10^a$  of Equation (9) in the frequency-magnitude of the Gutenberg–Richter equation [37], the following equation is obtained:

$$v_i(\geq m) \approx \frac{\tilde{n}_i(\geq M_{\text{ref}})}{T \ln(10)} 10^{-bm} \left(1 - 10^{-b(m-M_{\text{max}})}\right) \quad (11)$$

where  $n_i(\geq M_{\text{ref}})$  is the estimated number of earthquakes above or equal to magnitude completeness,  $T$  is a period of observation, and  $b$  is the  $b$ -value.

The annual seismic rate model around the SFZ is used to estimate seismic hazards based on the result as described in Section 2.5.

### 2.7. Seismic Hazard Function (SHF) Estimation: Ground Motion Prediction Equation (GMPE) and Probability Exceedance (PE)

In reference to Refs. [39,40,60], the probability of exceedance (PE) of the annual earthquake rate with magnitudes greater than or equal to  $M_c$ , which can be converted into the estimated ground motion (PGA) using Ground Motion Prediction Equation (GMPE) at point of observation, can be expressed as:

$$P(a \geq a_o) = P_k(m \geq m(a_o, R_k)) = 1 - e^{(-v_i(\geq m(a_o, R_k)))} \quad (12)$$

where  $P_k(m \geq m(a_o, R_k))$  is the annual PE of earthquakes in the  $k$ th cell,  $m(a_o, R_k)$  is the magnitude in the  $k$ th source cell that could produce an estimated PGA of  $a_o$  or larger at the observation point, and  $R_k$  is the distance between the site and the source cell. The calculation of the SHF parameter is based on [60]. The function  $m(a_o, R_k)$  is estimated based on the GMPE relation. The GMPE used is based on the results of [7], in which the GMPE of Ref. [63] is used. In this study, the GMPE of Ref. [63] is updated with the GMPE of [64]. The total PE distribution of PGA at the site was estimated based on a given radius of the influences of the surrounding source cells, which can be expressed as:

$$P(a \geq a_o) = 1 - \prod P_k(m \geq m(a_o, R_k)) \quad (13)$$

By substituting the GMPE in (13), we can obtain the annual PE of the particular PGA or PGV as follows:

$$P(a \geq a_o) = 1 - \prod e^{(-v_i(\geq m(a_o, R_k)))} = 1 - e^{-\sum v_i(\geq m(a_o, R_k))} \quad (14)$$

Furthermore, for a given time duration  $T$ , the PE could be estimated as follows:

$$P(a \geq a_o) = 1 - \prod e^{(-Tv_i(\geq m(a_o, R_k)))} = 1 - e^{-\sum Tv_i(\geq m(a_o, R_k))} \quad (15)$$

Thus, each grid's annual PE of specified ground motion is calculated using (14). Then, for a given time duration of  $T$ , the PE of a given value of the ground motion is computed using Equation (15).

### 3. Result and Discussion

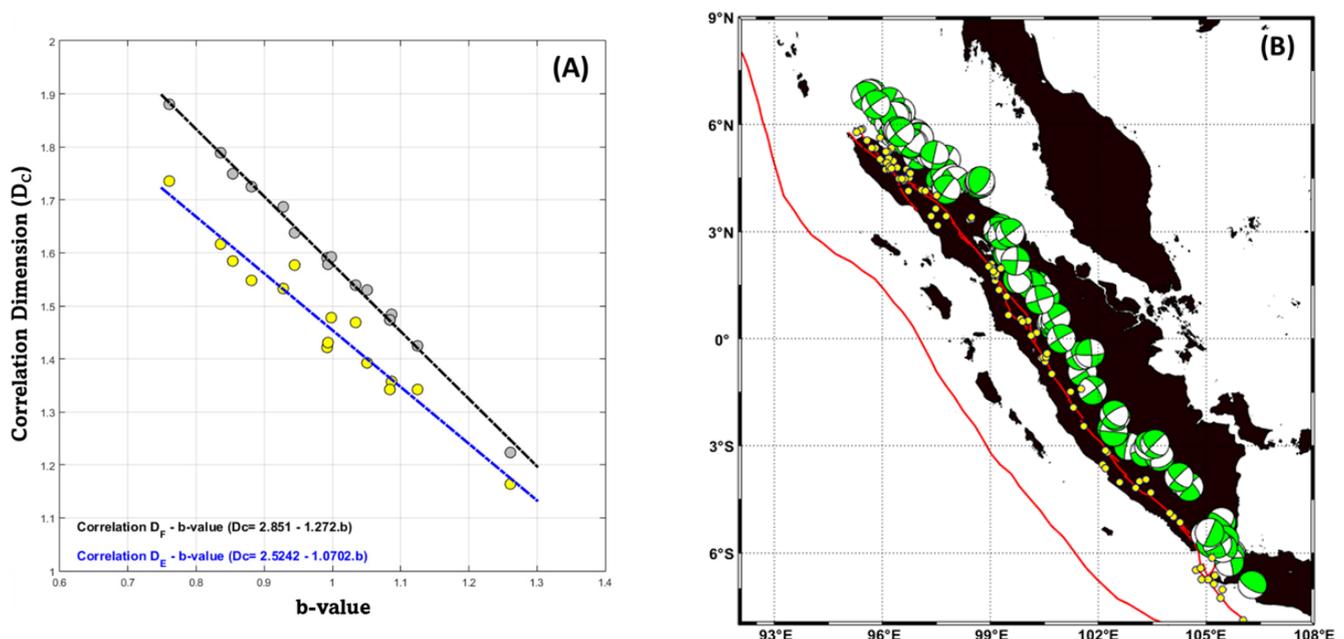
The motivation of this study is to determine the relationship between seismic  $b$ -values and the correlation dimension ( $D_C$ ) based on earthquake and active fault data in the Sumatra Islands. The purpose of using both the shallow earthquake catalog data and the active faults to estimate the correlation dimension ( $D_E$  and  $D_F$ ) is to find a better correlation with the  $b$ -value, which will be used to identify earthquake hazard functions (SHF) as a function of  $D_C$  along with SFZ. The SHF is calculated based on an integrated seismic model of the earthquake catalog, active fault data, and the estimated seismic moment rate. These are addressed to produce an annual seismic rate model based on the combined data sources for probabilistic seismic hazard analysis. In addition, the pre-seismic GPS data are used to estimate the seismic moment rate model based on the estimated horizontal crustal strain. The estimation of the seismic moment around the Sumatra Islands refers to Refs. [39,40], in which the approximation made by Ref. [41] and others, such as Refs. [42–44], was adopted.

The shallow earthquake catalog data from 1963 to 2020 with  $M_w \geq 4.7$  and a maximum depth of 50 km [9] of 1963–2016 and GCMT catalog of 2017–2020, the active fault and pre-seismic GPS data are used in this study (Figure 2A). The active fault data are based on the newly revised [9] and recent studies [8,48]. The pre-seismic GPS data are based on [2,6,49–54]. The zonation based on the clustering study of [21] is adopted for estimating  $D_C$  and the  $b$ -value. In this study, about 15 zones around SFZ are used by following their suggestion [21] to merge the zonations 15<sup>th</sup> and 16<sup>th</sup>.

First, to estimate the  $b$ -value, we grid the study area based on 15 zones around the SFZ by 10 km  $\times$  10 km. Furthermore, the  $b$ -value is calculated based on the maximum likelihood (2) using a constant number of 50 events on each grid. The result can be found in Figure 2B.

Based on the result of Figure 2B, the mean  $b$ -value of each zone is calculated, and the  $D_E$  and  $D_F$  are estimated using (7) based on the box-counting algorithm. Furthermore, the cross plotting between  $D_E$  or  $D_F$  with the mean  $b$ -value is constructed. The result can be seen in Figure 3A. In this study, the purpose of evaluating both  $D_E$  and  $D_F$  is to find a better correlation between the correlation dimension and the  $b$ -value utilized to estimate the SHF of the SFZ or the sites in the SFZ selected zone.

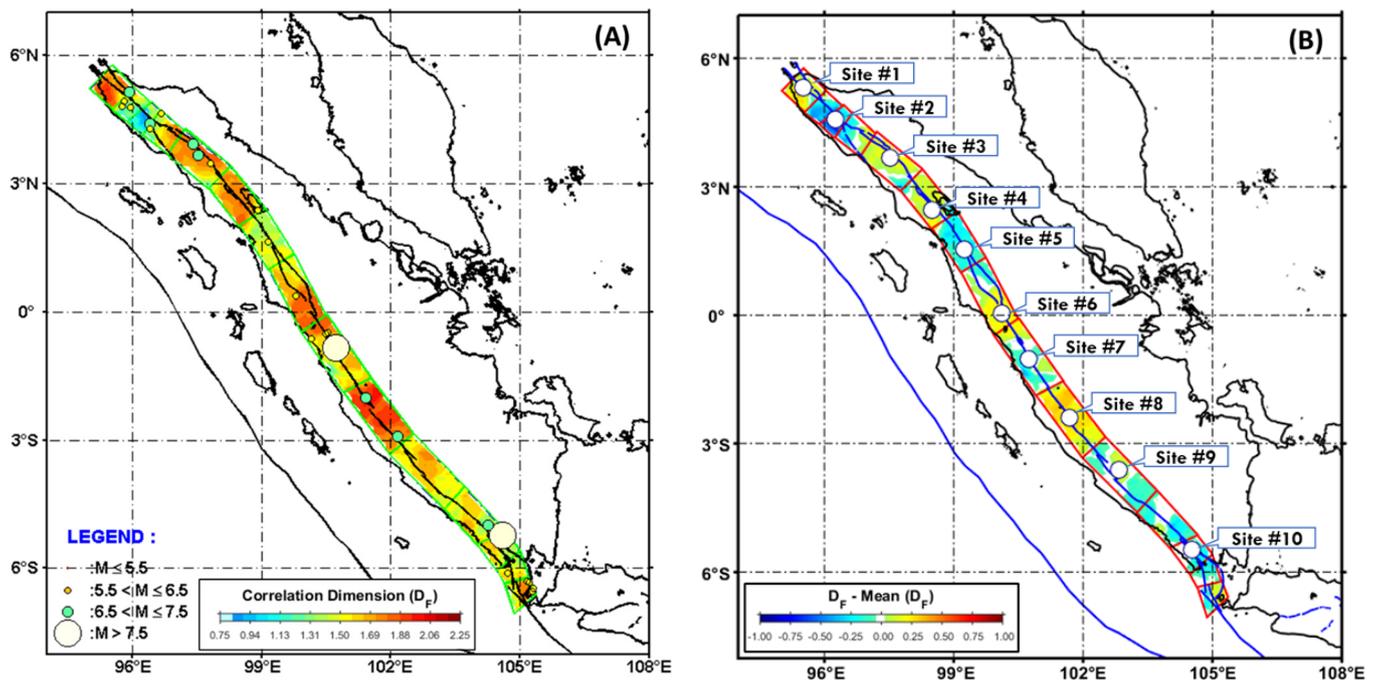
The correlation between  $D_F$ ,  $D_E$ , and the  $b$ -value was then evaluated by referring to the previous studies [34,35,38] in which linear regression was applied. Based on Figure 3A, a reasonable correlation between two seismotectonic parameters,  $D_F$ - $b$ , and  $D_E$ - $b$ , for Sumatra Island can be found. It appears that the relationship of  $D_F$ - $b$  seems better compared to  $D_E$ - $b$ . It is probably related to the certainty of the distribution of the geometry data. The surface break of the late quaternary active fault is better than the distribution of the epicenter of the earthquake data. Next, to better understand the focal mechanism of the GCMT earthquake catalog in the depth range of 10 to 50 km around the SFZ depicts the strike-slip with a right lateral mechanism, as shown in Figure 3B.



**Figure 3.** The cross-plot  $D_F$ ,  $D_E$ , and the mean  $b$ -values are estimated based on the 15 zones (A). The focal mechanism plot is based on the GCMT catalog of shallow earthquake data for earthquakes at depths less than or equal to 50 km with a magnitude larger than or equal to 4.7 in the earthquake period between January 1976 to December 2020 [65,66] (B).

The next step calculates the  $D_F$  over the entire area of the 15 zones using the equation  $D_F = 2.851 - 1.272b$  with the input of the  $b$ -value map of Figure 2B. The result can be found in Figure 4A. Figure 4A shows the map of the estimated  $D_F$  overlay with the historical large earthquake catalog around the SFZ. The relatively high  $D_C$  coincides with the historical data of the large earthquakes with a maximum depth of less than 50 km from 1925 to 2014. To enhance the contrast of  $D_F$ , we then constructed the map of  $D_F$  subtracted by the mean of  $D_F$  over the entire area of the 15 zones. Furthermore, we selected about ten sites to evaluate the SHF. The result can be found in Figure 4B. Referring to Figure 4B, relatively high  $D_C$  ( $D_C >$  the mean of  $D_F$ ) is distributed along zone 1, zone 5, zone 6, zone 8, zone 10, and part of zone 11; most of the previous historical large earthquakes are found.

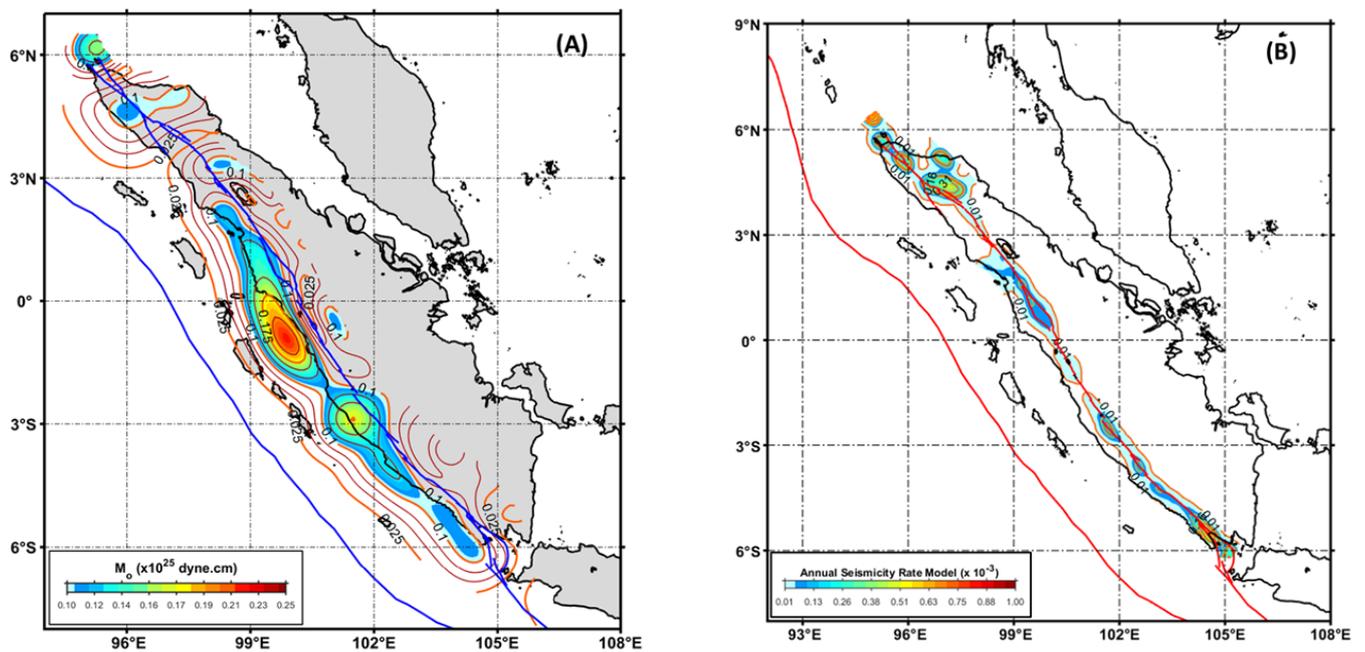
The reliable annual seismicity rate model needs to be constructed to estimate the SHF on each site we selected. To assess the reliability of the annual seismicity rate model in this study is developed by integrating shallow earthquake catalog, active fault, and the pre-seismic GPS data. The summarized workflow in this study based on Sections 2.3–2.6 could be described as follows. First, we smoothed the shallow earthquake catalog data around the study area using a 50 km correlation distance. Next, the synthetic catalog data model based on active fault data are smoothed using a correlation distance of 25 km. The integration between the two models was done by weighting the  $A$ -value model from the earthquake catalog with normalized smoothed seismicity obtained from active fault data. Furthermore, the shallow crustal dynamic data are incorporated in this study by following [39]; it is used GPS data.



**Figure 4.** The map of  $D_F$  overlay with the historical earthquake catalog with the  $M_w \geq 6.0$  around the SFZ (A).  $D_F$  is calculated using equation  $D_F = 2.851 - 1.272b$  with the input of the  $b$ -value map of Figure 2B. The map of  $(D_F - \text{mean of } D_F)$  over the entire clustered zone boundary of Burton and Hall [21] and selected about ten sites to evaluate the SHF starting from the North-West to South-East (B). The relatively high  $D_C$  coincides with the historical shallow large earthquakes data of Ref. [9] from 1925 to 2014.

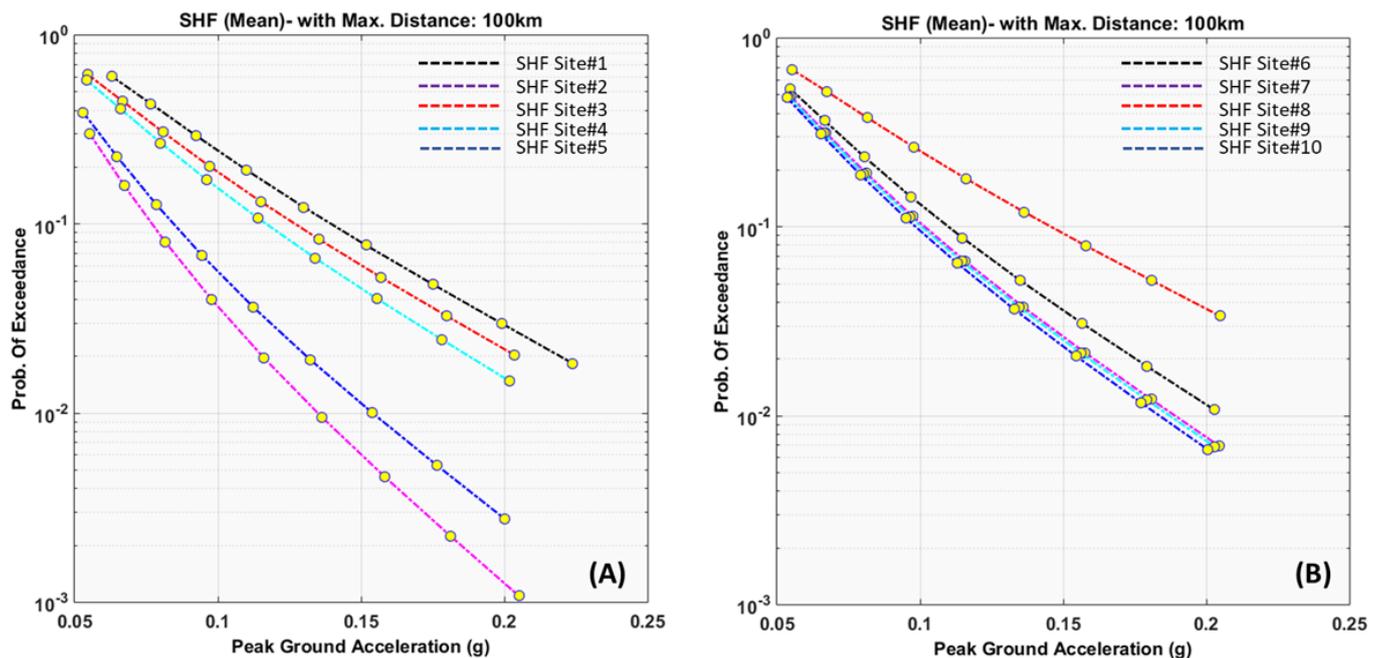
The algorithm for constructing the model using the GPS data are as follows. First, we developed the seismic moment rate is based on Sumatran Island's horizontal crustal strain model. In this step, the least-square prediction method [39,40,47,61,62,67] was applied to calculate the horizontal crustal strain based on each cell's horizontal surface displacement estimation over the entire study area. Furthermore, each cell's seismic moment could be calculated using Equation (8) [41–44]. We assumed the rigidity ( $\mu$ ) and the seismogenic thickness ( $H$ ) to be  $3.4 \times 10^{11}$  dyne·cm $^{-2}$  and 20 km, respectively [39,40]. The result of the seismic moment rate model can be found in Figure 4A. Figure 4A shows that the areas with relatively high correlation dimensions ( $D_F$ ) coincide with high seismic moment loading rates, implying high tectonic stress loading that could pose the risk of producing significant earthquake hazards. The result of this study is aligned with the previous study [34,35]; however, the advantage result of this study is that we could understand the correlation between the high  $D_C$  with the possible present-day strain loading since we incorporate the present-day shallow crustal movement data. It is suggested that the algorithm of this study is applicable in the other active tectonic area as far as the data are available.

Finally, the annual seismicity rate model around the SFZ is estimated based on the integrated annual  $A$ -value of an earthquake and active fault data as described in Section 2.4 and is weighted by the normalized seismic moment rate based on GPS data as is shown in Figure 5A. The result can be found in Figure 5B. Figure 5B shows the annual seismicity rate model that we propose as the most reliable model to estimate seismic hazards along SFZ. In addition, the model is suggested to have a better certainty in geometrical source and rate distribution.



**Figure 5.** The estimated seismic moment rate is based on the horizontal crustal strain model around Sumatra Island (A). The annual seismic rate model for the SHF calculation was constructed based on the seismic smoothing of the earthquake catalog weighted by the normalized fault seismic model and the normalized seismic moment rate model based on the GPS data (B).

Furthermore, the SHF curve of the total probability of exceedance versus the mean of the peak ground acceleration of each observation point (sites #1 to #10) was constructed using the maximum radius distance of about 100 km with a magnitude range of 6.0–8.0. Since seismicity smoothing was used, the point source model was applied, and the source depth was placed at about half of the seismogenic thickness (about 20 km), with the starting locking depth being 5 km [39]; thus, a source depth of 15 km was used. The period of the SHF evaluation was set at about 50 years. The result of the SHF curve can be seen in Figure 6A,B. Another critical finding in this study is that the relatively high correlation dimension coincides with a high SHF curve, and it could be summarized that the areas with a relatively high correlation dimension ( $D_F$ ) overlap with high seismic moment loading rates, which may imply high tectonic stress loading that could pose the risk of producing significant earthquake hazards in the future.



**Figure 6.** The graphs show the SHF curve of each observation point (A,B). The SHF curve of total probability of exceedance versus the mean of the peak ground acceleration of each observation point (sites #1 to #10) was constructed using the maximum radius distance of about 100 km with a magnitude range of 6.0–8.0. The source depth was set at half of the seismogenic thickness, which was about 20 km, and the starting locking depth of 5 km was used; thus, 15 km of the source depth was used. The period of the SHF evaluation was set at about 50 years.

#### 4. Conclusions

This study could characterize a reasonable correlation between two seismotectonic parameters,  $D_F$ -b and  $D_E$ -b, for Sumatra Island, especially around SFZ. The relationships are  $D_F$ -b and  $D_E$ -b, respectively ( $D_F = 2.851 - 1.272b$ ) and ( $D_E = 2.5242 - 1.0702b$ ). It is found that the relationship of  $D_F$ -b seems better compared to  $D_E$ -b. The result leads to the fundamental understanding that the certainty of the source geometry distribution based on the surface break of the late quaternary active fault is better than the distribution of the epicenter of the earthquake data.

The correlation dimension map in this study concludes that the relatively high  $D_C$  coincides with the historical data of large earthquakes from 1925 to 2014. The most critical finding in this study is that the areas with relatively high  $D_C$  coincide with high seismic moment loading rates, implying high tectonic stress loading that could pose the risk of producing significant earthquake hazards in the future. The advantage of this study compared to the previous research is that we could understand the correlation between the high  $D_C$  with the possible present-day strain loading since we incorporate the present-day shallow crustal dynamic data.

In this study, we have proposed the algorithm to construct the most reliable annual seismicity rate model along the SFZ. The model is estimated based on the integrated annual A-value of the shallow earthquake, active fault, and seismic moment rate derived from the GPS data. We suggest that the annual seismicity rate model tends to have better certainty in geometrical source and rate distribution.

Another critical finding of this study leads us to conclude that the relatively high correlation dimension coincides with a high SHF curve. Therefore, it could be summarized that the areas with relatively high  $D_C$  overlap with high seismic moment loading rates, which may imply high tectonic stress loading that could pose the risk of producing significant earthquake hazards in the future. This study also led us to the understanding that the

high correlation dimension is closely related to the possibility of high seismic hazards in the future.

**Supplementary Materials:** The following supporting information can be downloaded at: <https://www.mdpi.com/article/10.3390/geohazards3020012/s1>, They contain shallow earthquake catalog data (combined of PUSGEN catalog of 1963–2016 and GCMT catalog of 2017–2020), estimated b-value based on a constant number of 50 events, the boundary zone based on Ref. [21], and grid in MAT file format, LSQR GPS data, active fault data of SFZ and other materials related to the estimation results in this manuscript.

**Author Contributions:** W.T. developed the main idea and algorithm, analysed, and prepared the figures and the manuscript. D.A.S. helped to collect the GPS data based on several manuscripts. D.P.S. and S.S. helped in the manuscript preparation and discussion. Finally, D.H.N. helped support the most recent active fault data of Sumatra Island and in the discussion. All authors have read and agreed to the published version of the manuscript.

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**Data Availability Statement:** The authors declare that the materials and data used in this manuscript will be made available promptly to the Editorial Board Members and Referees upon request. The earthquake catalog and historical data are based on the PUSGEN2017 [9] and GCMT of 2017 to 2020 catalog, public domain data. The active fault data are based on the newly revised PUSGEN 2017 and recent studies [8,48]. The pre-seismic GPS data are based on the studies of [2,49–54].

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